

# Coarse graining, diffeomorphism symmetry and perfect actions in quantum gravity ... and much much more

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with

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*Max Planck Research Group  
Canonical and Covariant Dynamics  
of Quantum Gravity*

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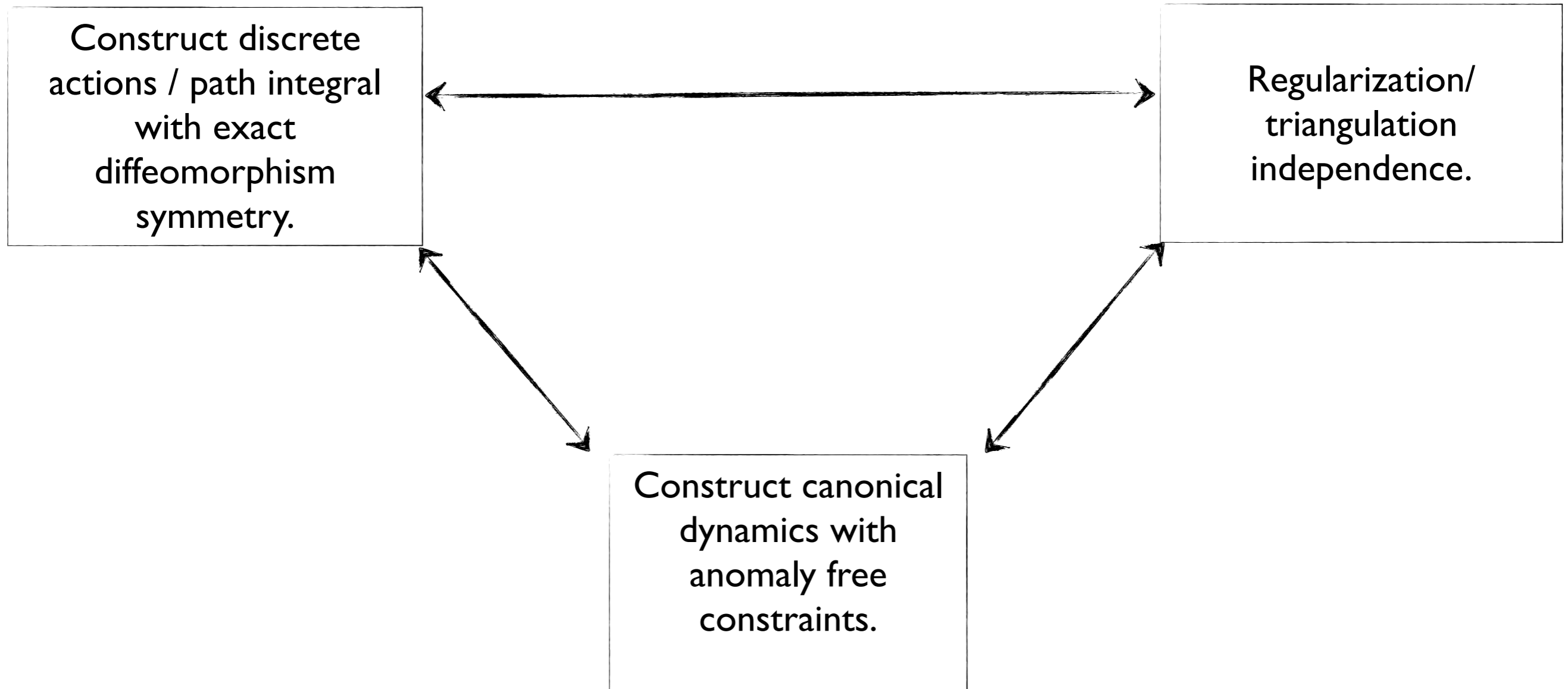
# Main Message

Construct discrete actions / path integral with exact diffeomorphism symmetry.

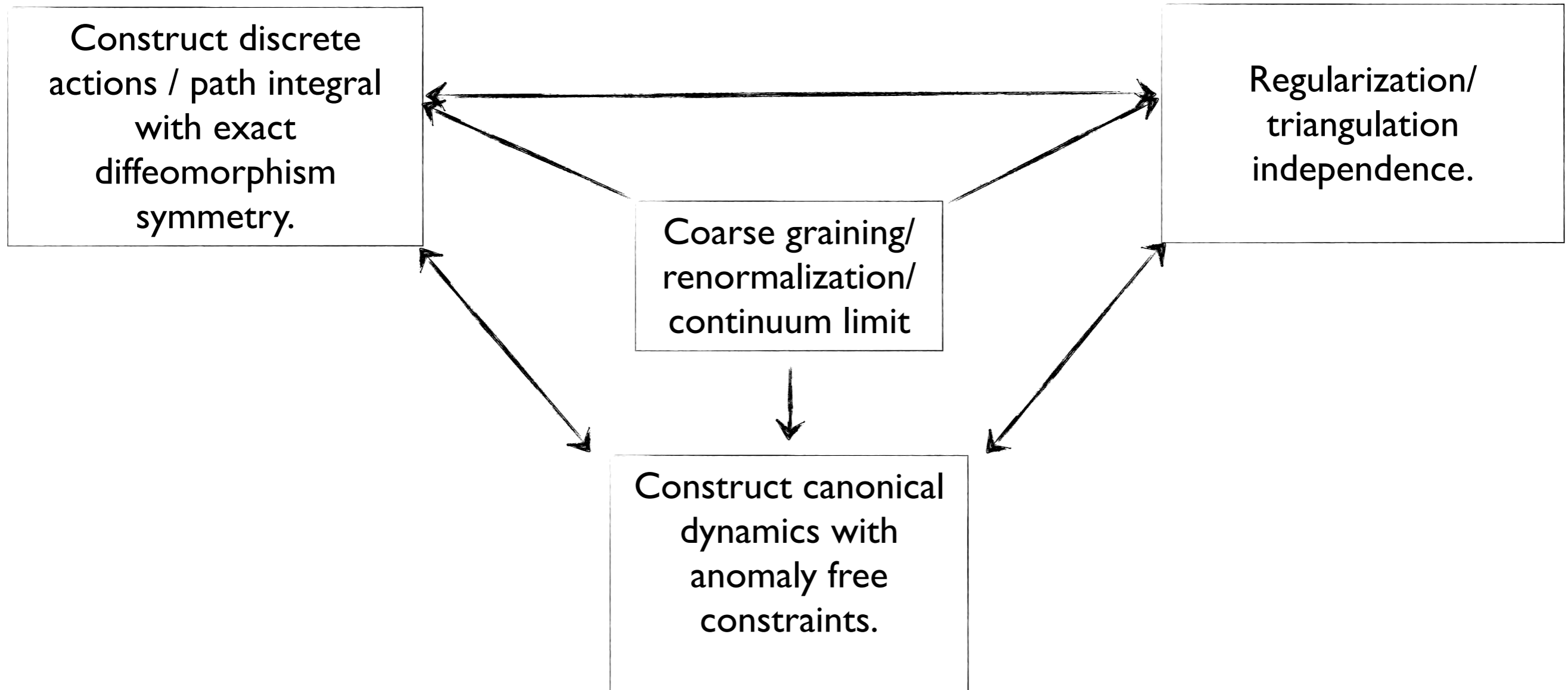
Regularization/ triangulation independence.

Construct canonical dynamics with anomaly free constraints.

# Main Message



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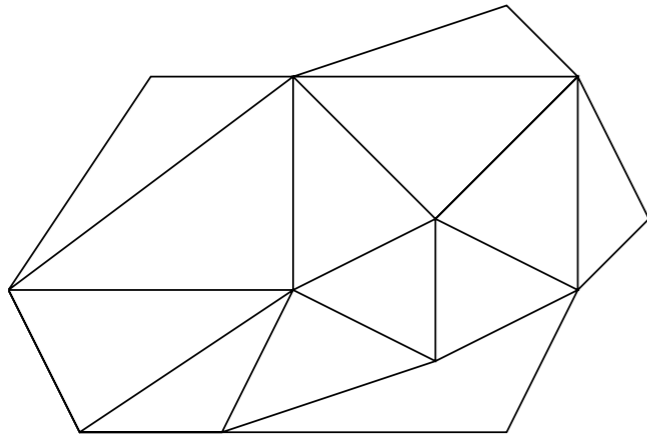


# Overview

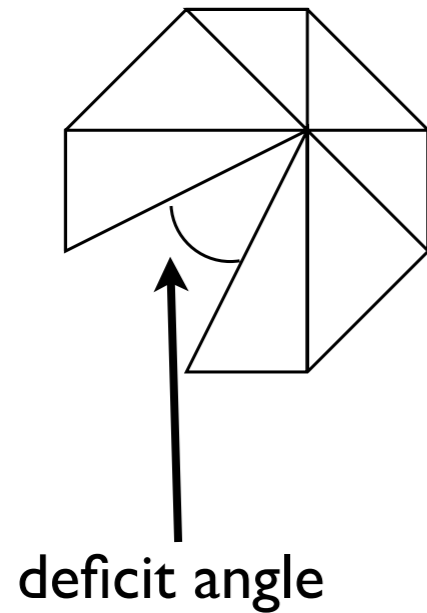
- A. What is diffeomorphism symmetry for discrete gravity?
- B. What is a perfect action?
- C. Coarse graining 1d models
- D. Coarse graining higher dimensional models
- E. Canonical formalism for discrete theories
- F. Conclusions

What is diffeomorphism symmetry in the discrete?

# Set up



- triangulation
- labels giving geometric data: variables
- prescription how to rebuild geometry
  
- action as function of labels: encodes dynamics/solutions



## Regge calculus

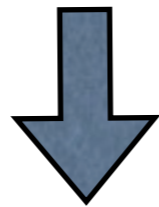
- length variables associated to edges
- deficit angles: curvature
- Regge action



# Regge action

- one choice of discretization:

$$S_{cont} = \int d^D x \sqrt{g} \left( \frac{1}{2} R - \Lambda \right)$$



$$S_{discr} = \sum_{\text{hinges } h} F_h \epsilon_h - \Lambda \sum_{\text{simplices } \sigma} V_\sigma$$

4d: triangles  
3d: edges

volume of triangle/edge

deficit angle

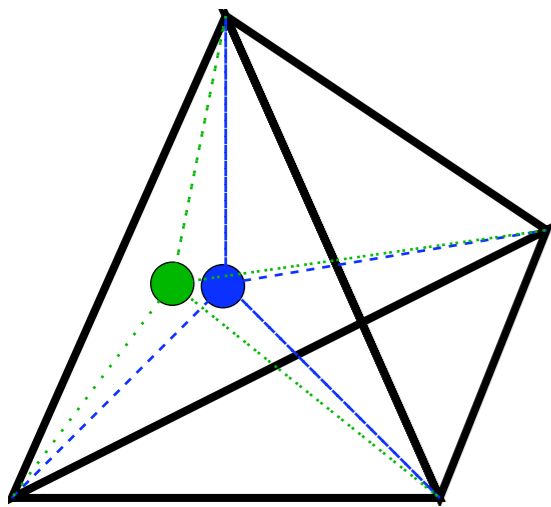
volume of 4-simplex/  
tetrahedron

# Gauge symmetries

- **gauge symmetries:** given some fixed boundary conditions solutions (extrema of the actions) are NOT unique
- for boundary conditions describing flat space: solutions are non-unique
- non-uniqueness described by **vertex translations**
  - ⇒ gauge symmetries for these configurations
- 3d gravity: locally flat solutions (deficit angles vanishing)
- boundary: tetrahedron (surface) with fixed lengths
- variables: four inner edges
- 3-parameter set of solutions given by choosing position of vertex in the flat tetrahedron
  - ⇒ **vertex translations**

# Gauge symmetries

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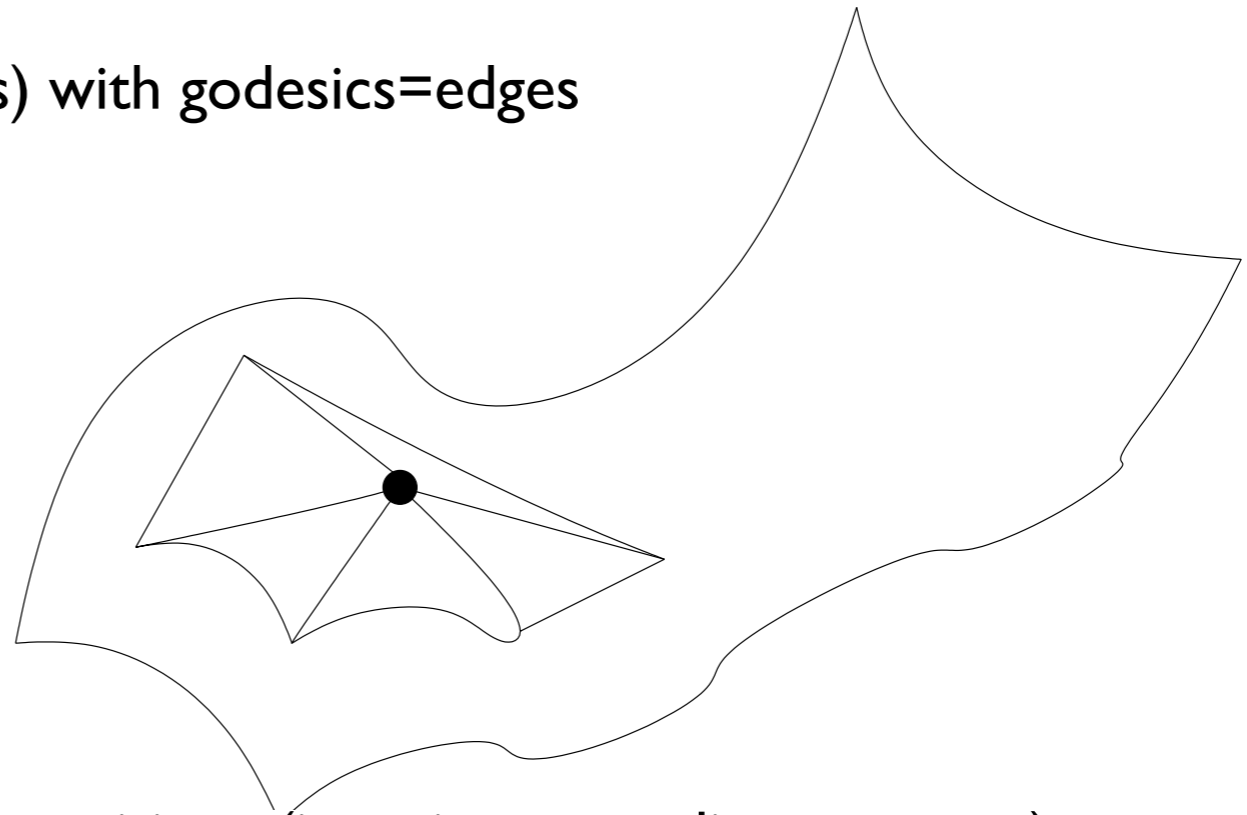


- 3d gravity: locally flat solutions (deficit angles vanishing)
- boundary: tetrahedron (surface) with fixed lengths
- variables: four inner edges
  
- 3-parameter set of solutions given by choosing position of vertex in the flat tetrahedron
- ⇒ **vertex translations**

# Gauge symmetries ?

- assume we would have a discretization (discrete action) that would re-produce all the continuum solutions in the following sense:

- take any continuum solution
- triangulate (choose positions of vertices) with geodesics=edges
- label edges with geodesic lengths



- expect that solutions just differing by vertex positions (in a given coordinate system) are physically equivalent
- these solutions nevertheless generally differ in their edge lengths (see previous example)
- $\Rightarrow$  gauge equivalence of these configurations

We will call such an amazing action a perfect action.

- do we have such a discretization (with such amazing properties)?
  - ⇒unfortunately not in 4d
  - ⇒only for 3d without (Regge '61) and with (Bahr, BD '09) cosmological constant

Later: How could we become perfect?

# How do we know?

- criterium: **non-uniqueness of solutions** for fixed boundary conditions

- ▶  $\det \left( \frac{\partial^2 S}{\partial x^i \partial x^j} \right) \Big|_{\text{solution}} = 0$

- i.e. the Hessian of the action has zero eigenvalues (null modes=gauge modes)

- existence of symmetries depends **on dynamics** (that is action)!

- different solutions might have gauge orbits of different size

- invariance of action not sufficient for gauge symmetry

- criterium relevant for

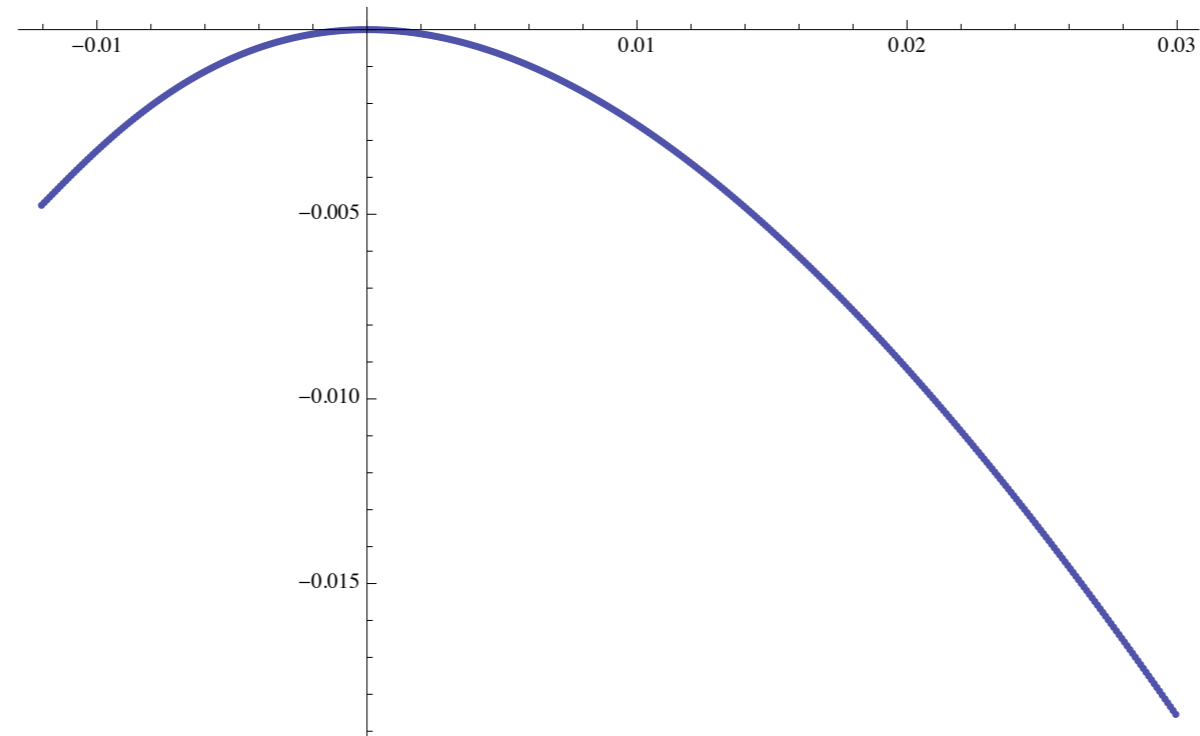
- ▶ canonical analysis (only degenerate Lagrangians lead to constraints!)

- ▶ perturbative expansion

- ▶ counting of physical degrees of freedom

For (a) curved Regge solution: symmetries are broken.

[Bahr, BD 09]



lowest eigenvalues of Hessians as function of deviation parameter from 4d flat solution (curvature)

Symmetry is broken, effect quadratic in curvature.

# Why do we care?

- diffeomorphism symmetry very strong requirement: resolve (otherwise overwhelmingly many) ambiguities
  - ⇒ we can show that explicitly in  $1d$  models
  - ⇒ equivalence to triangulation / discretization independence
- gauge symmetries reduce number of physical degrees of freedom
  - ⇒ if diffeomorphism symmetries are broken lattice acts as kind of aether
- important to understand structure of gauge symmetries, as these lead to divergencies in path integral
  - ⇒ broken symmetries are complicated to deal with
- canonical quantization: need closed constraint algebra (main problem)
  - ⇒ can be obtained with a perfect action



**Id models:**

**reparametrization invariant dynamics**

# 1d reparametrization invariant systems

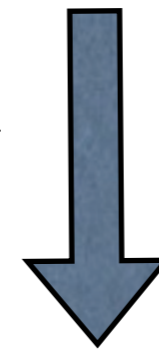
continuum:

- take  $q$  and  $t$  as variables
- use auxiliary parameter evolution parameter  $s$
- solutions  $t(s), q(s)$  invariant under reparametrizations in  $s$

$$L = t' \left( \frac{m}{2} \frac{q'^2}{t'^2} - V(q) \right)$$

discretization

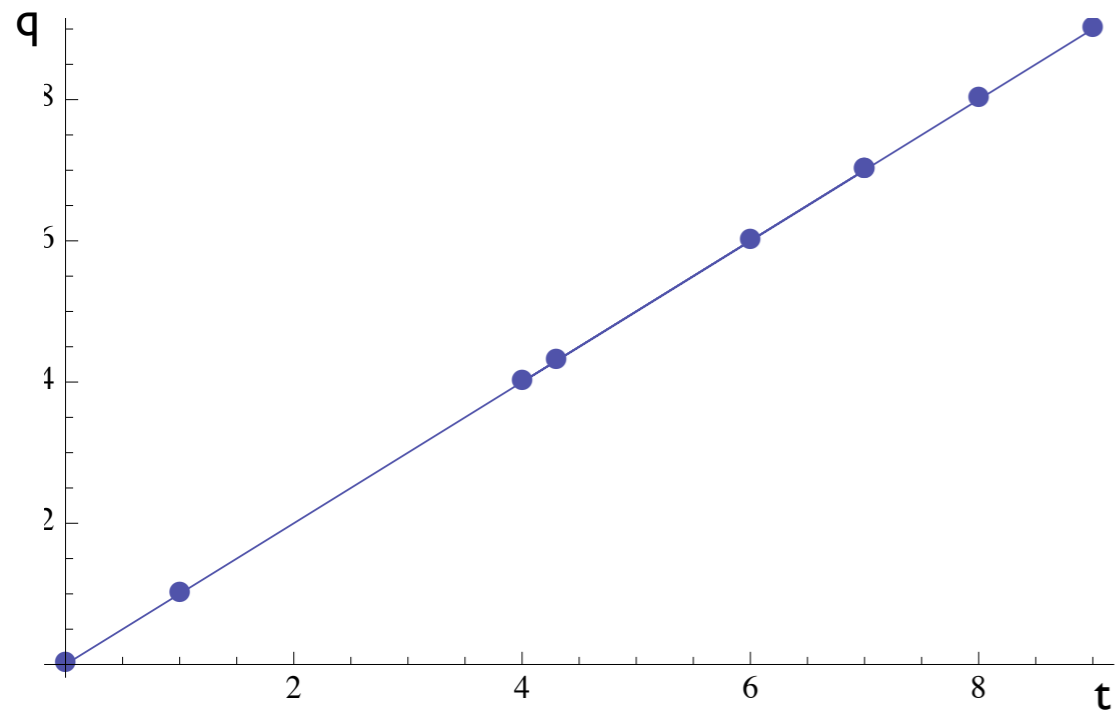
$s \rightarrow n$



$$L(n, n+1) = (t_{n+1} - t_n) \left( \frac{m}{2} \frac{(q_{n+1} - q_n)^2}{(t_{n+1} - t_n)^2} - V\left(\frac{1}{2}q_n + \frac{1}{2}q_{n+1}\right) \right)$$

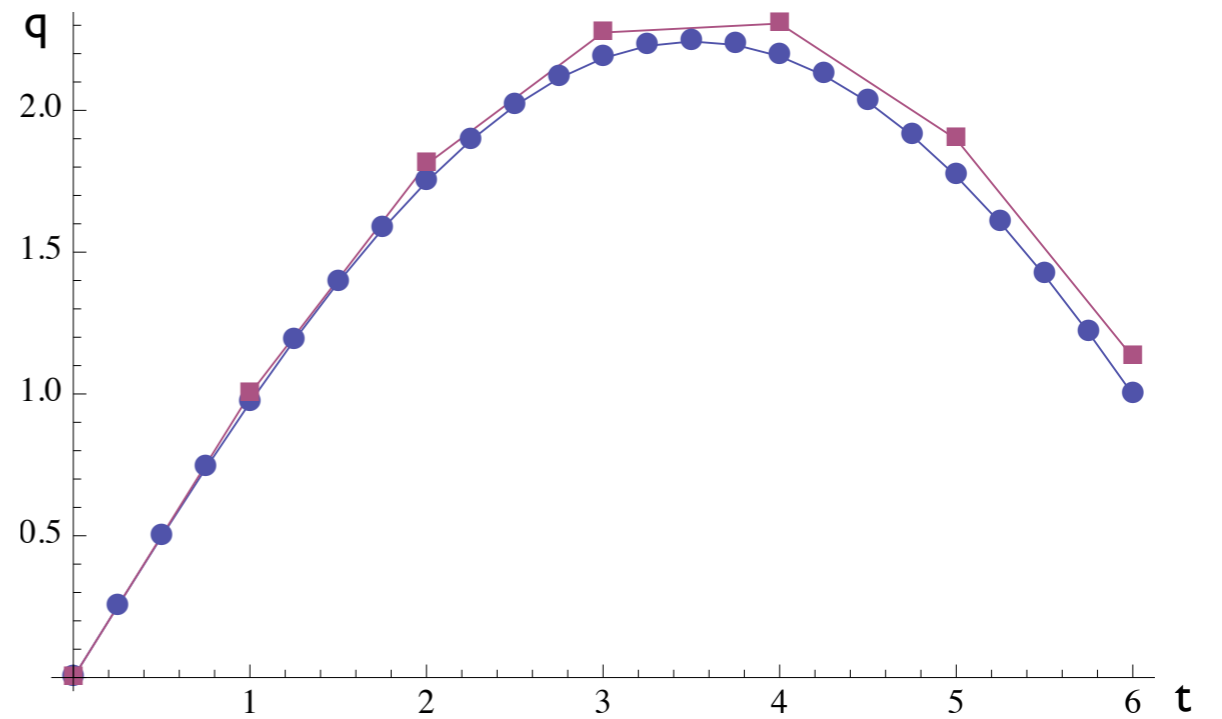
- vertex translations symmetry for  $V = 0$
- symmetry broken for  $V \neq 0$  [Gamini Pullin 03, Marsden West 01]

# Examples



## vanishing potential

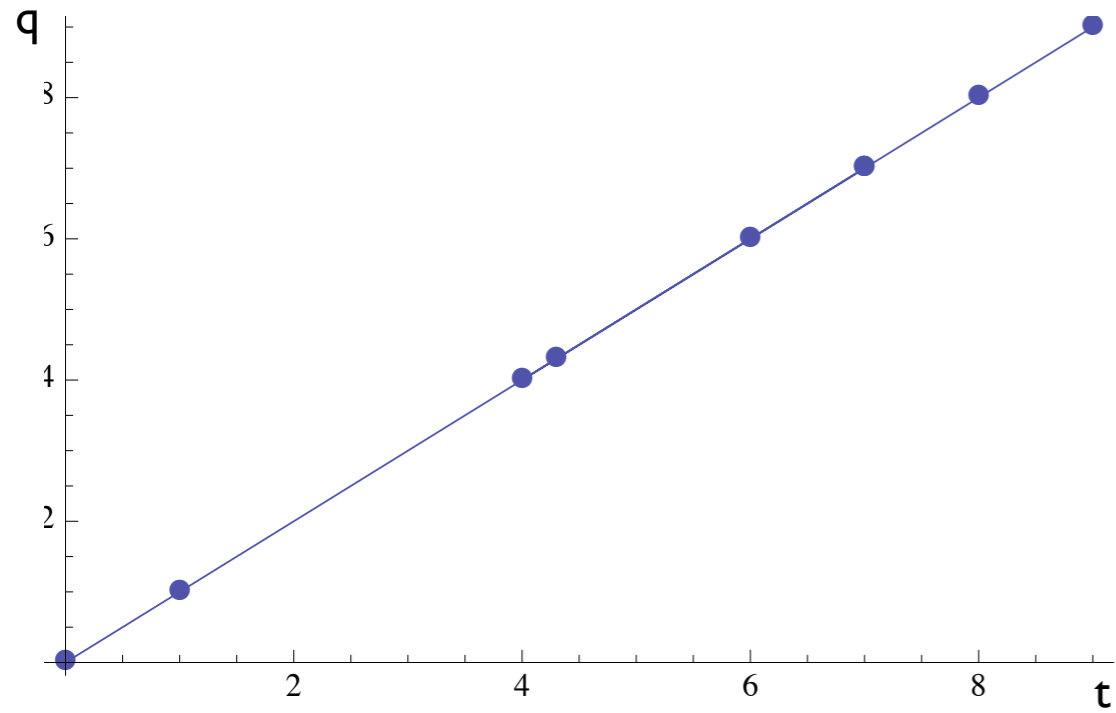
- position of vertices arbitrary
- one gauge mode
- refinement independent



## quadratic potential

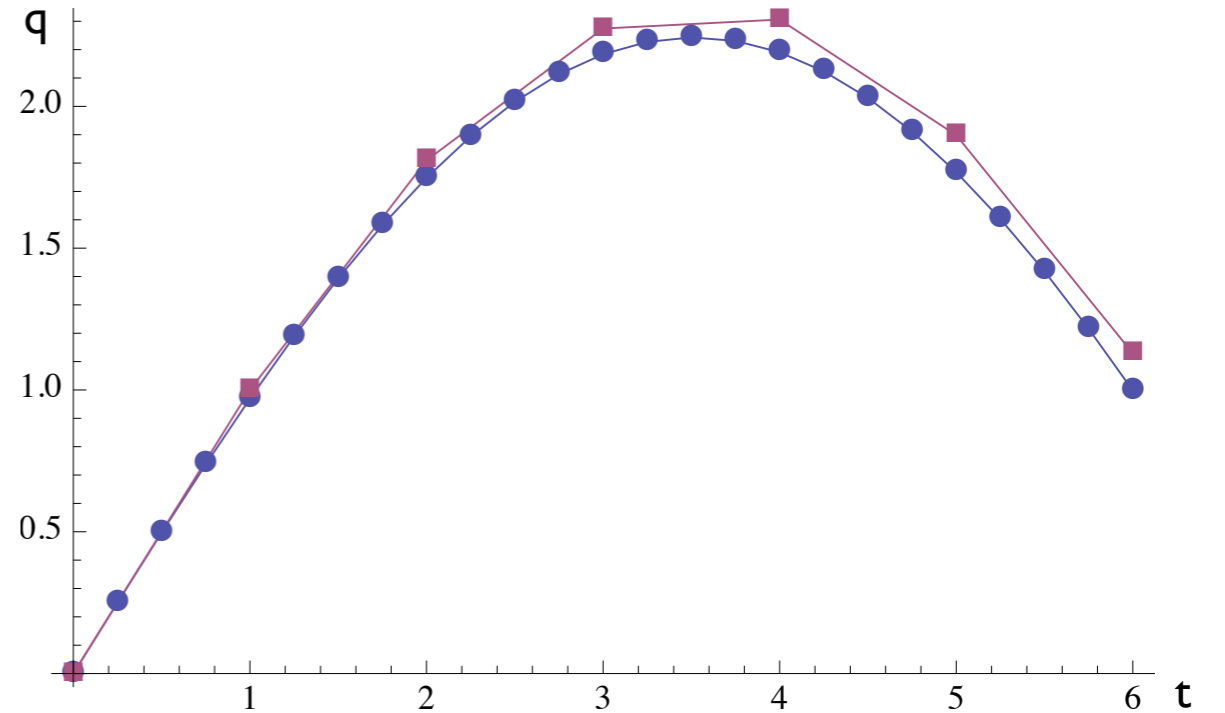
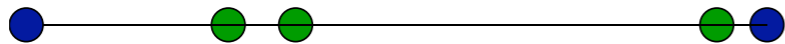
- position of vertices fixed
  - one pseudo gauge mode
  - refinement dependent
- Reparametrization symmetry (=vertex translation symmetry) broken!

# Examples



## vanishing potential

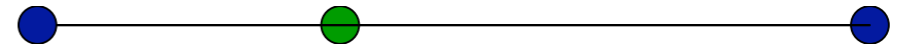
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## quadratic potential

- position of vertices fixed
- one pseudo gauge mode
- refinement dependent

- Reparametrization symmetry (=vertex translation symmetry) broken!

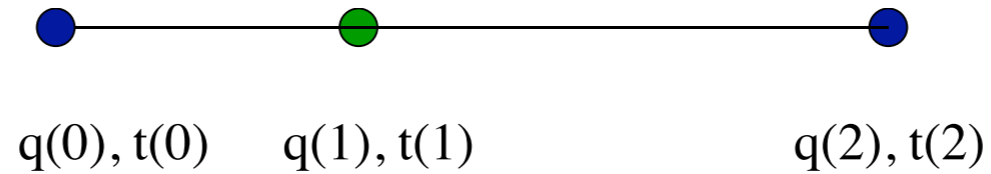


$q(0), t(0)$

$q(1), t(1)$

$q(2), t(2)$

# Vertex translation symmetry



- vertex translation symmetry is there, if there is a solution for arbitrary choice of  $t(1)$
- however for non-vanishing potential and generic discretizations:  $t(1)$  uniquely fixed

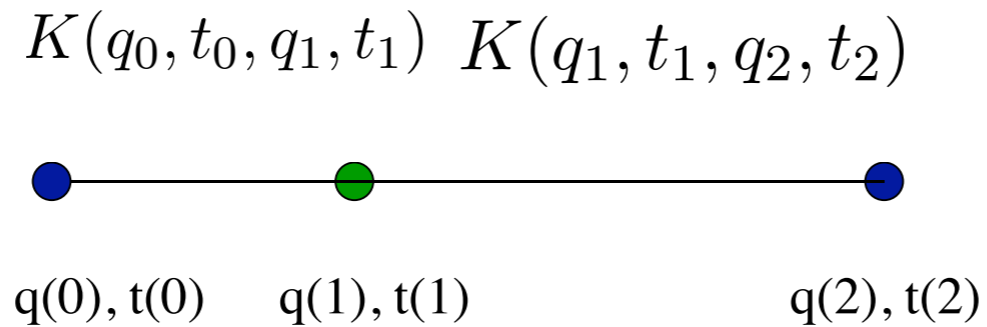
What would happen, if we would have a (quantum) model with vertex translation symmetry?

$$K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$

discrete path integral:

- associate amplitude (propagator) to edges
- integrate over (bulk) variables

# 1d quantum model



discrete path integral:

- associate amplitude (propagator) to edges
- integrate over (bulk) variables

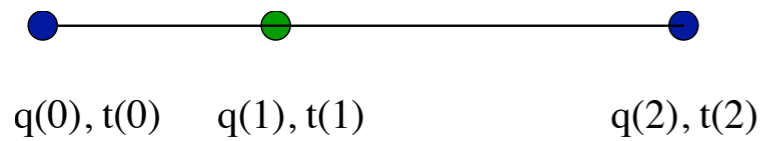
$$\langle q_0, t_0 | q_2, t_2 \rangle := Z(q_0, t_0, q_2, t_2) := \int dq_1 dt_1 K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$





# Vertex translation symmetry $\Rightarrow$ discretization independence

[Bahr, BD, Steinhaus 2011]



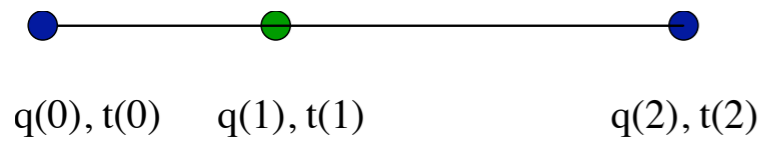
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- assume amplitude is invariant under vertex translations
- gauge fix the  $t$  variable:

$$Z(q_0, t_0, q_2, t_2) := \int dq_1 K(q_0, t_0, q_1, t_1^f) K(q_1, t_1^f, q_2, t_2)$$

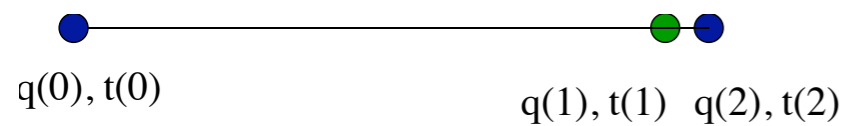
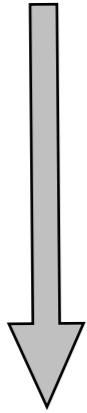
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[Bahr, BD, Steinhaus 2011]



$$\langle q_0, t_0 | q_2, t_2 \rangle := Z(q_0, t_0, q_2, t_2) := \int dq_1 dt_1 K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$

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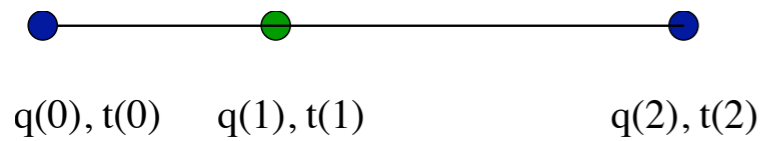


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$$t_1^f \rightarrow t_2 : \quad K(q_1, t_1^f, q_2, t_2) \rightarrow \delta(q_1 - q_2)$$

# Vertex translation symmetry $\Rightarrow$ discretization independence

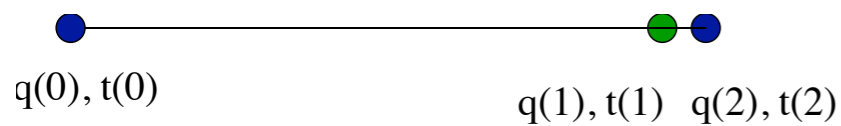
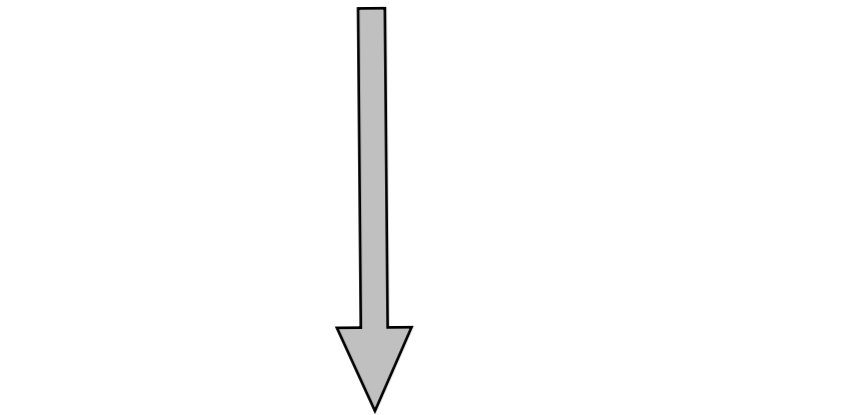
[Bahr, BD, Steinhaus 2011]



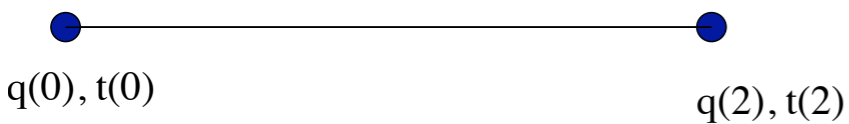
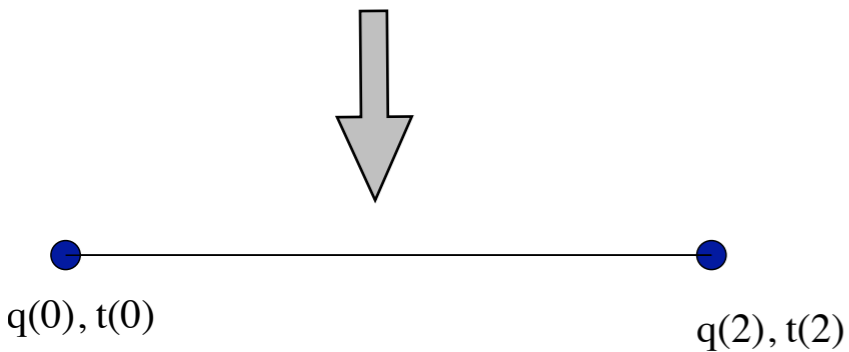
$$\langle q_0, t_0 | q_2, t_2 \rangle := Z(q_0, t_0, q_2, t_2) := \int dq_1 dt_1 K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$

- assume amplitude is invariant under vertex translations
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$$t_1^f \rightarrow t_2: \quad K(q_1, t_1^f, q_2, t_2) \rightarrow \delta(q_1 - q_2)$$



$$K(q_0, t_0, q_2, t_2) = \int dq_1 K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$

**discretization independence!**

# Uniqueness: no discretization ambiguities

$$K(q_0, t_0, q_2, t_2) = \int dq_1 K(q_0, t_0, q_1, t_1) K(q_1, t_1, q_2, t_2)$$

Assuming vertex translation symmetry (and local amplitude) we derived discretization independence.

Transition amplitude can be computed with no subdivisions at all:

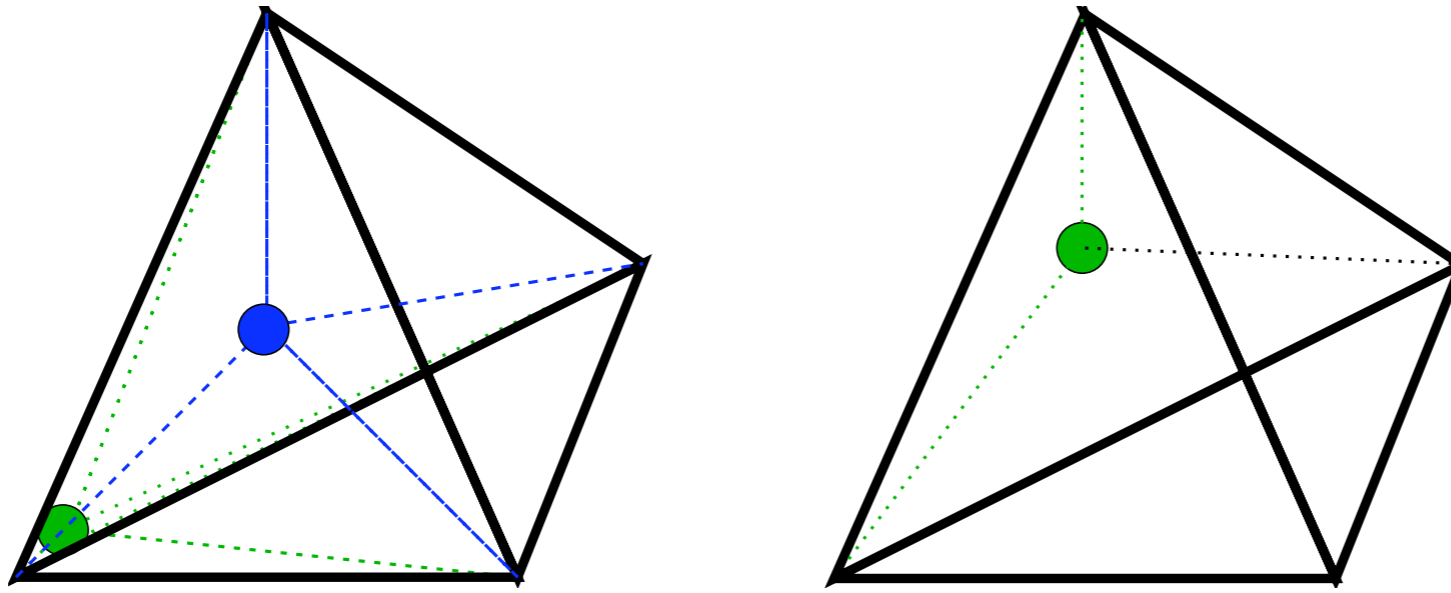
$$\langle q_0, t_0 | q_2, t_2 \rangle = K(q_0, t_0, q_2, t_2)$$

Discrete amplitude given by (continuum) transition amplitude.

Therefore the amplitude is **unique** (if you want to reproduce continuum physics in the continuum limit).

To obtain this amplitude requires to solve the dynamics.

# Higher dimensions?



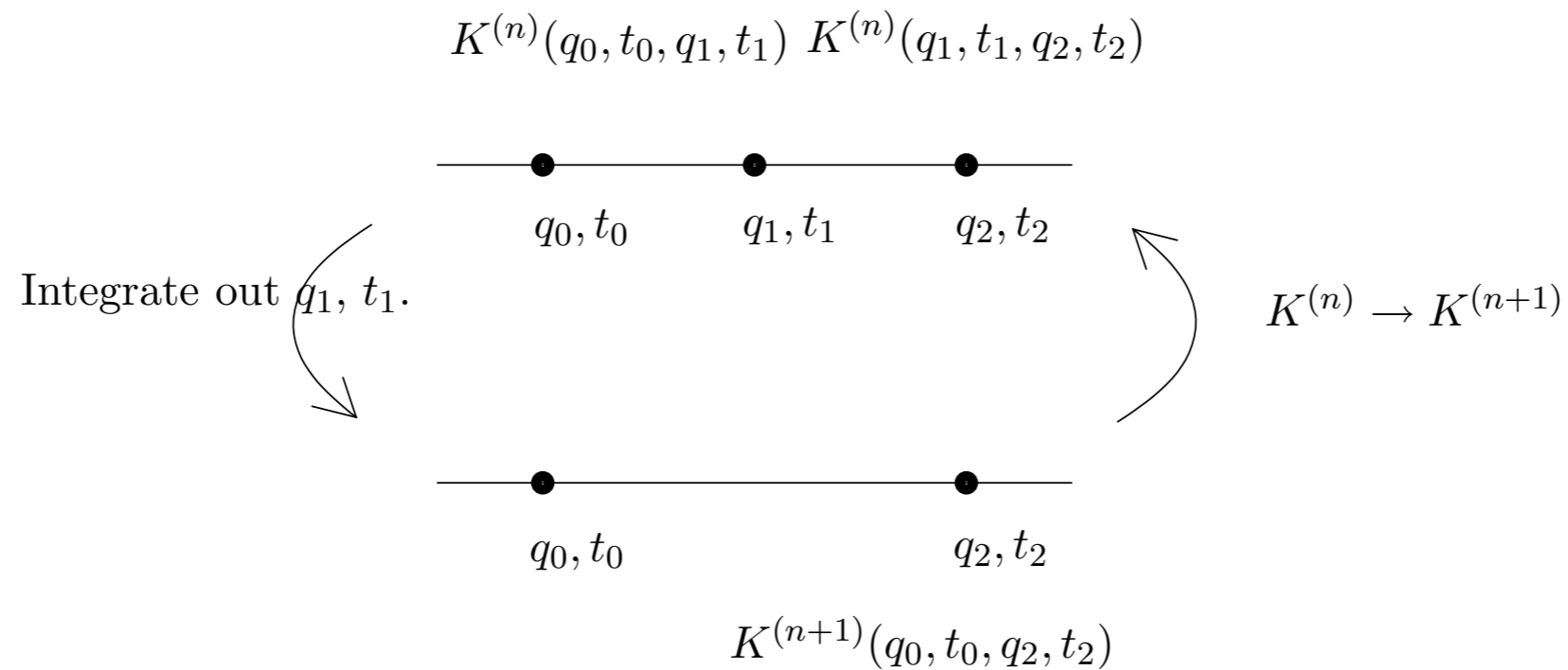
Similar argument as in 1d possible?

What are the conditions for these limits?  
Non-local amplitudes (in 4d, 3d with matter)?

# How to obtain perfect discretizations?

- we have seen that perfect discretization coincides with the continuum propagator
- this can be obtained by solving the path integral, which usually involves discretization and taking the continuum limit
  
- alternatively: consider iterative method
  - integrate out every second vertex: obtain new 'effective' amplitude
  - iterate, obtain lots of effective amplitudes
  - look for fixed points: continuum limit
  - this is a version of Wilsonian Renormalization group method
  
- method allows to classify discretization choices (couplings) into relevant and irrelevant ones

# Iteration procedure



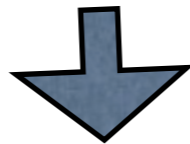
- converges to continuum propagator (as we just re-sorted integrations in the path integral)
- more convenient: do not start with specific discrete propagator but consider a family of propagators, which closes under the iteration procedure
- consider fixed point equations for this family

## Example: harmonic oscillator

$$K(x_0, x_1, T) = \eta(T) \exp \left[ -\frac{1}{\hbar} (\alpha_1(T)(x_0^2 + x_1^2) + \alpha_2(T)x_0x_1) \right]$$

- defines a family of propagators, that closes under iteration procedure  
⇒ can obtain recursion relations for and eta, alpha coefficients:

$$K^{(n+1)}(x_0, x_2, 2T) = \int dx_1 K^{(n)}(x_0, x_1, T) K^{(n)}(x_1, x_2, T)$$



$$\alpha_1^{(n+1)}(2T) = \alpha_1^{(n)}(T) - \frac{\alpha_2^{(n)}(T)^2}{8\alpha_1^{(n)}(T)}$$

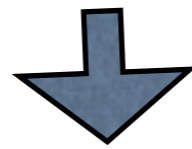
$$\alpha_2^{(n+1)}(2T) = -\frac{\alpha_2^{(n)}(T)^2}{4\alpha_1^{(n)}(T)}$$

$$\eta^{(n+1)}(2T) = \sqrt{\frac{\pi\hbar}{2\alpha_1^{(n)}(T)}} \eta^{(n)}(T)^2$$



## Example: harmonic oscillator

$$K^{(0)} = \left( \sqrt{\frac{1}{2\pi\hbar}} T^{-1/2} + \dots \right) \exp \left[ -\frac{1}{\hbar} \left( \frac{1}{2} T^{-1} (q_1 - q_0)^2 + ET^0 + \frac{\omega^2}{4} T^1 (q_0 + q_1)^2 + \dots \right) \right]$$



$$K^{(\infty)} = \left( \sqrt{\frac{\omega}{2\pi\hbar \sinh(\omega T)}} \right) \exp \left[ -\frac{1}{\hbar} \left( \frac{\cosh(\omega T)(x_0^2 + x_1^2) - 2x_0x_1}{2\omega^{-1} \sinh(\omega T)} + E \right) \right]$$

unique propagator with reparametrization invariance = continuum propagator

- initial discretization not unique, but only lowest order terms in T are relevant
- behaviour of amplitude for large T is irrelevant
- can show uniqueness of fixed point solutions
- only fixed point propagator satisfies (quantum) constraints (Schroedinger equation)

Can we guess perfect discretizations?



# Can we guess: anharmonic oscillator?

[Bahr, BD, Steinhaus 2011]

Naive  
discretization

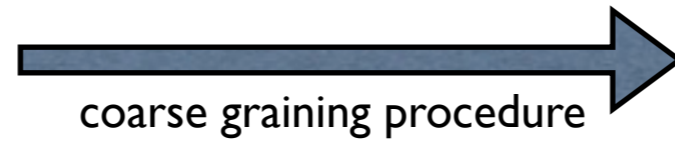
$$S_{naiv}(q_0, t_0, q_1, t_1) = \frac{1}{2} \frac{(q_1 - q_0)^2}{T} + \frac{\omega^2}{4} (q_0^2 + q_1^2) T + \frac{\lambda}{2 \cdot 4!} (q_0^4 + q_1^4) T$$

$$\mu = \sqrt{\frac{1}{2\pi\hbar T}}$$

# Can we guess: anharmonic oscillator?

[Bahr, BD, Steinhaus 2011]

Naive  
discretization



Discretization with vertex  
translation symmetry

$$S_{naiv}(q_0, t_0, q_1, t_1) = \frac{1}{2} \frac{(q_1 - q_0)^2}{T} + \frac{\omega^2}{4} (q_0^2 + q_1^2) T + \frac{\lambda}{2 \cdot 4!} (q_0^4 + q_1^4) T$$

$$S_{perf}(q_0, t_0, q_1, t_1) = \frac{\omega \cosh(T\omega)(q_0^2 + q_1^2) - 2q_0q_1}{2 \sinh(T\omega)} + \frac{\lambda}{768\omega \sinh^4(T\omega)} \left[ \left( 12T\omega - 8 \sinh(2T\omega) + \sinh(4T\omega) \right) (q_0^4 + q_1^4) + \left( -48T\omega \cosh(T\omega) + 36 \sinh(T\omega) + 4 \sinh(3T\omega) \right) (q_0q_1^3 + q_0^3q_1) + \left( 24T\omega(2 + \cosh(2T\omega)) - 36 \sinh(2T\omega) \right) q_0^2q_1^2 \right] + O(\lambda^2)$$

$$\mu = \sqrt{\frac{1}{2\pi\hbar T}}$$

anomaly free path integral  
measure factor

[spin foams: Bojowald, Perez 04]

$$\mu_{perf} = \sqrt{\frac{\omega}{2\pi\hbar \sinh(T\omega)}} \times \left( 1 + \lambda \frac{\left( 2 + \cosh^2(T\omega) - 3T\omega \coth(T\omega) \right)}{32\omega^2 \sinh^2(T\omega)} (q_0^2 + q_1^2) + \lambda \frac{\left( 4T\omega + 2T\omega \cosh(2T\omega) - 3 \sinh(2T\omega) \right)}{32\omega^2 \sinh^3(T\omega)} q_0q_1 + \frac{\lambda\hbar}{64\omega^3} \left( 3 \coth(T\omega) - T\omega \left( 2 + \frac{3}{\sinh^2(T\omega)} \right) \right) \right) + O(\lambda^2)$$

## Example: anharmonic oscillator

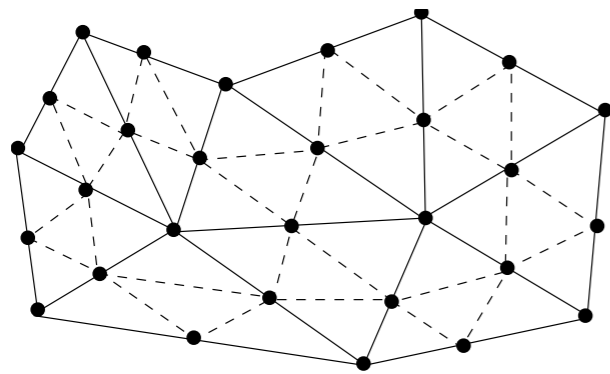
- initial discretization not unique, but only lowest order terms in  $T$  are relevant
- behaviour of amplitude for large  $T$  is irrelevant (universality)
- get also fixed points describing  $\dot{x}^2 x^2$  and  $\dot{x}^4$  terms in continuum Lagrangian
- only fixed point propagator satisfies (quantum) constraints (Schroedinger equation)
  
- iterative method / coarse graining might be better suited for solving path integrals (for instance in quantum cosmology)

Constructing perfect discretizations for interacting theories is non-trivial.

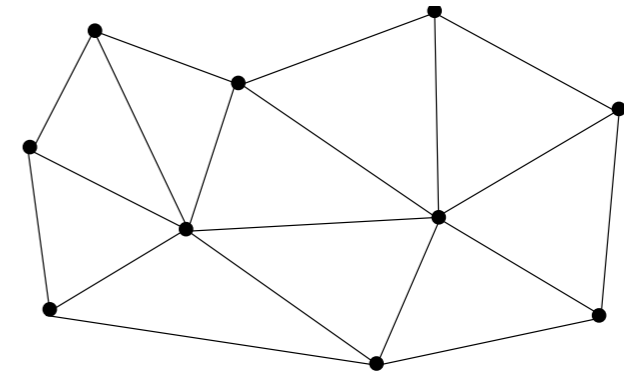
But can be obtained perturbatively.

Method allows to classify the relevance of discretization choices: is gravity renormalizable?

# Higher dimensions: 3d gravity with cosmological constant



integrate out small edge lengths



3d Regge with cosmological constant

$$S_{\mathcal{T}} = \sum_e l_e \epsilon_e - \Lambda \sum_{\sigma} V_{\sigma}$$

action for flat simplices

broken symmetries,  
triangulation dependent

3d Regge with curved simplices

[Bahr, BD 09]

$$S_{\mathcal{T}}^{\kappa} = \sum_E L_E \epsilon_E^{\kappa} + 2\kappa \sum_{\sigma} V_{\sigma}^{\kappa}$$

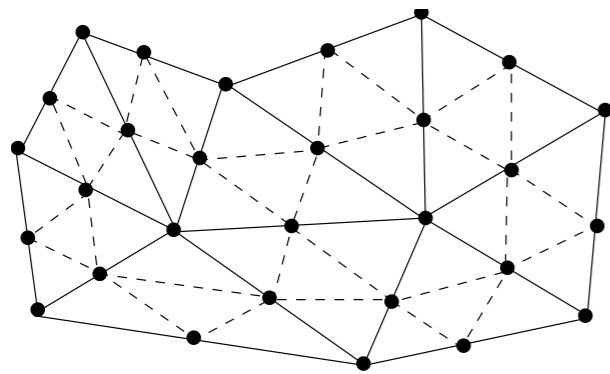
action for simplices with curvature

$$\kappa = \Lambda$$

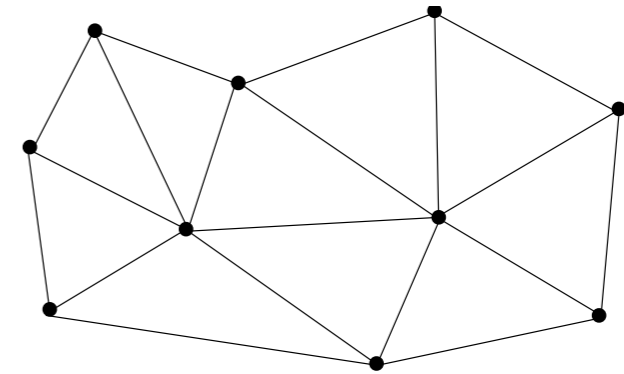
exact symmetries,  
triangulation independent



# Higher dimensions: 3d gravity with cosmological constant



integrate out small edge lengths



3d Regge with cosmological constant

$$S_{\mathcal{T}} = \sum_e l_e \epsilon_e - \Lambda \sum_{\sigma} V_{\sigma}$$

action for flat simplices

broken symmetries,  
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3d Regge with curved simplices

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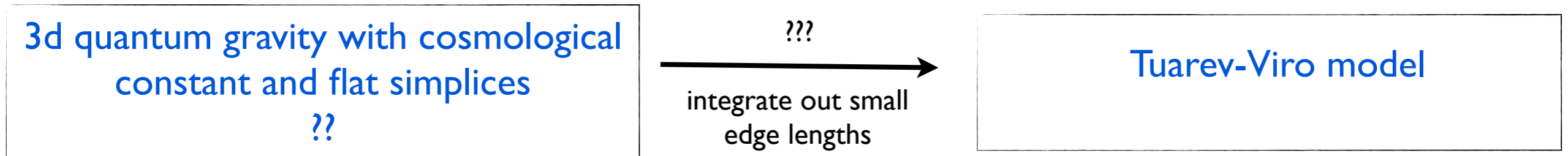
action for simplices with curvature

$$\kappa = \Lambda$$

not known explicitly

exact symmetries,  
triangulation independent

# Higher dimensions: 3d gravity with cosmological constant



Here it is 'easier' to guess the correct model (from invariance property).

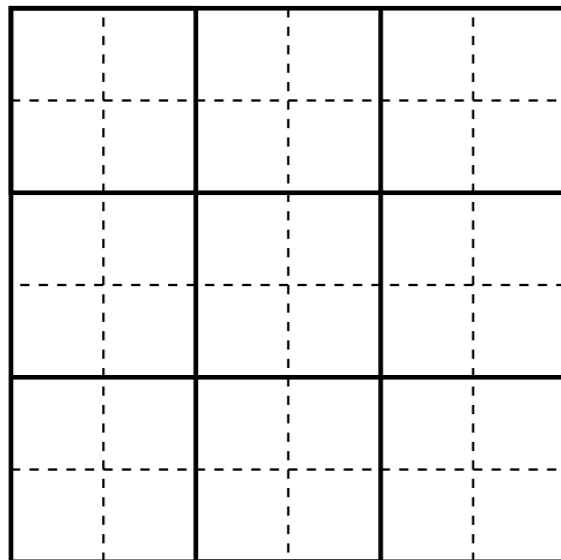
# Higher dimensions: free theories [Bietenholz 2000, Bahr, BD, He 2010]

$$S = \frac{a^d}{2} \sum_{x,y} \phi(x) \left( \Delta(x,y) + \mu^2 \delta^{(N)}(x,y) \right) \phi(y)$$

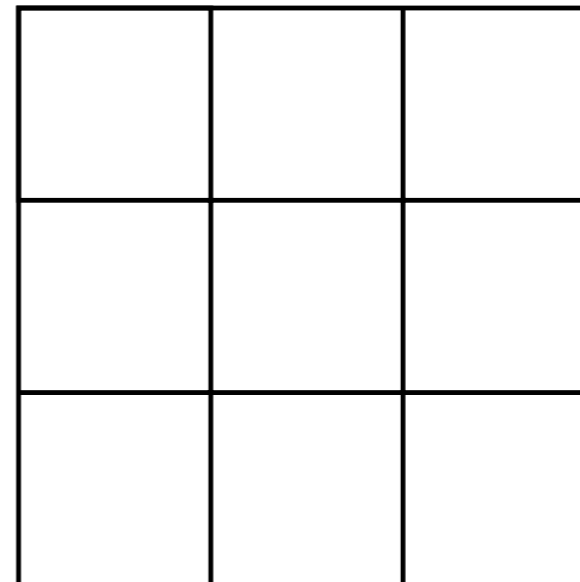
free scalar field  
on regular lattice

free electromagnetic field  
on regular lattice

free gravitons  
on regular lattice



integrate out



# Higher dimensions: free theories [Bietenholz 2000, Bahr, BD, He 2010]

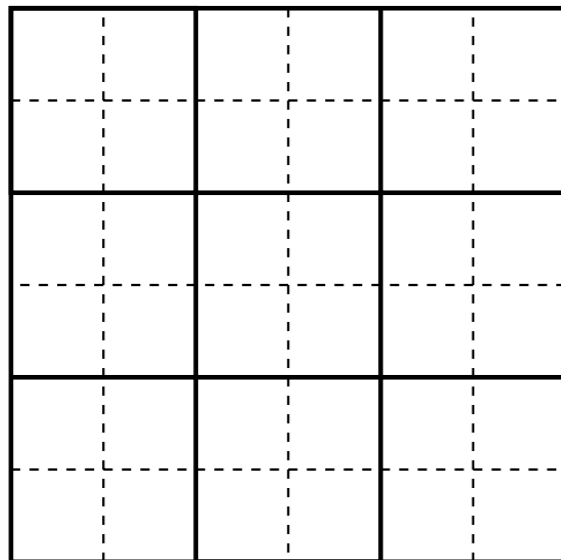
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free scalar field  
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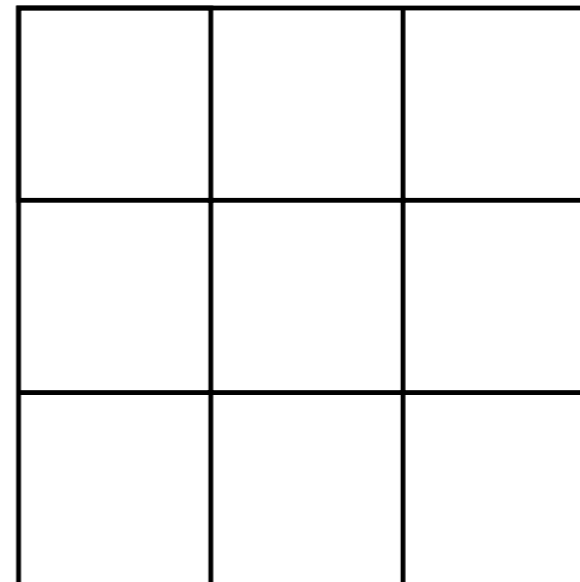
free electromagnetic field  
on regular lattice

gauge symmetries for  
linearized theory

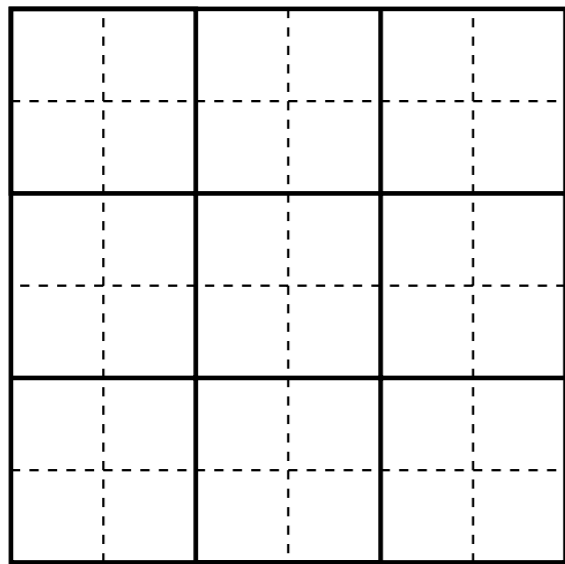
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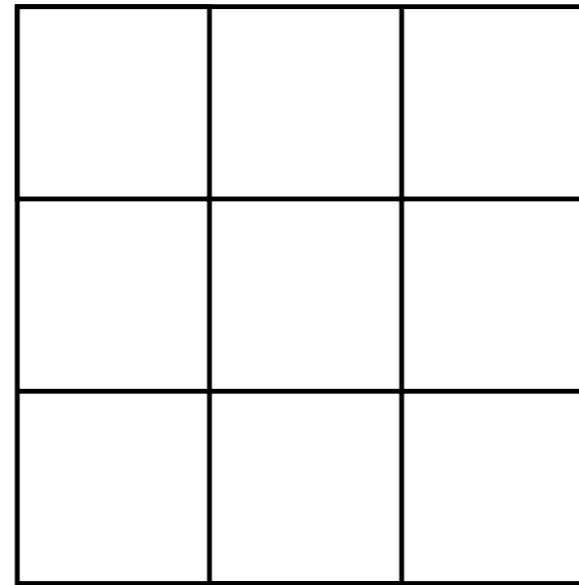
integrate out



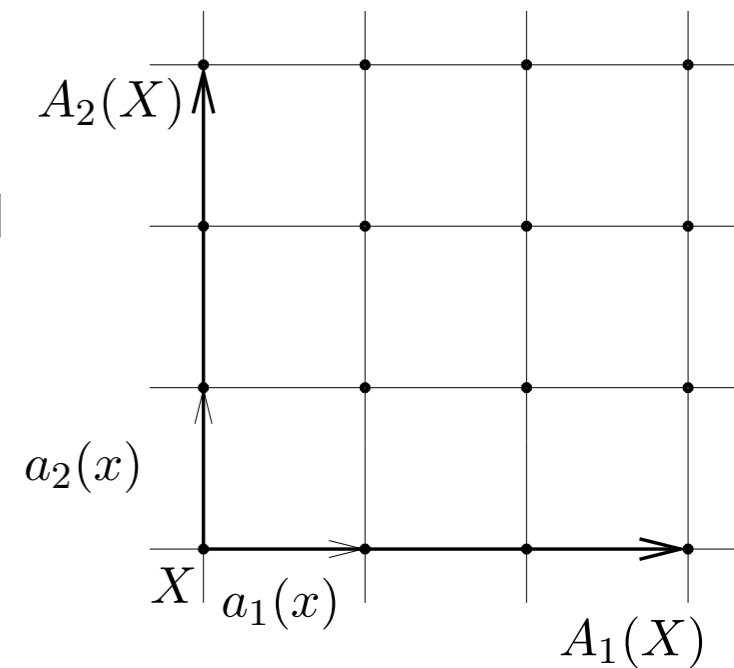
# Coarse graining



integrate out



- have to choose a coarse graining map
- this is an art (there are many choices which do not work)!
- but in order to regain diffeomorphism symmetry: might be very restricted
- determined by geometric nature of field (scalar field, connection, ...)
- should respect gauge symmetries
- more details in [ Bahr, BD, He 2010]
- obtained coarse graining description for metric (averaging problem)



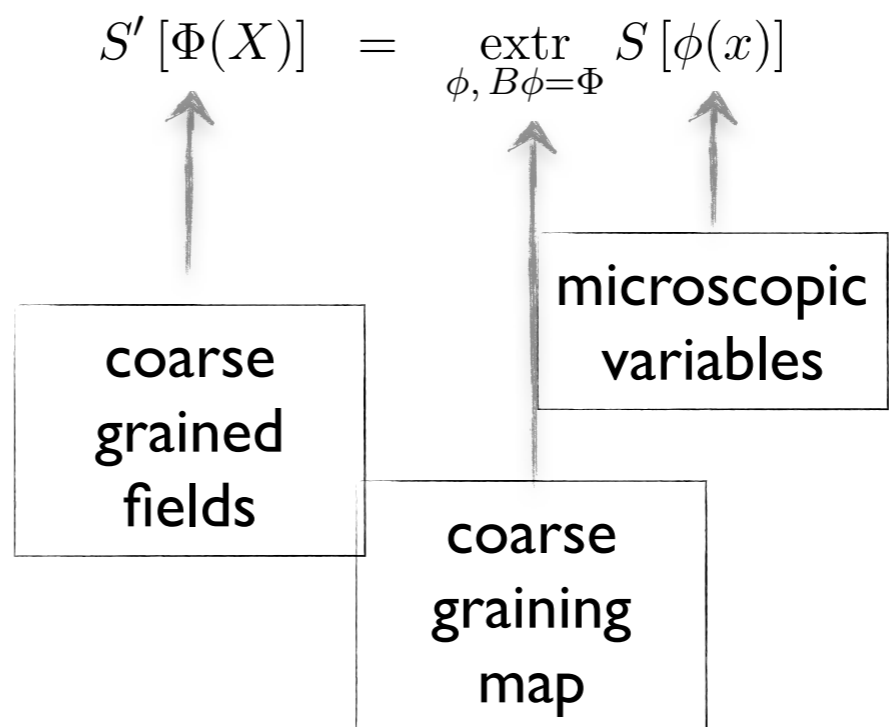
# Coarse graining

$$S'[\Phi(X)] = \text{extr}_{\phi, B\phi=\Phi} S[\phi(x)]$$

$$\text{extr}_{\Phi} \text{extr}_{\phi, B\phi=\Phi} S = \text{extr}_{\phi} S$$

# Coarse graining

classically



- coarse graining conditions can be implemented via Lagrange multipliers
- solve action with added Lagrange multiplier terms

$$\text{extr}_{\Phi} \text{extr}_{\phi, B\phi=\Phi} S = \text{extr}_{\phi} S$$

- solving in stages (allows for approximations at every stage)
- coarse grained solutions are solutions of coarse grained action

# Coarse graining

$$Z = \sum_{\phi} A[\phi] = \sum_{\Phi} \sum_{\phi, B\phi=\Phi} A[\phi] = \sum_{\Phi} A'[\Phi]$$



# Coarse graining

quantum mechanically

$$Z = \sum_{\phi} A[\phi] = \sum_{\Phi} \sum_{\phi, B\phi=\Phi} A[\phi] = \sum_{\Phi} A'[\Phi]$$

- summing in stages
- but not only re-organization of summation:
- allows for approximations
- discussion of relevant and irrelevant couplings (without necessarily having to solve the theory)
- consider space of theories (space of effective actions) and flow in this space instead of one specific model

# Higher dimensions: free theories [Bietenholz 2000, Bahr, BD, He 2010]

$$S = \frac{a^d}{2} \sum_{x,y} \phi(x) \left( \Delta(x,y) + \mu^2 \delta^{(N)}(x,y) \right) \phi(y)$$

free scalar field  
on regular lattice

$$S' \sim \frac{1}{2} \sum_P \Phi(P) M(P) \Phi(-P)$$

coarse grained action  
(Fourier space)

$$M(P) = \left( \sum_r \left( \frac{1}{\sum_b k_b \bar{k}_b + a^2 \mu^2} \right)_{|p=P+N'r} \right)^{-1}$$

$$k_b = 1 - \exp(2\pi i p_b / N)$$

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- coarse grained action can be explicitly obtained in the 'topological cases': 2d EM, 3d gravity (non-trivial calculation: action is invariant)
- and in 2d
- 2d without mass: action is invariant (on regular square lattice)
- with mass: results in **non-local** effective action
- also in all other non-topological cases

# Coarse graining field theories

# Coarse graining field theories

- classify coarse graining maps
- even for free theories: diffeomorphism symmetry related to energy-momentum conservation
- numerically: (energy preserving) integration methods
- physically: is there microscopic energy-momentum conservation (Lorentz symmetry)?
- have to consider non-local actions: enlarged phase space?



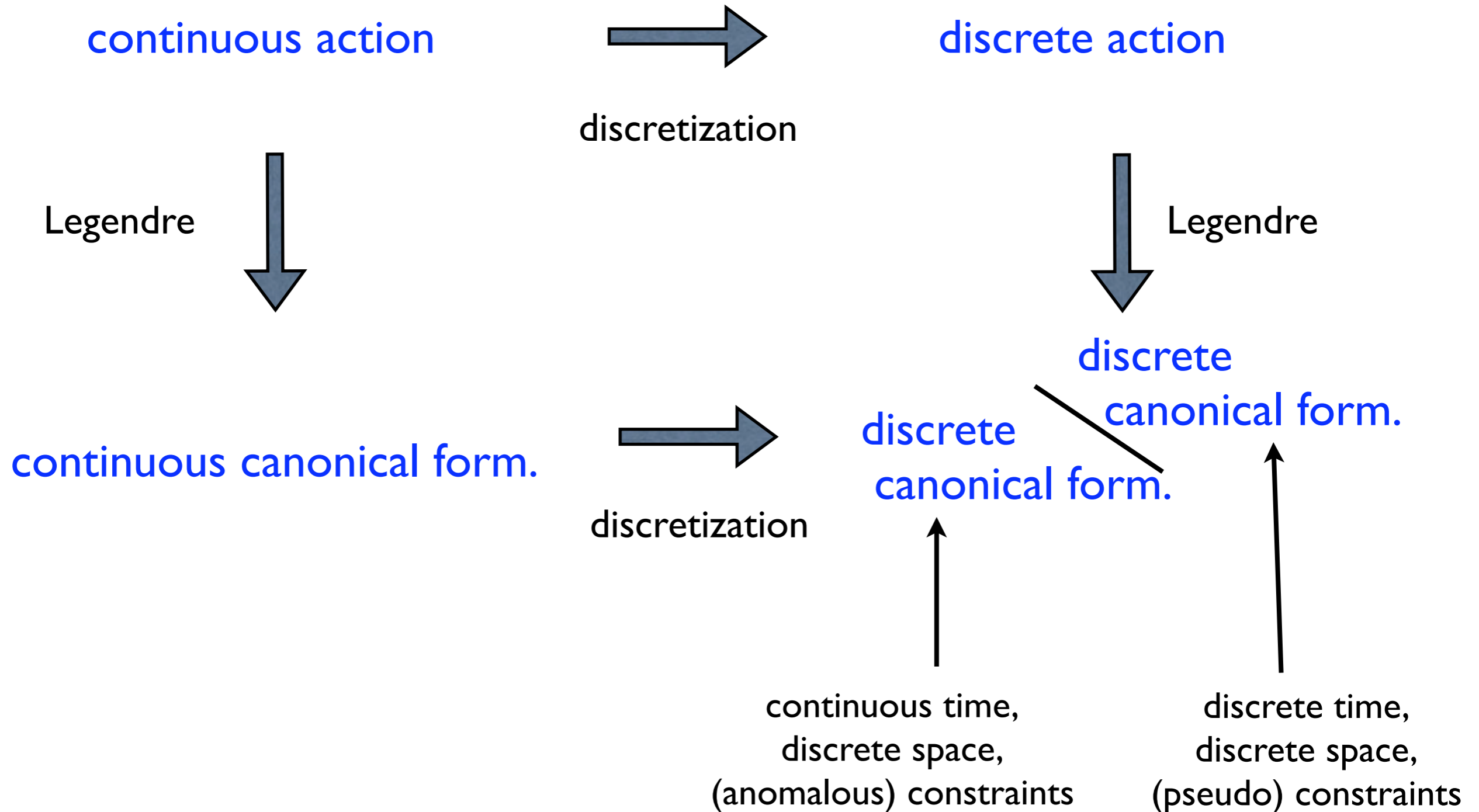
Construct discrete  
actions / path integral  
with exact  
diffeomorphism  
symmetry.



Construct canonical  
dynamics with  
anomaly free  
constraints.

[Gambini-Pullin 00s, Bahr, BD 09; BD, Hoehn 09, (classical)  
Barrett, Crane 97, Bonzom, Freidel 11 (3d quantum);  
Bonzom 11 (4d topological, quantum)]

# Canonical Frameworks





# Canonical Framework

[Bahr, BD '09; BD, Höhn 09]

- evolve spatial hypersurfaces in discrete time steps
- use action as generating function for time evolution map

[consistent discretizations, Gambini & Pullin et al 03-05]

- reproduces (broken) symmetries exactly [Bahr, BD 09] :

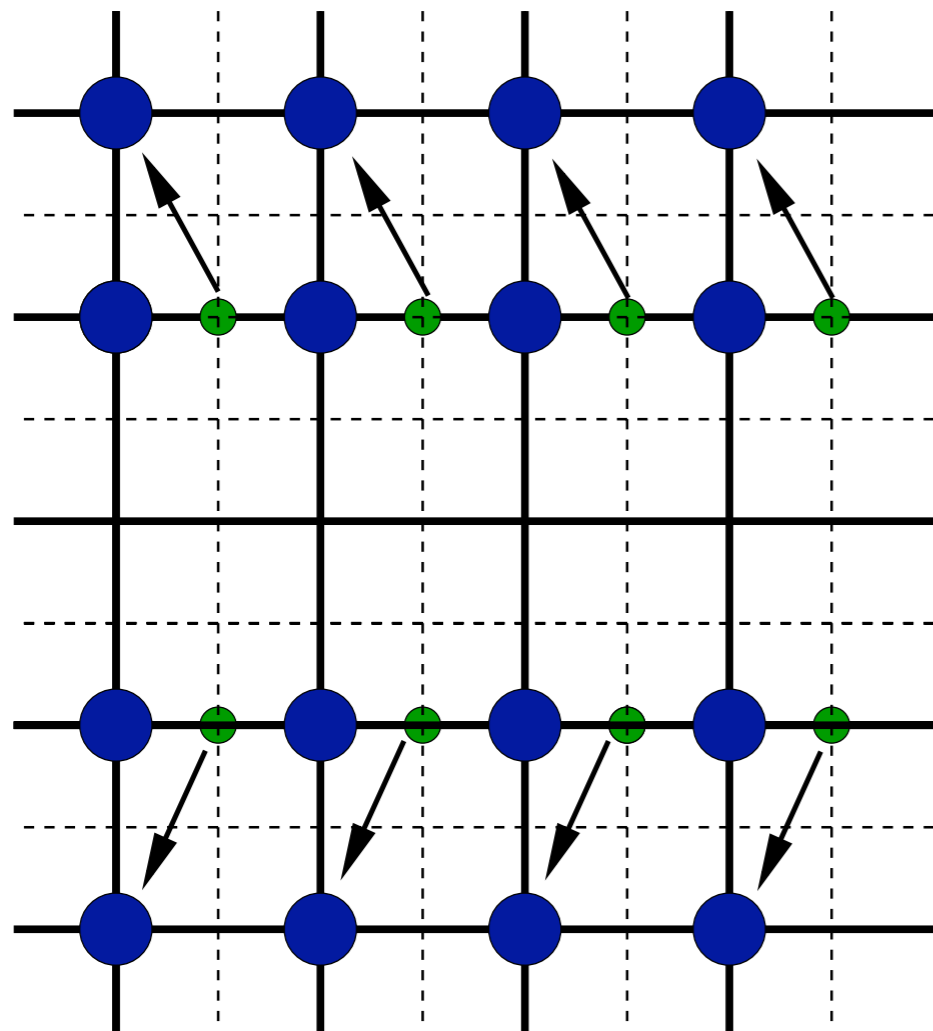
symmetries exact  $\Rightarrow$  eom not independent  $\Rightarrow$  constraints (first class)

broken  $\Rightarrow$  eom almost not independ.  $\Rightarrow$  pseudo-constraints

Obtaining anomaly free constraints is equivalent to constructing an action with exact symmetries.

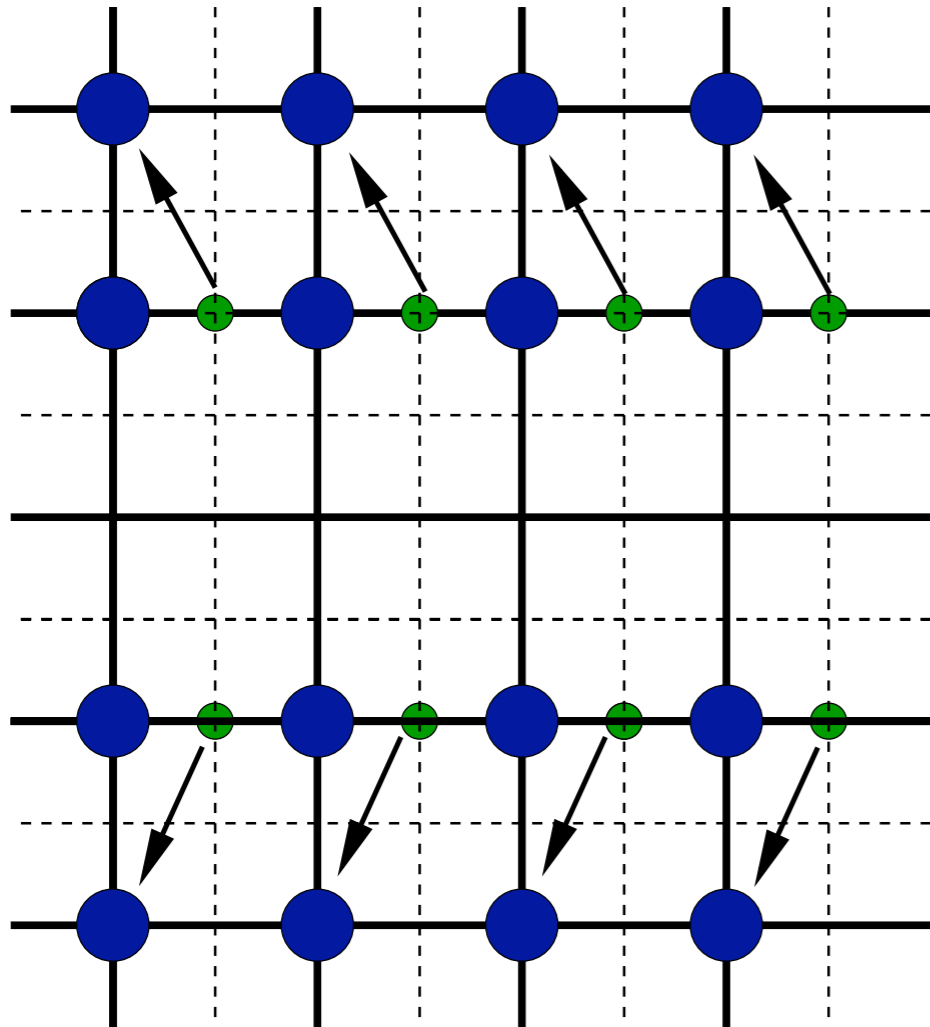
# Boundary data for non-local actions

[Banisch, BD: to appear]



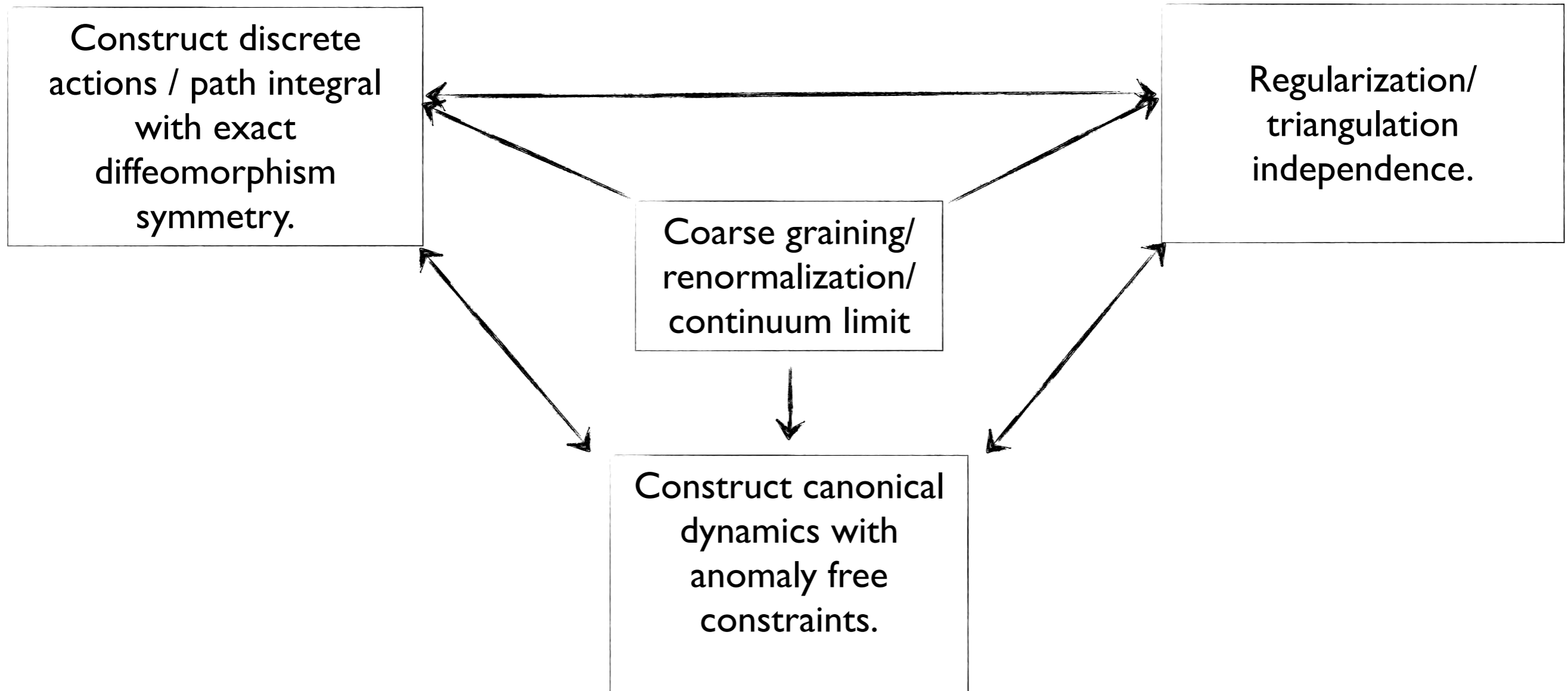
# Boundary data for non-local actions

[Banisch, BD: to appear]



- non-local action lead to enlarged phase space
- interpretation?
- data specifying solution (on finer lattice) are distributed over 'thicker' boundaries
- phase space = space of solutions
- on finer lattice there are more solutions (modes) (exception: topological theories, 2d massless on regular square lattice)
- anomaly-free Hamiltonian constraints will be non-local
- rethink concept of boundary (carrying boundary data)

# Main Message



- requiring diffeomorphism symmetry is a very strong principle
- diffeomorphism symmetry  $\Rightarrow$  triangulation independence  $\Rightarrow$  unique model?
- can be constructed via renormalization/coarse graining, which also gives information about large scale physics
- thus bring some of the main problems of the field together (discretization independence, Hamiltonian constraints, large scale limit)
- renormalization group approach allows for approximations and classification of relevant, irrelevant couplings
- have to understand better interplay between (broken/restored) diffeomorphism invariance, renormalization group flow, fixed point conditions

# Summary

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- define coarse graining maps for classical and quantum mechanical models, develop approximations/ truncations
- perturbative improvement of actions and models: relation to numerical relativity
- relation to Ward identities (in gft) [Aristide]
- fixing ambiguities: path integral measure [BD, Steinhaus wip]
- canonical formalism with non-local actions and parametrized field theories: anomaly free Dirac (hypersurface deformation) algebra [Banisch, BD wip]
- coarse graining in spin foams [Bahr, BD, Eckert, Ryan wip]

# Outlook

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**dziekuje!**