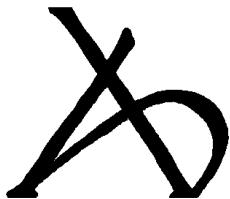


THE THURSDAY COLLOQUIUM  
“THE ALGEBRA & GEOMETRY OF MODERN PHYSICS”



LECTURE NOTES

CHERN–SIMONS THEORY,  
QUANTUM KNOT INVARIANTS,  
AND VOLUME CONJECTURES

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(NIKHEF)

# § 1. Chern-Simons theory & polynomial inv.

Chern-Simons theory      Witten

$M$  3-mfd

$G$  semi-simple compact gauge group

$A$   $\mathcal{G}$ -valued gauge connection

The action of Chern-Simons theory

$$S_{CS}(M, A) = \frac{k}{4\pi} \int_M \text{Tr} (A dA + \frac{2}{3} A^3)$$

- $S_{CS}$  is metric indep.
- $k \in \mathbb{Z}$  level (inverse of coupling const.)
- eqn of motion:  $F_A = 0$  flat connection
- natural metric indep. observable

$$W_R(K) = \text{Tr}_R P \exp \oint_K A \quad K \text{ a knot}$$

$R$  rep of  $\mathcal{G}$

- Expectation value of  $W_R(K)$

$$\langle W_R(K) \rangle = \int_{\mathcal{A}/\mathcal{G}} \mathcal{D}A e^{i S_{CS}(M, A)} W_R(K)$$

$\mathcal{A}$ : space of connections

$\mathcal{G}$ : gauge transformations

$\Rightarrow$  knot invariant.

Suppose  $M$  has boundary  $\partial M = \Sigma$

$$g^* A = g^{-1} dg + g^{-1} A g$$

$$S_{CS}(g^* A) - S_{CS}(A)$$

$$= \frac{k}{4\pi} \int_{\partial M} \text{Tr}(A \wedge g^{-1} dg) - \frac{k}{12\pi} \int_M \text{Tr}(g^{-1} dg)^3$$

- action of  $\hat{g}_k$  WZNW model

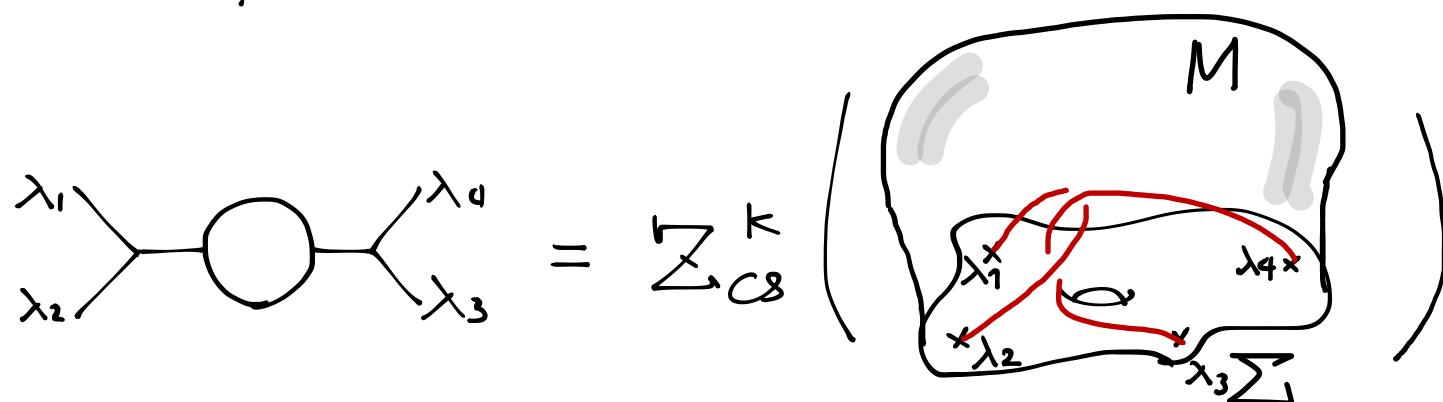
$$S_{\Sigma}(g) = \frac{k}{4\pi} \int_{\Sigma} \text{Tr}(g^{-1} dg \wedge g^{-1} dg) - \frac{k}{12\pi} \int_M \text{Tr}(g^{-1} dg)^3$$

The action is indep of the extension  $M$  of  $\Sigma$

- Chern-Simons partition fn. is an element of Hilbert space living on the bdry  $\Sigma$

$$\mathcal{H}_{\Sigma} \ni Z_{CS}(M) = \int_{\mathcal{A}g} \mathcal{D}A e^{iS_{CS}(M,A)}$$

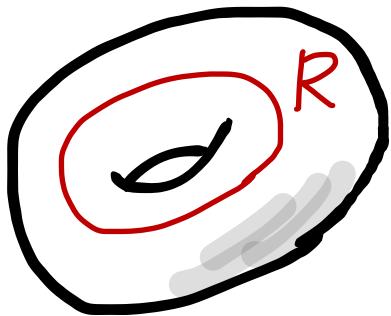
" {space of conformal blocks}.



In particular,  $\Sigma = T^2$ ,  $M = S^1 \times D$  (solid torus)

with Wilson loop colored by  $R$ .

$$\Sigma_{CS}(S^1 \times D; R) = \chi_{R(T)} = \text{Tr}_R q^{L_0 - \frac{c}{24}}$$



character of integrable rep of  $\hat{\mathcal{G}}_k$

e.g.  $\mathcal{G} = \mathfrak{sl}(2)$

$$R = \begin{smallmatrix} 1 & \cdots & 1 \\ \swarrow & & \searrow \\ & \text{less than } k & \end{smallmatrix}$$

$$\chi_{R_1}(-\frac{1}{c}) = \sum_{R_2} S_{R_1 R_2} \chi_{R_2}(T)$$

$$\chi_{R_2}(T+1) = \sum_{R_2} \text{Tr}_{R_1 R_2} \chi_{R_2}(T) = \exp\left[2\pi i \left(\Delta_R - \frac{c}{24}\right)\right] \chi_R(T)$$

$\uparrow$   
diagonalizable

$$\mathfrak{sl}(2): S_{m,n} = \sqrt{\frac{2}{k+2}} \sin \frac{(m+1)(n+1)\pi}{k+2}$$

$$\Delta_n = \frac{n(n+2)}{4(k+2)}$$

$$\mathfrak{sl}(N): S_{\lambda_1 \lambda_2} = S_{\lambda_1}(q^\rho) S_{\lambda_2}(q^{\lambda_1 + \rho})$$

$S(x)$ : Schur fn

Aganagic-Shakirov: Refined CS thy.

$$S_{\lambda_1 \lambda_2} = M_{\lambda_1}(q^\rho) M_{\lambda_2}(q^\rho t^{\lambda_1})$$

$\uparrow$   
Macdonald poly

# Partition function & Expectation value.

$$1. \quad S^3 = (S^1 \times D^2) \cup (S^1 \times D^2)$$

$$\mathcal{Z}_{CS}(S^3) = \langle 0 | S | 0 \rangle = S_{0,0} \left( = \sin \frac{\pi}{k+2} \right)$$

2. unknot

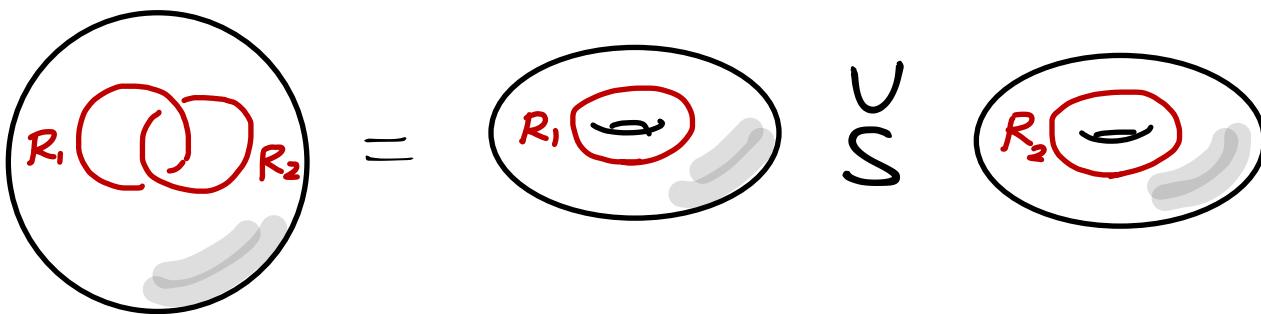
$$\begin{aligned} \langle W_R(O) \rangle &= \frac{\mathcal{Z}_{CS}(S^3; Q)}{\mathcal{Z}_{CS}(S^3)} = \frac{\langle 0 | S | R \rangle}{\langle 0 | S | 0 \rangle} = \frac{S_{0,R}}{S_{0,0}} \\ &= \dim_q R. \end{aligned}$$

For  $sl(2)$  with  $R = \underbrace{[1 \cdots 1]}_{\leftarrow n \rightarrow}$

$$\langle W_n(O) \rangle = \frac{\sin \frac{(n+1)\pi}{k+2}}{\sin \frac{\pi}{k+2}} = \frac{q^{n+1} - q^{-(n+1)}}{q - q^{-1}} = [n+1]$$

$$\text{where } q = \exp\left(\frac{\pi i}{k+2}\right)$$

3. Hopf link



$$\langle W_{R_1, R_2}(O) \rangle = \frac{\langle R_1 | S | R_2 \rangle}{\langle 0 | S | 0 \rangle} = \frac{S_{R_1, R_2}}{S_{0,0}}$$

For  $sl(2)$ .  $R_1 = \text{spin } \frac{m}{2}$ ,  $R_2 = \text{spin } \frac{n}{2}$

$$\begin{aligned} \langle W_{mn}(O) \rangle &= \frac{q^{(m+1)(n+1)} - q^{-(m+1)(n+1)}}{q - q^{-1}} = [(m+1)(n+1)] \end{aligned}$$

## 4. Torus knots

Torus knot operators

$$W_R^{(1,0)} | \text{---} \rangle = | \text{---} \circled{R} \rangle$$

$$W_R^{(2,3)} | \text{---} \rangle = | \text{---} \circled{R} \text{---} \rangle$$

For general (Q.P)-Torus knots.

$$W_R^{(Q,0)} = \sum_V C_R^V(Q) W_V^{(1,0)} \quad \text{adams operation}$$

$$W^{(Q,P)} = T^{P/Q} W_R^{(Q,0)} T^{-P/Q}$$

$$T^{P/Q} = \begin{pmatrix} 1 & P/Q \\ 0 & 1 \end{pmatrix}$$

Wilson loop along (Q.P)-torus knot

$$\langle W_R(K|Q,P) \rangle = \frac{\langle 0 | S W_R^{(Q,P)} | 0 \rangle}{\langle 0 | S | 0 \rangle}$$

$$= (S_{00})^{-1} \langle 0 | S T^{P/Q} \sum_V C_R^V(Q) W_V^{(1,0)} T^{-P/Q} | 0 \rangle$$

$$= \sum_V C_R^V(Q) f_{\frac{P}{Q} K_V}^{\frac{P}{Q} K_V} \dim_V R$$

Rosso-Jones formula.

5. colored quantum invariants for Non-torus knots  
are very difficult.

$$\langle W_R(K) \rangle = \overline{J}_R^g(K; g) \quad g = \exp \frac{\pi i}{k+h^\vee}$$

$h^\vee$  dual Coxeter #

$$\overline{J}_R^{sl(N)}(K; g) \xrightarrow{a=g^N} \overline{P}_R(K; a, g) \text{ colored HOMFLY}$$

$$\begin{aligned} \overline{J}_R^{so(N)}(K; g) &\xrightarrow{\lambda=g^{N-1}} \\ \overline{J}_R^{sp(N)}(K; g) &\xrightarrow{\lambda=g^{N+1}} \overline{F}_R(K; \lambda, g) \text{ colored Kauffman} \end{aligned}$$

Computational methods

① Reshetikhin - Turaev [ITEP, Toyama]

$$f : B_n \longrightarrow \text{End}_{U_q(\mathfrak{g})}(V_1 \otimes \dots \otimes V_m)$$

$$\text{Tr}(f) \sim \overline{J}_R^g(K)$$

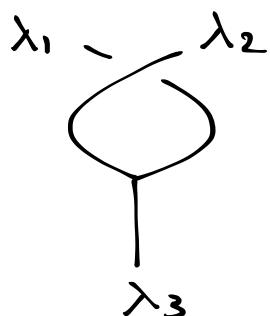
② linear Skein relations (Morton, Kawagoe '12)

$$\overbrace{\parallel}^n = \frac{g^{n-1}}{[n]} \overbrace{\parallel}^{n-1} + \frac{[n-1]}{[n]} \overbrace{\parallel}^{n-2} \quad \text{with } n-1$$

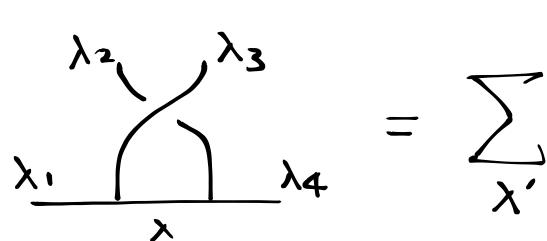
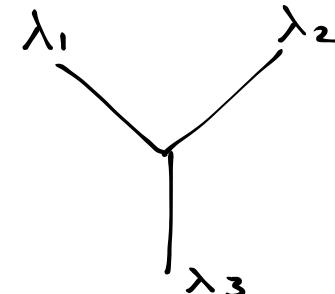
$$\overbrace{\parallel}^n = g \overbrace{\parallel}^n, \dots$$

### ③ Drinfeld - Kohno

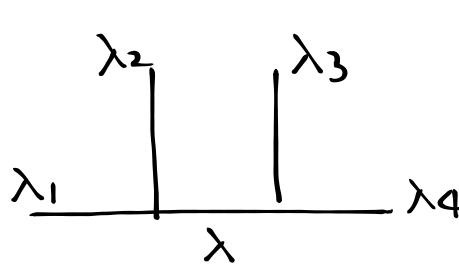
Monodromy rep. of Braid group in the space of the KZ eqn is given by Universal R-matrices.



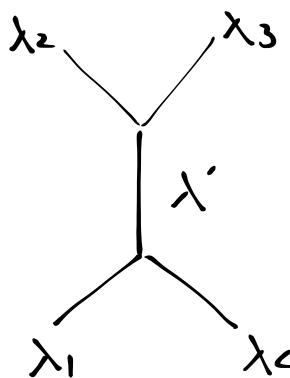
$$= \in g^{K_{\lambda_1} - K_{\lambda_2} - K_{\lambda_3}}$$



$$= \sum_{\lambda'} B_{\lambda\lambda'} \begin{bmatrix} \lambda_1 \lambda_2 \\ \lambda_3 \lambda_4 \end{bmatrix}_{\lambda_1 \lambda_2}^{\lambda'_1 \lambda'_2} \quad \text{Braiding matrix}$$



$$= \sum_{\lambda'} F_{\lambda\lambda'} \begin{bmatrix} \lambda_1 \lambda_2 \\ \lambda_3 \lambda_4 \end{bmatrix}_{\lambda_1 \lambda_2}^{\lambda'_1 \lambda'_2} \quad \text{fusion matrix}$$



fusion matrices are often called quantum 6j-symbols

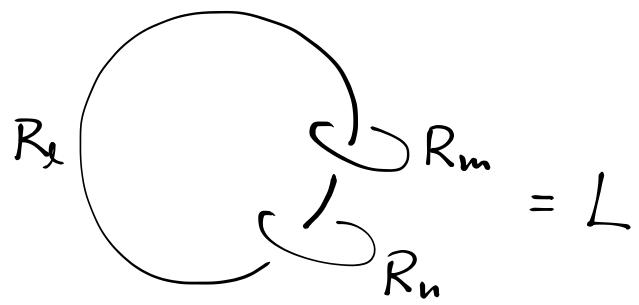
$$F_{\lambda\lambda'} \begin{bmatrix} \lambda_1 \lambda_2 \\ \lambda_3 \lambda_4 \end{bmatrix} \propto \left\{ \begin{array}{c} \lambda_1 \lambda_2 \lambda_3 \\ \lambda_3 \lambda_4 \lambda' \end{array} \right\}$$

$U_q(\mathfrak{sl}_2)$  : Kirillov - Reshetikhin '88

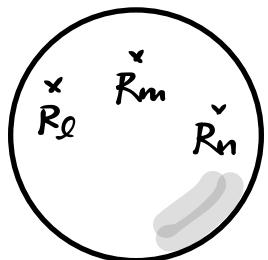
$U_q(\mathfrak{sl}_N)$  : the simplest class of multiplicity-free  $g$ -6j

Nawata - Ramadevi - Zodinmawia

Verlinde formula for  $SU(2)$ .



$$\begin{aligned}\Sigma_{CS}(S^3; L) &= \sum_k S_\ell^k \Sigma(S^1 \times S^2; R_\ell R_m R_n) \\ &= \sum_k S_\ell^k N_{kmn}\end{aligned}$$



$$N_{kmn} := \dim \mathcal{H}_{S^2, R_\ell R_m R_n}.$$

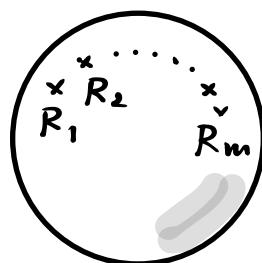
$$\Sigma(S^3; R_\ell) \Sigma(S^3; L) = \Sigma(S^3; \overset{R_\ell}{\textcirclearrowleft} \overset{R_m}{\textcirclearrowright}) \Sigma(S^3; \overset{R_n}{\textcirclearrowright})$$

$$S_{0\ell} \sum_k S_\ell^k N_{kmn} = S_{km} S_{ln}$$

$$\Rightarrow N_{kmn} = \sum_\ell \frac{S_{k\ell} S_{m\ell} S_{n\ell}}{S_{0\ell}}$$

more generally,  $S^2$  with  $m$  puncture associated to  $(R_1 \cdots R_m)$

$$\dim \mathcal{H}_{S^2, R_1 \cdots R_m} = \sum_\ell \frac{S_{R_1, \ell} \cdots S_{R_m, \ell}}{(S_{0,\ell})^{m-2}}$$

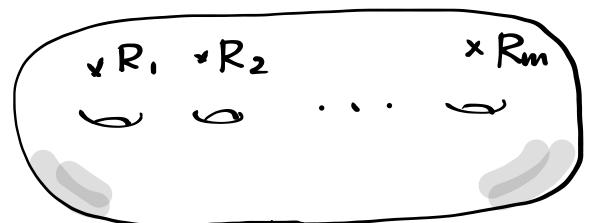


For higher genus  $\Sigma_g$ , one can pinch off a cycle by assigning  $(R, \bar{R})$

$$\begin{aligned} \text{Diagram of } \Sigma_g &= \sum_R \text{Diagram of } \Sigma_g \text{ with a handle labeled } R \\ &= \sum_{R_1 \dots R_g} \text{Diagram of } \Sigma_g \text{ with handles labeled } R_1, \bar{R}_1, R_2, \bar{R}_2, \dots, R_g, \bar{R}_g \end{aligned}$$

$$\begin{aligned} \dim H_{\Sigma_g} &= \sum_{R_1 \dots R_g} \sum_l \frac{S_{R_1 l} S_{\bar{R}_1 l} \dots S_{R_g l} S_{\bar{R}_g l}}{(S_{0l})^{2g-2}} \\ &= \sum_l \frac{1}{(S_{0l})^{2g-2}} \end{aligned}$$

general case:  $\Sigma_g$  with  $(R_1 \dots R_m)$



$$\dim H_{\Sigma_g, R_1 \dots R_m} = \sum_l \frac{S_{R_1 l} \dots S_{R_m l}}{(S_{0l})^{m+2g-2}}$$

Note that this is the case of  $SU(2)$ . For higher ranks, it's little complicated.

## §2 Categorifications: knot homology

$K \rightsquigarrow \mathcal{H}_{ij}^{sl(2)}(K)$  bi-graded homology (Khovanov)

this is itself knot invariant.

Jones polynomial is  $q$ -graded Euler characteristics.

$$J(K; q) = \sum_{i,j} (-1)^j q^i \dim \mathcal{H}_{ij}^{sl(2)}(K)$$

Since homology itself is knot invariant, the Poincaré poly is certainly invariant.

$$\mathcal{P}_{\square}^{sl(2)}(K; q, t) = \sum_{i,j} q^i t^j \dim \mathcal{H}_{ij}^{sl(2)}(K)$$

$sl(2)$  homological inv. (Khovanov inv.)

### - Status of Categorifications

1. colored  $sl(2)$  homology

$$(\mathcal{H}_R^{sl(2)}(K))_{ij}$$

Cooper - Krushkal

Frenkel - Stroppel - Sussan

Webster.

$$J_R^{sl(2)}(K; q) = \sum_{i,j} (-1)^j q^i \dim (\mathcal{H}_R^{sl(2)}(K))_{ij}$$

2.  $sl(N)$  homology

$$(\mathcal{H}_{\square}^{sl(N)}(K))_{ij}$$

Khovanov - Rozansky

$$J^{sl(N)}(K; q) = \sum_{i,j} (-1)^j q^i \dim (\mathcal{H}_{\square}^{sl(N)}(K))_{ij}$$

3. HOMFLY homology

$$(\mathcal{H}^{\text{HOM}}(K))_{ijk}$$

Rasmussen.

Khovanov - Rozansky

triply-graded homology

Poincaré poly (superpolynomial)

$$\mathcal{P}(K; a, q, t) = \sum a^i q^j t^k \dim (\mathcal{H}^{\text{HOM}}(K))_{ijk}$$

HOMFLY poly  
 $\mathcal{P}(K; a, q)$

$\cdots, d_N$  differential  
 $\mathfrak{sl}(N)$  homological inv.

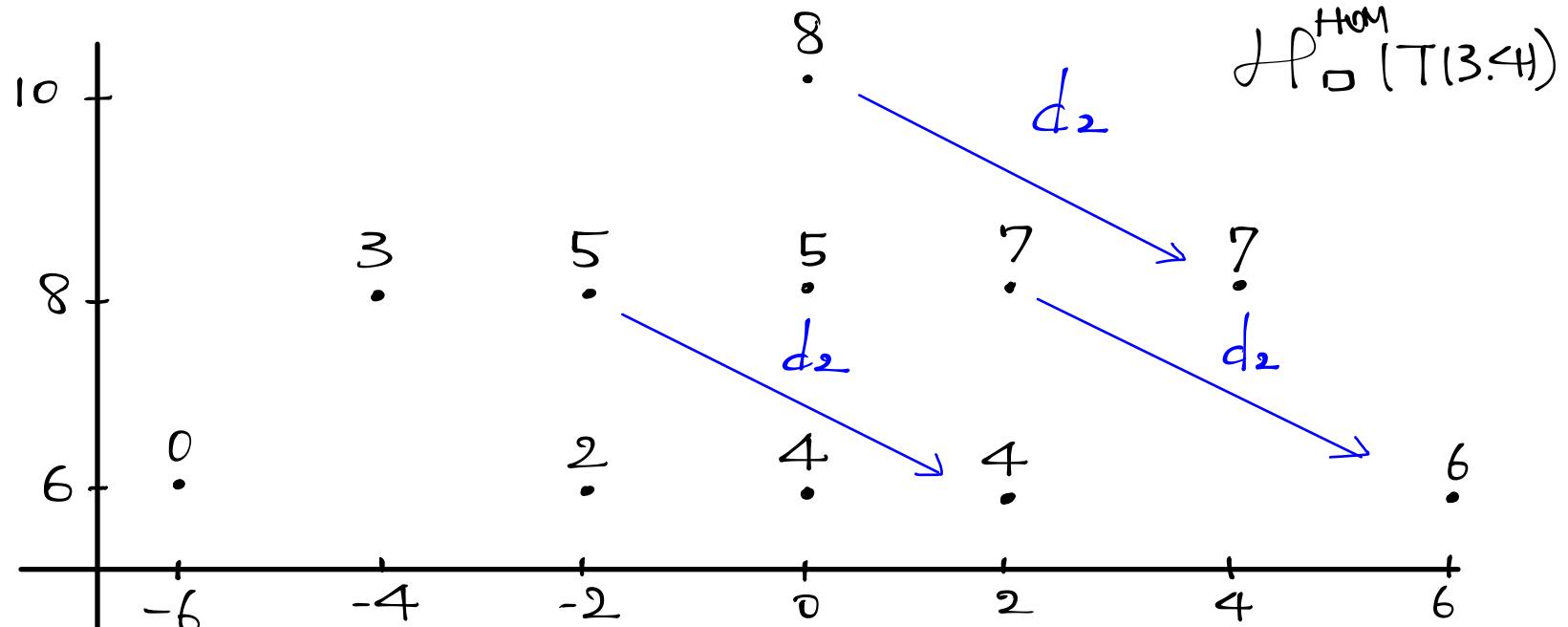
$$\mathcal{P}^{\mathfrak{sl}(N)}(K; q, t)$$

$$a = q^N$$

$\mathfrak{sl}(N)$  quantum inv.

$$\mathcal{J}^{\mathfrak{sl}(N)}(K; q)$$

$$\mathcal{P}^{\mathfrak{sl}(N)}(K; q, t) = \mathcal{P}(\mathcal{H}^{\text{HOM}}(K), d_N) (a = q^N, q \cdot t)$$



# Properties of colored HOMFLY homology.

1)  $\mathcal{H}_{[r]}^{\text{Hom}}(K)$  is finite-dim'l

Gukov - Stosic.

2)  $sl(N)$  differential  $d_N$

$$H^*(\mathcal{H}_{[r]}^{\text{Hom}}(K), d_N) \cong \mathcal{H}_{[r]}^{sl(N)}$$

(a.g.t) - degree of  $d_N$

$$\deg d_N = (-2, 2N, *)$$

3) Mirror symmetry

$$(\mathcal{H}_{[r]}^{\text{Hom}}(K))_{i,j,k} \cong (\mathcal{H}_{[r]}^{\text{Hom}}(K))_{i,-j,*}$$

$$* = k - (rS(k) + 2(k-i))$$

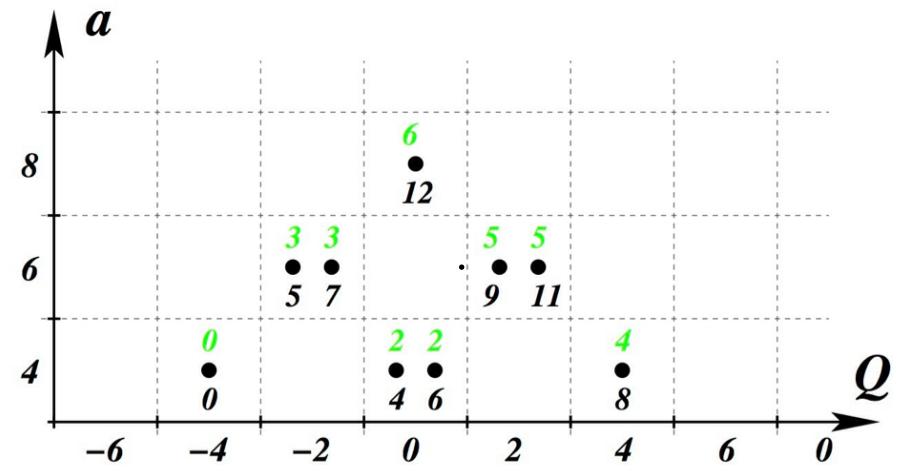
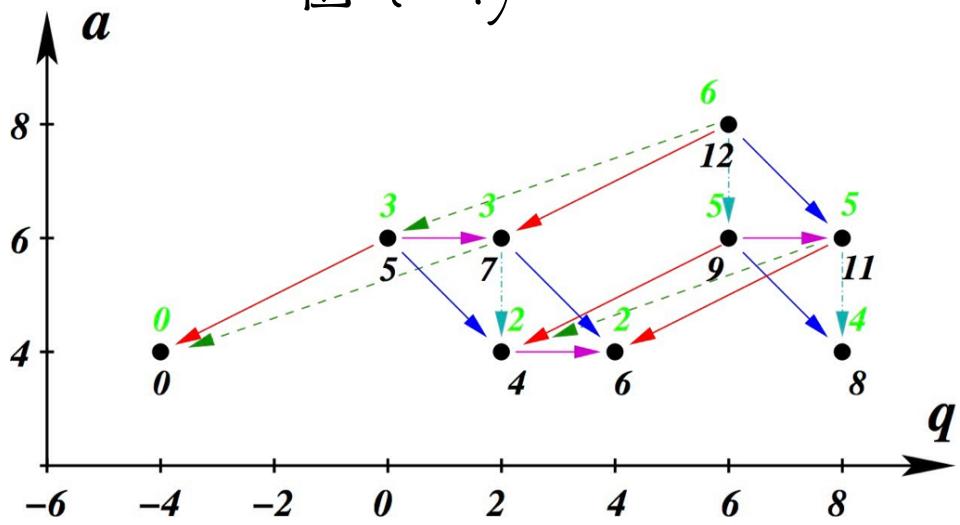
4) Exponential growth properties  $K$ : thin knot or torus knot

$$\mathcal{P}_{[r]}(K; a.g=1, t) = [\mathcal{P}_0(K; a.g=1, t)]^r$$

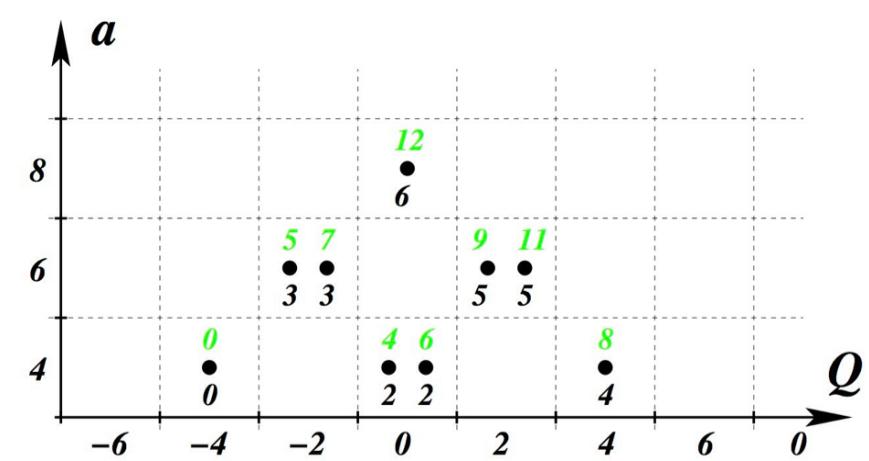
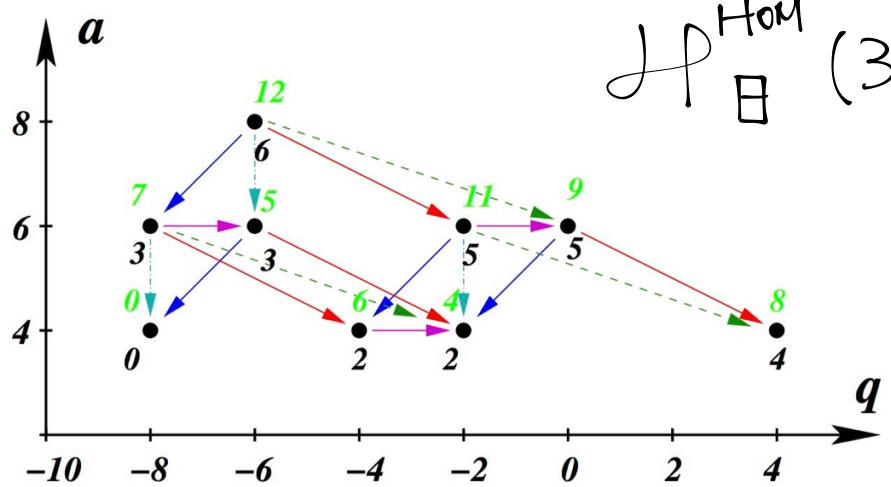
at poly'l level

$$|_R(K; a.g=1) = [\mathcal{P}_0(K; a.g=1)]^{|R|}$$

$\mathcal{H}_{\square}^{\text{Hom}}(3_1)$



$\mathcal{H}_{\square}^{\text{Hom}}(3_1)$



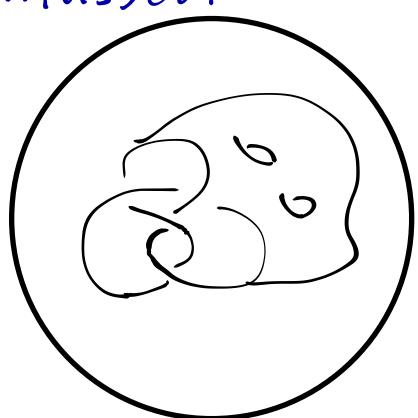
One can introduce 2 homological gradings: Tr- and te-gradings

For every element  $\alpha \in \mathcal{H}_{[r]}^{\text{Hom}}(K_{\text{thin}})$ , one can assign

$\delta$ -grading

$$\delta(\alpha) = a(\alpha) + \frac{g(\alpha)}{2} - \frac{\text{Tr}(\alpha) + \text{Te}(\alpha)}{2} = r S(K_{\text{thin}})$$

$S(K)$ : Rasmussen  $S$ -invariant.



$$g_s(K) \geq \frac{1}{2} |S(K)|.$$

↑  
Slice (Murasugi) genus.

We introduce an auxiliary grading  $Q$ .

Gorsky - Gukov - Stosic.

$$(a, Q, \text{Tr}, \text{Tr})\text{-grading} \leftarrow (a, q, \text{Tr}, \text{Tr})\text{-grading}$$

$$Q = \frac{q + \text{Tr} - \text{Tr}}{\rho}$$

We define Tilde-version of HOMFLY homology with  $(a, Q, \text{Tr}, \text{Tr})$

$$\left( \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K) \right)_{i,j,k,l} := \left( \mathcal{H}_{[r^e]}^{\text{HOM}}(K) \right)_{i, p_j - k+l, k, l}$$

$$\tilde{\mathcal{P}}_{[r^e]}(K; a, Q, \text{Tr}, \text{Tr}) = \sum_{i,j,k,l} a^i Q^j \text{Tr}^k \text{Tr}^l \dim \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K).$$

Then the structural properties of HOMFLY homology becomes transparent.

1). Self-symmetry.

$$\left( \mathcal{H}_{[r^e]}^{\text{HOM}}(K) \right)_{i,j,k,l} \cong \left( \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K) \right)_{i, -j, k - p_j, l - r_j}$$

2). Mirror Symmetry

$$\left( \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K) \right)_{i,j,k,l} \cong \left( \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K) \right)_{i,j,l,k}$$

$$\cong \left( \tilde{\mathcal{H}}_{[r^e]}^{\text{HOM}}(K) \right)_i$$

3) Refined exponential growth property.

$$\tilde{P}_{[r]}(K; a, Q, \text{Tr}, T_c=1) = \left[ \tilde{P}_{[1^r]}(K; a, Q, \text{Tr}, T_c=1) \right]^r$$

$$\tilde{P}_{[r]}(K; a, Q, \text{Tr}=1, T_c) = \left[ \tilde{P}_{[1^r]}(K; a, Q, \text{Tr}=1, T_c) \right]^r$$

*thin or  
Torus knot.*

$$\dim \tilde{\mathcal{P}}_{[r]}^{HOM}(K) = \left[ \dim \tilde{\mathcal{P}}_0^{HOM}(K) \right]^r$$

4) Colored differentials  $d_{[r^e] \rightarrow [l^e]}^\pm$  &  $d_{[r^e] \rightarrow [r^o]}^\pm$

$$H^*(\tilde{\mathcal{P}}_{[r^e]}^{HOM}, d_{[r^e] \rightarrow [l^e]}^\pm) \cong \tilde{\mathcal{P}}_{[l^e]}^{HOM}(K)$$

$$H^*(\tilde{\mathcal{P}}_{[r^e]}^{HOM}, d_{[r^e] \rightarrow [r^o]}^\pm) \cong \tilde{\mathcal{P}}_{[r^o]}^{HOM}(K)$$

5) Universal colored differentials.

- Power of refined exp. growth properties.

$$\begin{aligned} P_{[r]}(\beta_1; a, Q, \text{Tr}, T_c=1) &= \left[ a^2 Q^{-2} (1 + Q^4 \text{Tr}^2 (1 + a^2 Q^2 \text{Tr})) \right]^r \\ &= a^{2r} Q^{-2r} \sum_{k=0}^r Q^{4k} \text{Tr}^{2k} \binom{r}{k} (1 + a^2 Q^2 \text{Tr})^k \end{aligned}$$

One has to restore Te-grading carefully.

$$P_{[r]}(\beta_1; a, Q, \text{Tr}, T_c) = a^{2r} Q^{-2r} \sum_{k=0}^r Q^{4k} \text{Tr}^{2k} \frac{2rk}{k!} (-a^2 Q^2 \text{Tr} T_c; T_c^2)_k$$

## Status of colored HOMFLY homology

- $[r]$  - colored homology for  $T(2 \cdot 2p+1)$ , twist knots,  
 $T(3,4), T(3,5)$
- $[r,r]$  - colored homology for  $3_1 \& 4_1$ .

Fuji, Gukov, Sulkowsk, Stasic,  
 Nawata, Ramadevi, Zodinmawia.

## Remark on Kauffman homology.

Colored Kauffman homology  $\tilde{H}_{[r]}^{\text{Kauff}}(k)$  holds similar properties.

1) Mirror-Symmetry

2) Refined Exponential growth property  
 for thin knots and torus knots

3) Colored differentials, dn differentials.

The most interesting fact is that  $[r]$ -colored Kauffman homology contains  $[r]$ -colored HOMFLY homology.

More precisely, there exist differentials such that

$$H^*(\tilde{H}_{[r]}^{\text{Kauff}}, d_{\rightarrow}^{\text{univ}}) \cong \tilde{H}_{[r]}^{\text{HOM}}(k)$$

$$H^*(\tilde{H}_{[r]}^{\text{Kauff}}, d_{\text{diag}}^{\pm}) \cong \tilde{H}_{[r]}^{\text{HOM}}(k)$$

# §3. Volume Conjectures & generalizations

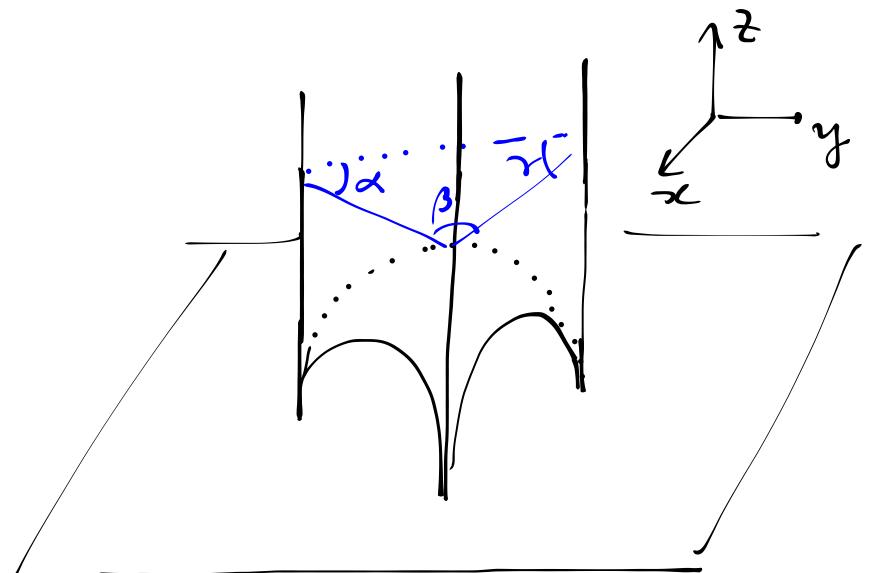
Volume conjecture Kashaev, Murakami<sup>2</sup>

$$2\pi \lim_{r \rightarrow \infty} \frac{1}{r} \log |J_{[r]}(K; g = e^{\frac{2\pi i}{r}})| = \text{vol}(S^3 \setminus K)$$

[Thurston]

hyperbolic knot :=  $S^3 \setminus K$  admits a hyperbolic str  $R_{ij} = -2g_{ij}$

- i) geodesically complete
- iii) Simplicial decomp  
by ideal tetrahedra  
 $T(\alpha, \beta, \gamma)$
- ii) finite volume

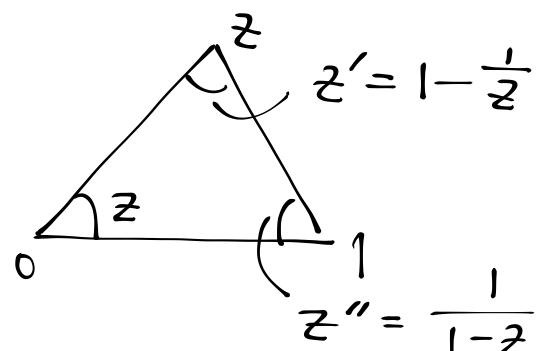
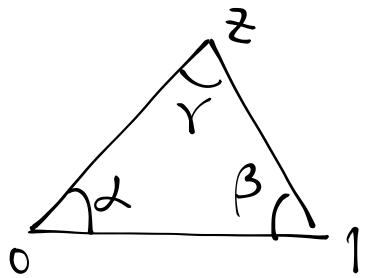


$$ds^2 = \frac{dx^2 + dy^2 + dz^2}{z^2}$$

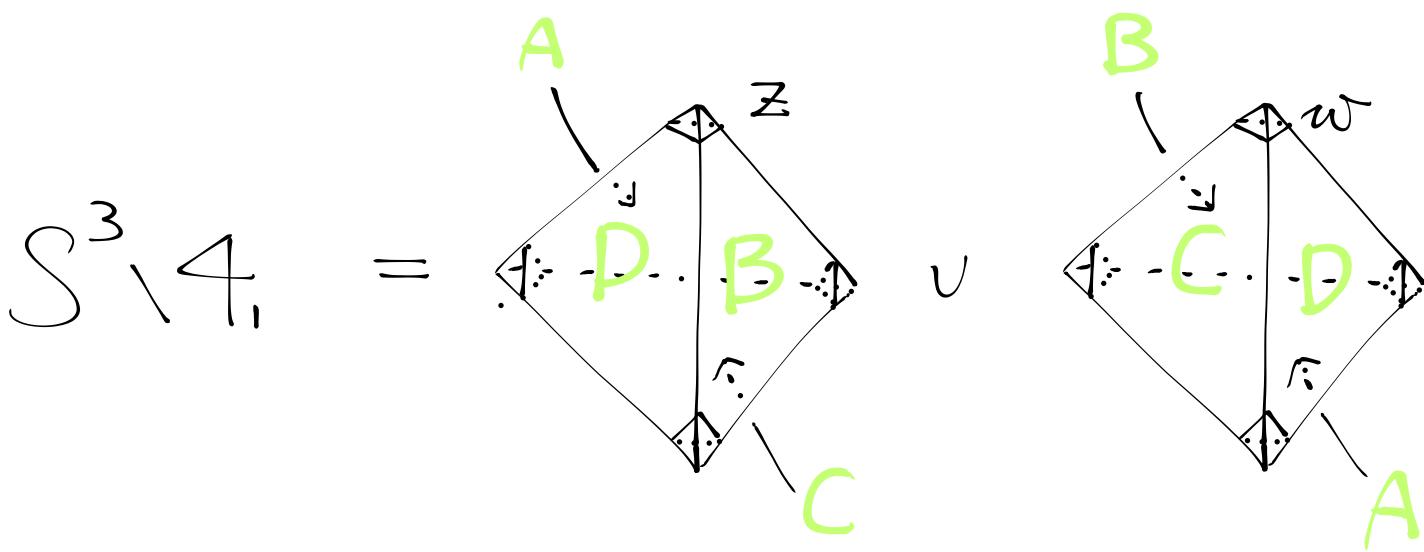
$$\begin{aligned} \text{Vol}(T(\alpha, \beta, \gamma)) &= \int \frac{dx dy dz}{z} \\ &= A(\alpha) + A(\beta) + A(\gamma) = D(z) \end{aligned}$$

$$A(\theta) = - \int_0^\theta dt \log [2 \sin t] \quad \text{Lobachevsky}$$

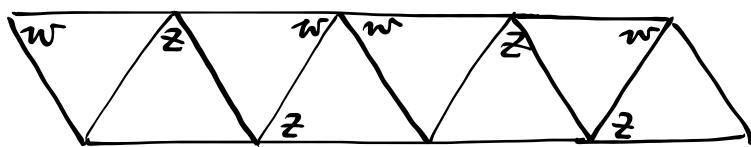
$$D(z) = \text{Im } \text{Li}_2(z) + \arg(1-z) \log|z| \quad \text{Bloch-Wigner}$$



Ex. complement of  $4_1$



Developing map



$$\begin{cases} w^2 w'' z' (z'')^2 = 1 & \text{consistency cond.} \\ w = z & \text{completeness} \end{cases}$$

$$\Rightarrow w = z = e^{i\frac{\pi}{3}}$$

$$\therefore \text{Vol}(S^3 \setminus 4_1) = 2D(e^{\frac{\pi i}{3}}) = 6 \Delta \left(\frac{\pi}{3}\right)$$

asymptotics of colored Jones poly'l of  $4_1$

$$|J_{[r]}(4_1; e^{2\pi i/r})| \sim e^{\frac{r}{2\pi} \underbrace{\text{Im} \text{Li}_2}_{\parallel}(e^{\frac{\pi i}{3}})} \text{Vol}(S^3 \setminus 4_1)$$

# Volume conjecture & A-polynomials

Generalized volume conj.

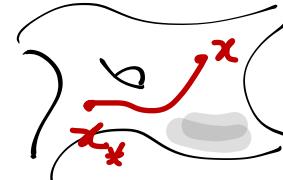
Gukov

$$J_{[r]}(K; \mathfrak{g}) \xrightarrow[r \rightarrow \infty]{q = e^{\frac{t}{h}} \rightarrow 1} \exp \left( \frac{1}{h} \int_{x_*}^x \log y \frac{dx}{x} + \dots \right)$$

$g^r = x$

$A(K; x, y) = 0$

$x_*$  complete hyperbolic metric

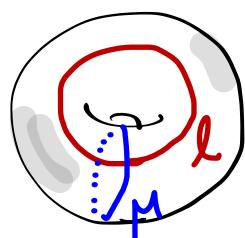


deformation to incomplete hyperbolic metric.

$$\mathcal{M}_{\text{flat}}(\mathbb{T}^2, \text{SL}(2, \mathbb{C})) = \frac{\mathbb{C}^* \times \mathbb{C}^*}{\mathbb{Z}_2} = \left\{ (x, y) \mid \mathbb{Z}_2: \begin{array}{l} x \mapsto x^{-1} \\ y \mapsto y^{-1} \end{array} \right\}$$

hyper-Kähler manifold.

$$\rho: \pi_1(\mathbb{T}^2) = \mathbb{Z} \oplus \mathbb{Z} \rightarrow \text{SL}(2, \mathbb{C})$$



$$\mu \mapsto \begin{pmatrix} x^* \\ 0 & x^{-1} \end{pmatrix}$$

$$l \mapsto \begin{pmatrix} y^* \\ 0 & y^{-1} \end{pmatrix}$$

A-poly'l determines the moduli sp. of  $\text{SL}(2, \mathbb{C})$  flat conn. /  $S^3 \setminus K$ .

$$\mathcal{M}_{\text{flat}}(S^3 \setminus K, \text{SL}(2, \mathbb{C})) = \left\{ (x, y) \in \frac{\mathbb{C}^* \times \mathbb{C}^*}{\mathbb{Z}_2} \mid A(K; x, y) = 0 \right\}$$

Λ Lagrangian submtl w.r.t.  $\omega = \frac{dx}{x} \wedge \frac{dy}{y}$ .

$$\mathcal{M}_{\text{flat}}(\mathbb{T}^2, \text{SL}(2, \mathbb{C}))$$

quantum volume conjecture a.k.a. AJ conjecture.

The  $q$ -diff. eqn of minimal order for colored Jones poly

$$\hat{A}(K; \hat{x}, \hat{y}; q) J_{[r]}(K; q) = 0$$

where  $\hat{x}, \hat{y}$  are defined

$$\hat{x} J_{[r]}(K; q) = q^r J_{[r]}(K; q)$$

$$\hat{y} J_{[r]}(K; q) = J_{[r+1]}(K; q)$$

$$\hat{x} \hat{y} = q \hat{y} \hat{x}$$

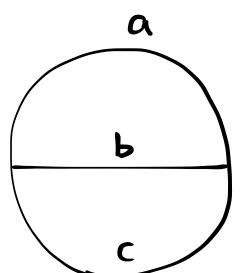
provides quantization of A-polynomial

$$\hat{A}(K; \hat{x}, \hat{y}, q) \xrightarrow[q \rightarrow 1]{} A(K; x, y)$$

Gukov, Garoufalidis.

volume conjecture for quantum invariants of trivalent graph.

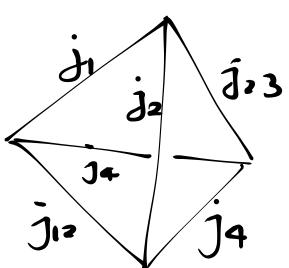
on-going work with Rama Zadeh.



$$\rightarrow (-1)^{m+n+p} \frac{[m+n+p+1]! [n]! [m]! [p]!}{[m+n]! [n+p]! [p+m]!}$$

$$\begin{aligned} a &= m+p \\ b &= m+n \\ c &= n+p \end{aligned}$$

theta graph.



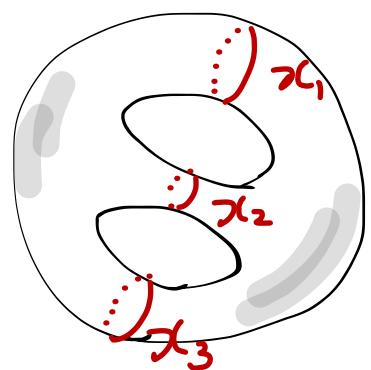
$$\rightarrow {}_4\gamma_3 \left( \begin{matrix} q^* & q^* & q^* & q^* \\ q^* & q^* & q^* & q^* \end{matrix}; q \right)$$

basic hypergeom. series

Askey-Wilson poly.

quantum 6j-symbol

The boundary of tubular neighborhood of  $\Theta$ -graph or  $g$ -6j is a Riemann surface of genus 2 or 3, respectively.



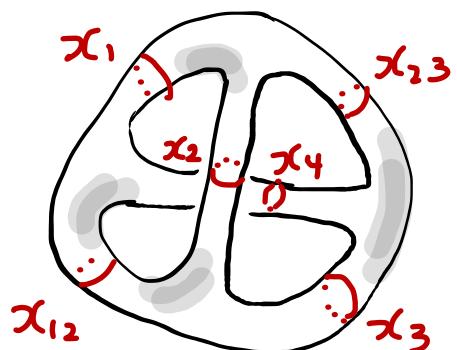
holonomy eigenvalues of these cycles

$$x_1, x_2, x_3$$

$$y_1, y_2, y_3$$

} conjugate variables.

spans local coordinate of  $M_{\text{flat}}(\Sigma_{g=2}, \text{SL}(2, \mathbb{C}))$



$x_1, x_2, x_3, x_4, x_{12}, x_{23}$  } conj. variables  
 $y_1, y_2, y_3, y_4, y_{12}, y_{23}$

local coordinates  $M_{\text{flat}}(\Sigma_{g=3}, \text{SL}(2, \mathbb{C}))$

$$\dim M_{\text{flat}}(\Sigma_g, \text{SL}(2, \mathbb{C})) = \dim M_{\text{Higgs}}(\Sigma_g) = 6g - 6.$$

For  $g > 1$ ,  $M_{\text{flat}}(\Sigma_g, \text{SL}(2, \mathbb{C}))$  has non-trivial topology.

Betti numbers are given by

Hitchin

$$\sum_{i=1}^{6g-6} b_i t^i = \frac{(1+t^3)^{2g}}{(1-t^2)(1-t^4)} - \frac{t^{4g-2}}{4(1-t^2)(1-t^4)} \\ \times \left\{ (1+t^2)^2 (1+t)^{2g} - (1+t)^4 (1-t)^{2g} \right\} \\ - (g-1) t^{4g-3} \frac{(1+t)^{2g-2}}{1-t} + 2^{2g-1} t^{4g-4} \left\{ (1+t)^{2g-2} - (1-t)^{2g-2} \right\}$$

$M_{\text{flat}}(S^3 \setminus \Theta, SL(2, \mathbb{C}))$  is determined by large color asymptotics of quantum invariant of  $\Theta$ -graph.

$$A_m(y_m, \vec{x}) = (-1 + x_m)(-1 + x_m x_n x_p) + (-1 + x_m x_n)(-1 + x_m x_p) y_m$$

$$A_n(y_n, \vec{x}) = (m \rightarrow n)$$

$$A_p(y_p, \vec{x}) = (m \rightarrow p).$$

Furthermore, recursion relations provide quantization of

$$M_{\text{flat}}(\Sigma_{g=2}, SL(2, \mathbb{C}))$$

The same statement holds for 9-6j. Since it is expressed by an Askey-Wilson poly'l, it satisfies recursion rel'n

$$A^{(q)} \left\{ \begin{matrix} j_1+2 & j_2 & j_{12} \\ j_3 & j_4 & j_{23} \end{matrix} \right\} + B^{(q)} \left\{ \begin{matrix} j_1+1 & j_2 & j_{12} \\ j_3 & j_4 & j_{23} \end{matrix} \right\} + C^{(q)} \left\{ \begin{matrix} j_1 & j_2 & j_{12} \\ j_3 & j_4 & j_{23} \end{matrix} \right\} = 0$$

↑ Something complicated →

classical limit.

⇒

$$M_{\text{flat}}(S^3 \setminus H_{g=3}, SL(2, \mathbb{C})) : A_k(\vec{x}, y_k) = 0 \quad k = 1, \dots, 6$$

∩ Lagrangian submf'd

$$M_{\text{flat}}(\Sigma_{g=3}, SL(2, \mathbb{C}))$$

# Volume conjecture for homological invariants.

Super-A-polynomials  $\mathcal{P}(K; \lambda, y, a, t)$

large color asymptotic of Poincaré poly of [r]-colored HOMFLY homology

$$\mathcal{P}_{[r]}(K; q, a, t) \xrightarrow[r \rightarrow \infty]{\begin{array}{l} q = e^{\frac{t}{h}} \rightarrow 1 \\ q^r = x \\ a, t : \text{fixed.} \end{array}} \exp \left( \frac{1}{h} \int \log y \frac{dx}{x} + \dots \right) \quad \mathcal{P}(K; \lambda, y, a, t) = 0$$

The quantization of super-A-poly is given by  $q$ -difference eqn.

$$\hat{\mathcal{P}}(K; \lambda, \hat{y}; a, q, t) \mathcal{P}_{[r]}(K; a, q, t) = 0.$$

where

$$\hat{\mathcal{P}}(K; \hat{\lambda}, \hat{y}; a, q, t) \xrightarrow[q \rightarrow 1]{} \mathcal{P}(K; \lambda, y; a, t)$$

$$\mathcal{P}(K; \lambda, y; a, t) \xrightarrow[t = -1]{} A^{\text{def}}(K; \lambda, y; a) \longrightarrow A(K; \lambda, y)$$

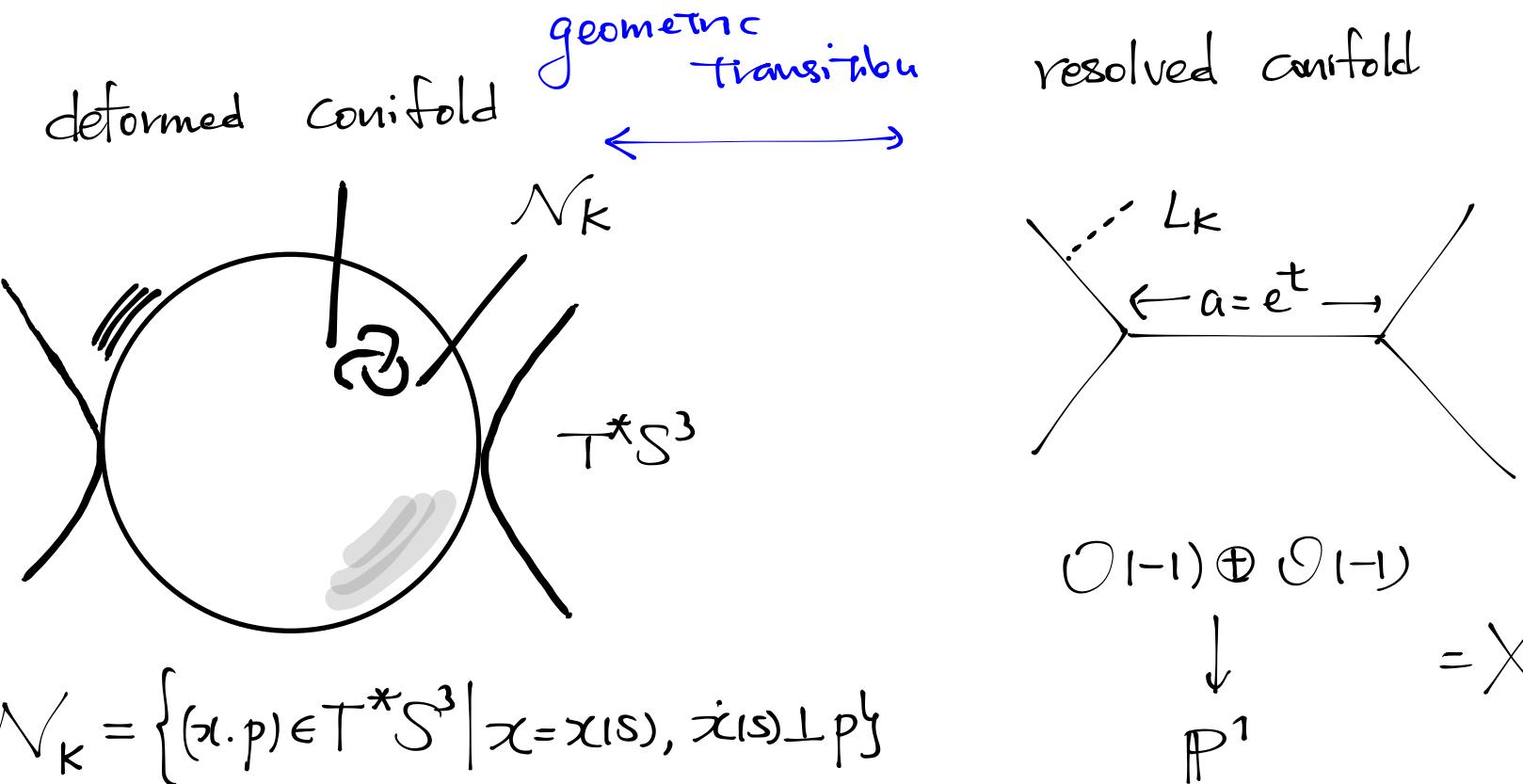
" ordinary A-poly  
augmentation polynomial  
in knot contact homology

Aganagic-Vafa. Ng.

# 8. Interpretation in string theory and gauge theory

$U(N)$  Chern-Simons theory on  $S^3$  can be realized.

in A-model topological string theory on  $T^*S^3$ .



$$\begin{array}{ccc}
 S^1 \times \mathbb{R}^4 \times T^*S^3 & & \boxed{S^1 \times \mathbb{R}^4 \times X} \\
 N \text{ M5} \quad S^1 \times \mathbb{R}^2 \times S^3 & \xrightarrow{\text{Large } N} & M5 \quad S^1 \times \mathbb{R}^2 \times L_K \\
 M5 \quad S^1 \times \mathbb{R}^2 \times \mathcal{N}_K & &
 \end{array}$$

↓ geom. engineering.

$U(1)$  gauge theory w/ a surface operator  $S_K$  associated to knot  $K$

superpoly of  $(m,n)$ -torus knot.

$$\overline{\mathcal{P}}_0(K(m,n); a, g, t) = \sum_{U(1), S_K(m,n)}^{N_{ek}} (a, g, t)$$

Gorsky-Negut.

resolved conifold  $\longrightarrow$  4d VIII) gauge thy with a surf. op  $S_K$   
associated to a knot  $K$

Klemm - Katz - Vafa.

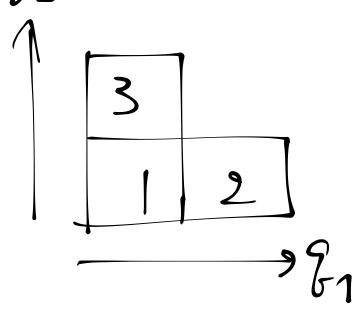
$A$ : Coulomb branch parameter

$(\beta_1, \beta_2)$ : equivariant parameter  $\begin{matrix} U(1)_{\beta_1} \times U(1)_{\beta_2} \\ \curvearrowleft \quad \curvearrowright \\ \mathbb{R}^2 \quad \mathbb{R}^2 \end{matrix}$

Gorsky - Negut

$$\sum_{VIII, S_{K(m,n)}}^{Nek} (A, \beta_1, \beta_2) = \sum_{SYT} \frac{\prod_{i=1}^{S(i)} (1 - A x_i)(\beta_1 x_i - t)}{(1 - \frac{\beta_1 x_2}{\beta_2 x_1}) \cdots (1 - \frac{\beta_1 x_n}{\beta_2 x_{n-1}})} \prod_{i < j} \omega\left(\frac{x_i}{x_j}\right)$$

where sum is over SYT of size  $n$



$$x_1 = 1 \quad x_2 = \beta_1$$

$$x_3 = \beta_2$$

$x_i$  -  $(\beta_1, \beta_2)$ -content of box labelled  $i$

$$\omega(x) = \frac{(1 - \beta_1 x)(1 - \beta_2 x)}{(1 - x)(1 - \beta_1 \beta_2 x)}$$

$$S(i) = \left\lfloor \frac{im}{n} \right\rfloor - \left\lfloor \frac{(i-1)m}{n} \right\rfloor$$

After suitable change of variables. it is equal to  
Poincaré poly'l of HOMFLY homology of  $T(m,n)$

$$\overline{P}(T(m,n), a, \beta, t) = \sum_{VIII, S_{K(m,n)}}^{Nek} (A = -at, \beta_1 = \beta, \beta_2 = \beta t^2)$$

proof of geometric transition!

What is  $\sum_{(m,n)}^{\text{Nek}}$  mathematically?

$\text{Hilb}^n \mathbb{C}^2$  = moduli sp. of codim n ideals in  $\mathbb{C}[x,y]$

$\nwarrow \swarrow$  ideal

$\mathcal{F}\text{Hilb}^n(\mathbb{C}^2, 0)$  = moduli sp of  $\mathcal{O}_{\mathbb{C}^2, 0} > I_1 > I_2 > \dots > I_n$

$\dim I_k = k$ , all supported at 0.

$L_i \rightsquigarrow I_i / I_{i+1}$  line bundle on  $\mathcal{F}\text{Hilb}^n(\mathbb{C}^2, 0)$

Th

$$P(T_{(m,n)}) = \sum_i X_{(\mathbb{C}^*)^2} (\mathcal{F}\text{Hilb}^n, L_1^{S(1)}, \dots, L_n^{S(n)} \otimes \wedge^i T^*) \alpha^i$$

$$T = \frac{\mathbb{C}[x,y]}{I_n} \quad \text{tant logical bundle}$$

Fixed pts = flags of monomial ideals.

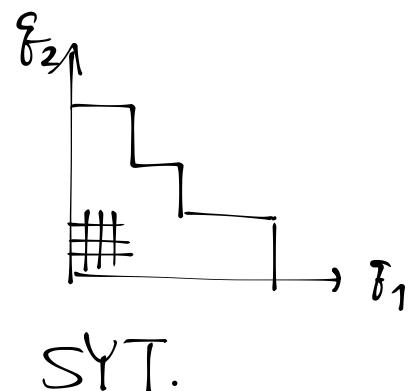
$\chi_i (g_1, g_2)$ -character of  $L_i$

issue! Complete intersection  
resolution

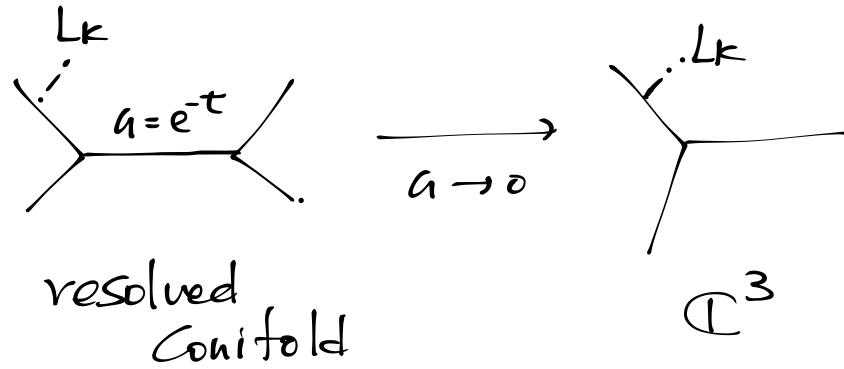
$$\mathcal{F}\text{Hilb}^2 = \mathbb{CP}^1$$

$$\mathcal{F}\text{Hilb}^3 = P(\mathcal{O} \oplus \mathcal{O}(-3) \rightarrow \mathbb{P}^1)$$

Hirzebruch Surface.



$a \rightarrow 0$ . limit : turn off 4d gauge dynamics  
 dynamics on the surface op.  $S_k$  remains  
 $\Rightarrow$  vortex partition fn.



Gorsky - Gukov - Stosic.

Vortex Partition function

$$\frac{1}{4\pi} \int_{\mathbb{R}^2} F = r \quad \text{vortex number.}$$

moduli sp.  $M_r^{(VII)}$  of vortex partition fn with vortex #  $r$

$$M_r^{(VII)} = \left\{ (A, \phi) \mid \begin{array}{l} *F_A = i\phi - it \\ \bar{\partial}_A \phi = 0 \end{array} \right\}$$

$$\Rightarrow M_r^{(VII)} = \underset{\parallel}{\text{Sym}}^r \mathbb{C} = \frac{\mathbb{C}^r}{S_r} \cong \mathbb{C}^r$$

$$(x_1, \dots, x_r) \qquad \qquad (a_1, \dots, a_r)$$

$$f(x) = \prod_{j=1}^r (x - x_j) = x^r + a_1 x^{r-1} + \dots + a_r$$

$U(1)_q$  equivariant character  $ch_q(M_r^{(1)})$  of  $M_r$

(counting monomials w.r.t.  $(a_1 \cdots a_r)$ )

$$ch_q(M_r^{(1)}) = \frac{1}{(1-q) \cdots (1-q^r)}$$

$$= \lim_{a \rightarrow 0} \overline{P}_{[r]}(\mathcal{O}; a, q).$$

non-abelian generalization

$U(p+1)$  gauge theory with  $(p+1)$  fund. field  $\phi_j$

$$M_r^{U(p+1)} = \left\{ (A, \phi) \mid \begin{array}{l} *F_A = i \sum_{j=1}^{p+1} \phi_j \phi_j^* - it \\ \bar{\partial}_A \phi = 0 \end{array} \right\}$$

$$\dim M_r^{U(p+1)} = 2r(p+1)$$

For general  $r$ , explicit construction of  $M_r^{U(p+1)}$  is not known

$$r=1 \quad M_{r=1}^{U(p+1)} = \underbrace{\mathbb{C}}_{\text{Center-of-mass motion}} \times \mathbb{C}\mathbb{P}^p \xrightarrow{\text{internal deg. of freedom}}$$

$U(1)_t$  is related to homological deg.

$$\text{Betti number} \quad \sum_{i=0}^{\infty} b_i(\mathbb{C}\mathbb{P}^p) t^{2i} = \sum_{i=0}^p t^{2i}$$

$U(1)_q \times U(1)_t$  equiv. character

$$ch_{q,t}(M_{r+1}^{U(p+1)}) = \frac{1}{1-q} \sum_{i=1}^p t^{2i}$$

$\sim\!\!\!\sim\!\!\!$

"Poincaré poly of  $\mathbb{C}P^p$   
( $t$ : homological degree)

$$= \lim_{a \rightarrow 0} \overline{P}_{[r]}(T(2, 2p+1); a, q, t)$$

Conjecture

$$ch_{q,t}(M_r^{U(p+1)}) = \lim_{a \rightarrow 0} \overline{P}_{[r]}(T(2, 2p+1); a, q, t)$$

$$= \frac{q^{-pr}}{(q:q)_r} \sum_{k_1 \dots k_p} \begin{bmatrix} r \\ k_1 \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \end{bmatrix} \dots \begin{bmatrix} k_{p-1} \\ k_p \end{bmatrix}$$

$$\times q^{(2r+1)(k_1 + \dots + k_p) - \sum k_i k_{i+1}} t^{2(k_1 + \dots + k_p)}$$

# 3d/3d correspondence.

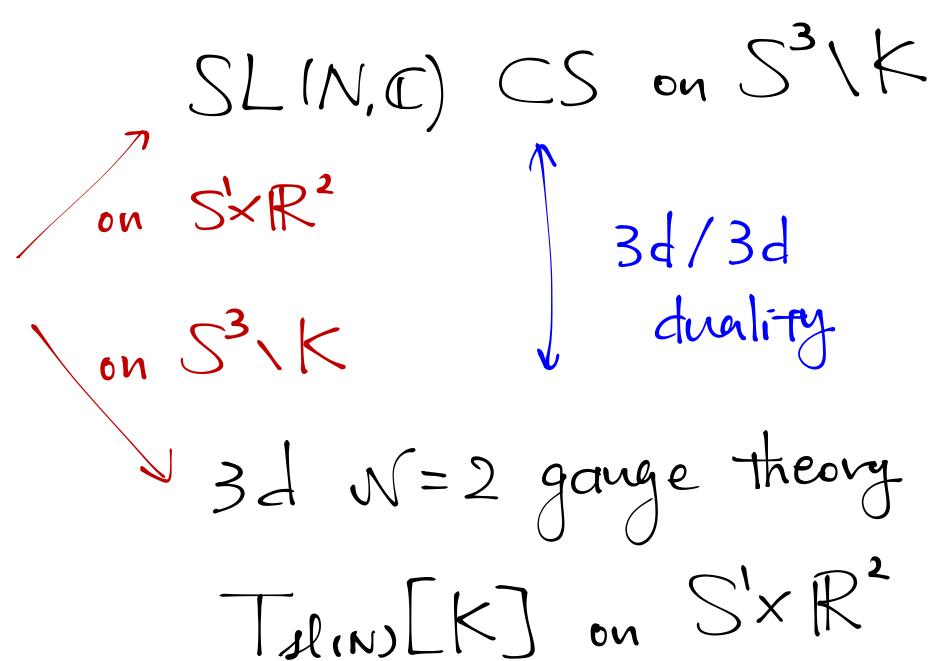
Dimofte - Gukov - Hollands

Yamazaki - Terashima

Dimofte - Gukov - Gaiotto.

deformed conifold side.

$$\boxed{\begin{array}{c} S^1 \times \mathbb{R}^4 \times T^*S^3 \\ N \text{ M5 } S^1 \times \mathbb{R}^2 \times S^3 \\ M5 \quad S^1 \times \mathbb{R}^2 \times \mathcal{K} \end{array}}$$



Partition fn of  $T_{sl(2)}[K]$  on  $S^1 \times \mathbb{R}^2$

$$I_K(a, g, t, \{x\}) = \text{Tr} (-1)^F a^{m_1} t^{m_2} g^{\frac{R}{2} - J} x_i^{e_i}$$

$$= \int_{\Gamma} \frac{ds}{s} [0(z; g) \dots] B_\Delta(z_1; g) \cdots B_\Delta(z_L; g)$$

↑  
CS coupling
↑  
chiral field.

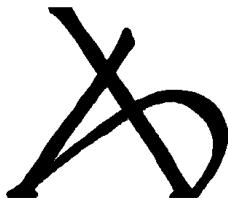
FI Coupling
 $z_i = z_i(s, a, t, \{x\})$

$$B_\Delta(z; g) = \sum_{n=0}^{\infty} \frac{z^{-n}}{(g^{-1}; g^{-1})_n} \quad \text{holomorphic block.}$$

Beem - Dimofte - Pasquetti.

$$\mathcal{P}(K; a, g, t) = I_{T_{sl(2)}[K]}(a, g, t) ??$$

THE THURSDAY COLLOQUIUM  
“THE ALGEBRA & GEOMETRY OF MODERN PHYSICS”



LECTURE NOTES

CHERN–SIMONS THEORY,  
QUANTUM KNOT INVARIANTS,  
AND VOLUME CONJECTURES

MATHEMATICAL SUPPLEMENT

SATOSHI NAWATA  
(NIKHEF)

$M$  a compact 3-mfd,  $G = SU(N)$

$P$  principal  $G$ -bundle over  $M$ .

$$\pi_1(G) = 1 \Rightarrow P = M \times G$$

$\mathcal{A}_M$  space of connections on  $P$ .  $\mathcal{A}_M \cong \Omega^1(M, \mathfrak{g})$

$g$  gauge transf.  $\mathcal{G} \cong \text{Map}(M, G)$

Chern-Simons action

$$CS(A) = \frac{1}{8\pi^2} \int_M \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

The bilinear form  $B: \Omega^1(M, \mathfrak{g}) \times \Omega^2(M, \mathfrak{g}) \rightarrow \mathbb{R}$  defined by

$$B(\alpha, \beta) = \int_M \text{Tr}(\alpha \wedge \beta) \quad \text{non-deg.}$$

Therefore,  $\Omega^2(M, \mathfrak{g}) = (\Omega^1(M, \mathfrak{g}))^*$ . The Tangent space of  $\mathcal{A}_M$  can be identified with  $\Omega^1(M, \mathfrak{g})$

$$CS(A + t\alpha) - CS(A) = \frac{t}{4\pi^2} \int \text{Tr}(F_A \wedge \alpha) + \mathcal{O}(t^2)$$

Hence,

$$dCS(A) = \frac{1}{4\pi^2} \int F_A$$

Suppose  $M$  has a boundary  $\partial M = \Sigma$

$$g^* A = g^{-1} A g + g^{-1} dg \quad \text{gauge transf'}$$

$$CS(g^* A) - CS(A) = \frac{1}{4\pi} \int_{\partial M} \text{Tr}(A \wedge g^{-1} dg) - \frac{1}{12\pi} \int_M \text{Tr}[(g^{-1} dg)^3]$$

② Especially,  $\partial M = \emptyset$ .

$$CS(g^*A) = CS(A) - \int_M g^* \sigma \quad \sigma: \text{Maurer-Cartan form}$$

Since  $\int_M g^* \sigma = \deg(M \rightarrow 3\text{-cycle of } G) \in \mathbb{Z}$ ,

$$CS: \mathcal{A}_M/g \longrightarrow \mathbb{R}/\mathbb{Z}$$

③  $\partial M = \Sigma$ .

principal  $G$ -bundle over  $\Sigma$  is  $\Sigma \times G$

$$\Rightarrow \mathcal{A}_\Sigma = \Omega^1(\Sigma, \mathfrak{g}) \quad \infty\text{-dim'l symp. mfd}$$

$$\omega(\alpha, \beta) = -\frac{1}{8\pi^2} \int_\Sigma \text{Tr}(\alpha \wedge \beta) \quad \alpha, \beta \in \Omega^1(\Sigma, \mathfrak{g})$$

symplectic form

For  $a \in \mathcal{A}_\Sigma$  and  $g \in \mathcal{G}_\Sigma$ , we denote  $A$  is an extension

of  $a$  on  $M$  and  $\tilde{g}$  is an extension of  $g$  on  $M$

$$c(a, g) = e^{2\pi i (CS(\tilde{g}^*A) - CS(A))}$$

$$= \exp(2\pi i \left\{ \int_\Sigma \frac{1}{8\pi^2} \text{Tr}(g^{-1}a g \wedge g^{-1}dg) - \int_M g^* \sigma \right\})$$

This is indep. of an extension  $\tilde{g}$ , A. M.

In other words,

$$e^{2\pi i \text{CS}(g^* A)} = (\text{cl} a \cdot g|_{\Sigma}) e^{2\pi i \text{CS}(A)}$$

Therefore, we consider an action of  $g_{\Sigma}$  on  $\mathbb{C} \times \mathcal{P}_{\Sigma}$

$$g_{\Sigma}: \mathbb{C} \times \mathcal{P}_{\Sigma} \rightarrow \mathbb{C} \times \mathcal{P}_{\Sigma}; (z, a) \mapsto (\text{cl} a \cdot g|_{\Sigma}, g^* a)$$

Chern-Simons functional integral can be regarded as

$g_{\Sigma}$ -invariant holomorphic section of  $\mathbb{C}^{\otimes k} \times \mathcal{P}_{\Sigma}$ .

$$\sum_k(M)(a) = \int_{\mathcal{P}_a / \text{Kernel}(g \rightarrow g_{\Sigma})} e^{2\pi i k \text{CS}(A)} \mathcal{D}A.$$

$\mathcal{P}_a$  - the space of connexions on  $M$  w  $A|_{\Sigma} = a$

$g_{\Sigma}$ -action on  $\mathbb{C}^{\otimes k} \times \mathcal{P}_{\Sigma}$  is given by

$$\sum_k(M)(g^* a) = (\text{cl} a \cdot g)^k \sum_k(M)(a)$$

This can be identified with holomorphic section of line bundle over symplectic quotient space.

$$\mathcal{P}_{\Sigma} // g_{\Sigma} = M^{(-1, 0)} / g_{\Sigma}$$

where moment map

$$\mu: \mathcal{P}_{\Sigma} \rightarrow \text{Lie}(g_{\Sigma})^*; A \mapsto F_A$$

$M^{(1)} / g_{\Sigma} = M_{\text{flat}}(\Sigma, G)$  moduli space of  $G$  flat connections.  
over  $\Sigma$ .

Conclusion: Let  $M$  be an oriented 3-manifold with boundary  $\Sigma$   
 $k \in \mathbb{Z}$  CS level, then CS functional integral  $Z_k(M)$   
is considered to be a section of the complex line bundle  $L^{\otimes k}$

$$Z_k(M) \in H^0(M_{\text{flat}}(\Sigma, G), L^{\otimes k})$$

$H^0(M_{\text{flat}}(\Sigma, G), L^{\otimes k})$  is called quantum Hilbert space  $\mathcal{H}_{\Sigma}$ .

Remark: Strictly speaking, the moduli space  $M_{\text{flat}}(\Sigma^J, L^{\otimes k})$   
depends on the complex structure  $J$  of  $\Sigma$ . However,  
if you consider conformal block bundle over Teichmuller space,  
the bundle has a natural flat connection. In this sense,  
the quantum Hilbert Space is indep. of cpx str.  $J$ .

If  $M = M_1 \cup M_2$  with  $\partial M_1 = \Sigma$  and  $\partial M_2 = -\Sigma$

$$\exp(2\pi i k \text{CS}(A)) = \langle \exp(2\pi i k \text{CS}(A_1)), \exp(2\pi i k \text{CS}(A_2)) \rangle$$

where  $A$  is a connexion of  $M \times G$ ,  $A_1$  and  $A_2$  are restriction  
of  $A$  to  $M_1$  and  $M_2 \Rightarrow$  axiom of TQFT.

Let us consider the case of a link  $L$  in a 3-mfd  $M$ .

We assign reps  $R_i$  to components  $C_i$  ( $i=1, \dots, |L|$ ) of  $L$ .

Wilson loop operator

$$W_{C_j, R_j}(A) = \text{Tr}_{R_j}(\text{Hol}_{C_j}(A))$$

The invariant of the link by Witten's formulation.

$$\Sigma_k(M; (C_j, R_j)) = \int \mathcal{D}A e^{2\pi i k CS(A)} \prod_{j=1}^{|L|} W_{C_j, R_j}(A)$$

The quantum Hilbert space associated with the above integral is given by conformal blocks of  $\hat{\mathcal{G}}_k$  WZNW model.

$\mathcal{M}_{p_1 \dots p_n}$  the vector sp of meromorphic fns on  $\mathbb{CP}^1$  with poles of any order at most at  $p_1 \dots p_n$

$$\mathcal{G}(p_1 \dots p_n) = \mathcal{G} \otimes \mathcal{M}_{p_1 \dots p_n}$$

To each pt  $p_i$  ( $1 \leq i \leq n$ ), we associate the integrable highest weight  $H_{\lambda_i}$ . We define diagonal action  $\Delta$  of  $\mathcal{G}(p_1 \dots p_n)$  on the tensor product  $H_{\lambda_1} \otimes \dots \otimes H_{\lambda_n}$

$$\Delta(\varphi)(\xi_1 \otimes \dots \otimes \xi_n) = \sum_j (\xi_1 \otimes \dots \otimes i_j(\varphi) \xi_j \otimes \dots \otimes \xi_n)$$

where  $i_j : \mathcal{G}(p_1 \dots p_n) \rightarrow \hat{\mathcal{G}}_j$

The space of conformal blocks

$$\text{Hom}_{\mathcal{O}(p_1 \dots p_n)}(H_{\lambda_1} \otimes \dots \otimes H_{\lambda_n}, \mathbb{C})$$

is defined to be the space of linear forms

$$H_{\lambda_1} \otimes \dots \otimes H_{\lambda_n} \rightarrow \mathbb{C}$$

which are invariant under the diagonal action  $\Delta$  of  $\mathcal{O}(p_1 \dots p_n)$

# Definition of colored quantum invariants

Braid group  $B_n$

$$B_n = \langle \sigma_1, \dots, \sigma_{n-1} \mid \sigma_i \sigma_j = \sigma_j \sigma_i \ (|i-j| > 1), \\ \sigma_k \sigma_{k+1} \sigma_k = \sigma_{k+1} \sigma_k \sigma_{k+1} \rangle$$

Th (Alexander) Every link can be realized as a closure of a certain braid.

Def

1.  $U_q(\mathfrak{g})$ -module  $V, W$

$$\check{R}_{V,W} : V \otimes W \rightarrow W \otimes V$$

which satisfy

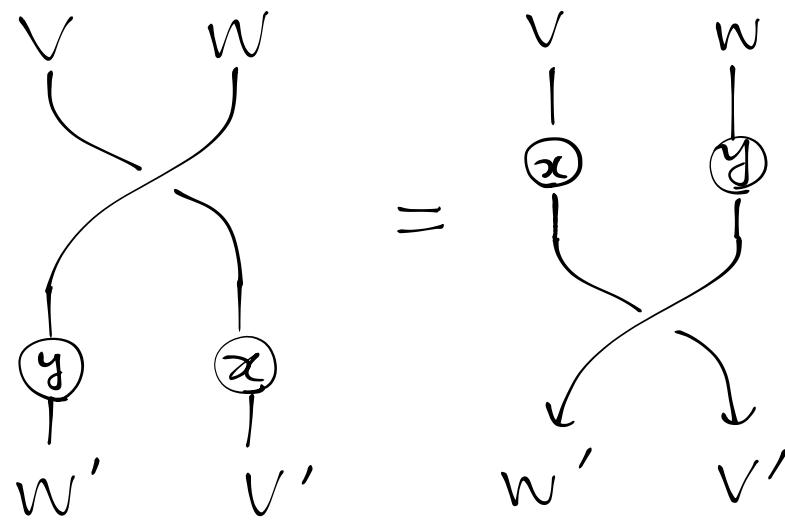
$$\check{R}_{V \otimes W} = (\check{R}_{V,W} \otimes \text{id}_V)(\text{id}_V \otimes \check{R}_{W,V})$$

$$\check{R}_{V,W \otimes V} = (\text{id}_V \otimes \check{R}_{W,V})(\check{R}_{V,W} \otimes \text{id}_V)$$

It is natural in the sense that

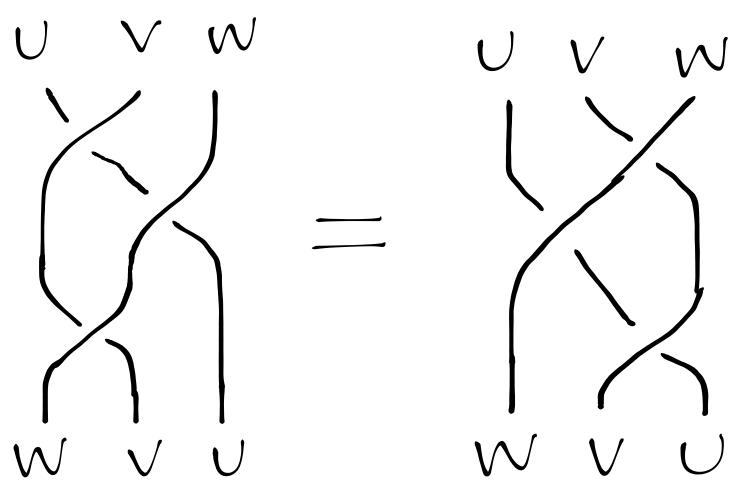
$$(y \otimes x) \check{R}_{v,w} = \check{R}_{v',w'} (x \otimes y)$$

for  $x \in \text{Hom}_{U_q(\mathfrak{g})}(V, V')$  &  $y \in \text{Hom}_{U_q(\mathfrak{g})}(W, W')$



These equs imply Yang-Baxter equs

$$\begin{aligned} & (\check{R}_{v,w} \otimes \text{id}_v)(\text{id}_v \otimes \check{R}_{v,w})(\check{R}_{v,w} \otimes \text{id}_w) \\ &= (\text{id}_w \otimes \check{R}_{v,w})(\check{R}_{v,w} \otimes \text{id}_v)(\text{id}_v \otimes \check{R}_{v,w}) \end{aligned}$$



2. There exists an element  $K_{2\rho} \in U_q(\mathfrak{g})$

$$K_{2\rho}(v \otimes w) = K_{2\rho}(v) \otimes K_{2\rho}(w)$$

for  $v \in V$ ,  $w \in W$

for every  $z \in \text{End}_{U_q(\mathfrak{g})}(V \otimes W)$  with  $z = \sum x_i \otimes y_i$

$x_i \in \text{End}(V) \& y_i \in \text{End}(W)$ , the quantum trace is defined

$$\text{Tr}_W(z) = \sum_i \text{Tr}(y_i; K_{\varphi}) x_i \in \text{End}_{U_q(\mathfrak{g})} V$$

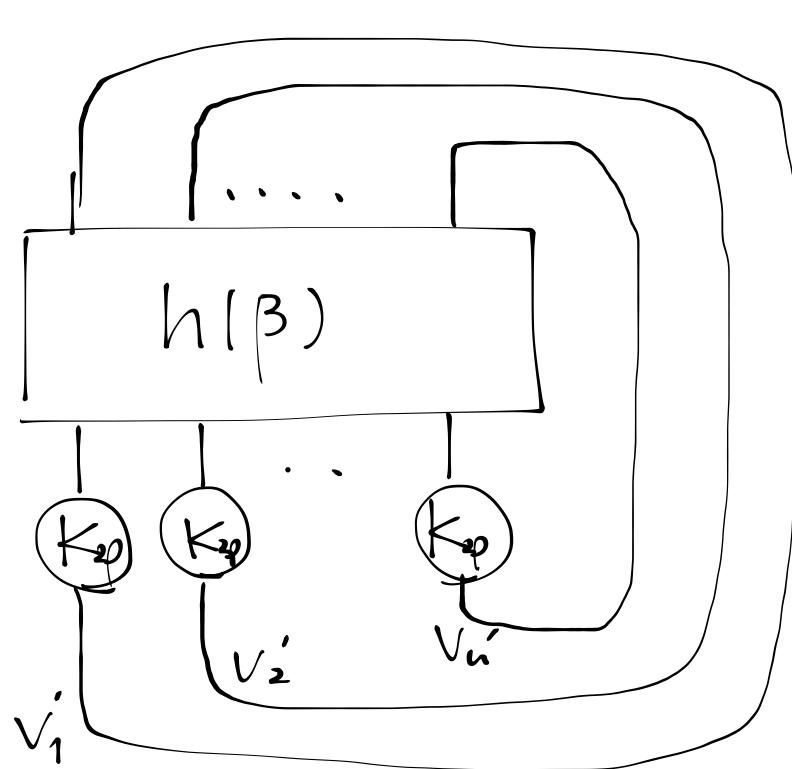
Given a link  $L$  with the component  $L_1, \dots, L_\ell$  labeled by  $U_q(\mathfrak{g})$ -module  $V_1, \dots, V_\ell$ . The braid diagram  $\beta$  of the link  $L$  define

$$h: B_n \longrightarrow \text{End}_{U_q(\mathfrak{g})}(V'_1 \otimes \dots \otimes V'_n)$$

$$\beta \longmapsto h(\beta)$$

Then, the quantum invariant of  $(L_1, \dots, L_\ell; V_1, \dots, V_\ell)$

$$\bar{J}_{(g; v_1, \dots, v_\ell)}(L) = \text{Tr}_{V'_1 \otimes \dots \otimes V'_n}(h(\beta))$$

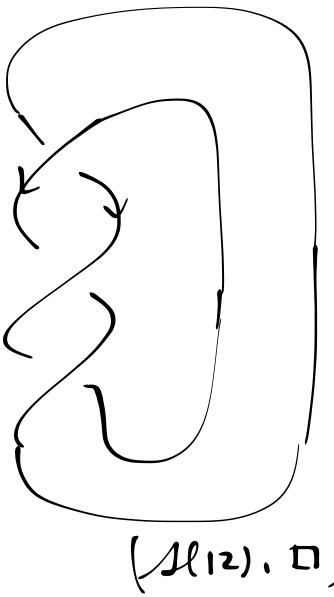


↑  
framing dependent  
i.e. invariant under  
Reidemeister move 2  
and 3.

Ex ( $sl(2)$ , □)

$$R = \begin{pmatrix} q^{1/4} & 0 & 0 & 0 \\ 0 & q^{1/4} - q^{-3/4} & q^{-1/4} & 0 \\ 0 & q^{-1/4} & 0 & 0 \\ 0 & 0 & 0 & q^{1/4} \end{pmatrix}$$

$$K_P = \begin{pmatrix} q^{1/2} & 0 \\ 0 & q^{-1/2} \end{pmatrix}$$



$$\Rightarrow J_{(sl(2), \square)}(\beta_1) = \overline{\text{Tr}_{V_{Y_2} \otimes V_{Y_2}}(R^3(K_P \otimes K_P)})$$

$$\propto (q^{1/2} + q^{-1/2})(q^{-1} + q^{-3} - q^{-4})$$

Drinfel'd constructed universal R-matrix associated to  $U_q(\mathfrak{g})$   
 So, in principle, one can write  $\check{R}_{V,W}$  for any rep.  $V, W$ .