Radiative electron capture in the first forbidden unique decay of \(^{81}\text{Kr}\)

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The photon spectrum accompanying the orbital K-electron capture in the first forbidden unique decay of \(^{81}\text{Kr}\) was measured. The total radiation intensity for the photon energies larger than 50 keV was found to be \(1.47(6) \times 10^{-4}\) per K-capture. Both the shape of the spectrum and its intensity relative to the ordinary, non-radiative capture rate, are compared to theoretical predictions. The best agreement is found for the recently developed model which employs the length gauge for the electromagnetic field.

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I. INTRODUCTION

Radiative electron capture (REC) is a process in which one of orbital electrons is captured by the atomic nucleus and in addition to an electron neutrino a photon is emitted [1]. Because of the three-body character of the process, the energy spectrum of these photons is continuous, reaching up to the maximum value of the decay energy \(Q_{EC}\) reduced by the binding energy of the captured electron in the daughter atom, \(B(nl)\). Such a radiative process occurs with the probability of the order of \(10^{-4}\) with respect to the ordinary, radiation-less electron capture.

Recent studies have shown, for numerous decays involving allowed nuclear transitions (nuclear spin changes by \(\Delta J = 0, 1\) with no parity change), that the intensity and shape of the REC spectrum can be well understood by assuming that radiation is emitted by a captured electron (internal bremsstrahlung, IB) [1]. However, a measurement of REC in case of the forbidden nuclear transition in \(^{41}\text{Ca}\) [2] revealed a strong disagreement with existing models. Moreover, the most advanced theoretical model of IB, developed by Zon and Rapoport for the arbitrary degree of forbiddenness [3, 4], showed the largest deviation from the experiment. The EC decay of \(^{41}\text{Ca}\) belongs to a category of first-forbidden unique (1u) transitions (\(\Delta J = 2, \pi_i\pi_f = -1\)). Unique transitions are of special interest, because in the probability ratio of radiative to non-radiative decays, the nuclear matrix elements cancel out and the process should be governed solely by electromagnetic interactions. For \(^{41}\text{Ca}\), the total probability of the REC process, per non-radiative decay, was found to be 6 times larger than the detailed prediction of the Zon and Rapoport model [2].

\(\text{To explain this finding, a hypothesis of the so called detour transitions was considered by Kalinowski [5, 6] following an idea of Ref.[7]. According to it, a large part of radiation is emitted by a nucleus in addition to the IB mechanism. It was argued that such a nuclear contribution is particularly significant in case of 1u decays, where the combination of an allowed GT EC decay with a nuclear E1 gamma transition, may compete with the direct nuclear 1u transition accompanied by an IB photon. Apparently, for the case of 41Ca this hypothesis could fully account for the missing intensity found by the experiment [5, 6]. Surprisingly, in another case of the 1u REC — the decay of \(^{203}\text{Tl}\) [8] — an opposite situation was observed. The intensity of the measured REC spectrum was found to be smaller by a factor of 4 than the predictions of the Zon and Rapoport model. Thus, no room for detour transitions was left, since their contribution is always positive in the model of Kalinowski.}

To solve this conundrum, Pachucki et al. have undertaken a new approach to description of the REC process [9]. The main ingredient of the proposed model was the description of the electromagnetic field in the length gauge [10] in contrast to the Coulomb gauge used in all previous calculations. The key point is that although the predictions are gauge-invariant, the particular length gauge is strongly preferred for the actual calculation in this case. One reason is a suppression of the nuclear contributions, which allows to neglect the detour transitions. The new model was found to agree very well with experimental spectra of \(^{41}\text{Ca}\) and \(^{203}\text{Tl}\) [9].

In this paper, we report on the REC measurements for the third case of 1u transitions — the decay of \(^{81}\text{Kr}\). This nucleus, with an intermediate mass and atomic number, located between \(^{41}\text{Ca}\) and \(^{203}\text{Tl}\), suits very well as a testing case for the theory of radiative electron capture accompanying forbidden decays. In addition, this is the last case known where the radiation accompanying a first-forbidden unique (1u) decay can be measured for the pure ground-state-to-ground-state transition, which makes it

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experimentally feasible.

1. Decay properties of $^{81}$Kr

The 7/2$^+$ ground state of $^{81}$Kr decays with the probability of almost 100% to the 3/2$^-$ ground state of $^{81}$Br with the half-life of 2.3 × 10$^5$ y [11]. This transition is of the first-forbidden unique type. The decay energy $Q_{EC}$ amounts to $(280.8 \pm 0.5)$ keV [11], so that the decay can proceed only by an electron capture process. A small branch of the $^{81}$Kr decay feeds the first excited state of $^{81}$Br at 276 keV with the spin/parity of 5/2$^-$. The branching for this first forbidden non-unique transition was measured by Åkesson et al. to be $3.0(2) \times 10^{-3}$ [12]. However, the decay energy for this weak branch equals only 4.7 keV and is much smaller than the K-electron binding energy in a bromine atom which amounts to $B_K = 13.47$ keV. Therefore, the transitions to the excited state in $^{81}$Br proceed only by electron captures from higher shells. In turn, the K-electron capture can occur only in a ground-state to ground-state transition. Thus, by a condition of coincidences with Br KX-rays one can select pure 1u K-capture transitions between ground states of $^{81}$Kr and $^{81}$Br. The maximum energy available for REC photons in the K-capture is given by $q_K = Q_{EC} - B_K$, which leads to $q_K = 267.3$ keV.

II. EXPERIMENTAL TECHNIQUE

A. $^{81}$Kr source

The activity of $^{81}$Kr was collected at CERN-ISOLDE [13], using a proton beam of 1 GeV energy that induced spallation reactions in a $^{93}$Nb production target. The reaction products were ionized in a surface ion-source, accelerated to a kinetic energy of 50 keV and subsequently mass separated by means of the GPS separator tuned for the transmission of the particles with $A = 81$. The selected products were implanted in an aluminum catcher foil of 10 mg/cm$^2$ thickness. Krypton ions, although produced in the reaction, are not ionized and extracted from the source with any significant efficiency. However, rubidium is readily ionized with high yield and it was thus primarily $^{81}$Rb ions that were implanted, with some additional component of $^{81}$Sr. These implanted ions, having the half-life of 4.88 h and 22.2 m respectively, eventually decayed to $^{81}$Kr forming the source of interest.

To avoid sputtering of already implanted atoms by the impinging beam, the position of the foil was changed. After the irradiation, the foil was left in the chamber for a few days to let the short-lived precursor activity decay out. The final source material was collected on two foils in two irradiation sessions, in 1998 and in 2000, yielding six active spots, each having the area of a few mm squared.

Two longer-lived contaminants were found in the source, stemming from incomplete suppression of neighbouring isotopes in the mass separator: $^{82}$Sr ($T_{1/2} = 22.5$ days) and $^{85}$Rb ($T_{1/2} = 86.2$ days). Their gamma ray activity, in particular Kr- KK rays, makes the $^{81}$Kr REC measurements very difficult. Instead of undertaking any chemical purification of the source, we decided to wait few years until these contaminants have decayed. The activity of $^{81}$Kr and of the contaminants was monitored. The gamma and KK spectra of collected samples, measured in 2001 and 2003, are shown in Figs. 1 and 2.

![Fig. 1: Singles gamma-ray spectra of the $^{81}$Kr source taken in November 2001 (upper panel) and in March 2003 (lower panel). Gamma transitions following decays of contaminant $^{82}$Rb atoms are seen as well as a 276 keV line from a decay of $^{81}$Kr to the 5/2$^-$ excited state in $^{81}$Br.](image1)

Finally, in 2005 the influence of $^{85}$Rb was found to be negligible. The active spots were carefully cut out from the catcher foils and placed on a perspex disk 1 mm thick and 2 cm in diameter and covered tightly with a thin mylar foil. The number of $^{81}$Kr atoms in the final source was measured to be $4.1(2) \times 10^{15}$.

B. Photon spectrometry

Measurements were performed in the Institute of Experimental Physics at the Physics Department of Univer-
sity of Warsaw. To select the K-capture component, the
REC spectrum was measured in coincidence with the Br-
KX rays. REC photons were recorded with an ORTEC
GMX 45% Ge detector. The X-rays were measured by
means of an ORTEC LOAX spectrometer. Both detec-
tors had 0.5 mm thick beryllium windows.

The source was mounted between the two detectors in
a close face-to-face geometry, with the surface covered
with mylar foil directed towards the X-ray detector. The
whole setup was placed inside a lead shielding of 10 cm
thickness. The inside of the shielding was covered with
1.2 mm thick cadmium sheets and 8 mm thick copper
plates.

A single DGF-4C CAMAC module [14] was used to
process electronic signals from both detectors. The
preamplifier outputs were directly connected to the two
inputs of the DGF-4C module. Each input signal was dig-
itzed with a 40 MHz frequency followed by a real-time
digital signal processing which included time stamping.
Output data were read out by a PC computer and stored
on a hard disk. In the off-line analysis, the coincidence
relationships between signals could be restored with help
of the time stamps. In addition, a 1 Hz pulser was con-
ected to the test inputs of both preamplifiers and to
a free running scaler. Comparison of the scaler readings
with the number of counts recorded by the DGF-4C mod-
ule allowed to estimate and to monitor the dead time of
the acquisition system.

The long coincidence sessions (1-2 days) were altern-
ated with short (ca. 10 min.) singles measurements
necessary for the KX-rays intensity monitoring.

Two series of measurements were carried out. In the
first one, in 2005, the total time of $\gamma$-KX coincidence
runs amounted to 925.7 h ($\approx 38.6$ days). The average
rate of singles Br-KX rays was determined to be 107.7(5)
counts/s. After all runs, the $^{81}$Kr source was removed
and the background was measured in the same coinci-
dence conditions for 113 h.

In the second series of measurements, in 2007/2008,
the $\gamma$-KX coincidences were collected for total of 78
days. The average singles intensity of Br-KX rays over
this period was found to be 95.1(5) counts/s. This
time, however, for reasons explained in the following,
the $^{81}$Kr runs were alternated with background $\gamma$-KX
coincidences. The total time of background coincidence
measurements was 71 days. The total time of the second
experiment amounted to 190 days.

C. Calibrations and corrections

The calibrations of both Ge detectors, including the
efficiency calibration of the GMX detector, were performed
with help of standard sources: $^{57}$Co, $^{60}$Co, $^{137}$Cs, $^{152}$Eu,
$^{203}$Hg, and $^{241}$Am. In order to avoid summing effects,
the full-energy peak efficiency of the GMX detector was mea-
sured in a far geometry with all sources and in the close
gap geometry, corresponding to the $^{81}$Kr source position, only
with $^{241}$Am, $^{203}$Hg, and $^{137}$Cs, in which no summing oc-
curs. Then, the far-geometry curve was scaled to the
close-geometry position based on the efficiency ratios de-
termined with the latter sources. The finally determined
efficiency of the GMX detector at 100 keV amounted to
about 14% for both runs of $^{81}$Kr measurements.

The performance of the coincidence circuitry was
tested with a $^{133}$Ba source measured in a far geometry to
avoid summing effects. From the decay scheme of $^{133}$Ba
the probabilities of $\gamma$-line emission per K-capture were
determined for the lines at 81 keV, 276 keV, 302 keV, 356
keV, and 384 keV. These values were compared with the
measured $\gamma$-KX coincidences yielding the coincidence ef-

ciciency consistent with 100% within error bars of a few
percent for all tested energies.

Since the REC spectrum of photons is continuous, it
has to be corrected for the Compton scattering. To es-
timate the magnitude and shape of the corresponding
correction, the response of the GMX detector to mono-
energetic radiation had to be determined. First, the re-
response was measured with help of sources: $^{241}$Am, $^{57}$Co,
$^{203}$Hg, and $^{137}$Cs. Then, the spectra were compared with
Monte-Carlo simulations performed with the GEANT
package [15]. The effective dimensions of the detection
set-up were adjusted to obtain a satisfactory agreement
between the measured and simulated spectra. This al-
lowed to calculate the detector response to the radiation

![Graph](image_url)
of arbitrary energy within the range of interest.

D. Background

Selection of the K-component of the REC spectrum requires coincidences with KX-rays of the daughter atom. Usually, such condition practically removes any background contribution to the measured gamma spectrum. However, in case of $^{81}$Kr, the energy values of Br-KX rays, 11.9 keV and 13.3 keV, unfortunately happen to overlap with LX-ray energies of heavy elements, like protactinium and thorium. Since some radioactive isotopes of these elements belong to natural radioactivity chains, traces of them appear in the surroundings, mainly in lead bricks. Coincidence conditions result in a selection of those gamma rays from their decays which are coincident with transitions strongly converted on the L-shell. An example of background gamma spectrum, measured without the $^{81}$Kr source but with the same coincidence conditions, in particular with a gate on Br-KX energy range in the LOAX detector, is shown in Fig. 3.

![Background gamma spectrum](image)

**FIG. 3:** The background gamma spectrum gated by radiation in the Br-KX ray energy range detected in the LOAX detector. The total measurement time was 70 days.

The strongest $\gamma$ lines present in Fig. 3 are identified as emitted by members of uranium-radium and actinium natural radioactivity chains, starting from $^{238}$U and $^{235}$U, respectively. For example, a strong line at 63.3 keV is emitted from $^{244}$Pa after the $\beta^-$ decay of $^{244}$Th (itself a decay product of $^{235}$U). This line is seen because of coincidence with an E2 transition of 29.5 keV which has a large L-shell conversion coefficient in protactinium. Another L-converted transition of 20.0 keV (M1+E2) in $^{244}$Pa, is in coincidence with the 92.4 keV gamma line which can also be seen in the spectrum. Two other strong lines, at 143.8 keV and 185.7 keV, correspond to transitions between states in $^{231}$Th fed by $\alpha$ decay of $^{235}$U. Both are coincident with a 19.6 keV M1+E2 transition which is strongly L-converted.

The contribution of natural background to the REC spectrum was identified after the first series of measurements when the coincidence run without the $^{81}$Kr source was taken only for 113 h. Therefore, in the second series, the coincident background runs were alternated with REC runs, so that the background spectrum with much higher statistics was accumulated.

III. RESULTS

A. REC spectrum

The K-component of the REC spectrum of $^{81}$Kr was determined separately from both measurement series by applying the following procedure. First, the spectrum of time differences between $\gamma$-ray and KX-ray events was created. The peak in this spectrum allows to discriminate the true KX-$\gamma$ coincidence events from a flat background representing random coincidences. Then, by appropriate gating on the X-ray coordinate to select Br-KX rays and on the spectrum of time differences, the spectrum of $\gamma$ rays coincident with Br-KX rays was constructed for all runs measured with the $^{81}$Kr source. Exactly the same gating procedure was used to determine the $\gamma$ spectrum of background coincidences, discussed in the previous section. After normalization, the background spectrum was subtracted from the former one yielding the raw REC spectrum of $^{81}$Kr accompanying the K-shell electron capture. As an example, the spectra from the second experiment are shown in Fig. 4. The total number of counts in the REC spectrum is 16900, which corresponds to about 9 coincident events per hour.

In the next step, the correction for Compton scattering is introduced. Calculated contributions of each $\gamma$-energy bin are subtracted one by one, starting from the high-energy end (a peeling-off method). This correction is largest at low energy where contributions from all higher-energy bins add up, and amount to about 30%. The corrected REC spectrum is shown in Figure 4 by points with the error bars.

Finally, the absolute normalization of the REC spectrum is made. Each bin of the Compton-corrected REC spectrum is divided by the corresponding full-energy peak efficiency of the GMX detector and by the total number of Br-KX rays recorded by the LOAX detector during the all $^{81}$Kr coincidence runs. This number was determined from the singles KX-ray measurements and includes corrections for the dead-time in both the singles and coincidence runs. Such a procedure yields the probability distribution of the REC photon energy normalized to ordinary, non-radiative K-capture rate. This final REC energy spectrum can be directly compared to theoretical predictions. The resulting distributions from two series of measurements are presented in Figure 5.
B. Theoretical models

In a very general way, the probability of radiative electron capture from the 1S state (K-capture) in which a photon in the energy range \((k, k + dk)\) is emitted, per ordinary, non-radiative K-capture can be written as [1]:

\[
\frac{dw_{K}^{REC}(k)}{w_{K}} = \frac{\alpha}{\pi(m_{e}c^{2})^{2}} \frac{k(q_{K} - k)^{2}}{q_{K}^{3}} R_{K}(k) dk,
\]

where \(\alpha\) is the fine structure constant, \(m_{e}c^{2}\) is the electron rest energy, \(q_{K}\) is the photon end-point energy, and the dimensionless function \(R_{K}(k)\) is the shape factor of the spectrum. In the simplest Coulomb-free, non-relativistic approximation, valid for allowed nuclear transitions \(R_{K}(k) \equiv 1\) [16].

In a more appropriate theoretical approach which takes into account both relativistic effects and the influence of the Coulomb field, and which is valid for first-forbidden unique nuclear transition, the shape factor is given by:

\[
R_{K}^{1u}(k) = \left(1 - \frac{k}{q_{K}}\right)^{2} R_{K}^{(1)}(k) + \left(\frac{k}{q_{K}}\right)^{2} R_{K}^{(2)}(k),
\]

where the functions \(R_{K}^{(1)}\) and \(R_{K}^{(2)}\) describe the effects of the Coulomb interaction between the nucleus and the radiating electron. Usually, these functions have to be calculated numerically for each specific case.

The first advanced description of REC in forbidden transitions was provided by Zon and Rapoport [3, 4], who extended a framework for allowed decays, developed previously by Glauber and Martin [17, 18], and generalized it to nuclear transitions of any order of forbiddenness. In this model both functions, \(R_{K}^{(1)}\) and \(R_{K}^{(2)}\), approach unity in the Coulomb-free limit \((\tilde{Z} \to 0)\). The model of Zon and Rapoport was found to fully reproduce the earlier results of Glauber and Martin for allowed decays which were quite well confirmed experimentally [1]. However, both the full and the Coulomb-free versions of this model failed to describe the REC spectrum measured in case of \(1u\) decays in \(^{41}\text{Ca}\) and \(^{204}\text{Ti}\) [2, 8].

This observation motivated Pachucki et al. to reconsider the problem of REC in forbidden decays following a different approach [9]. The important conclusion from that work was that, although final results must not depend on a particular choice of gauge for the electromagnetic field, the Coulomb gauge applied by previous authors has rather unfortunate consequences when, as exemplified in the work of Zon and Rapoport, the approximations may later result in diverging terms. In addition, in the Coulomb gauge one has to include contributions from nuclear degrees of freedom. In turned out that the adoption of a different gauge for the electromagnetic field, so called length gauge, simplifies calculations considerably and avoids, in fact, the difficulties mentioned before. In particular, it was demonstrated that in the length gauge the contribution form the nuclear (detour) transitions can be neglected [9].

The results of Pachucki et al. confirmed all previous predictions for allowed transitions as well as the detailed
form of the function $R_K^{(1)}$, appearing in eq. (2). However, a different form was derived for the second function — $R_K^{(2)}$, which is the one affected by a diverging term in the model of Zou and Rapoport. In particular, the Coulomb-free limit for this function was found to be [9]:

$$\lim_{\varepsilon \to 0} R_K^{(2)}(k) = 1 + \frac{m_e c^2}{k} + 2 \left( \frac{m_e c^2}{k} \right)^2,$$  

which obviously differs from 1.

The model of Pachucki et al. was found to be in almost perfect agreement with measured spectra in $^{43}$Ca and in $^{208}$Tl [9]. Its prediction for the present case of $^{81}$Kr is plotted in Figure 5 with the solid line. The result of the Coulomb-free limit and of the Zou and Rapoport model are also shown.

### C. 18 shape factor

The shape of the REC spectrum, contributions of different terms, and comparison with data can be shown in detail by plotting the dimensionless shape factor of the spectrum, $R_k(k)$. The experimental shape factors were extracted from the determined REC spectra with help of Eq. (1). The values obtained are shown in Figure 6 which contains also theoretical predictions.

As seen in Figs. 5 and 6, there is a systematical difference between results obtained from two experiments. The collected statistics in the first series was smaller which is partly reflected in larger errors. In addition, the correction for background was less accurate in the first experiment, which could result in a systematical shift. Since the investigated effect is small and the rate of coincidences was very low, a proper control of possible systematical effects was difficult. We note however, that each spectrum taken separately would lead to the same conclusion. The prediction of Pachucki et al. reproduces quite well both experimental spectra, in contrast to the model by Zou and Rapoport.

### D. Total intensity

The total probability of the K-component of the REC spectrum per non-radiative K-capture, calculated by integrating the spectrum from 50 keV to the end-point, is given in Table 1 together with values obtained from theoretical models. The weighted average of the total intensity from two measurements equals to $1.47(6) \cdot 10^{-4}$ per K-capture.

The value of total intensity from both measurements, as well as their average, are closest to the prediction of Pachucki et al. It is interesting to note the large difference between the Coulomb-free limits of both theories. In case of Pachucki et al., this approximation overestimates the spectrum intensity. The inclusion of Coulomb and relativistic effects reduces the intensity to the value

<table>
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<th>Experiment</th>
<th>Pachucki et al.</th>
<th>Zou and Rapoport</th>
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<th>Full</th>
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<td>1.2(1)</td>
<td>1.37</td>
<td>4.00</td>
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which almost perfectly agrees with the experiment. The model of Zou and Rapoport behaves in the opposite way. The Coulomb-free limit lies below the full calculation, which in turn is still much lower than the experimental values.

### IV. CONCLUSIONS

We have measured the spectrum of photons accompanying the first-forbidden unique electron capture decay of $^{81}$Kr. By detecting $\gamma$-rays in coincidence with Br-KX rays we have selected the pure K-component of radiative electron capture (REC) taking place between ground states of $^{81}$Kr and $^{81}$Br. From the measured spectra we have extracted the absolute probability of REC emission per normal, non-radiative K-electron capture as a function of photon energy, as well as the shape factor of the photon spectrum. Both the shape and intensity of the
REC spectrum are found to be well reproduced by the recent theoretical model of Pachucki et al. [9], while the strong disagreement is found with predictions of the old model by Zon and Rapoport [3, 4]. Thus, $^{81}\text{Kr}$ is the third case displaying REC in a $\nu_\mu$ nuclear transition, in addition to $^{41}\text{Ca}$ and $^{204}\text{Tl}$, which strongly supports the approach taken by Pachucki et al. In contrast, the model of Zon and Rapoport fails to describe correctly the probability and shape of the REC spectrum in all these three cases.

The important feature of the first-forbidden unique decays is that they are governed predominantly by a single nuclear matrix element. In the probability ratio of the radiative capture to the ordinary, non-radiative one, which is determined in experiment, this nuclear matrix element cancels out. Thus, the result should not depend on detailed knowledge of nuclear wave functions and should be fully determined by the electro-weak sector alone. An important consequence is that the theoretical models discussed do not contain any adjustable parameters. The predictions are fully determined by the mass and atomic numbers of the decaying nucleus and by the maximal photon energy, related to the decay energy $Q_{EC}$.

The main difference between the two theoretical models discussed is the selected gauge of electromagnetic field. While the Zon and Rapoport use the Coulomb gauge, Pachucki et al. apply the length gauge. Our conclusion is that the latter is preferred. The reason is that calculations in the length gauge are technically simpler and do not require additional approximations. Also, Zon and Rapoport introduced approximations which were not valid and led to a diverging term which was found to be responsible for the final failure in comparison with the experiment. Moreover, in the length gauge the contribution from nuclear degrees of freedom to the emitted radiation can be shown to be negligible, while this is not true in case of the Coulomb gauge.

The $\nu_\mu$ decays of $^{41}\text{Ca}$, $^{81}\text{Kr}$, and $^{204}\text{Tl}$ are the only known where the pure transition between ground states can be selected. Thus, only in such cases, the weak radiative branch can be determined with sufficient accuracy. Additional tests of this alternative description of REC are in principle possible with transitions of second-forbidden non-unique type ($2\mu$: $\Delta J = 2$, $\pi \sigma = +1$). To this class belong $^{59}\text{Ni}$ and $^{137}\text{La}$ in which K-component of REC spectrum was measured [19, 20]. The results obtained for $^{59}\text{Ni}$ disagree with Zon and Rapoport predictions, while the spectrum for $^{137}\text{La}$ could not be shown to contradict them. However, in case of $2\nu_\mu$ decays, the REC spectrum depends on one additional parameter which represents a ratio of nuclear matrix elements. Since these matrix elements are not known, and are difficult to estimate, this parameter adds an extra degree of freedom. In consequence, the comparison between an experiment and the theory is not as unambiguous as in case of $\nu_\mu$ transitions. Nevertheless, in the future we plan to compare the measured spectra of $^{59}\text{Ni}$ and $^{137}\text{La}$ with predictions of the new model of Pachucki et al.

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