

# VIRTUAL CONSTRAINTS ON LIE GROUPS

18TH YOUNG RESEARCHERS WORKSHOP IN GEOMETRY, DYNAMICS AND  
FIELD THEORY

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# VIRTUAL CONSTRAINTS

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Virtual constraints are relations on the configuration variables of a control system which are imposed **through feedback control** and the action of actuators, **instead of through physical connections** such as gears or contact conditions with the environment.

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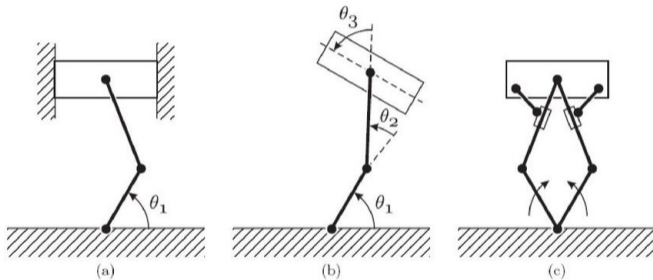
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**FIGURE:** (a) Piston constrained to move in a cylinder. (b) Piston without constraints. (c) Piston constrained via additional links to evolve in the cylinder.

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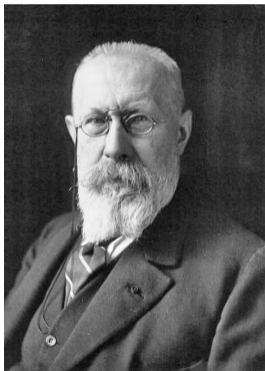
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**FIGURE:** Paul M. Appell in *Exemple de mouvement d'un point assujéti à une liaison exprimée par une relation non-linéaire entre les composantes de la vitesse*, (1911).

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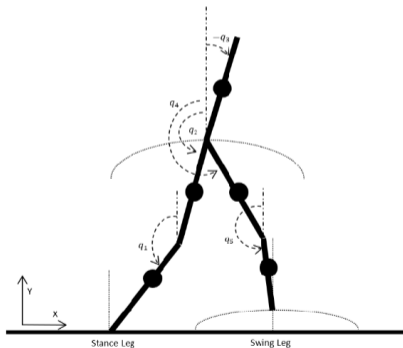
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(A) Bipedal locomotion

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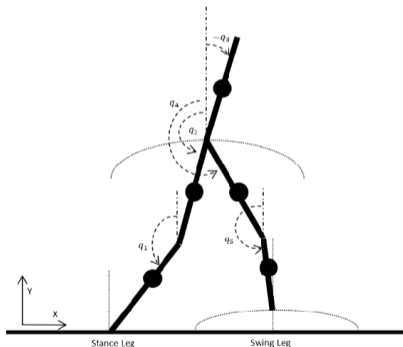
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(A) Bipedal locomotion



(B) University of Michigan's Cassie and MARLO robots.

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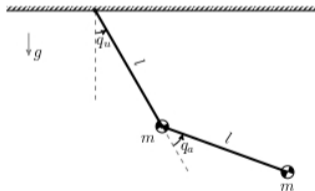
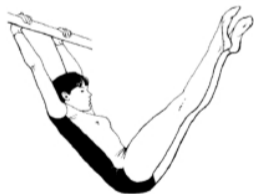
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(A) Gymnastics

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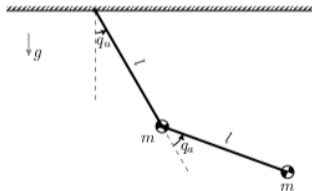
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(A) Gymnastics



(B) Prosthesis leg



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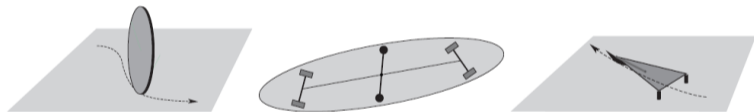
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Nonholonomic constraints are determined by a **non-integrable distribution**  $\mathcal{D} \subseteq TQ$ .



**FIGURE:** Examples of nonholonomic systems: Rolling disk, Snakeboard, and Sleigh

# RIEMANNIAN GEOMETRY & LIE GROUPS

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Let  $(G, \langle \cdot, \cdot \rangle)$  be a **Riemannian manifold** where

- $G$  is a smooth manifold
- $\langle \cdot, \cdot \rangle$  is a positive-definite symmetric covariant 2-tensor field called the *Riemannian metric*

For each point  $g \in G$ , we assign a positive-definite inner product

$$\langle \cdot, \cdot \rangle_g : T_g G \times T_g G \rightarrow \mathbb{R}.$$

From this Riemannian metric we define the **Levi-Civita affine connection**,  $\nabla$ , which

- the product rule  $\nabla_X(fY) = X(f)Y + f\nabla_X Y$ ,
- preserves the metric i.e.  $\nabla \langle \cdot, \cdot \rangle = 0$  and
- it is torsion-free, i.e.  $\nabla_X Y - \nabla_Y X = [X, Y]$ , for all vector fields  $X, Y$  on  $G$  and  $f \in C^\infty(Q)$ .

# RIEMANNIAN GEOMETRY & LIE GROUPS

Let  $G$  be a **Lie group** and  $\mathfrak{g} = T_e G$  be its Lie algebra.

Consider the right-translation map by  $g$  denoted by  $R_g : G \rightarrow G$  which provides a group action of  $G$  on itself under the relation  $R_g h := hg$  for all  $g, h \in G$ .

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- A vector field,  $X$ , is called *right-invariant* if  $(R_g)_* X = X$  for all  $g \in G$  and for every Lie algebra element  $\xi \in \mathfrak{g}$  we define a right-invariant vector field by  $\xi_R(g) = (R_g)_* \xi$  for all  $\xi \in \mathfrak{g}$  and  $g \in G$ .

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- Given any inner-product  $\langle \cdot, \cdot \rangle_{\mathfrak{g}}$  on  $\mathfrak{g}$ , right-translation defines a *right-invariant* Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $G$  via the relation:

$$\langle u_g, v_g \rangle := \langle T_g R_{g^{-1}} u_g, T_g R_{g^{-1}} v_g \rangle_{\mathfrak{g}},$$

for all  $g \in G, u_g, v_g \in T_g G$ , where  $T_g R_{g^{-1}}$  denotes the tangent map of  $R_{g^{-1}}$  at the point  $g$ .

# RIEMANNIAN GEOMETRY & LIE GROUPS

With the Levi-Civita affine connection on  $G$  that corresponds to the right-invariant Riemannian metric above, we construct a bilinear map  $\nabla^{\mathfrak{g}} : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  defined by

$$\nabla_{\xi}^{\mathfrak{g}} \eta := (\nabla_{\xi_R} \eta_R)(e),$$

which is called *Riemannian  $\mathfrak{g}$ -connection*<sup>1</sup>.

The equations of motion are

$$\dot{\xi} + \nabla_{\xi}^{\mathfrak{g}} \xi = 0,$$

where  $\xi = \dot{g}g^{-1}$ .

---

<sup>1</sup>Goodman, Jacob R., Colombo, Leonardo J. Reduction by symmetry in obstacle avoidance problems on riemannian manifolds. SIAM Journal on Applied Algebra and Geometry, 2024, vol. 8, no 1, p. 26-53.

# THE NONHOLONOMIC CONSTRAINTS

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# THE NONHOLONOMIC CONSTRAINTS

Consider constraints that defines a distribution,  $\mathcal{D}$ , given by a set of vectors that satisfy

$$\mu_i(\mathbf{g})\dot{g}^i = 0, \quad i = 1, \dots, m, \quad \text{with } m < n.$$

$\mathcal{D}$  is a **right invariant** distribution if the fiber at each point is given by

$$\mathcal{D}_g = T_e R_g(\mathfrak{d}),$$

where  $\mathfrak{d}$  is a vector subspace of the Lie algebra  $\mathfrak{g}$ .



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Orthogonal projections  $\mathfrak{P} : \mathfrak{g} \rightarrow \mathfrak{d}$  and  $\mathfrak{Q} : \mathfrak{g} \rightarrow \mathfrak{d}^\perp$ .

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Orthogonal projections  $\mathfrak{P} : \mathfrak{g} \rightarrow \mathfrak{d}$  and  $\mathfrak{Q} : \mathfrak{g} \rightarrow \mathfrak{d}^\perp$ .

The nonholonomic  $\mathfrak{d}$ -connection  $\nabla^\mathfrak{d} : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ :

$$\nabla_\xi^\mathfrak{d}\eta = \left( \nabla_{\xi_R}^{nh} \eta_R \right) (e) \quad \text{or equivalently} \quad \nabla_\xi^\mathfrak{d}\eta = \nabla_\xi^{\mathfrak{g}}\eta + (\nabla_\xi^{\mathfrak{g}}\mathfrak{Q})(\eta).$$

The equations of motion are

$$\dot{\xi} + \nabla_\xi^\mathfrak{d}\xi = 0.$$

# THE CONTROL SYSTEM

Consider now the following control system

$$\dot{\xi} + \nabla_{\xi}^0 \xi = u^a f_a, \quad \dot{g} = T_e R_g(\xi),$$

where  $\{f_a\}$  with  $a = 1, \dots, m$  is the set of vectors which are called **force vectors** (or **control forces**) and define

$$\mathfrak{f} = \text{span}\{f_1, \dots, f_m\}$$

which is called the **control input** subspace.

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A **virtual nonholonomic constraint** associated with the mechanical system above is a controlled invariant subspace  $\mathfrak{d}$  of  $\mathfrak{g}$ .

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A **virtual nonholonomic constraint** associated with the mechanical system above is a controlled invariant subspace  $\mathfrak{d}$  of  $\mathfrak{g}$ .

That is, there exist a control law  $\hat{u} : \mathfrak{d} \rightarrow \mathbb{R}^m$  making the subspace  $\mathfrak{d}$  invariant under the flow of the closed-loop system, i.e.  $\xi(0) \in \mathfrak{d}$  and  $\xi(t) \in \mathfrak{d}, \forall t \geq 0$ .

# EXISTENCE AND UNIQUENESS OF CONTROL

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## THEOREM

*If  $\mathfrak{g} = \mathfrak{f} \oplus \mathfrak{d}$ , then there exists a unique control law  $u^*$  making the subspace  $\mathfrak{d}$  a virtual nonholonomic constraint associated with the controlled mechanical system above.*

# EXAMPLE 1

Consider an homogeneous rigid body moving on the configuration space  $G = SE(3) \simeq SO(3) \times \mathbb{R}^3$ . Its Lie algebra  $\mathfrak{g} = \mathfrak{so}(3) \times \mathbb{R}^3$  is given by the base elements

$$\begin{aligned} e_1 &= ((\widehat{1, 0, 0}), \mathbf{0}), & e_2 &= ((\widehat{0, 1, 0}), \mathbf{0}), & e_3 &= ((\widehat{0, 0, 1}), \mathbf{0}), \\ e_4 &= (\hat{\mathbf{0}}, 1, 0, 0), & e_5 &= (\hat{\mathbf{0}}, 0, 1, 0), & e_6 &= (\hat{\mathbf{0}}, 0, 0, 1), \end{aligned}$$

where  $\hat{\cdot} : \mathbb{R}^3 \rightarrow \mathfrak{so}(3)$  is the hat map.

Equip  $\mathfrak{g}$  with the following inner product

$$\langle \xi, \xi \rangle = m(\dot{x}^2 + \dot{y}^2 + \dot{z}^2) + mk^2(\omega_1^2 + \omega_2^2 + \omega_3^2)$$

for  $\xi = (\omega_1, \omega_2, \omega_3, \dot{x}, \dot{y}, \dot{z}) \in \mathfrak{g}$  and  $m, k \in \mathbb{R}$ .

# EXAMPLE 1

Now suppose we would like to impose the constraints

$$\begin{cases} \omega_1 + \dot{y} = 0 \\ \omega_2 - \dot{x} = 0 \\ \omega_3 = 0 \\ \dot{z} = 0 \end{cases} .$$

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These constraints define the constraint vector subspace  $\mathfrak{d} \subseteq \mathfrak{g}$  spanned by the vectors:  $v_1 = e_1 - e_5$  and  $v_2 = e_2 + e_4$ .

# EXAMPLE 1

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A complementary vector space to  $\mathfrak{d}$  is  $\mathfrak{f} = \langle \{e_1 + e_5, e_2 - e_4, e_3, e_6\} \rangle$ .

# EXAMPLE 1

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A complementary vector space to  $\mathfrak{d}$  is  $\mathfrak{f} = \langle \{e_1 + e_5, e_2 - e_4, e_3, e_6\} \rangle$ .

Thus, by choosing **any** control vector from this space the above constraints are **virtual nonholonomic constraints**.

# INDUCED CONSTRAINED CONNECTION

Suppose that  $\mathfrak{d}$  and  $\mathfrak{f}$  are complementary so, the projections  $\mathfrak{p} : \mathfrak{g} \rightarrow \mathfrak{d}$  and  $\mathfrak{q} : \mathfrak{g} \rightarrow \mathfrak{f}$  associated to the direct sum are well-defined. Using the Riemannian  $\mathfrak{g}$ -connection we define the bilinear map  $\nabla^c$

$$\nabla_{\xi}^c \eta = \nabla_{\xi}^{\mathfrak{g}} \eta + (\nabla_{\xi}^{\mathfrak{g}} \mathfrak{q})(\eta), \quad (1)$$

where  $\xi, \eta \in \mathfrak{g}$  which we call *induced constrained connection* associated to  $\mathfrak{d}$  and  $\mathfrak{f}$ .

## REMARK

Note that if the control input subspace  $\mathfrak{f}$  is orthogonal to the controlled invariant subspace  $\mathfrak{d}$  then the constraint dynamics are precisely the nonholonomic dynamics. Indeed, since  $\mathfrak{f}$  and  $\mathfrak{d}$  are orthogonal then  $\mathfrak{f} = \mathfrak{d}^{\perp}$  and  $\mathfrak{P} = \mathfrak{p}$  (as well as  $\mathfrak{Q} = \mathfrak{q}$ ). Thus, the induced constrained connection  $\nabla^c$  is precisely the nonholonomic  $\mathfrak{d}$ -connection  $\nabla^{\mathfrak{d}}$ . Hence, the dynamics derived from the two connections coincide.

# AFFINE SPACES AND TRASVERSALITY

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For a vector space  $V$  two affine subspaces,  $W_1$  and  $W_2$ , are called **transversal** and we write  $W_1 \oplus W_2$ , if

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- 1  $V = W_1 + W_2$
- 2  $\dim V = \dim W_1 + \dim W_2$ , i.e., the dimensions of  $W_1$  and  $W_2$  are complementary with respect to the ambient space dimension.

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2  $\dim V = \dim W_1 + \dim W_2$ , i.e., the dimensions of  $W_1$  and  $W_2$  are complementary with respect to the ambient space dimension.

We consider that the dimension of an affine space is defined to be the dimension of its modelled space.



# A VIRTUAL AFFINE NONHOLONOMIC CONSTRAINT

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Consider, again, the control system

$$\dot{\xi} + \nabla_{\xi}^{\mathfrak{d}} \xi = u^a f_a, \quad \dot{g} = T_e R_g(\xi),$$

where the force vectors define an affine subspace  $\mathfrak{a}$  modelled by the subspace  $\mathfrak{d}$ .

# A VIRTUAL AFFINE NONHOLONOMIC CONSTRAINT

VIRTUAL  
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ON LIE  
GROUPS

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Consider, again, the control system

$$\dot{\xi} + \nabla_{\xi}^{\partial} \xi = u^a f_a, \quad \dot{g} = T_e R_g(\xi),$$

where the force vectors define an affine subspace  $\alpha$  modelled by the subspace  $\partial$ .

A **virtual affine nonholonomic constraint** associated with a control system is a controlled invariant affine subspace  $\alpha \subseteq \mathfrak{g}$  for that system.

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A **virtual affine nonholonomic constraint** associated with a control system is a controlled invariant affine subspace  $\mathfrak{a} \subseteq \mathfrak{g}$  for that system.

Equivalently, as before, there exists a control law making  $\mathfrak{a}$  invariant for the closed-loop system, i.e.,  $\xi(t) \in \mathfrak{a}$ , whenever  $\xi(0) \in \mathfrak{a}$ .

# EXISTENCE AND UNIQUENESS OF CONTROL

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## THEOREM

*If the affine subspace  $\alpha$  and the control input subspace  $\mathfrak{f}$  are transversal, then there exists a unique control function making the affine subspace,  $\alpha$ , a virtual affine nonholonomic constraint associated with the control system.*

## EXAMPLE 2

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Consider a rigid body with an internal rotor. The configuration space is  $G = \text{SO}(3) \times \mathbb{S}^1$  with Lie algebra  $\mathfrak{g} = \mathfrak{so}(3) \times \mathbb{R}$ .

Equip  $\mathfrak{g}$  with the following inner product

$$\langle \xi, \xi \rangle = \lambda_1 \omega_1^2 + \lambda_2 \omega_2^2 + \lambda_3 \omega_3^2 + 2J\omega_3 \dot{\alpha} + J\dot{\alpha}^2,$$

where  $\xi = (\omega, \dot{\alpha}) = (\omega_1, \omega_2, \omega_3, \dot{\alpha}) \in \mathfrak{g}$ .

## EXAMPLE 2

Suppose, now, we would like to control the rigid rotor such as to satisfy the constraint

$$(J - k\lambda_3)\omega_3 + J(1 - k)\dot{\alpha} = p,$$

for some  $k \in \mathbb{R}$  and  $p \in \mathbb{R}$ .

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These constraints define an affine subspace,  $\mathfrak{a}$ , of  $\mathfrak{g}$  whose model vector subspace is  $\mathfrak{d}$  with

$$\mathfrak{d} = \text{span}\left\{e_1, e_2, e_4 + \frac{J(1 - k)}{J - k\lambda_3}e_3\right\}.$$

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By choosing a complementary input force vector space  $\mathfrak{f}$  defined by

$$\mathfrak{f} = \text{span}\left\{-\frac{J}{D}e_3 + \frac{\lambda_3}{D}e_4\right\},$$

where  $D = J\lambda_3 - J^2$ , we are sure that there is a unique control law making the **affine constraint control invariant**.



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# FUTURE WORK

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For future work, we aim to

- extend the theory to homogeneous spaces and infinite dimensional Lie groups
- broaden the spectrum of application of virtual constraints
- implement the theory to robotic systems

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Thank you!

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