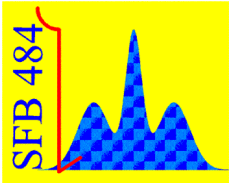


# Correlated quantum particles in crystal and optical lattices

Krzysztof Byczuk

Institute of Theoretical Physics  
Department of Physics  
University of Warsaw



*April 03rd, 2009*



# Collaboration

Dieter Vollhardt - Augsburg University

Walter Hofstetter - Frankfurt University

Marcus Kollar - Augsburg University

Anna Kauch - Augsburg University

Philipp Werner - ETH Zurich

many others

# Aim of this talk

## CORRELATIONS

- What is it?
- How to quantify it?
- How to see it?
- Where to look for it?

# Correlation

- **Correlation** [lat.]: con+relatio (“with relation”)
- Mathematics, Statistics, Natural Science:

$$\langle xy \rangle \neq \langle x \rangle \langle y \rangle$$

The term **correlation** stems from mathematical statistics and means that two distribution functions,  $f(x)$  and  $g(y)$ , **are not independent** of each other.

- In many body physics: **correlations** are effects beyond factorizing approximations

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle,$$

as in Weiss or Hartree-Fock mean-field theories

# Spatial and temporal correlations everywhere



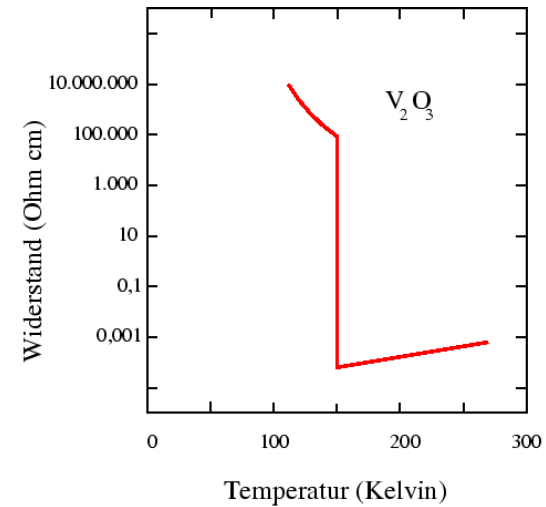
car traffic

air traffic

human traffic

electron traffic

more .....



**Abb. 3:** Beispiel eines Metall-Isolator-Übergangs: Bei Abkühlung unter eine Temperatur von ca. 150 Kelvin erhöht sich der elektrische Widerstand von metallischem Vanadiumoxid ( $V_2O_3$ ) schlagartig um das Einhundertmillionenfache (Faktor  $10^8$ ) – das System wird zum Isolator.

# Spatial and temporal correlations neglected

**time/space average insufficient**

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle = \text{disaster!}$$

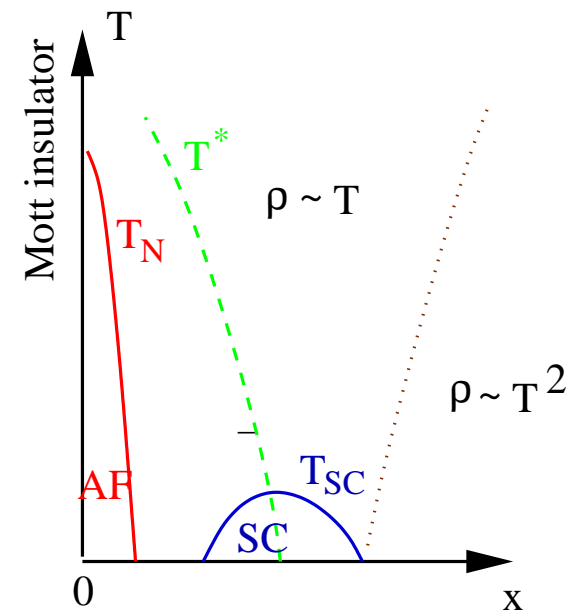
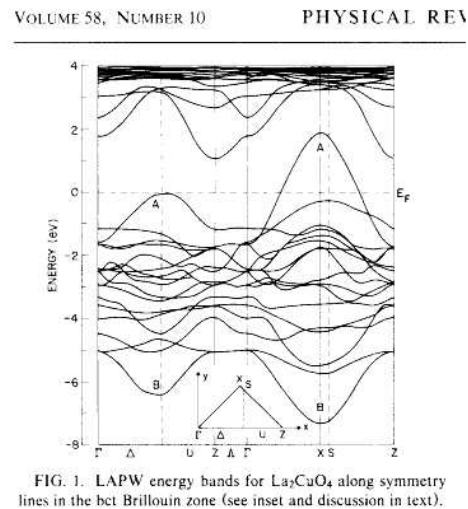


Boeing 757 and Tupolev 154 collided at 35,400ft. in 2001

Pilot of Tupolev received at the same time two conflicting (uncorrelated) instructions

# Spatial and temporal correlations neglected

## Local density approximation (LDA) disaster in HTC



$\text{LaCuO}_4$  Mott (correlated) insulator predicted to be a metal

Partially cured by (AF) long-range order ... but correlations are still missed

# Correlated electrons

**Periodic Table of Elements**

1	IA	1	H	2	0	2	He																														
3	IIA	3	Li	4	4	5	B	6	6	7	7	8	8	9	9	10	10																				
11		11	Na	12	12	13	Al	14	14	15	15	16	16	17	17	18	18																				
19		19	K	20	20	21	Sc	22	22	23	23	24	24	25	25	26	26	27	27	28	28	29	29	30	30	31	31	32	32	33	33	34	34	35	35	36	36
37		37	Rb	38	38	39	Y	40	40	41	41	42	42	43	43	44	44	45	45	46	46	47	47	48	48	49	49	50	50	51	51	52	52	53	53	54	54
55		55	Cs	56	56	57	*La	72	72	73	73	74	74	75	75	76	76	77	77	78	78	79	79	80	80	81	81	82	82	83	83	84	84	85	85	86	86
87		87	Fr	88	88	89	+Ac	104	104	105	105	106	106	107	107	108	108	109	109	110	110																









\* Lanthanide Series

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu

+ Actinide Series

90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Legend - click to find out more...

<b>H - gas</b>	<b>Li - solid</b>	<b>Br - liquid</b>	<b>Tc - synthetic</b>
 Non-Metals	 Transition Metals	 Rare Earth Metals	 Halogens
 Alkali Metals	 Alkali Earth Metals	 Other Metals	 Inert Elements

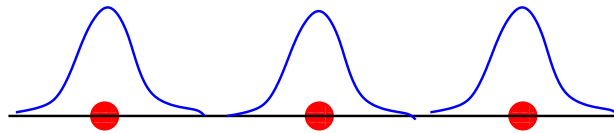
Narrow d,f-orbitals/bands → strong electronic correlations



# Electronic bands in solids

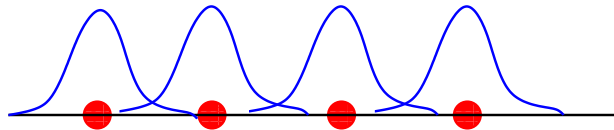
Wave function overlap  $\sim t_{ij} = \langle i | \hat{T} | j \rangle \rightarrow |E_{\mathbf{k}}| \sim \text{bandwidth } W$

Band insulators, e.g. NaCl



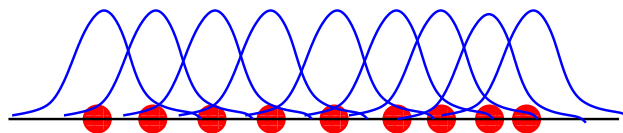
Atomic levels, **localized electrons**  $|\mathbf{R}_i\sigma\rangle$

Correlated metals, e.g. Ni,  $V_2O_3$ , Ce



Narrow bands,  $|\mathbf{R}_i\sigma\rangle \leftrightarrow |\mathbf{k}\sigma\rangle$

Simple metals, e.g. Na, Al



Broad bands, **extended Bloch waves**  $|\mathbf{k}\sigma\rangle$

# Electronic bands in solids

Mean time  $\tau$  spent by the electron on an atom in a solid depends on the band width  $W$

$$\text{group velocity } v_{\mathbf{k}} \approx \frac{\text{lattice spacing}}{\text{mean time}} = \frac{a}{\tau}$$

Heisenberg principle  $W\tau \sim \hbar$

$$\frac{a}{\tau} \sim \frac{aW}{\hbar} \implies \tau \sim \frac{\hbar}{W}$$

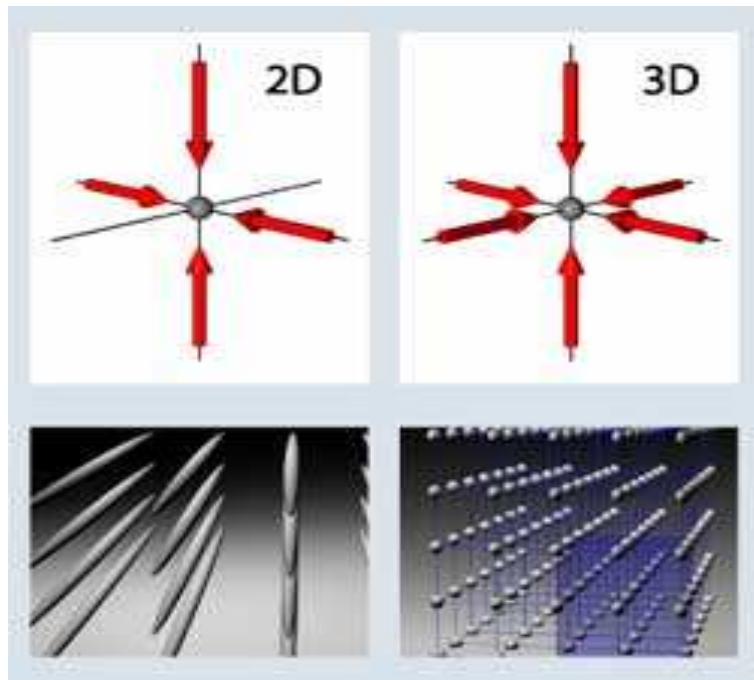
Small  $W$  longer interaction with another electron on the same atom

**Strong electronic correlations**

# Optical lattices filled with bosons or fermions

Greiner et al. 02, and other works

atomic trap and standing waves of light create optical lattices  $a \sim 400 - 500nm$

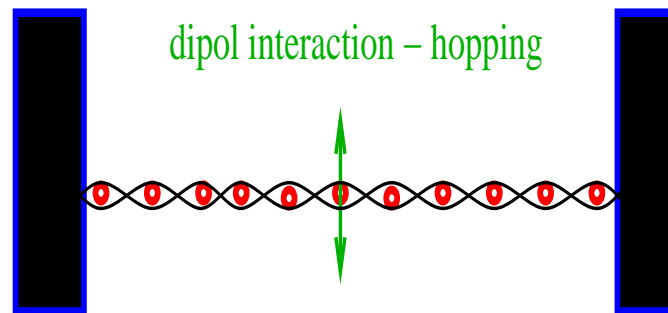


alkali atoms with  $ns^1$  electronic state  $J = S = 1/2$

$$\mathbf{F} = \mathbf{J} + \mathbf{I}$$

$^{87}\text{Rb}$ ,  $^{23}\text{Na}$ ,  $^7\text{Li}$  -  $I = 3/2$ : effective **bosons**

$^6\text{Li}$  -  $I = 1$ ,  $^{40}\text{K}$  -  $I = 4$ : effective **fermions**



atom scattering - Hubbard  $U$

$$E_{int}^{solid} \sim 1 - 4eV \sim 10^4 K, \quad E_{kin}^{solid} \sim 1 - 10eV \sim 10^5 K$$

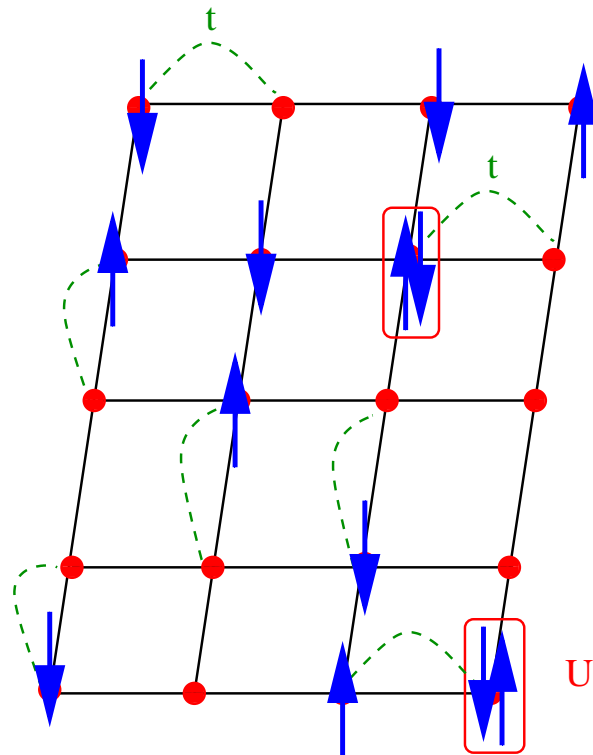
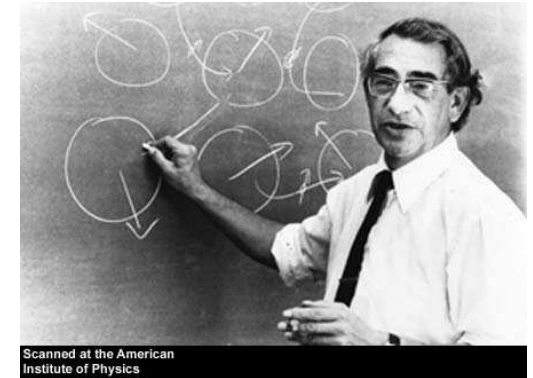
$$E_{kin}^{optical} \sim E_{int}^{optical} \sim 10kHz \sim 10^{-6} K$$

# Correlated fermions on crystal and optical lattices

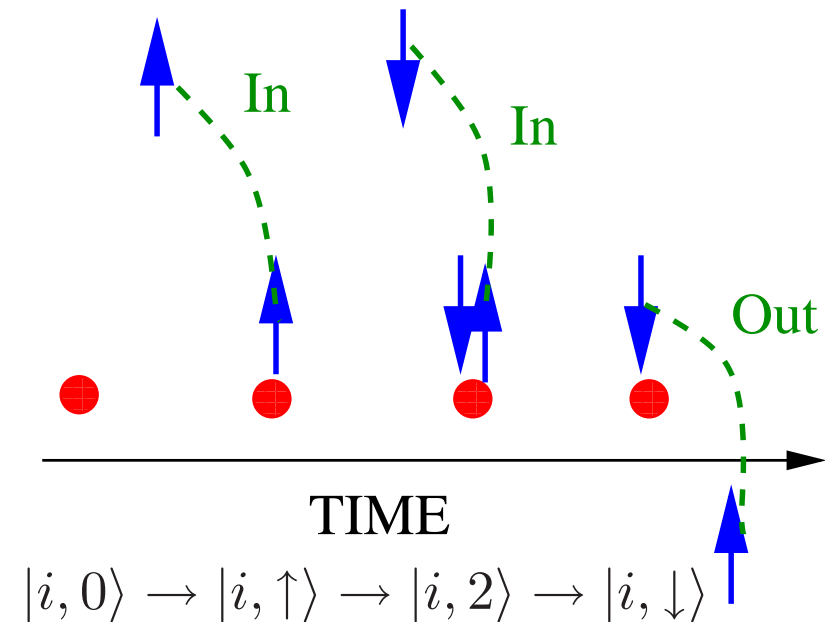
$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

## fermionic Hubbard model

P.W. Anderson, J. Hubbard, M. Gutzwiller, J. Kanamori, 1960-63



## Local Hubbard physics

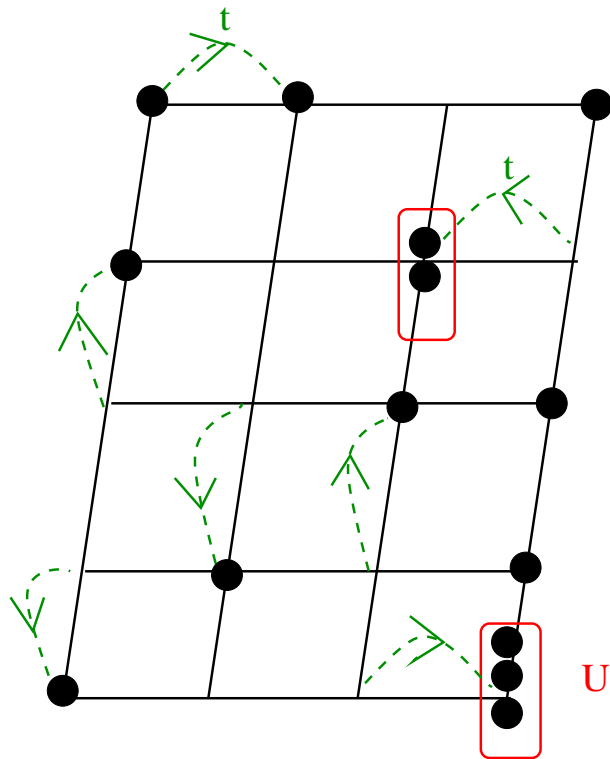


# Correlated bosons on optical lattices

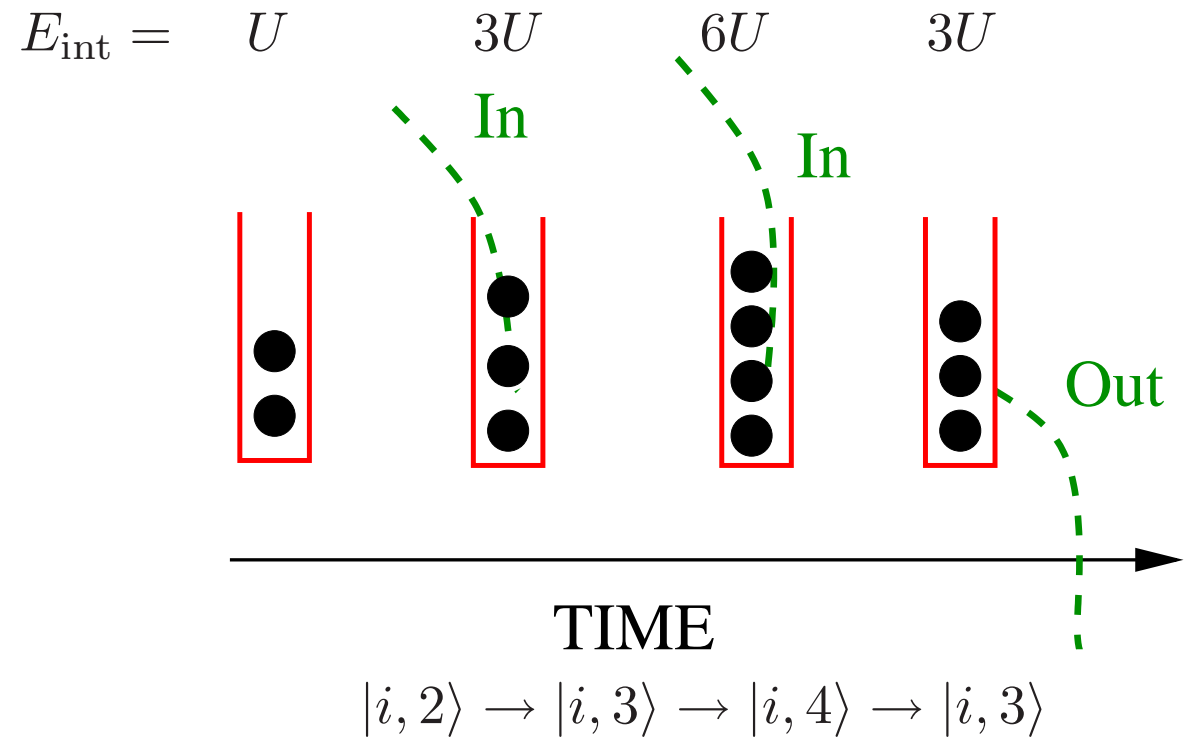
Gersch, Knollman, 1963  
 Fisher et al., 1989  
 Scalettar, Kampf, et al., 1995  
 Jaksch, 1998

bosonic Hubbard model

$$H = \sum_{ij} t_{ij} b_i^\dagger b_j + \frac{U}{2} \sum_i n_i(n_i - 1)$$



local (on-site) correlations in time



integer occupation of single site changes in time

# Origin of genuine many-body correlation

$$H = H^{\text{hopping}} + H_{\text{loc}}^{\text{interaction}}$$

$$[H^{\text{hopping}}, H_{\text{loc}}^{\text{interaction}}] \neq 0$$

# How to solve Hubbard models?

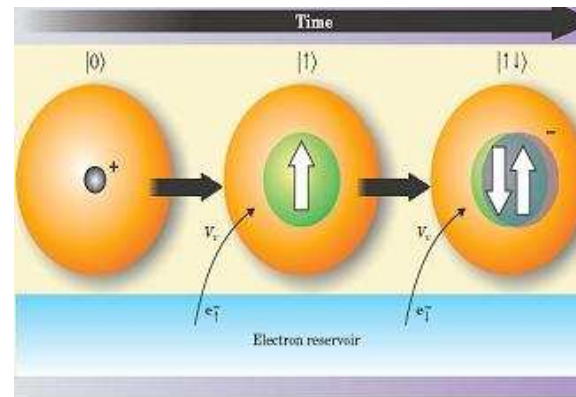
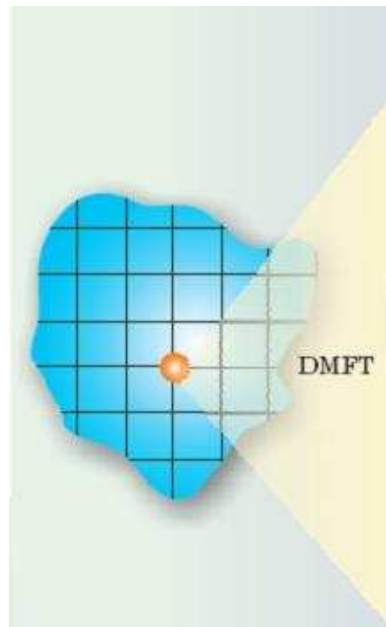
## Dynamical Mean-Field Theory (DMFT)

$$H = H^{\text{hopping}} + H_{\text{loc}}^{\text{interaction}}$$

- comprehensive (all input parameters, all temperatures, all phases, ...)
- thermodynamically consistent and conserving
- exact solution in the large dimensions (coordination number) limit
- keeps  $\langle [H^{\text{hopping}}, H_{\text{loc}}^{\text{interaction}}] \rangle \neq 0$  to describe **correlations**

# DMFT for lattice fermions

Replace (map) full many-body lattice problem by a single-site coupled to dynamical reservoir and solve such problem self-consistently



All local dynamical correlations included exactly

Space correlations neglected - mean-field approximation

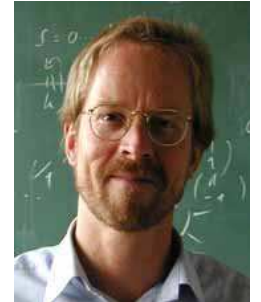


# DMFT scheme

$S_{loc}$  - local interactions  $U$  or  $J$  from a model **TB** or a microscopic **LDA** Hamiltonian



D. Vollhardt



W. Metzner

$$\hat{G} = -\langle T \hat{C}(\tau) \hat{C}^*(0) \rangle_{S_{loc}}$$

**DMFT**

$$\hat{\Sigma}$$

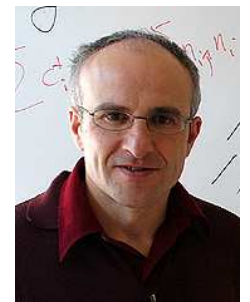
$$\hat{G}^{-1} = \hat{G}^{-1} + \hat{\Sigma}$$

$$\hat{\Sigma} = \hat{G}^{-1} - \hat{G}^{-1}$$

G. Kotliar



A. Georges

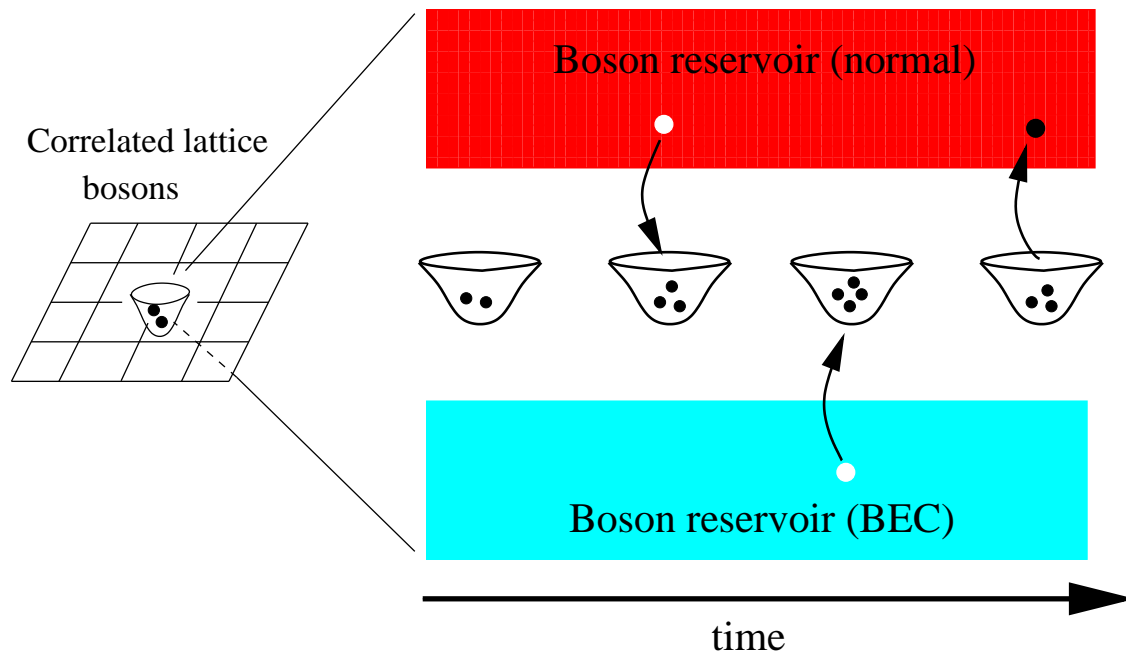


$$\hat{G} = \sum [(\omega + \mu) \hat{1} - \hat{H}^0 - \hat{\Sigma}]^{-1}$$

$\hat{H}^0$  is a model **TB** or a microscopic **LDA** Hamiltonian

# Bosonic-Dynamical Mean-Field Theory (B-DMFT)

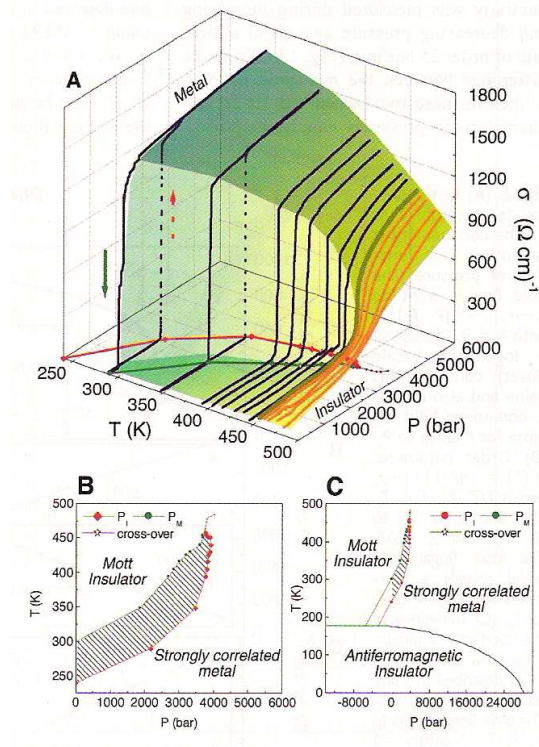
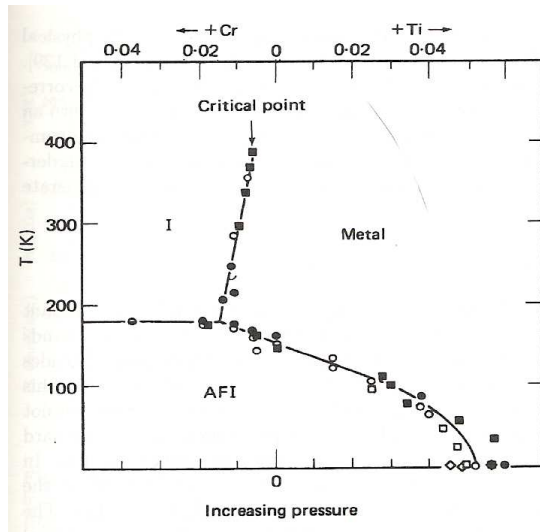
- Exact mapping of the lattice bosons in infinite dimension onto a single site
- Single site coupled to **two reservoirs**: normal bosons and bosons in the condensate
- Reservoirs properties are determined self-consistently, local correlations kept



K.B., D. Vollhardt  
Phys. Rev. B 77, 235106 (2008)

# Mott-Hubbard metal insulator transition: $V_2O_3$

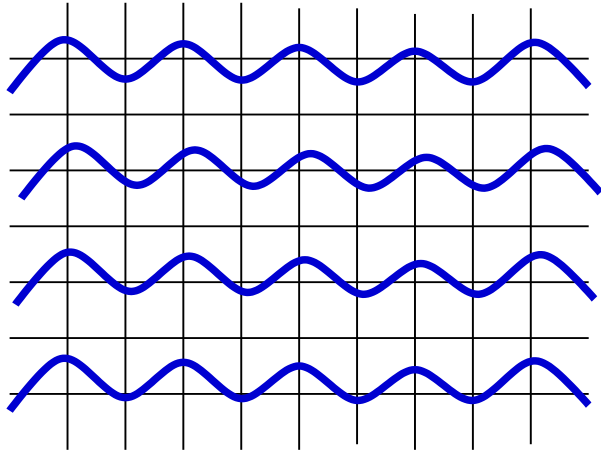
$V$  ( $[Ar]3d^24s^2$ ) gives  $V^{+3}$  valence band partially filled (metallic?)



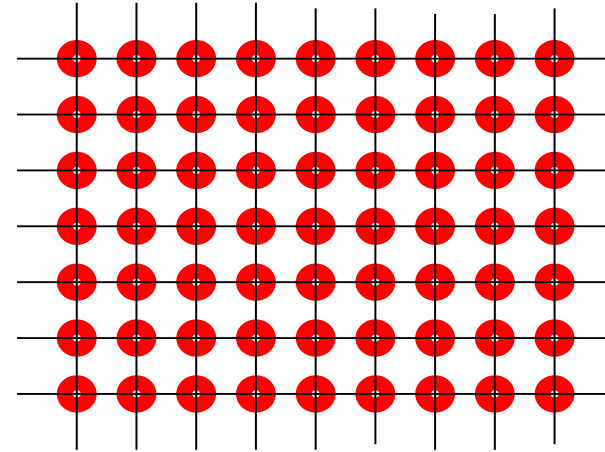
True Mott insulator  
persists above  $T_N$

Mott – Hubbard Insulator, Mott – Heisenberg Insulator, and Slater Insulator

# MIT at half-filling

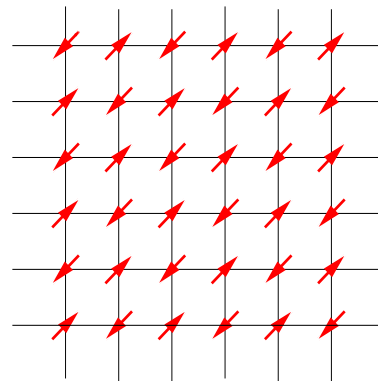


$$U \ll |t_{ij}|, \Delta \mathbf{p} = 0$$



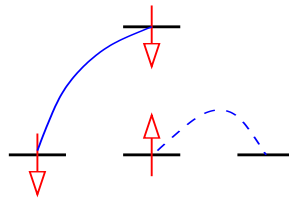
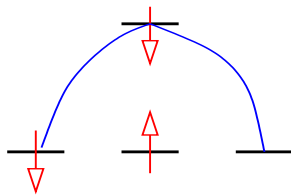
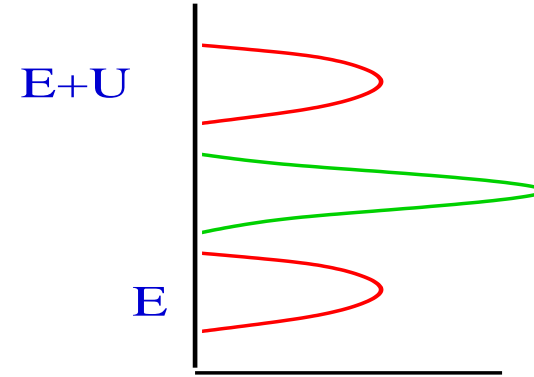
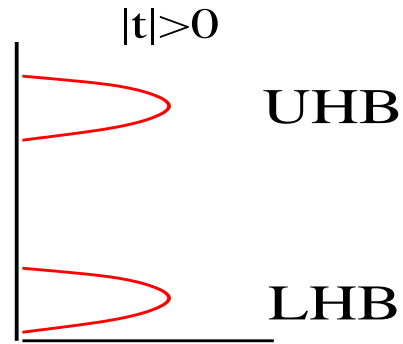
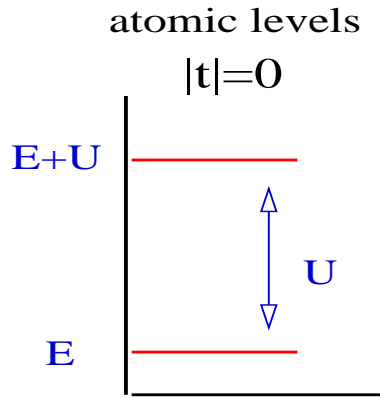
$$U \gg |t_{ij}|, \Delta \mathbf{r} = 0$$

Antiferromagnetic Mott insulator



typical intermediate coupling problem  $U_c \approx |t_{ij}|$

# MIT at half-filling



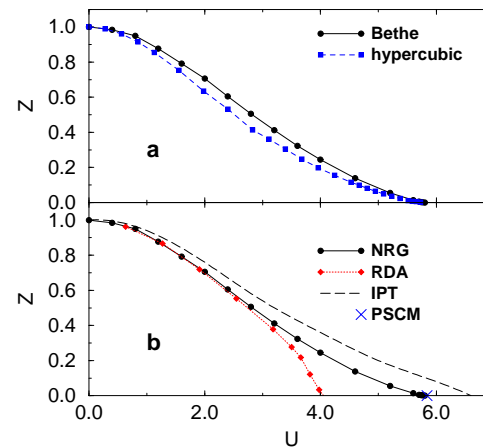
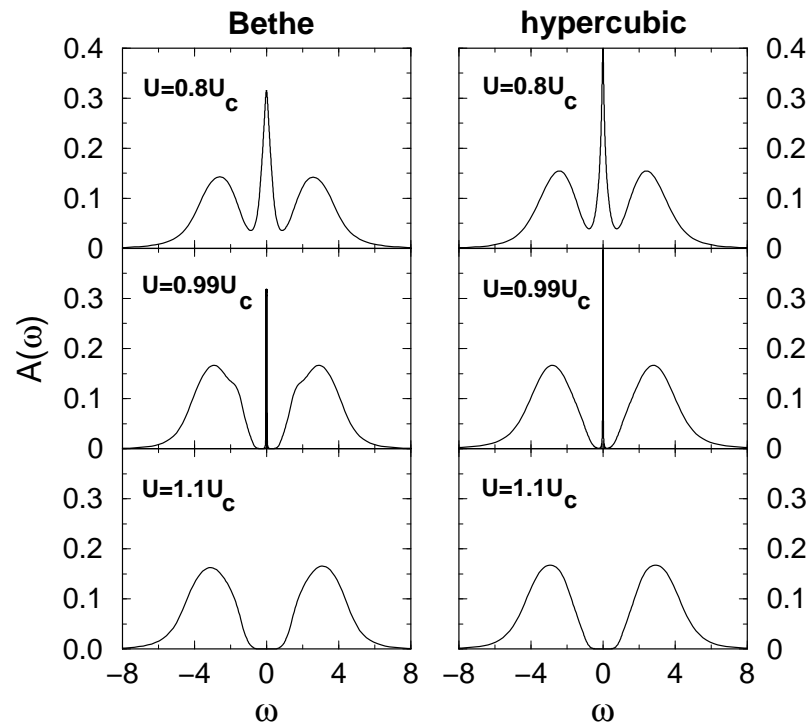
spin flip on central site

at  $U = U_c$  resonance disappears  
gaped insulator

dynamical processes with spin-flips inject states into correlation gap giving a **quasiparticle resonance**

# MIT at half-filling at $T = 0$ according to DMFT

Kotliar et al. 92-96, Bulla, 99



Luttinger theorem  $A(0) = N_0(0)$

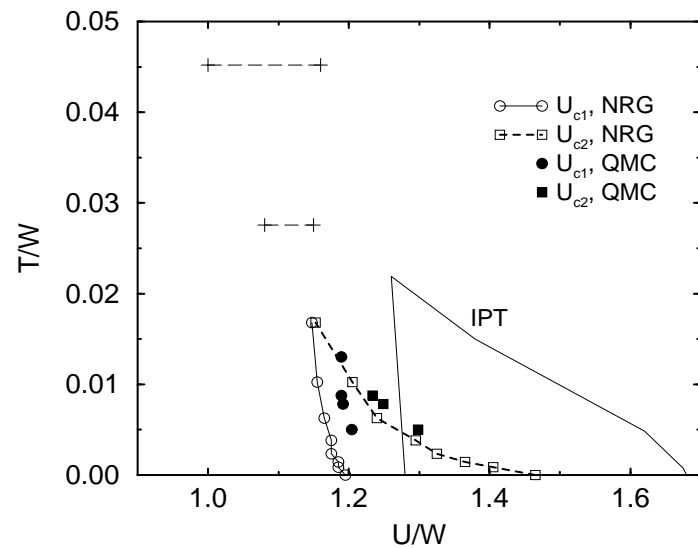
Fermi liquid

$$G(k, \omega) \sim \frac{Z}{\omega - \tilde{\epsilon}_k - i\alpha \omega^2} + G_{inc}$$

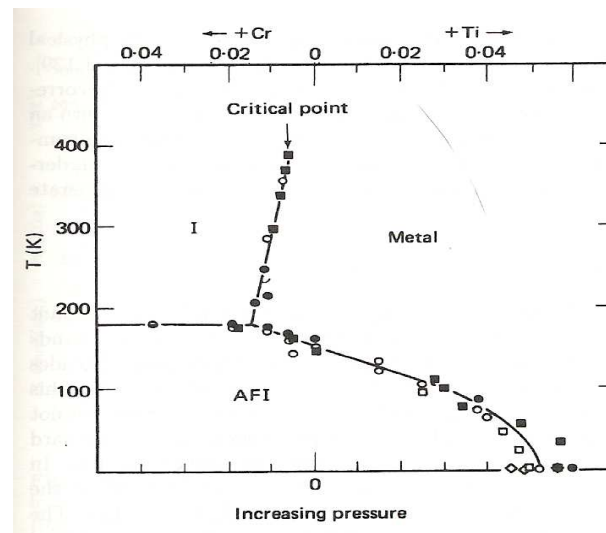
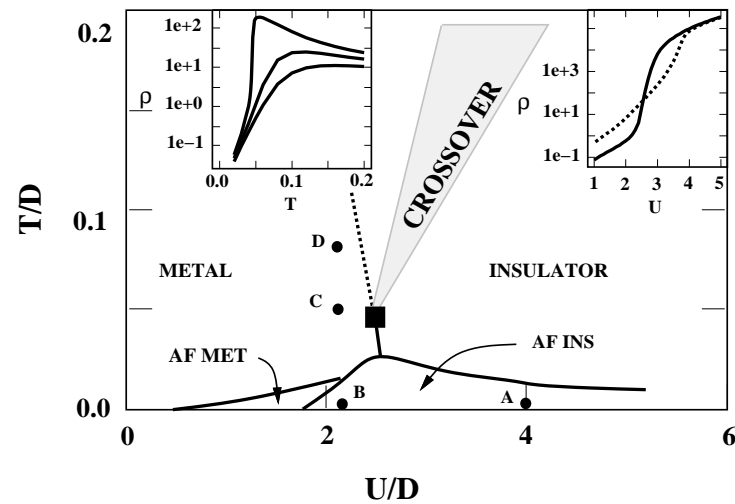
Muller-Hartmann 1989

# MIT at half-filling at $T > 0$ according to DMFT

Kotliar et al. 92-96, Bulla et al. 01, also Spalek 87



1<sup>st</sup>-order transition



# Correlation seen in dispersion of correlated electrons

One-particle spectral function - excitations at  $\mathbf{k}$  and  $\omega$

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \text{Im} \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega)}$$

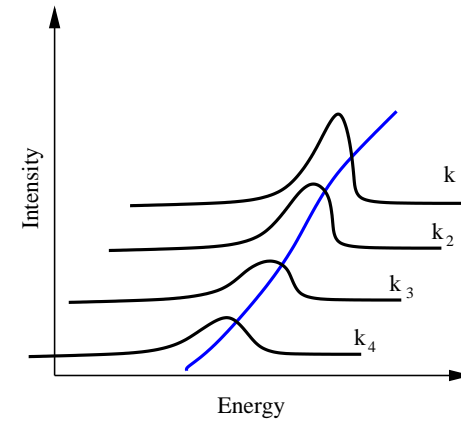
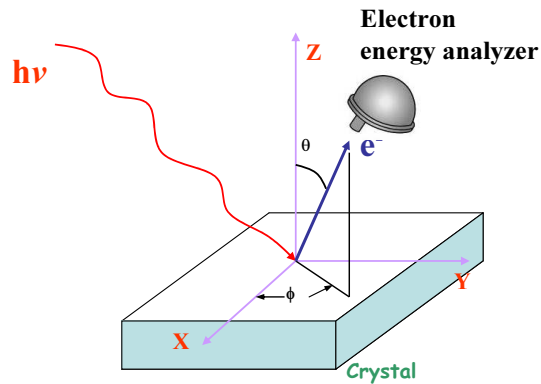
Dispersion relation  $E_{\mathbf{k}}$

$$E_{\mathbf{k}} = \{\omega \text{ where } A(\mathbf{k}, \omega) = \max\}$$

Dispersion relation is experimentally measured



# Angular Resolved Photoemission Spectroscopy



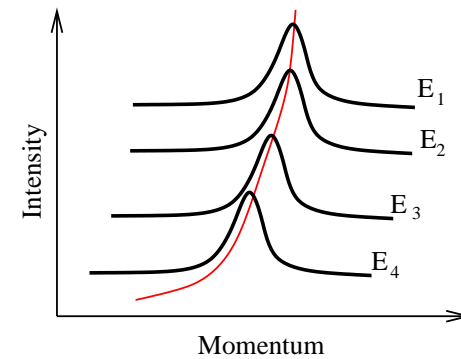
energy distribution curve (EDC)

$$k_x = k \cos \phi$$

$$k_y = k \sin \phi$$

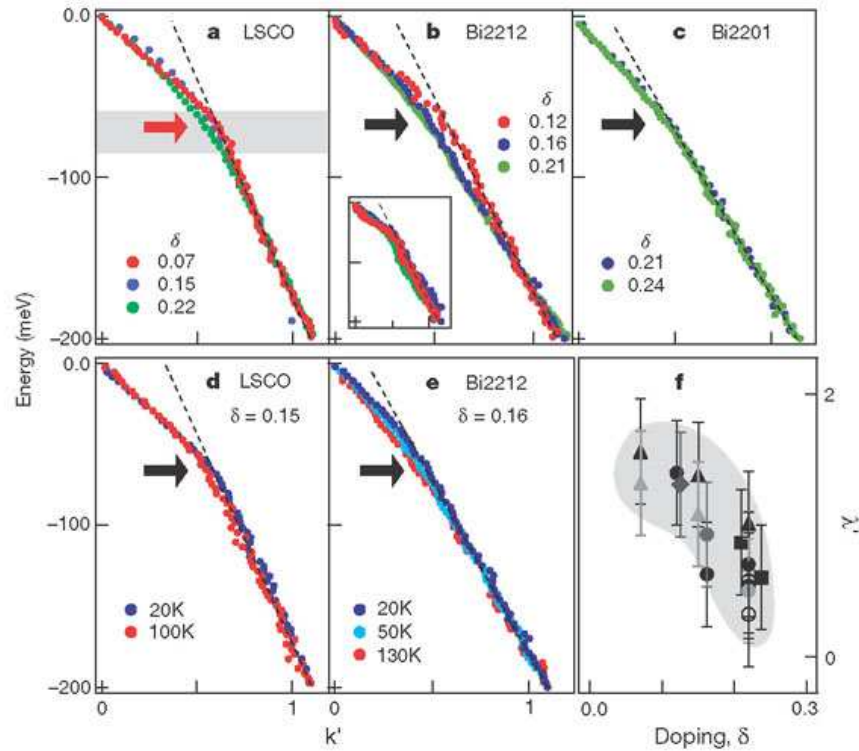
$$E = k^2 / 2m$$

energy resolution 1meV



momentum distribution curve (MDC)

# Kinks in HTC

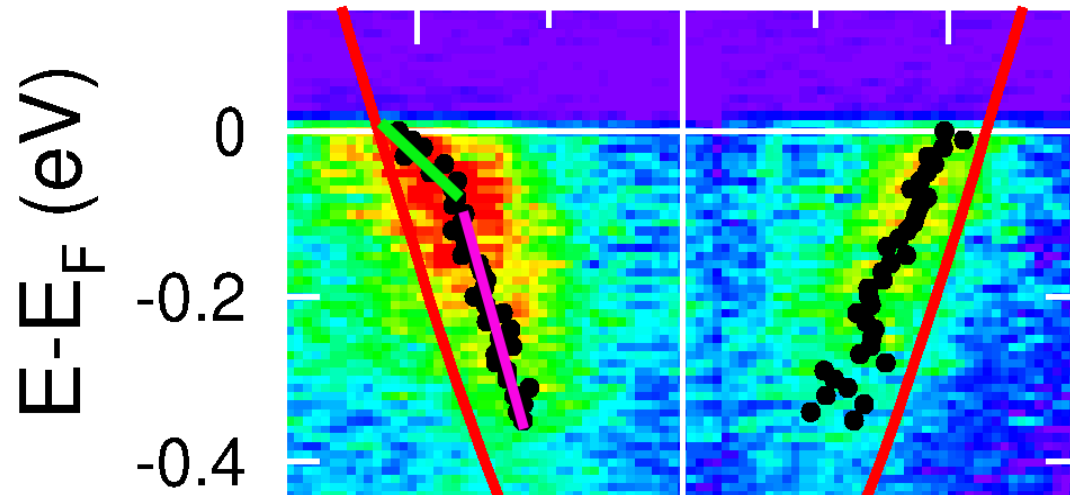


cond-mat/0604284

Kinks at 40 – 70meV

electron-phonon or electron-spin fluctuations coupling

# More examples of kinks in ARPES

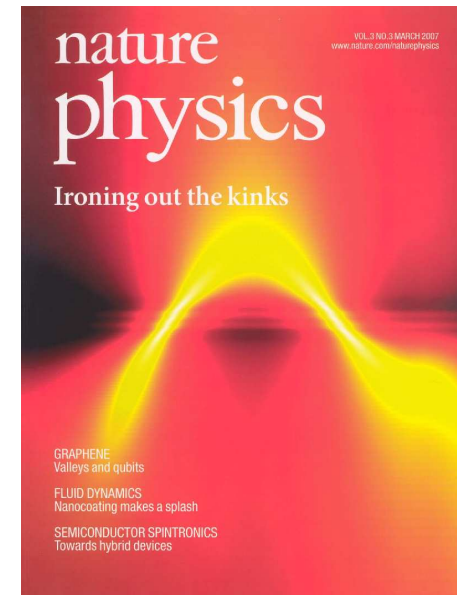


SrVO<sub>3</sub>, cond-mat/0504075

Kinks seen experimentally at 150 meV  
Pure electronic origin?

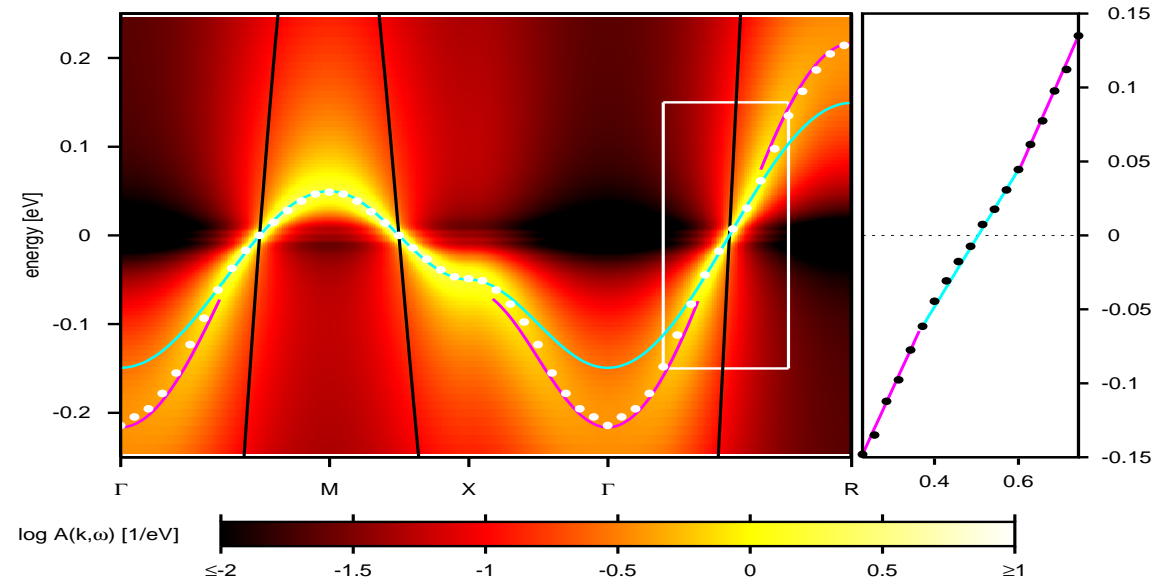
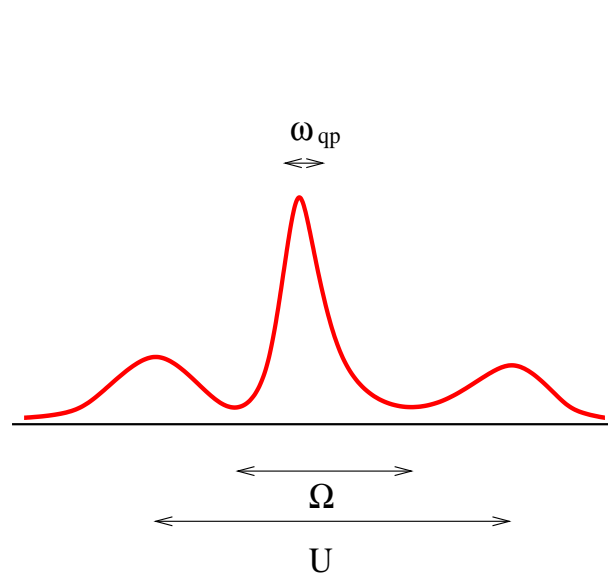
# New purely electronic mechanism

- in strongly correlated systems
- characteristic energy scale
- range of validity for Fermi liquid theory



K.B., M. Kollar, K. Held, Y.-F. Yang, I. A. Nekrasov, Th. Pruschke, D. Vollhardt  
Nature Physics 3, 168 (2007)

# Kinks due to strong correlations



Fermi liquid  $Z_{FL} \ll 1$ :  $E_{\mathbf{k}} = Z_{FL}\epsilon_{\mathbf{k}}$  for  $|E_{\mathbf{k}}| < \omega_*$

Different renormalization  $Z_{CP} \ll 1$ :  $E_{\mathbf{k}} = Z_{CP}\epsilon_{\mathbf{k}} \pm c$  for  $|E_{\mathbf{k}}| > \omega_*$

# Microscopic predictions

- Kink position

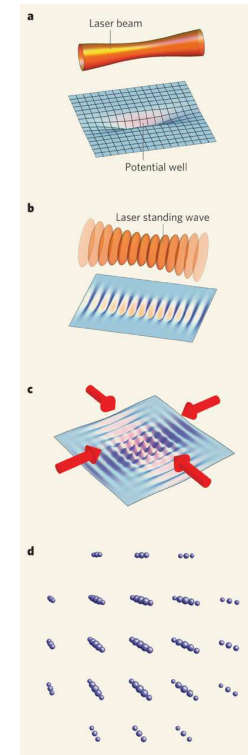
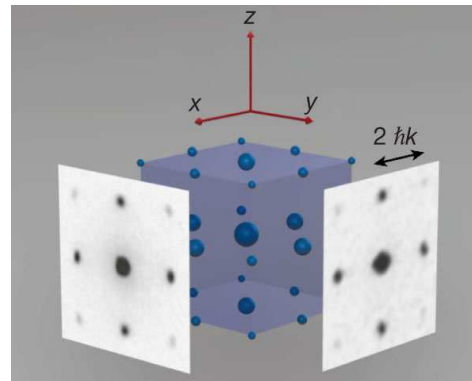
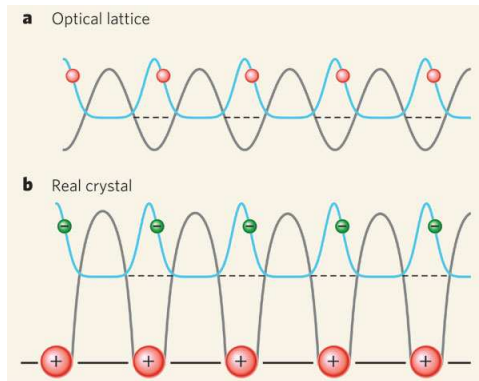
$$\omega_* = 0.41 Z_{FL} \frac{\text{Im}1/G_0}{\text{Re}G'_0/G_0^2}$$

- Intermediate energy regime

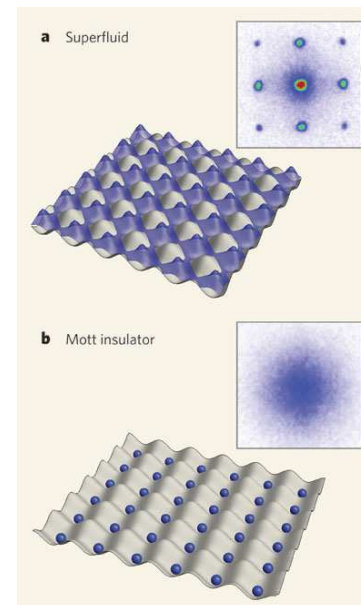
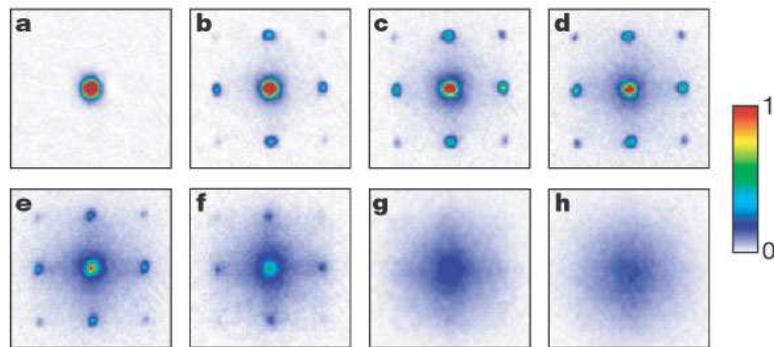
$$Z_{CP} = Z_{FL} \frac{1}{\text{Re}G'_0/G_0^2}$$

- Change in the slope  $Z_{FL}/Z_{CP}$  interaction independent
- Curvature of the kink  $\sim Z_{FL}^2$
- Sharpness of the kink  $\sim 1/Z_{FL}^2$
- Sharper for stronger  $U$

# Superfluid-insulator transition in lattice bosons

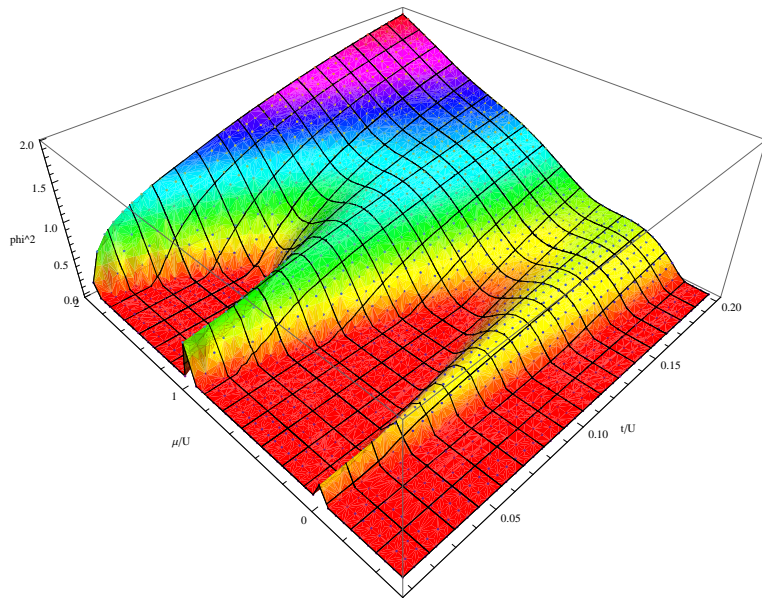


## Optical lattices with cold atoms

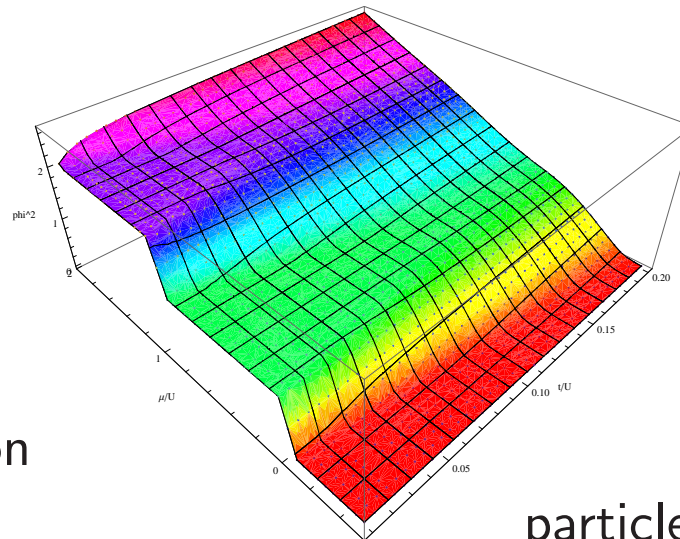
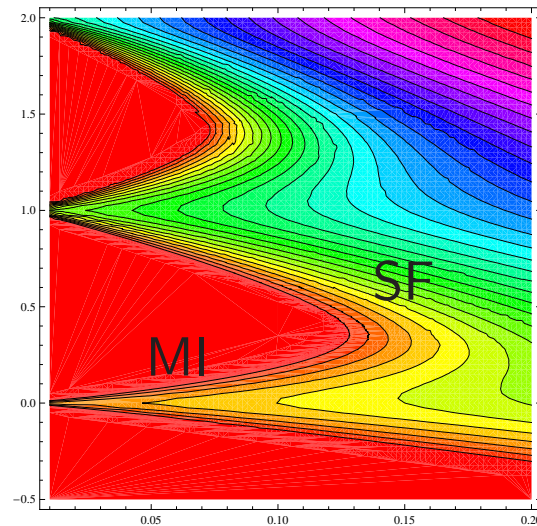


# Bosonic Hubbard model: B-DMFT CT-QMC

Philipp Werner, Peter Anders: developed **continuous time Monte Carlo** method for local (impurity) bosonic problem with B-DMFT self-consistency conditions



condensate density



particle density

Exact result for SF-Mott Insulator transition

Bethe DOS,  $W = 4$ ,  $\beta = 4$



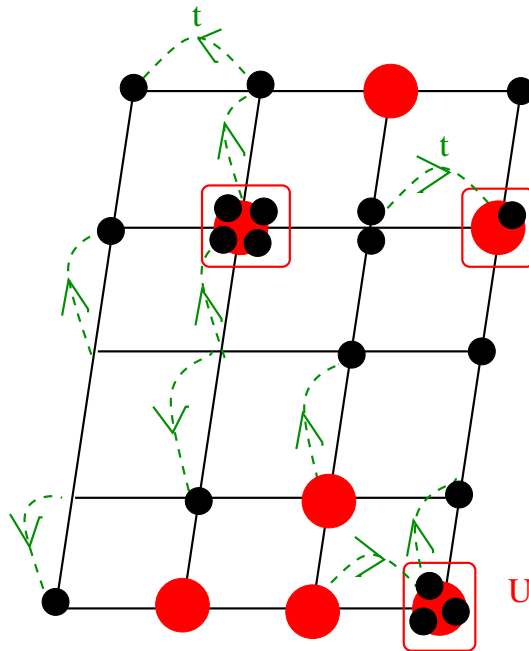
# Bosonic Falicov-Kimball model

Binary mixture of itinerant (b) and localized (f) bosons on the lattice

$$H = \sum_{ij} t_{ij} b_i^\dagger b_j + \epsilon_f \sum_i f_i^\dagger f_i + U_{bf} \sum_i n_{bi} n_{fi} + U_{ff} \sum_i n_{fi} n_{fi}$$

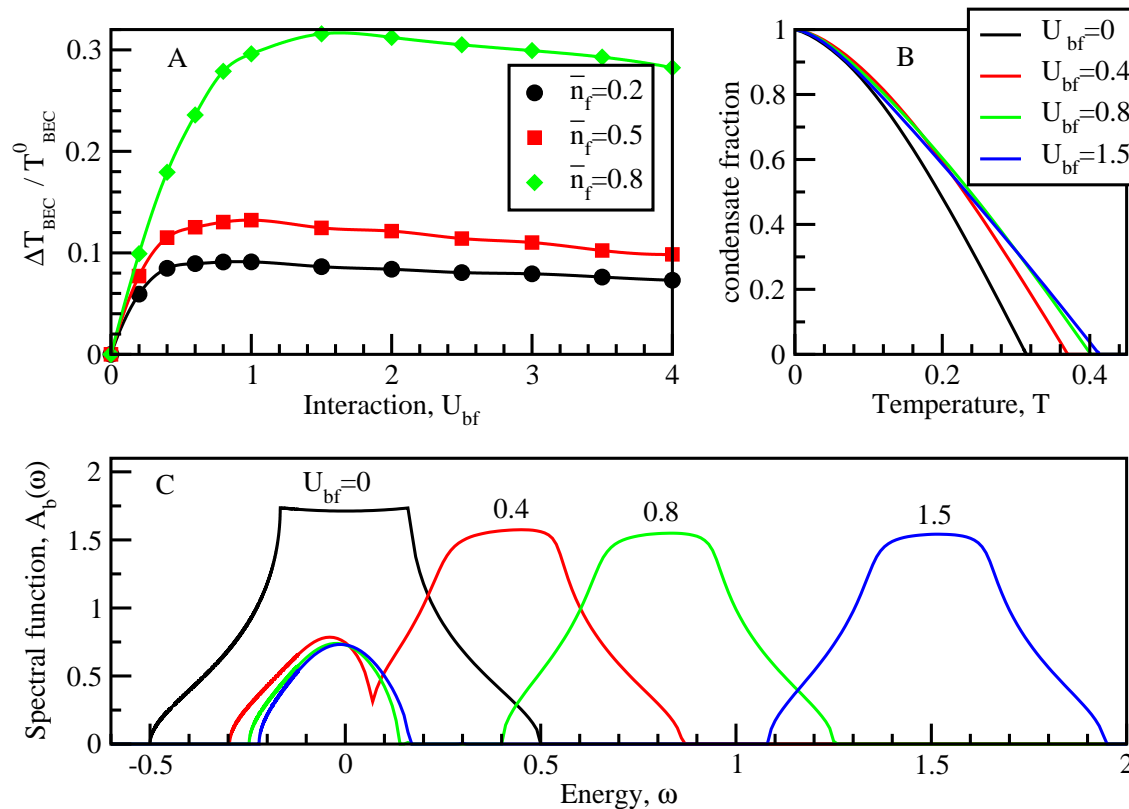
Local conservation law  $[n_{fi}, H] = 0$  hence  $n_{fi} = 0, 1, 2, \dots$  classical variable

B-DMFT: local action Gaussian and **analytically integrable**



# Enhancement of $T_{BEC}$ due to interaction

Hard-core f-bosons  $U_{ff} = \infty$ ;  $n_f = 0, 1$ ;  $0 \leq \bar{n}_f \leq 1$ ;  $d = 3$  - SC lattice



KB, Vollhardt, PRB (2008)

$$A_b(\omega) = -\text{Im}G_b(\omega)/\pi$$

$$\bar{n}_b = \bar{n}_b^{BEC} + \int d\omega \frac{A_b(\omega + \mu_b)}{e^{\omega/T} - 1}$$

Normal part decreases when  $U$  increases for constant  $\mu_b$  and  $T$

# Quantifying correlations

**How many correlation is there  
in correlated electron systems?**

We need information theory tools to address this issue.

# Classical vs. Quantum Information Theory

Probability distribution vs. **Density operator**

$$p_k \longleftrightarrow \hat{\rho} = \sum_k p_k |k\rangle \langle k|$$

Shannon entropy vs. **von Neumann entropy**

$$I = -\langle \log_2 p_k \rangle = -\sum_k p_k \log_2 p_k \longleftrightarrow S = -\langle \ln \hat{\rho} \rangle = -Tr[\hat{\rho} \ln \hat{\rho}]$$

Two correlated (sub)systems have **relative entropy**

$$I = I_1 + I_2 - \Delta I \longleftrightarrow S = S_1 + S_2 - E$$

$$\Delta I(p_{kl} || p_k p_l) = -\sum_{kl} p_{kl} \left[ \log_2 \frac{p_{kl}}{p_k p_l} \right] \longleftrightarrow E(\hat{\rho} || \hat{\rho}_1 \otimes \hat{\rho}_2) = -Tr[\hat{\rho}(\ln \hat{\rho} - \ln \hat{\rho}_1 \otimes \hat{\rho}_2)]$$

Relative entropy vanishes in the absence of correlations (product states)

# Asymptotic distinguishability

Quantum Sanov theorem:

Probability  $P_n$  that a state  $\hat{\sigma}$  is not distinguishable from a state  $\hat{\rho}$  in  $n$  measurements, when  $n \gg 1$ , is

$$P_n \approx e^{-nE(\hat{\rho}||\hat{\sigma})}.$$

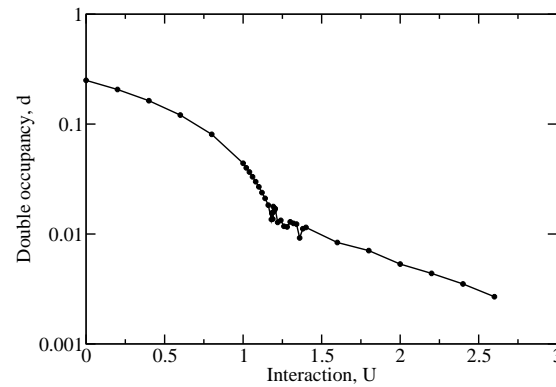
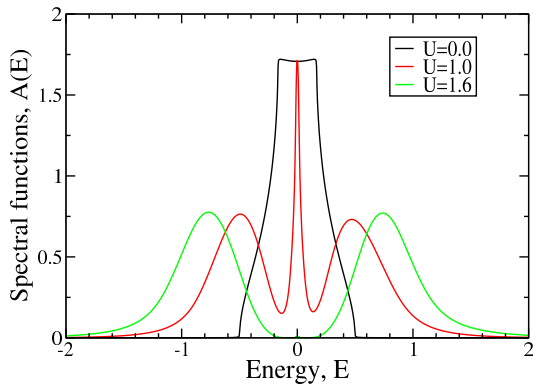
Relative entropy  $E(\hat{\rho}||\hat{\sigma})$  as a 'distance' between quantum states.

## We calculate

- von Neumann entropies and
- relative entropies

for and between different correlated and uncorrelated (product) states of the Hubbard model.

# Correlation and Mott Transition



$$S(\hat{\rho}) = -Tr[\hat{\rho} \ln \hat{\rho}]$$

$$E(\hat{\rho}||\hat{\sigma}) = -Tr[\hat{\rho} \ln \hat{\rho} - \hat{\rho} \ln \hat{\sigma}]$$

$$S = S(\hat{\rho}_{DMFT})$$

$$S_1 = S(\hat{\rho}_0)$$

$$S_2 = S(\hat{\rho}_a)$$

Product (HF) states:

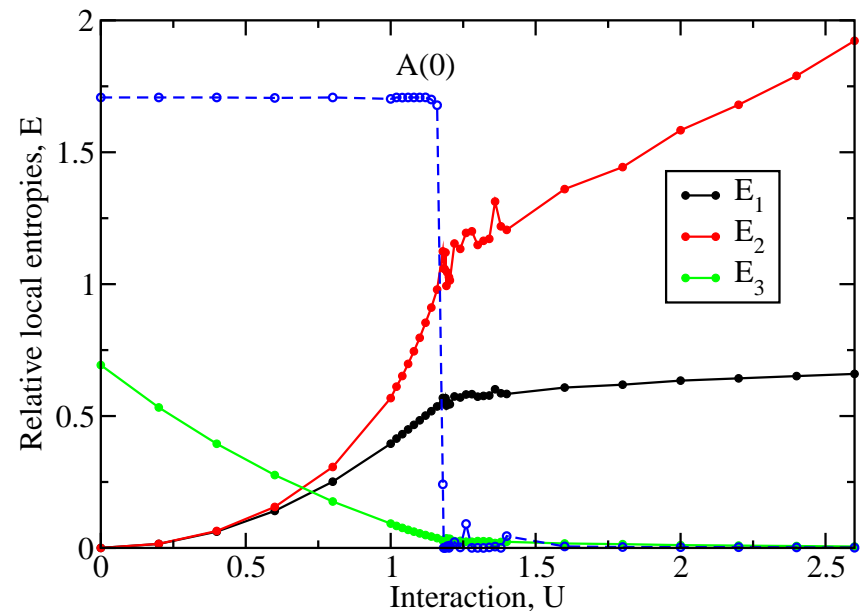
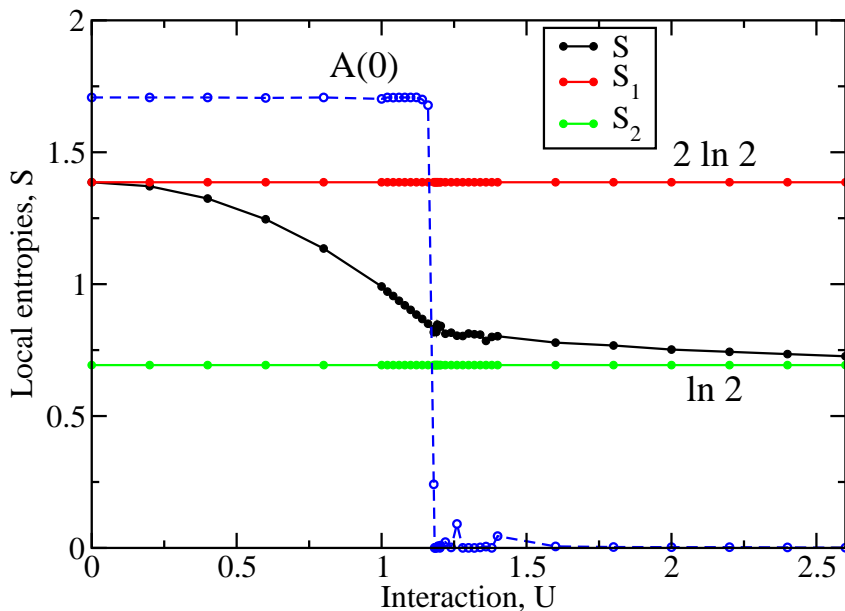
$$|0\rangle = \prod_{k\sigma}^{k_F} a_{k\sigma}^\dagger |v\rangle - U = 0 \text{ limit}$$

$$|a\rangle = \prod_i^{N_L} a_{i\sigma_i}^\dagger |v\rangle - \text{atomic limit}$$

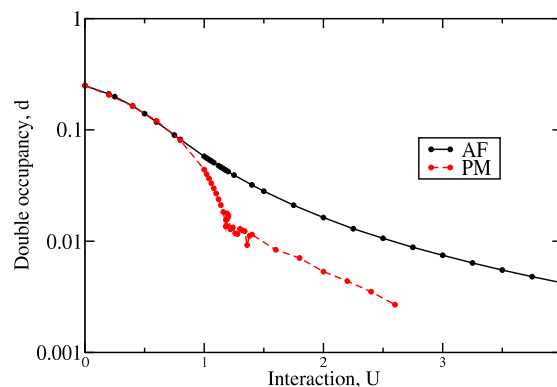
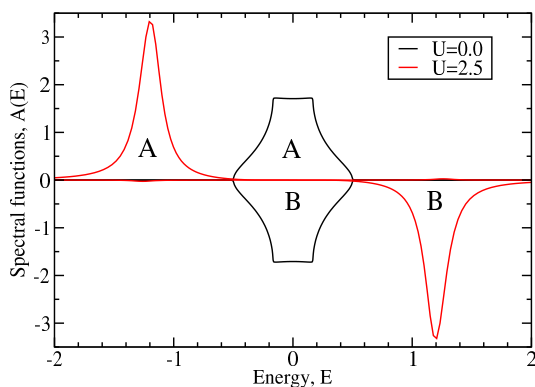
$$E_1 = E(\hat{\rho}_{DMFT}||\hat{\rho}_0)$$

$$E_2 = E(\hat{\rho}_0||\hat{\rho}_{DMFT})$$

$$E_3 = E(\hat{\rho}_a||\hat{\rho}_{DMFT})$$



# Correlation and Antiferromagnetic Order



$$S(\hat{\rho}) = -Tr[\hat{\rho} \ln \hat{\rho}]$$

$$E(\hat{\rho}||\hat{\sigma}) = -Tr[\hat{\rho} \ln \hat{\rho} - \hat{\rho} \ln \hat{\sigma}]$$

$$S = S(\hat{\rho}_{DMFT})$$

$$S_1 = S(\hat{\rho}_0)$$

$$S_2 = S(\hat{\rho}_a)$$

Product (HF) states:

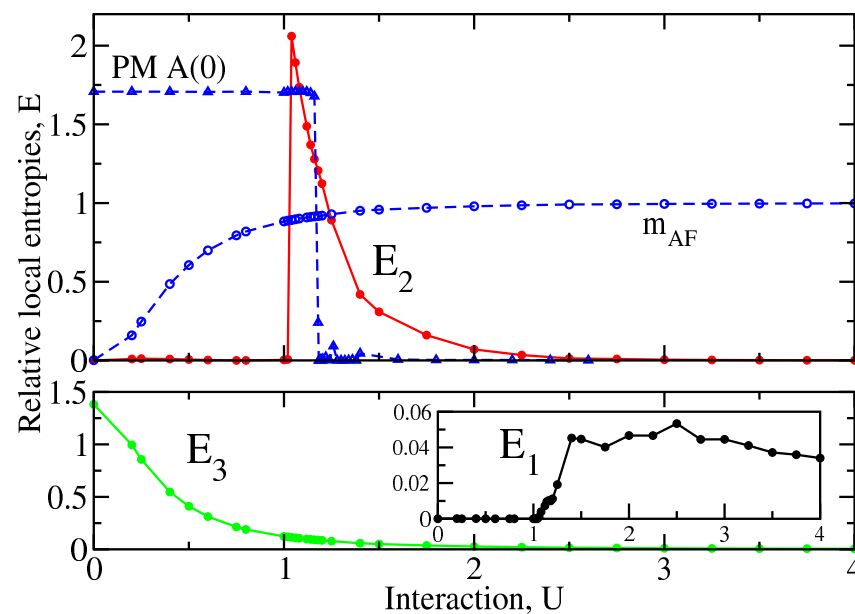
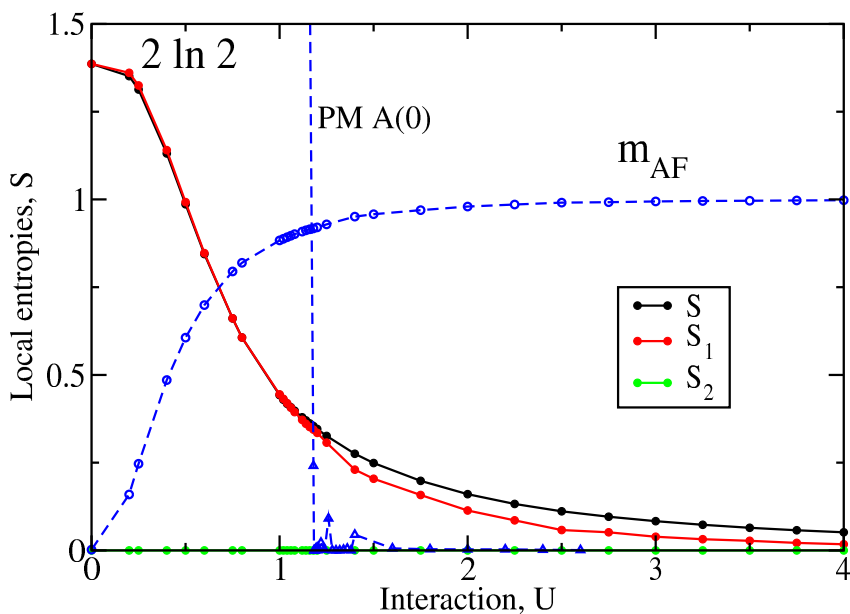
$$|0\rangle = \prod_{k \in (A,B)}^{k_F} a_{k_{A\uparrow}}^\dagger a_{k_{B\downarrow}}^\dagger |v\rangle - \text{Slater limit}$$

$$|a\rangle = \prod_{i \in (A,B)}^{N_L} a_{i_{A\uparrow}}^\dagger a_{i_{B\downarrow}}^\dagger |v\rangle - \text{Heisenberg limit}$$

$$E_1 = E(\hat{\rho}_{DMFT}||\hat{\rho}_0)$$

$$E_2 = E(\hat{\rho}_0||\hat{\rho}_{DMFT})$$

$$E_3 = E(\hat{\rho}_a||\hat{\rho}_{DMFT})$$



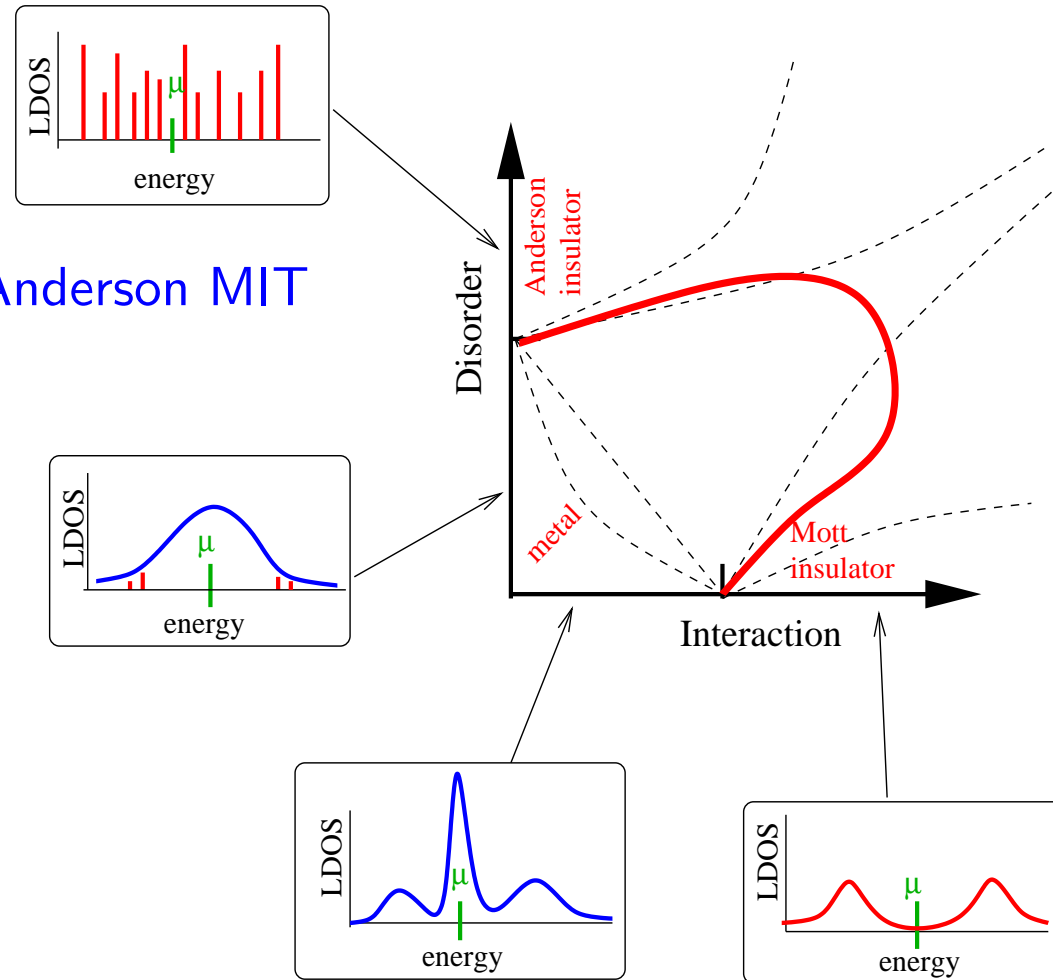
# Disorder as a probe of correlations

Disorder  $\leftrightarrow$  Anderson MIT

Two insulators are  
continuously connected

BUT

Interaction  $\leftrightarrow$  Mott-Hubbard MIT



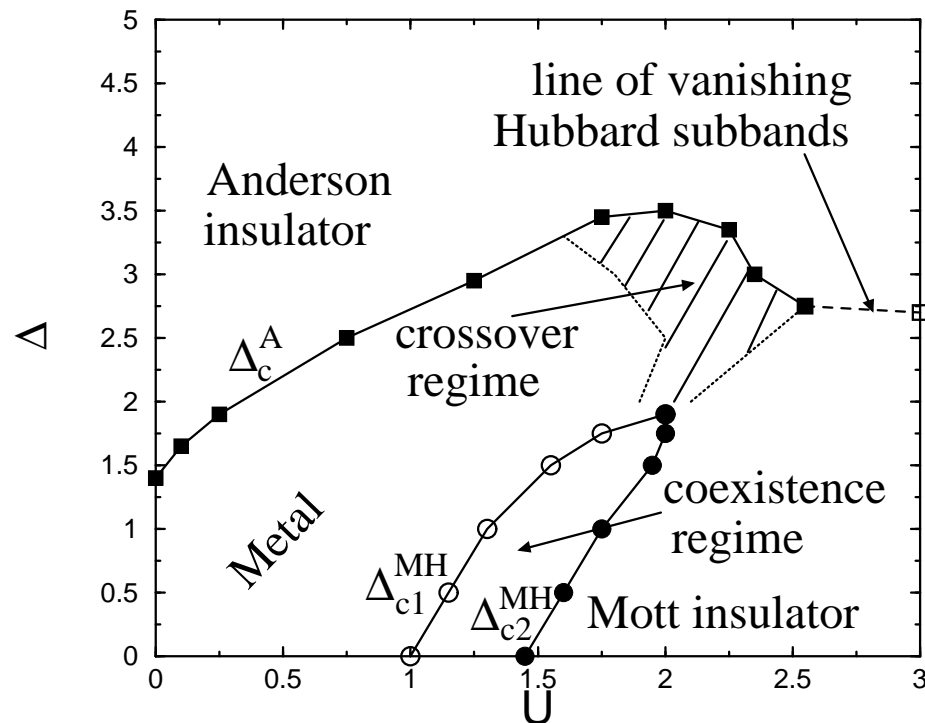
Interaction and disorder compete with each other stabilizing  
the metallic phase against the occurring one of the insulators



# Phase diagram for disordered Hubbard model

$$N_0(\epsilon) = \frac{2}{\pi D} \sqrt{D^2 - \epsilon^2}; \quad \eta(\omega) = \frac{D^2}{4} G(\omega)$$

$T = 0$ ,  $n = 1$ ,  $W = 2D = 1$ , NRG solver

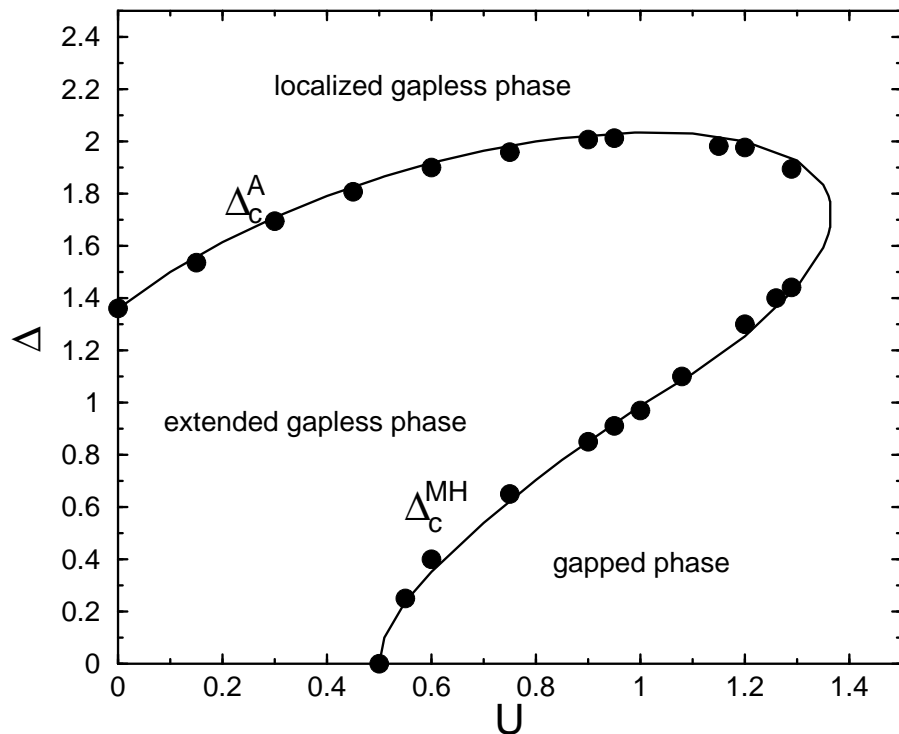


$U$  - interaction,  $\Delta$  - disorder

# Phase diagram for disordered Falicov-Kimball model

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_i \epsilon_i c_i^\dagger c_i + U \sum_i c_i^\dagger c_i f_i^\dagger f_i$$

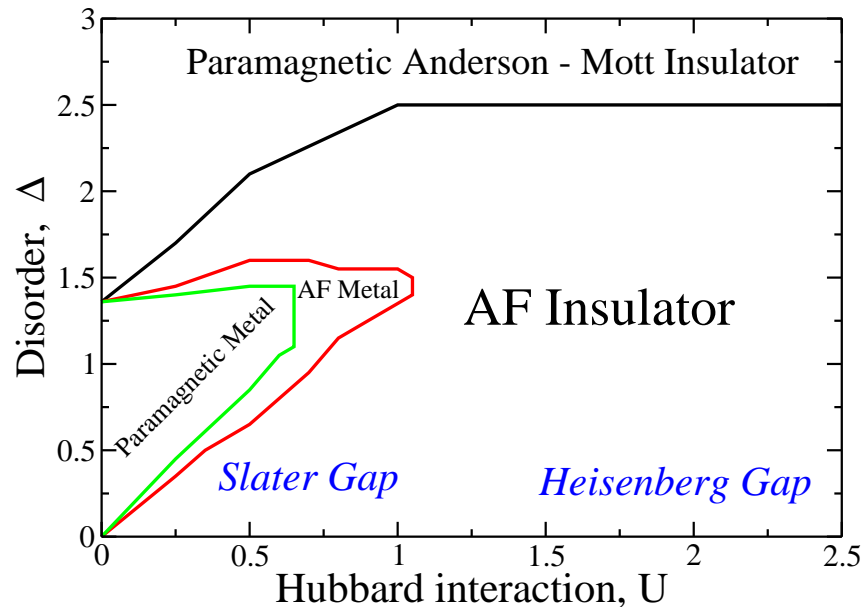
$T = 0$ ,  $n = 1$ ,  $W = 2D = 1$ , analytical solver



$U$  - interaction,  $\Delta$  - disorder

K.B., Phys. Rev. B 71, 205105 (2005)

# Mott-Anderson MIT with AF long-range order



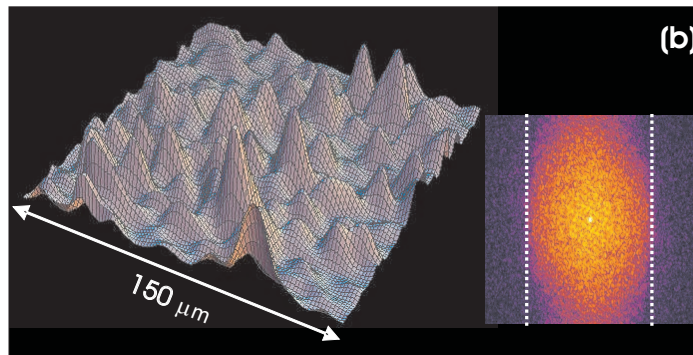
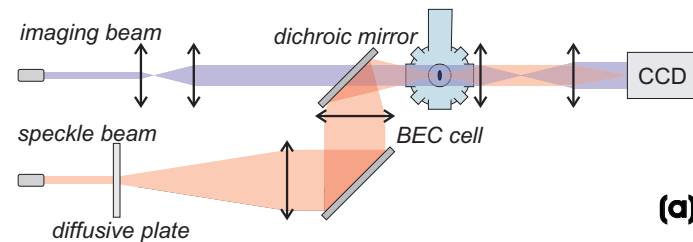
No phase transition between Slater and Heisenberg limits

**BUT**

AF and PM metal only in Slater limit with disorder

# Optical lattices with random disorder

- impurity atoms
- superposition of waves with different amplitudes (pseudo-random)
- speckle laser field on top of lattice (good random distribution)
- atom chips



$$H = J \sum_{ij} a_{i\sigma}^\dagger a_{j\sigma} + \sum_i \epsilon_i n_{i\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

# Summary

- Correlation in many-body quantum physics
- Correlation is quantified by entropies
- Correlation is seen and tuned in solids and cold atoms
  - Mott-Hubbard metal-insulator transition
  - kinks in dispersions
  - superfluid-insulator transition
  - in phase diagrams when disorder is present
- Different correlations in paramagnetic and in antiferromagnetic cases