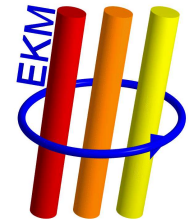
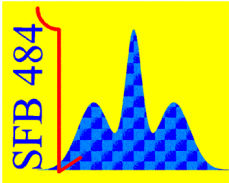


# Dynamical mean-field theory for correlated bosons on a lattice in condensed and normal phases

Krzysztof Byczuk

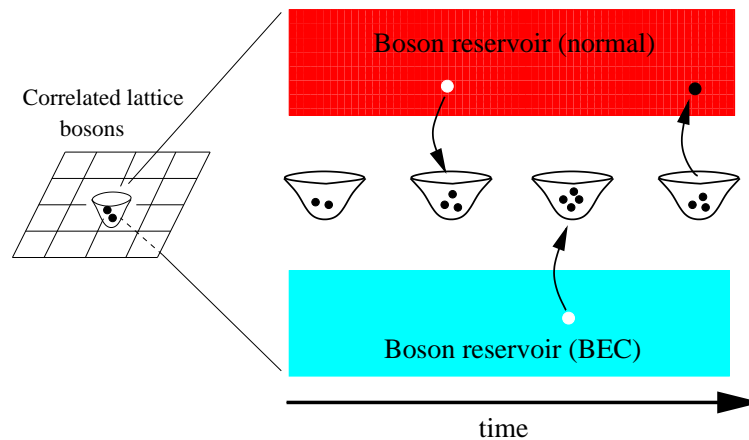
Institute of Physics  
Center for Electronic Correlations and Magnetism  
Augsburg University



*May 9th, 2008*

# Main results

- New comprehensive dynamical mean-field theory for correlated, lattice bosons in normal and condensate phases, exact in  $d \rightarrow \infty$



- Correlation might enhance BEC fraction and transition temperature

# Collaboration

Dieter Vollhardt - Augsburg University

*Correlated bosons on a lattice: Dynamical mean-field theory for Bose-Einstein condensed and normal phases* - arXiv:0706.0839, accepted to Phys. Rev. B (2008)

## Plan of talk

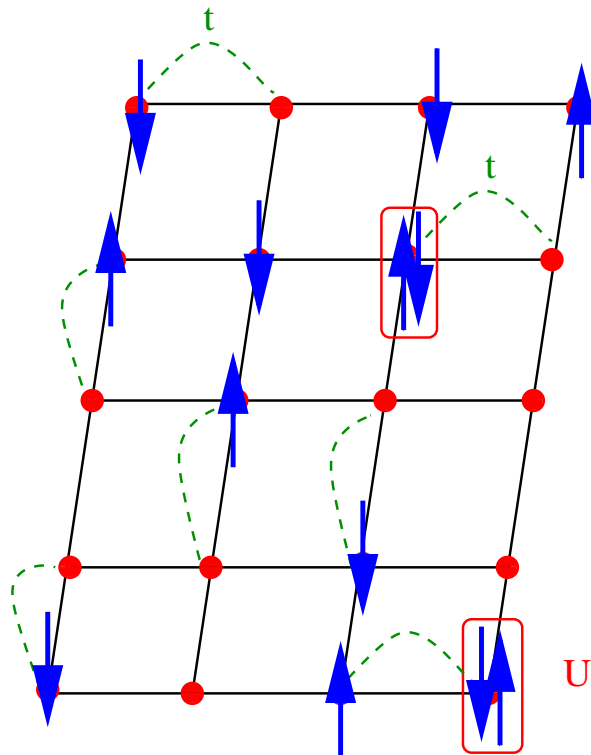
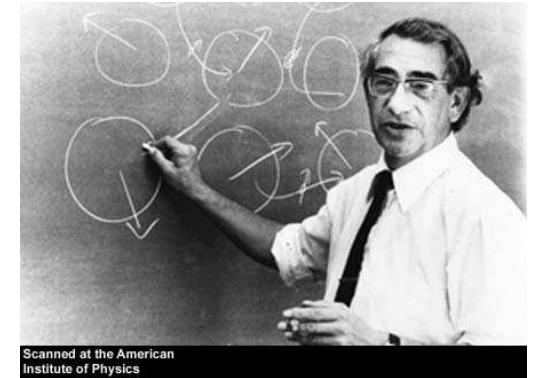
- Dynamical mean-field theory (DMFT) for correlated fermions
- Formulation of dynamical mean-field theory for bosons (B-DMFT)
- Bosonic Hubbard model within B-DMFT
- Falicov-Kimball model within B-DMFT
  - Enhancement of BEC transition temperature due to correlations
- Mixtures of bosons and fermions on a lattice
- Summary and outlook

# FERMIONS

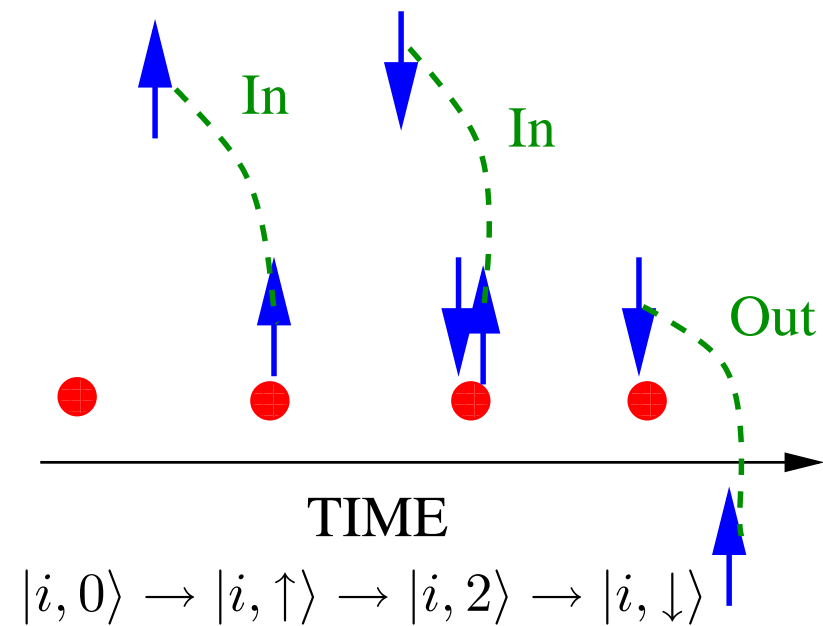
# Correlated lattice fermions

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

fermionic Hubbard model, 1963



Local Hubbard physics



# The Holy Grail for correlated electrons (fermions)

Fact: Hubbard model is not solved for arbitrary cases

## Find the best comprehensive approximation

- valid for all values of parameters  $t$ ,  $U$ ,  $n = N_e/N_L$ ,  $T$ , all thermodynamic phases
- thermodynamically consistent
- conserving
- possessing a small expansion (control) parameter and exact in some limit
- flexible to be applied to different systems and material specific calculations

# Fermions in large dimensions

Large dimensional limit is not unique

No scaling at all:

$$t_{ij} = t_{ij}, \quad U = U, \quad \text{etc.}$$
$$\frac{1}{N_L} E_{kin} = \frac{1}{N_L} \sum_{ij\sigma} t_{ij} \langle c_{i\sigma}^\dagger c_{j\sigma} \rangle = \infty !$$

Overscaling fermions

$$t_{ij} = \frac{t_{ij}^*}{d \|\mathbf{R}_i - \mathbf{R}_j\|}, \quad U = U, \quad \text{etc.}$$
$$\frac{1}{N_L} E_{kin} = \frac{1}{N_L} \sum_{ij\sigma} t_{ij} \langle c_{i\sigma}^\dagger c_{j\sigma} \rangle = 0 !$$

# Fermions in large dimensions (coordination)

Non-trivial (asymptotic) theory is well defined such that the energy density is generically finite and non-zero

$$\frac{1}{N_L} E_{kin} = \frac{1}{N_L} \sum_{ij\sigma} t_{ij} \langle c_{i\sigma}^\dagger c_{j\sigma} \rangle = \frac{1}{N_L} \sum_{i\sigma} \underbrace{\sum_{j(i)} t_{ij}}_{O(d^{\|\mathbf{R}_i - \mathbf{R}_j\|})} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} G_{ij\sigma}(\omega) \sim O(1)$$

Fact, since  $G_{ij}$  is probability amplitude for hopping,

$$G_{ij} \sim O(d^{-\frac{\|\mathbf{R}_i - \mathbf{R}_j\|}{2}})$$

with rescaling

$$t_{ij} \rightarrow \frac{t_{ij}^*}{\sqrt{d^{\|\mathbf{R}_i - \mathbf{R}_j\|}}}$$

sum  $\sum_{j(i)}$  is compensated and energy is finite (Metzner, Vollhardt, 1989)



# Comprehensive mean-field theory for fermions

$$H = H^{\text{hopping}} + H_{\text{loc}}^{\text{interaction}}$$

- comprehensive (all input parameters, temperatures, all phases, ...)
- thermodynamically consistent and conserving
- provides exact solutions in certain non-trivial limit (large  $d$ )

$$\langle H \rangle, \quad \langle H^{\text{hopping}} \rangle, \quad \langle H_{\text{loc}}^{\text{interaction}} \rangle$$

are finite and generically non-zero, and

$$\langle [H^{\text{hopping}}, H_{\text{loc}}^{\text{interaction}}] \rangle \neq 0$$

to describe non-trivial competition

# Non-comprehensive mean-field theory for fermions

- Distance independent hopping (van Dongen, Vollhardt 92)

$$H = t \sum_{ij\sigma} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

- Distance independent interaction (Spalek, Wojcik 88, Baskaran 91, Kohmoto 95, Gebhard 97)

$$H = \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_{ij} n_{i\uparrow} n_{j\downarrow} = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} n_{\mathbf{k}\sigma} + U \sum_{\mathbf{k}} n_{\mathbf{k}\uparrow} n_{\mathbf{k}\downarrow}$$

In both models a non-trivial competition is suppressed

$$\langle [H^{\text{hopping}}, H_{\text{loc}}^{\text{interaction}}] \rangle = 0$$

although  $\langle H \rangle$ ,  $\langle H^{\text{hopping}} \rangle$ ,  $\langle H_{\text{loc}}^{\text{interaction}} \rangle \neq 0$

## $d \rightarrow \infty$ limit – Feynman diagrams simplification

One proves, term by term, that skeleton expansion for the self-energy  $\Sigma_{ij}[G]$  has only **local** contributions

$$\Sigma_{ij\sigma}(\omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{ii\sigma}(\omega_n) \delta_{ij}$$

Fourier transform is **k-independent**

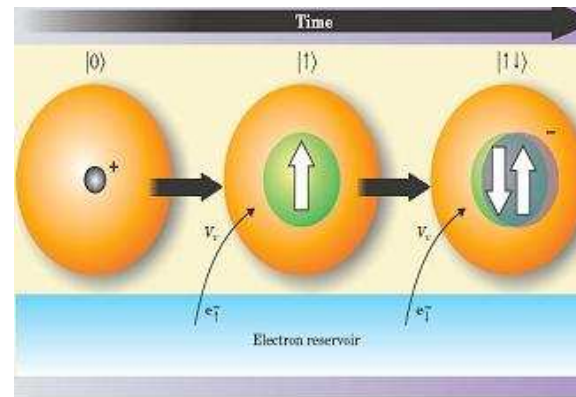
$$\Sigma_{\sigma}(\mathbf{k}, \omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{\sigma}(\omega_n)$$

**DMFT is an exact theory in infinite dimension (coordination number) and a small control parameter is  $1/d$  ( $1/z$ )**

(Metzner, Vollhardt, 1989; Muller-Hartmann, 1989; Georges, Kotliar, 1990'; Janis, Vollhardt 1990', ...)

# DMFT for lattice fermions

Replace (map) full many-body lattice problem by a single-site coupled to dynamical reservoir and solve such problem self-consistently



All local dynamical correlations included exactly

Space correlations neglected - mean-field approximation

# DMFT - equations full glory

Local Green function

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^{*}(0) \rangle_{S_{loc}}$$

where

$$S_{loc} = -\sum_{\sigma} \int d\tau d\tau' c_{\sigma}^{*}(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

Weiss (mean-field) function and self-energy

$$\mathcal{G}_{\sigma}^{-1}(\omega_n) = G_{\sigma}^{-1}(\omega_n) + \Sigma_{\sigma}(\omega_n)$$

Local Green function and lattice system self-consistency

$$G_{\sigma}(i\omega_n) = \sum_{\mathbf{k}} G_{\sigma}(\mathbf{k}, \omega_n) = \sum_{\mathbf{k}} \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\sigma}(\omega_n)} = G_{\sigma}^0(i\omega + \mu - \Sigma_{\sigma}(\omega_n))$$

# DMFT – flexibility; LDA+DMFT

Multi-band systems (Anisimov et al. 97; ... Nekrasov et al. 00, ...)

$$H = H_{LDA} + H_{int} - H_{LDA}^U = H_{LDA}^0 + H_{int}$$

direct and exchange interaction

$$H_{int} = \frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'\sigma'} U_{mm'}^{\sigma\sigma'} n_{ilm\sigma} n_{ilm'\sigma'}$$
$$- \frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'} J_{mm'} c_{ilm\sigma}^\dagger c_{ilm'-\sigma}^\dagger c_{ilm'\sigma} c_{ilm-\sigma}$$

kinetic part, determined from DFT-LDA calculation (**material specific**)

$$H_{LDA}^0 = \sum_{ilm, jl'm', \sigma} t_{ilm, jl'm'}^0 c_{ilm\sigma}^\dagger c_{jl'm'\sigma}$$

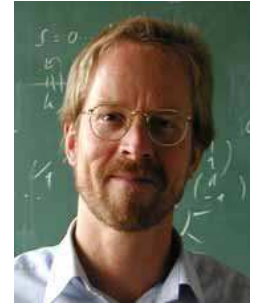
LDA+DMFT - state of the art for realistic approach to correlated electron systems

# DMFT scheme

$S_{loc}$  - local interactions  $U$  or  $J$  from a model **TB** or a microscopic **LDA** Hamiltonian



D. Vollhardt



W. Metzner

$$\hat{G} = -\langle T \hat{C}(\tau) \hat{C}^*(0) \rangle_{S_{loc}}$$

**DMFT**

$$\hat{\Sigma}$$

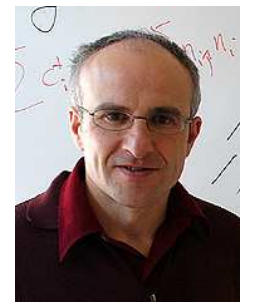
$$\hat{G}^{-1} = \hat{G}^{-1} + \hat{\Sigma}$$

$$\hat{\Sigma} = \hat{G}^{-1} - \hat{G}^{-1}$$

G. Kotliar



A. Georges



$$\hat{G} = \sum [(\omega + \mu) \hat{1} - \hat{H}^0 - \hat{\Sigma}]^{-1}$$

$\hat{H}^0$  is a model **TB** or a microscopic **LDA** Hamiltonian

**BOSONS**

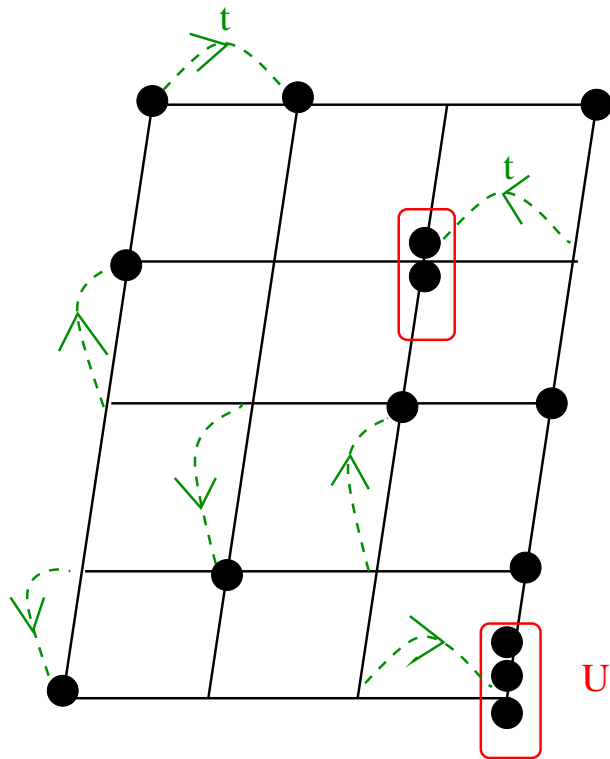


# Correlated bosons on optical lattices

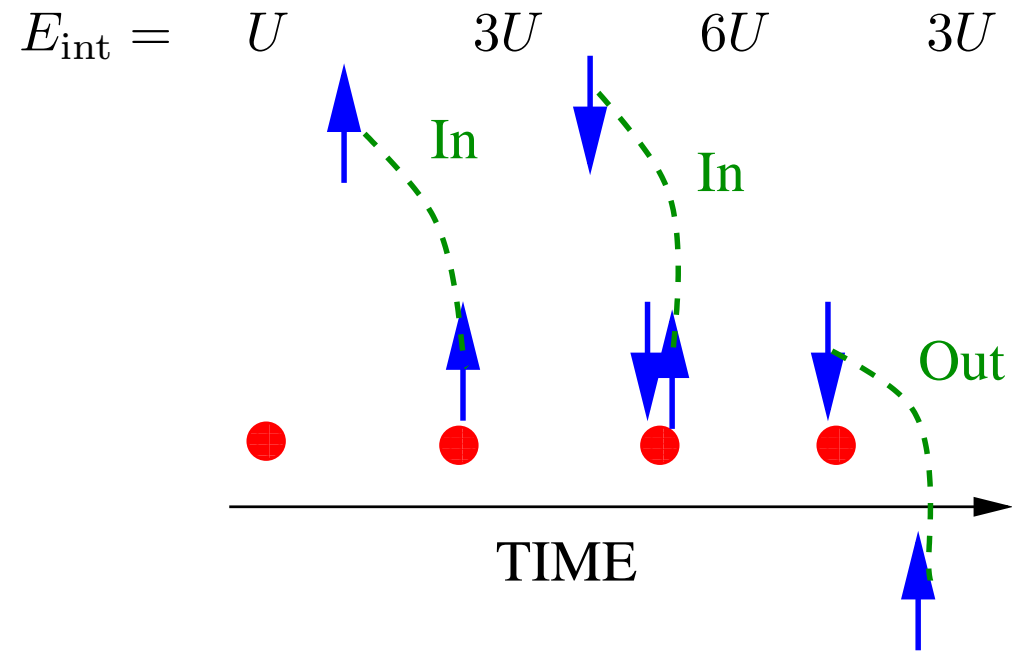
Gersch, Knollman, 1963  
 Fisher et al., 1989  
 Scalettar, Kampf, et al., 1995  
 Jaksch, 1998

bosonic Hubbard model

$$H = \sum_{ij} t_{ij} b_i^\dagger b_j + \frac{U}{2} \sum_i n_i(n_i - 1)$$



## local (on-site) correlations in time



$$|i, 2\rangle \rightarrow |i, 3\rangle \rightarrow |i, 4\rangle \rightarrow |i, 3\rangle$$

integer occupation of single site changes in time

# Standard approximations

- Bose-Einstein condensation treated by Bogoliubov method  $b_i = \langle b_i \rangle + \tilde{b}_i$  where  $\langle b_i \rangle \equiv \phi_i \in \mathbb{C}$  **classical variable** (Bogoliubov 1947)
- Weak coupling - mean-field (expansion) in  $U$ , **valid for small  $U$** , average on-site density, **local correlations in time neglected** (Ooste, Stoof, et al., 2000)
- Strong coupling - mean-field (expansion) in  $t$ , **valid for small  $t$**  (Freericks, Monien, 1994; Kampf, Scalettar, 1995)

**Bose-Einstein condensate – Mott insulator transition**

$$U \sim t$$

**intermediate coupling problem**

**Comprehensive mean-field theory needed**

Like DMFT for fermions: exact and non-trivial in  $d \rightarrow \infty$  limit

# Quantum lattice bosons in $d \rightarrow \infty$ limit

W. Metzner and D. Vollhardt 1989 - **rescaling** of hopping amplitudes for **fermions**

$$t_{ij} = \frac{t_{ij}^*}{(2d)^{\frac{\|R_i - R_j\|}{2}}} \quad \text{for NN } i, j \quad t = \frac{t}{\sqrt{2d}}$$

**Not sufficient for bosons because of BEC:**

One-particle density matrix at  $\|R_i - R_j\| \rightarrow \infty$

$$\rho_{ij} = \langle b_i^\dagger b_j \rangle = \underbrace{\frac{N_c}{N_L}}_{\text{BEC part}} + \underbrace{\frac{1}{N_L} \sum_{k \neq 0} n_k e^{ik(R_i - R_j)}}_{\text{normal part}} \xrightarrow{\|R_i - R_j\| \rightarrow \infty} \frac{N_c}{N_L} = n_c$$

- BEC part – constant
- normal part – vanishes

The two contributions to the density matrix behave differently

# Quantum lattice bosons in $d \rightarrow \infty$ limit

- No scaling:

$$\frac{1}{N_L} E_{kin} = \infty$$

- Fractional scaling:

$$\frac{1}{N_L} E_{kin} = \infty$$

in the BEC phase

- Integer scaling:

$$\frac{1}{N_L} E_{kin} = 0$$

in the normal phase

No way to construct comprehensive mean-field theory  
in the bare Hamiltonian operator formalism

# BEC and normal bosons on the lattice in $d \rightarrow \infty$ limit

1. Rescaling is made inside a thermodynamical potential (action, Lagrangian) but not at the level of the Hamiltonian operator

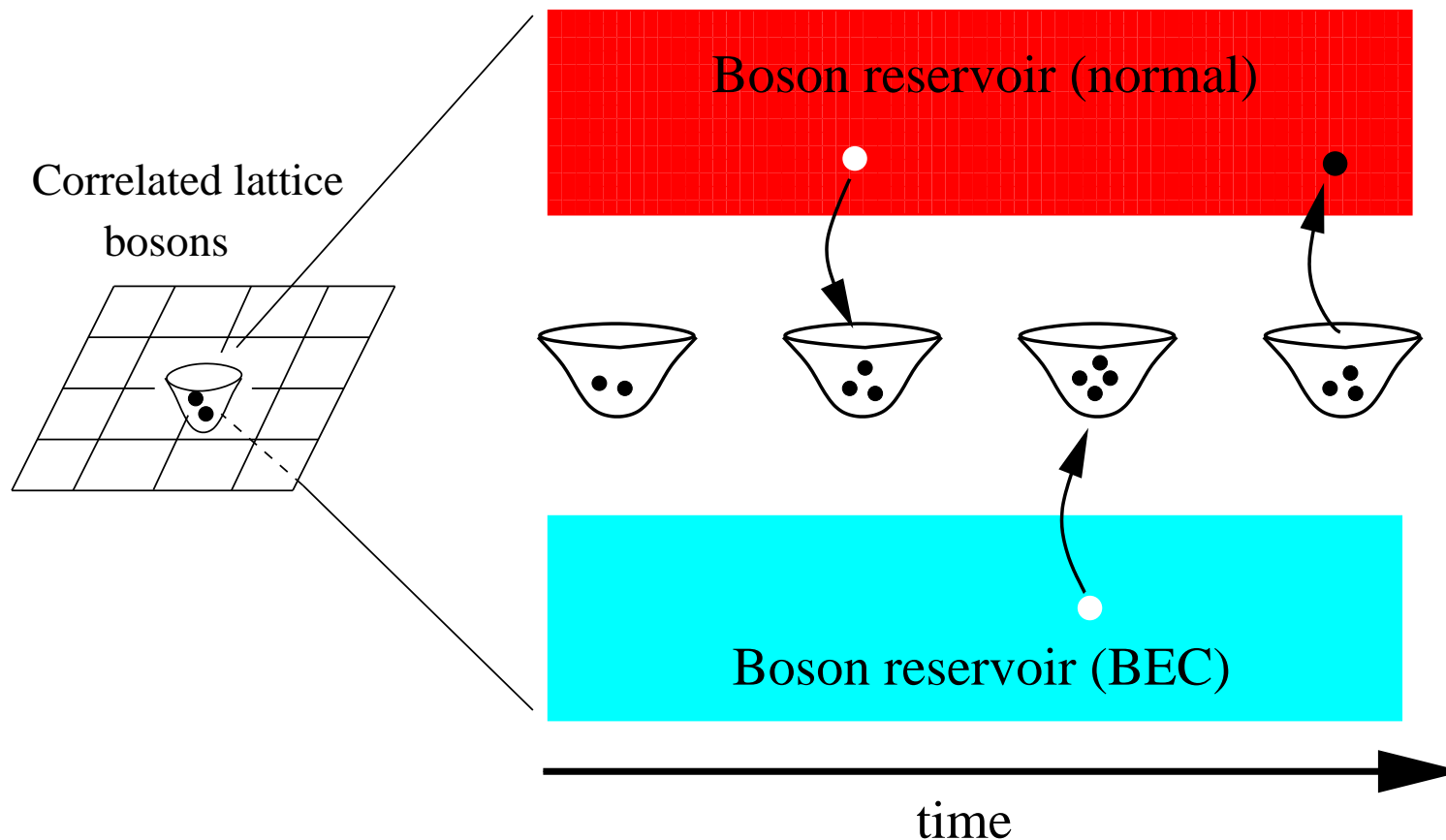
- normal parts:  $t_{ij} = \frac{t_{ij}^*}{(2d)^{\frac{\|R_i - R_j\|}{2}}}$  - fractional rescaling
- BEC parts:  $t_{ij} = \frac{t_{ij}^*}{(2d)^{\|R_i - R_j\|}}$  - integer rescaling

2. Limit  $d \rightarrow \infty$  taken afterwards in this effective potential

**Only this procedure gives consistent derivation of B-DMFT equations as exact ones in  $d \rightarrow \infty$  limit for boson models with local interactions**

# Bosonic-Dynamical Mean-Field Theory (B-DMFT)

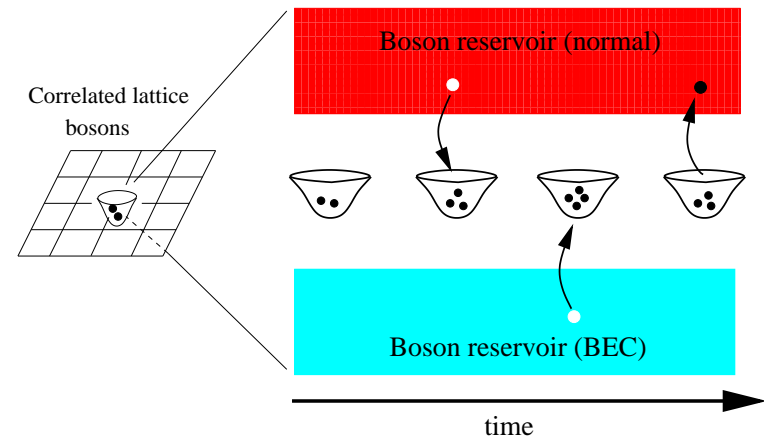
- Exact mapping of the lattice bosons in infinite dimension onto a single site
- Single site coupled to **two reservoirs**: normal bosons and bosons in the condensate
- Reservoirs properties are determined self-consistently, local correlations kept



# B-DMFT application to bosonic Hubbard model

(i) Lattice self-consistency equation (exact in  $d \rightarrow \infty$ )

$$\hat{G}(i\omega_n) = \int d\epsilon N_0(\epsilon) \left[ \begin{pmatrix} i\omega_n + \mu - \epsilon & 0 \\ 0 & -i\omega_n + \mu - \epsilon \end{pmatrix}^{-1} - \hat{\Sigma}(i\omega_n) \right]^{-1}$$



(ii) Local impurity  $\hat{G}(\tau) = \int D[b^*, b] \bar{b}(\tau) \bar{b}^*(0) e^{-S_{loc}}$

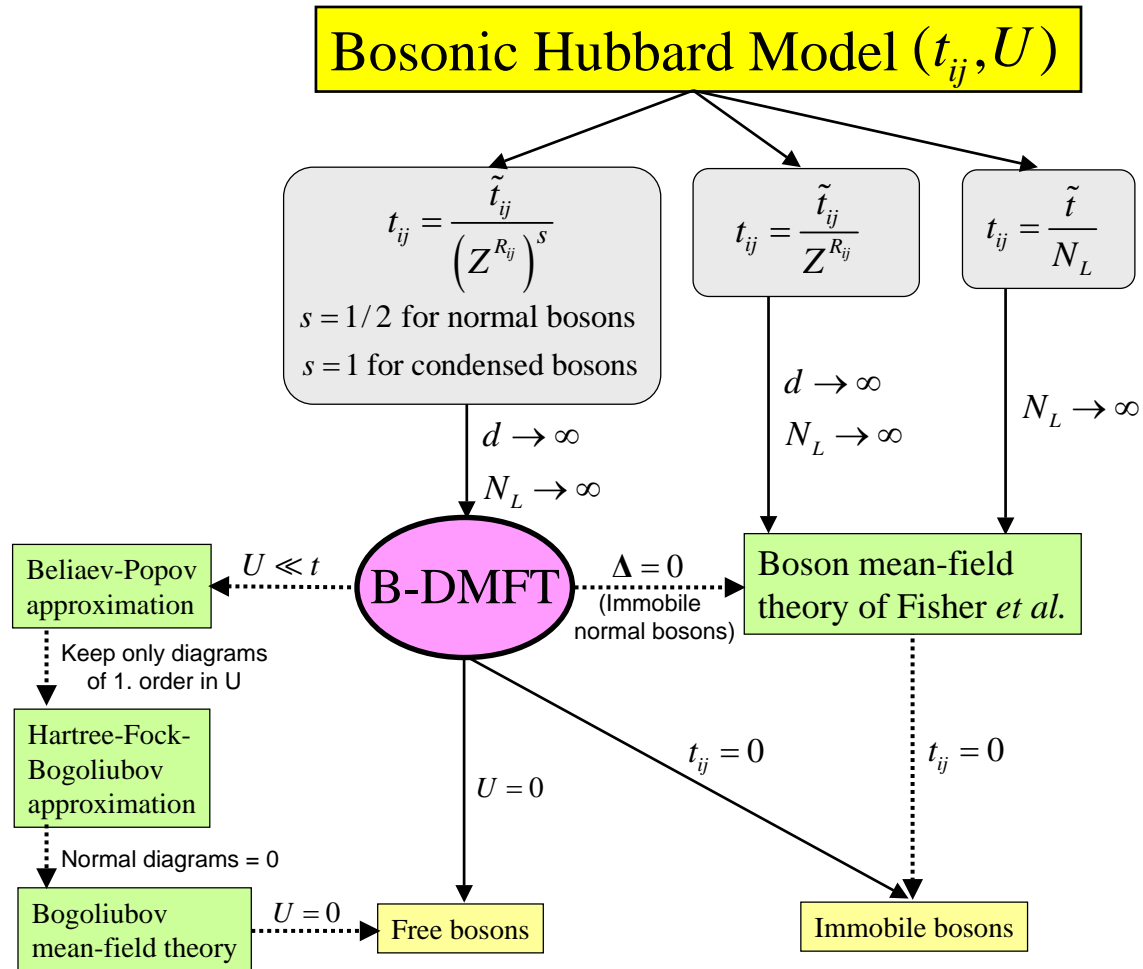
$$S_{loc} = - \int_0^\beta \int_0^\beta d\tau d\tau' \bar{b}^\dagger(\tau) \hat{\mathcal{G}}^{-1}(\tau - \tau') \bar{b}(\tau) + \kappa \int_0^\beta d\tau \bar{\phi}^\dagger(\tau) \bar{b}(\tau) + \frac{U}{2} \int_0^\beta n(\tau)(n(\tau) - 1)$$

$$\hat{\mathcal{G}}^{-1}(i\omega_n) = \hat{G}^{-1}(i\omega_n) + \hat{\Sigma}(i\omega_n) = \begin{pmatrix} i\omega_n + \mu & 0 \\ 0 & -i\omega_n + \mu \end{pmatrix} - \hat{\Delta}(i\omega_n)$$

(iii) Condensate wave function

$$\bar{\phi}(\tau) = \int D[b^*, b] \bar{b}(\tau) e^{-S_{loc}}$$

# B-DMFT in well-known limits





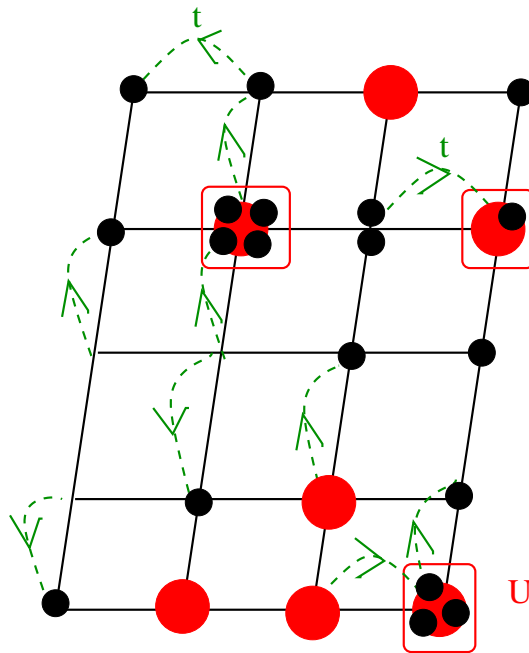
# B-DMFT application to bosonic Falicov-Kimball model

Binary mixture of itinerant (b) and localized (f) bosons on the lattice

$$H = \sum_{ij} t_{ij} b_i^\dagger b_j + \epsilon_f \sum_i f_i^\dagger f_i + U_{bf} \sum_i n_{bi} n_{fi} + U_{ff} \sum_i n_{fi} n_{fi}$$

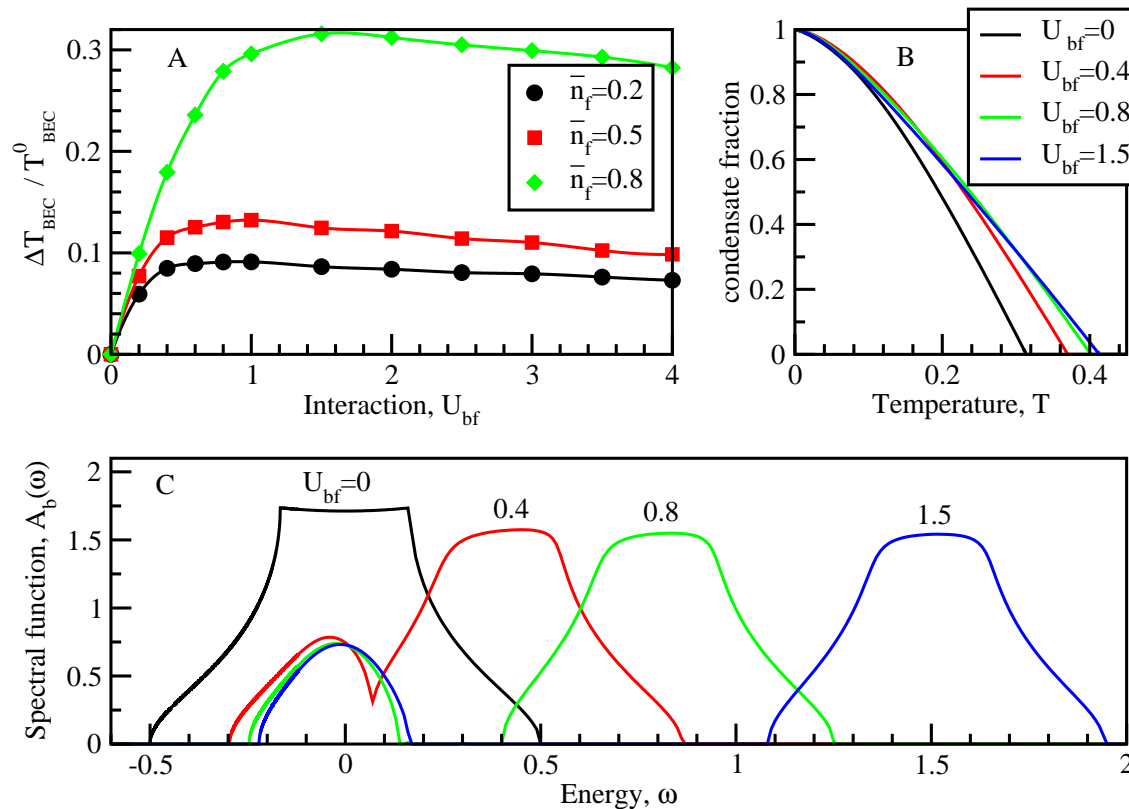
Local conservation law  $[n_{fi}, H] = 0$  hence  $n_{fi} = 0, 1, 2, \dots$  classical variable

B-DMFT: local action Gaussian and **analytically integrable**



# Enhancement of $T_{BEC}$ due to interaction

Hard-core f-bosons  $U_{ff} = \infty$ ;  $n_f = 0, 1$ ;  $0 \leq \bar{n}_f \leq 1$ ;  $d = 3$  - SC lattice



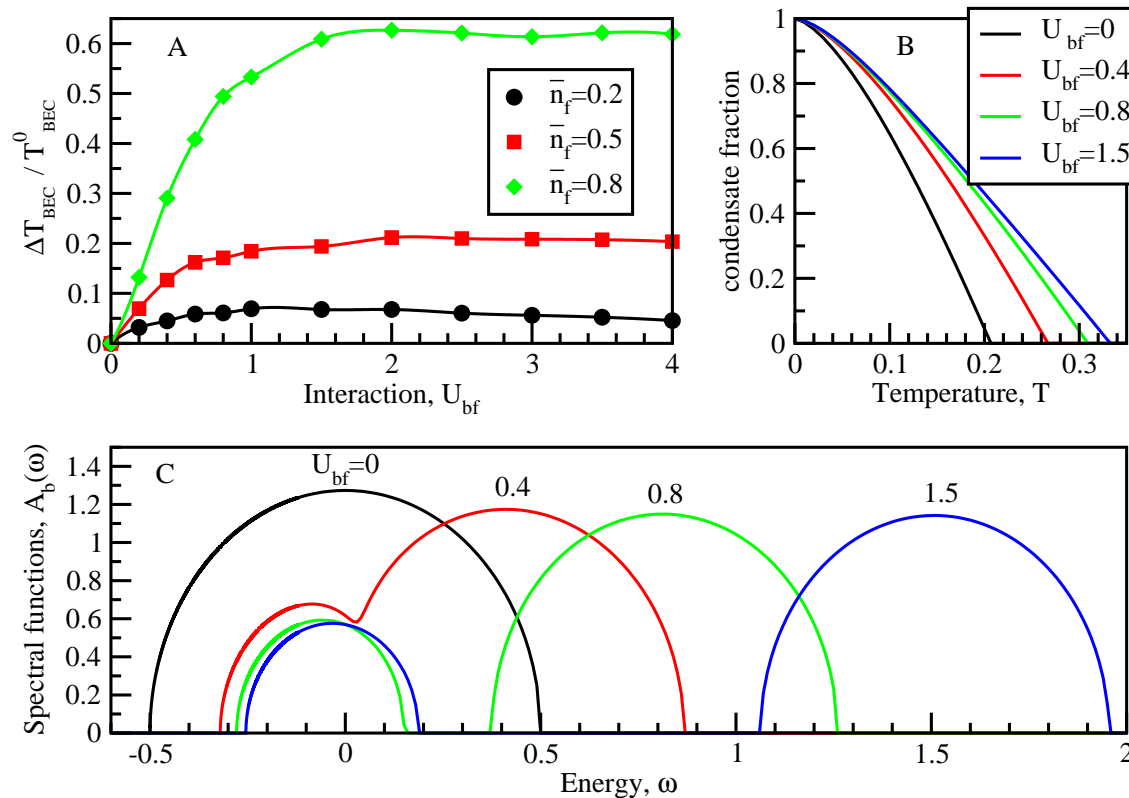
$$A_b(\omega) = -\text{Im}G_b(\omega)/\pi$$

$$\bar{n}_b = \bar{n}_b^{BEC} + \int d\omega \frac{A_b(\omega + \mu_b)}{e^{\omega/T} - 1}$$

Normal part decreases when  $U$  increases for constant  $\mu_b$  and  $T$

# Exact limit: enhancement of $T_{BEC}$ due to interaction

Hard-core f-bosons  $U_{ff} = \infty$ ;  $n_f = 0, 1$ ;  $0 \leq \bar{n}_f \leq 1$ ;  $d = \infty$  - Bethe lattice



$$A_b(\omega) = -\text{Im}G_b(\omega)/\pi$$

$$\bar{n}_b = \bar{n}_b^{BEC} + \int d\omega \frac{A_b(\omega + \mu_b)}{e^{\omega/T} - 1}$$

Normal part decreases when  $U$  increases for constant  $\mu_b$  and  $T$

# Bose-Fermi mixtures on a lattice with a trap

$$H = \sum_{ij} t_{ij}^b b_i^\dagger b_j + \sum_i \epsilon_i^b n_i^b + \frac{U_b}{2} \sum_i n_i^b (n_i^b - 1) + \sum_{ij} t_{ij}^f f_i^\dagger f_j + \sum_i \epsilon_i^f n_i^f + U_{bf} \sum_i n_i^b n_i^f$$

BF-DMFT equations:

$$S_{i_0}^b = \int_0^\beta d\tau \mathbf{b}_{i_0}^\dagger(\tau) \left( \partial_\tau \sigma_3 - (\mu_b - \epsilon_{i_0}^b) \mathbf{1} \right) \mathbf{b}_{i_0}(\tau) + \int_0^\beta d\tau \int_0^\beta d\tau' \mathbf{b}_{i_0}^\dagger(\tau) \Delta_{i_0}^b(\tau - \tau') \mathbf{b}_{i_0}(\tau')$$

$$+ \frac{U_b}{2} \int_0^\beta n_{i_0}^b(\tau) (n_{i_0}^b(\tau) - 1) + \int_0^\beta d\tau \sum_{j \neq i_0} t_{i_0 j}^b \mathbf{b}_{i_0}^\dagger(\tau) \Phi_j(\tau)$$

$$S_{i_0}^f = \int_0^\beta d\tau f_{i_0}^*(\tau) \left( \partial_\tau - \mu_f + \epsilon_{i_0}^f \right) f_{i_0}(\tau) + \int_0^\beta d\tau \int_0^\beta d\tau' f_{i_0}^*(\tau) \Delta_{i_0}^f(\tau - \tau') f_{i_0}(\tau')$$

$$S_{i_0}^{bf} = U_{bf} \int_0^\beta d\tau n_{i_0}^b(\tau) n_{i_0}^f(\tau)$$

# Lattice self-consistency (Dyson) equations

$$\mathbf{G}_{ij}^b(i\nu_n) = \left[ (i\nu_n \sigma_3 + \mu_b \mathbf{1} - \Sigma_i^b(i\nu_n)) \delta_{ij} - t_{ij}^b \mathbf{1} \right]^{-1}$$

$$G_{ij}^f(i\omega_n) = \left[ (i\omega_n + \mu_f - \Sigma_i^f(i\omega_n)) \delta_{ij} - t_{ij}^f \right]^{-1}$$

## Integrating out fermions

$$Z_{i_0}^{\text{loc}} = \int D[b] e^{-S_{i_0}^b[b] + \ln \text{Det} [M_{i_0}^b]}$$

$$\begin{aligned} [M_{i_0}^b]_{nm} &\equiv \left[ (\partial_\tau - \mu_f + \epsilon_{i_0}^f + U_{bf} n_{i_0}^b(\tau)) \delta_{\tau, \tau'} + \Delta_{i_0}^f(\tau - \tau') \right]_{nm} \\ &= \left[ -i\omega_n - \mu_f + \epsilon_{i_0}^f + \Delta_{i_0}^f(\omega_n) \right] \delta_{nm} + \frac{U_{bf}}{\sqrt{\beta}} n_{i_0}^b(\omega_n - \omega_m) \end{aligned}$$

# Effective interaction between bosons

$$\ln \text{Det}[M^b] = \text{Tr} \ln[M^b] = \text{Tr} \ln[-(\mathcal{G}^f)^{-1} + M_1^b] = \text{Tr} \ln[-(\mathcal{G}^f)^{-1}] - \sum_{m=1}^{\infty} \frac{1}{m} \text{Tr}[\mathcal{G}^f M_1^b]^m$$

$$\mathcal{G}_{i_0}^f(\omega_n) = \frac{1}{i\omega_n + \mu_f - \epsilon_{i_0}^f - \Delta_{i_0}^f(\omega_n)}$$

Effective bosonic action

$$\tilde{S}_{i_0}^b \approx S_{i_0}^b + \frac{U_{bf}}{\sqrt{\beta}} \sum_n \mathcal{G}_{i_0}^f(\omega_n) n_{i_0}^b(\nu_m = 0) - \frac{U_{bf}^2}{2} \sum_n n_{i_0}^b(\nu_n) \pi_{i_0}^f(\nu_n) n_{i_0}^b(-\nu_n)$$

$$\pi_{i_0}^f(\nu_n) \equiv -\frac{1}{\beta} \sum_m \mathcal{G}_{i_0}^f(\omega_m) \mathcal{G}_{i_0}^f(\omega_m + \nu_n)$$

Boson-Boson interaction

$$U_b^{\text{eff}} = U_b - U_{bf}^2 N_{i_0}^f(\mu)$$

System unstable when  $U_b = U_{bf}^2 N_{i_0}^f(\mu)$ .

# Summary and Outlook

- Formulated Bosonic Dynamical Mean-Field Theory (B-DMFT)
  - comprehensive mean-field theory
  - conserving and thermodynamically consistent
  - exact in  $d \rightarrow \infty$  limit due to new rescaling
- B-DMFT equations for bosonic Hubbard model
- B-DMFT solution for bosonic Falicov-Kimball model
  - Enhancement of  $T_{BEC}$  due to correlations
  - Mixture of  $^{87}\text{Rb}$  (f-bosons) and  $^7\text{Li}$  (b-bosons) may have larger  $T_{BEC}$  on optical lattices
- Spinor bosons, bose-fermi mixture within B-DMFT or density like LRO easy to include within B-DMFT
- Bosonic impurity solver wanted!

