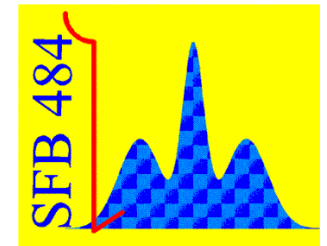
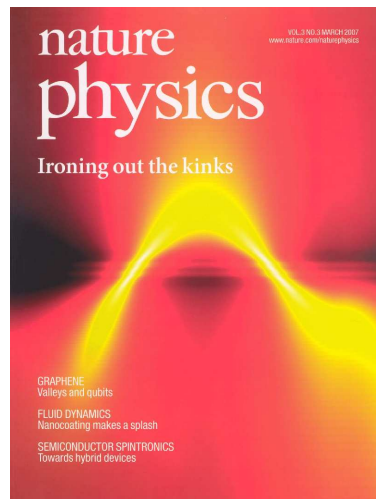


Kinks in the dispersion of strongly correlated electrons

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April 22nd, 2009



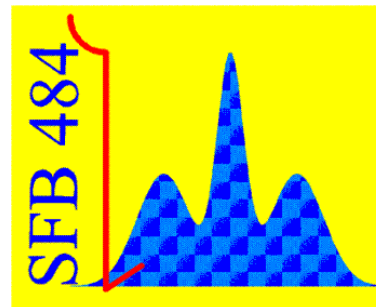
K. Byczuk, M. Kollar, K. Held, Y.-F. Yang, I.A. Nekrasov, Th. Pruschke, D. Vollhardt

Nature Physics **3**, 168 (2007)

Collaboration

- M. Kollar, D. Vollhardt, Augsburg, Germany
- K. Held, Y.-F. Yang, Stuttgart, Germany
- I. Nekrasov, Ekaterinburg, Russia
- T. Pruschke, Göttingen, Germany

Support from SFB 484

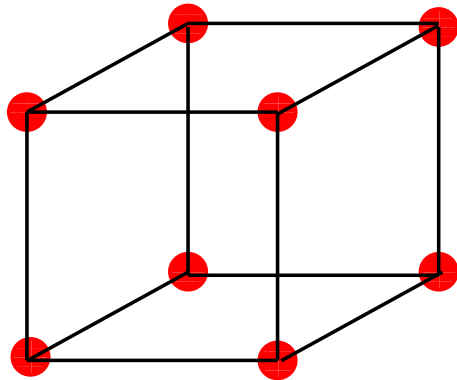


Aim of this talk

New Purely Electronic Mechanism for Kinks in Electronic Dispersion Relations

- in strongly correlated electron systems
- characteristic energy scale
- range of validity for Fermi liquid theory

Standard model of quantum many-body system



emergent particles

quasiparticle

quasihole

holon

spinon

plasmon

magnon

phonon

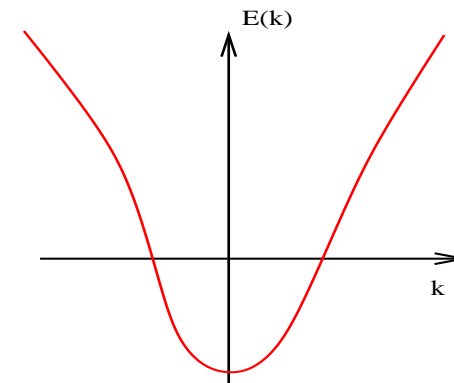
polariton

exciton

anyon

g-on

...



(i) well defined dispersion relation $E(\mathbf{k})$

(ii) long (infinite) life-time τ

(iii) proper set of quantum numbers

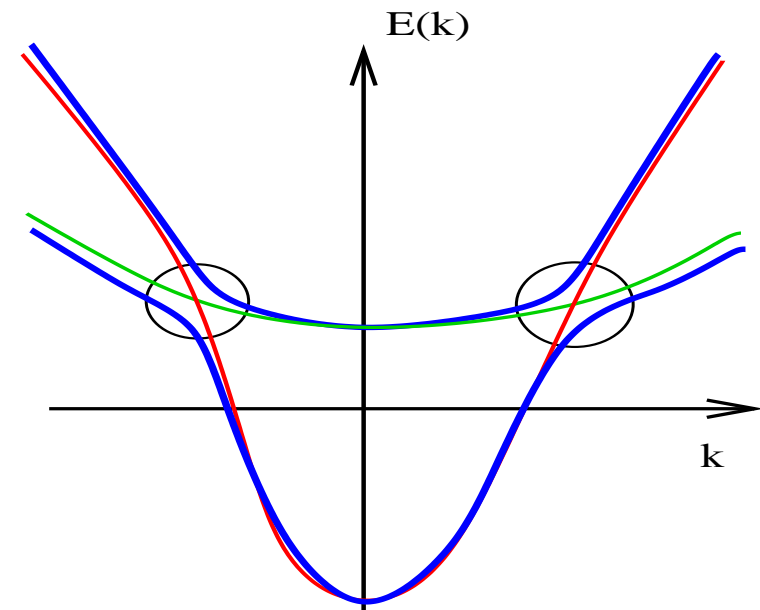
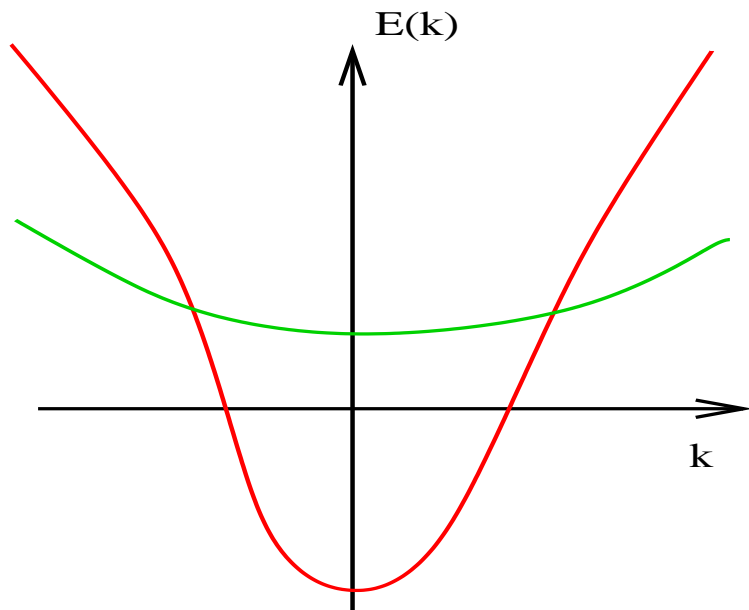
(iv) statistics

Dispersions and kinks

Coupling/hybridization \hat{V} between different particles/modes

$$\langle \Psi | \hat{V} | \Phi \rangle \neq 0$$

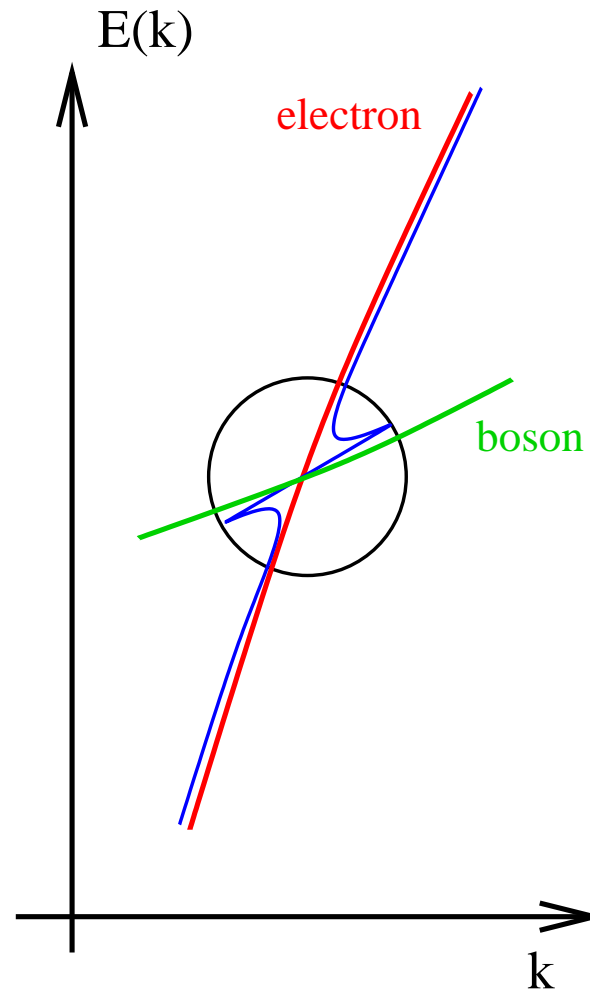
anticrossing, lifting degeneracy, ...



Df. **Kinks are abrupt slope changes in the dispersion relations**

They provide information on coupling between modes

Dispersions and kinks - coupling to bosons



energy of a kink is related to energy of a bosonic fluctuation

Dispersion of correlated electrons

One-particle spectral function - excitations at \mathbf{k} and ω

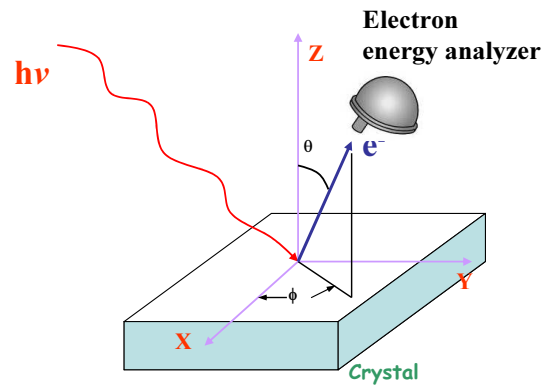
$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \text{Im} \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega)}$$

Dispersion relation $E_{\mathbf{k}}$

$$E_{\mathbf{k}} = \{\omega \text{ where } A(\mathbf{k}, \omega) = \max\}$$

Dispersion relation is experimentally measured

Angular Resolved Photoemission Spectroscopy

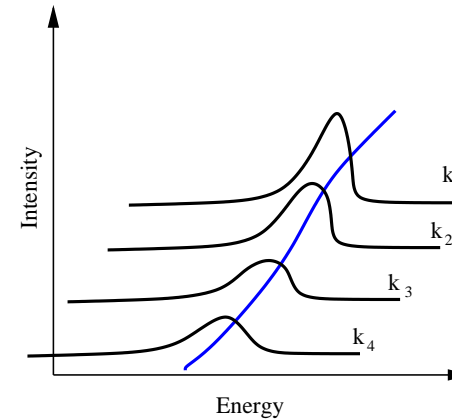


$$k_x = k \cos \phi$$

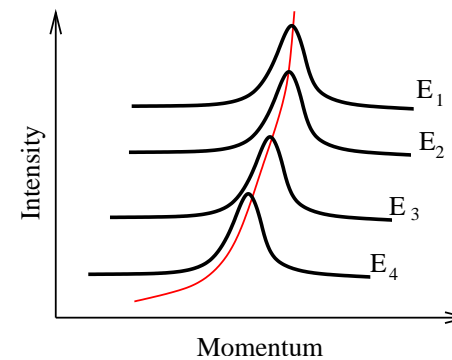
$$k_y = k \sin \phi$$

$$E = k^2 / 2m$$

energy resolution 1meV

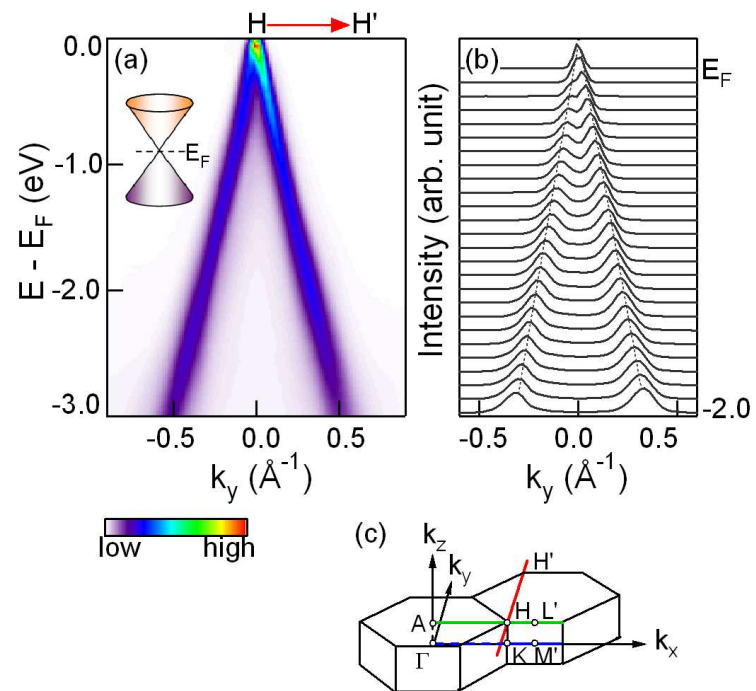


energy distribution curve (EDC)



momentum distribution curve (MDC)

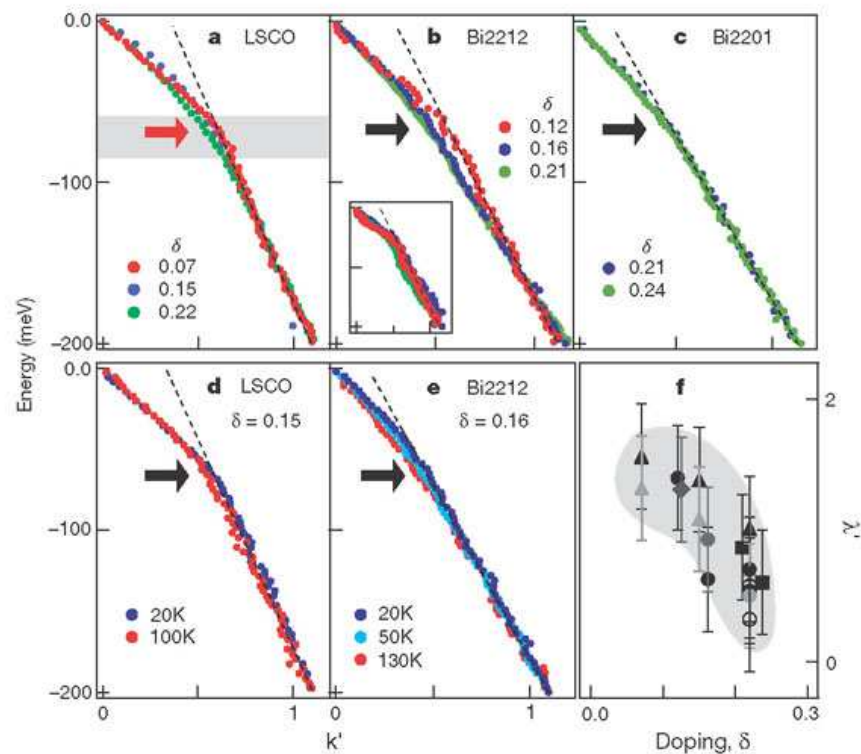
ARPES and graphene



Dirac linear dispersion relation for graphene

cond-mat/0608069

Kinks in HTC

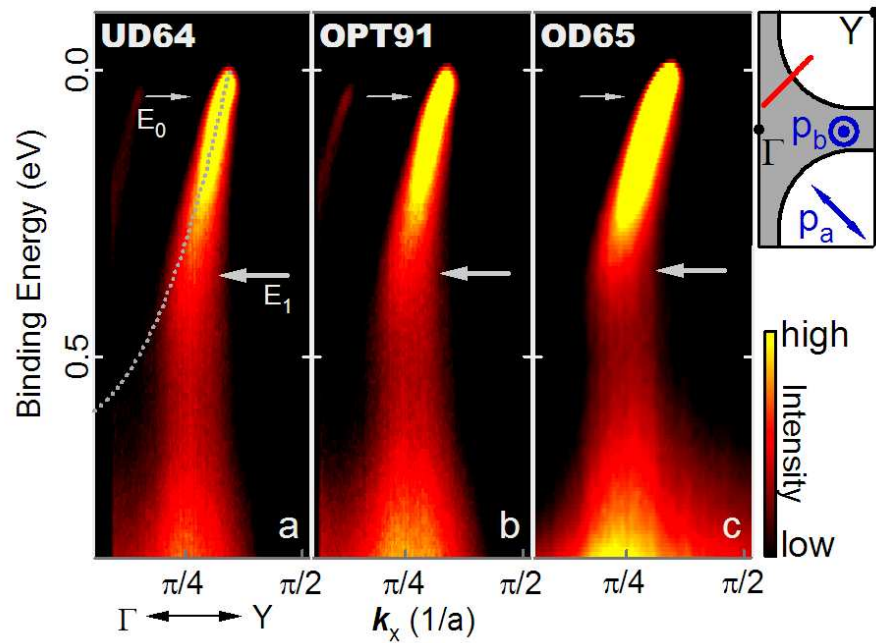


cond-mat/0604284

Kinks at 40 – 70meV

electron-phonon or electron-spin fluctuations coupling

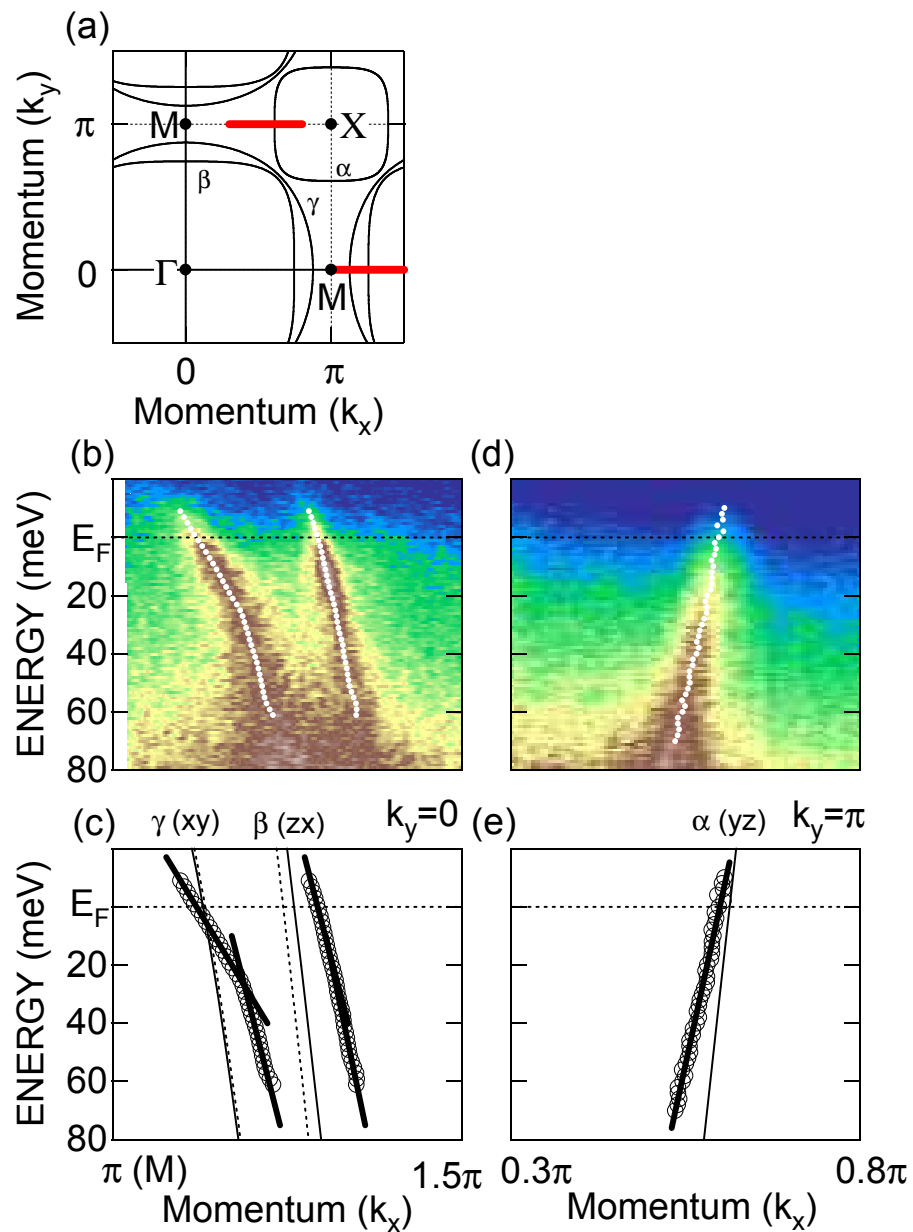
“Waterfalls” in HTC



different HTC systems, cond-mat/0607319

Kinks seen experimentally between 300-800 meV
Origin: phonons, spin fluctuations, not known yet

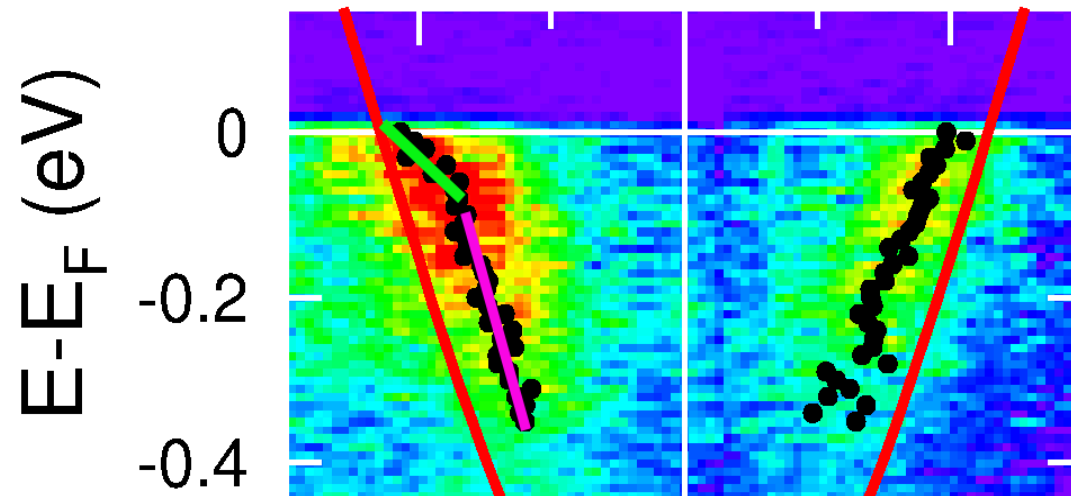
Kinks orbital selective



Kink at 30meV in γ -band only

Sr_2RuO_4 , cond-mat/0508312

More examples of kinks in ARPES



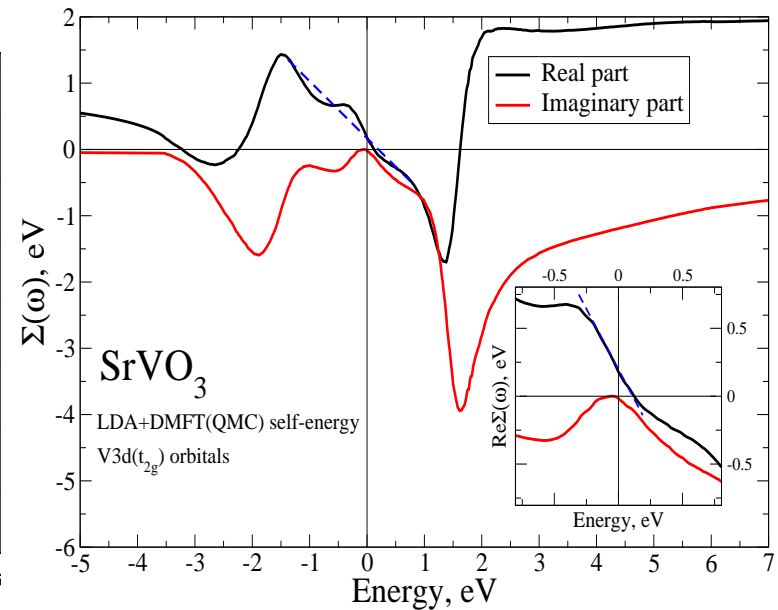
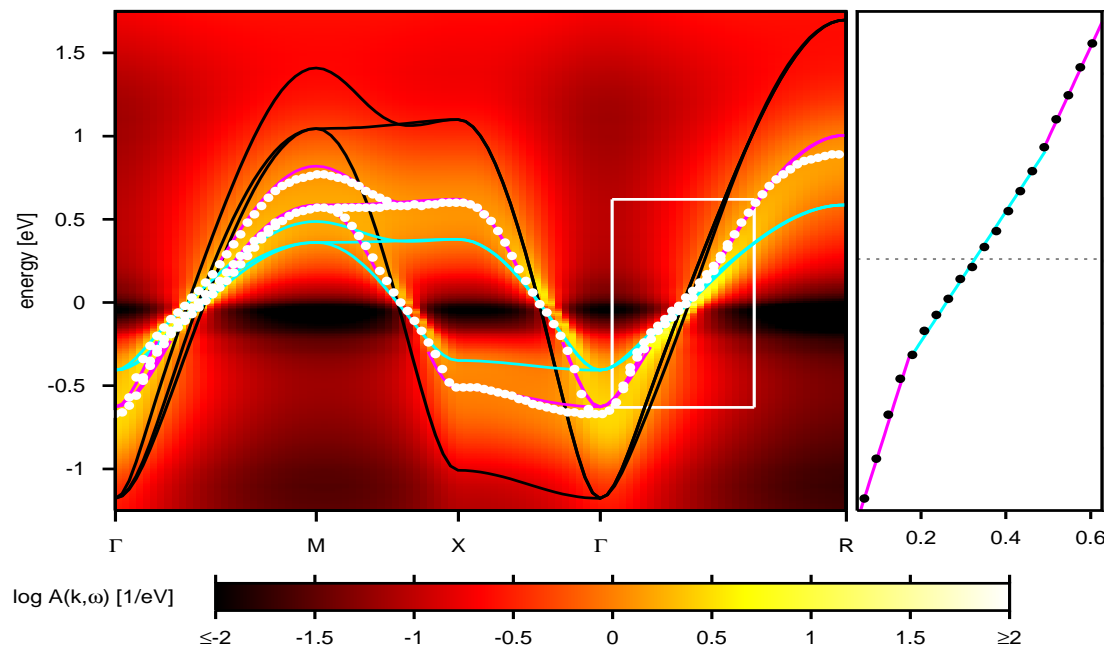
SrVO_3 , cond-mat/0504075

Kinks seen experimentally at 150 meV
Pure electronic origin?

Kinks in LDA+DMFT study of SrVO₃

plain band model with local correlations, no other bosons, ... but kinks!

I.A. Nekrasov *et al.*, cond-mat/0508313, PRB (2006)



$$G_{\mathbf{k}}(\omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma(\omega)} \quad \rightarrow \quad E_{\mathbf{k}} + \mu - \epsilon_{\mathbf{k}} - \text{Re}\Sigma(E_{\mathbf{k}}) = 0$$

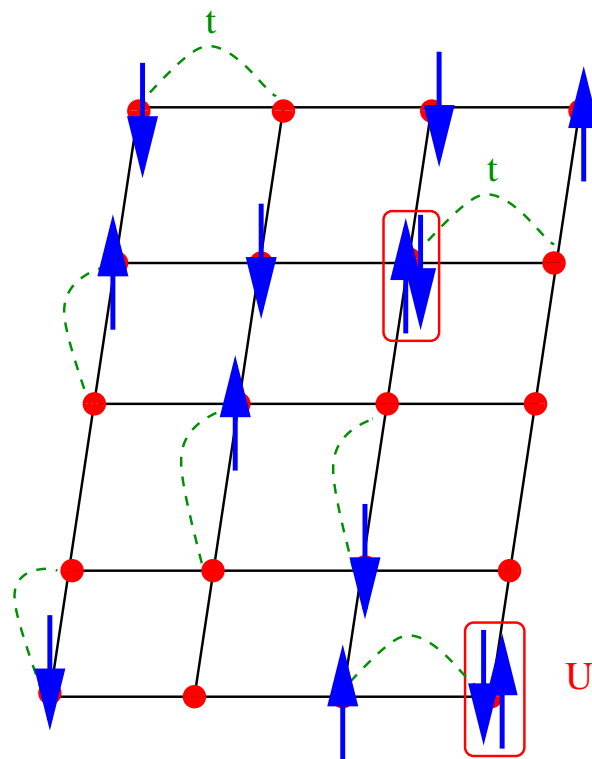
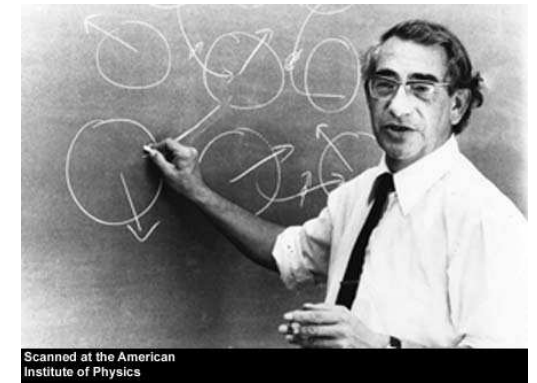
Not found in SIAM with simple hybridization function! \rightarrow DMFT self-consistency effect

New purely electronic mechanism

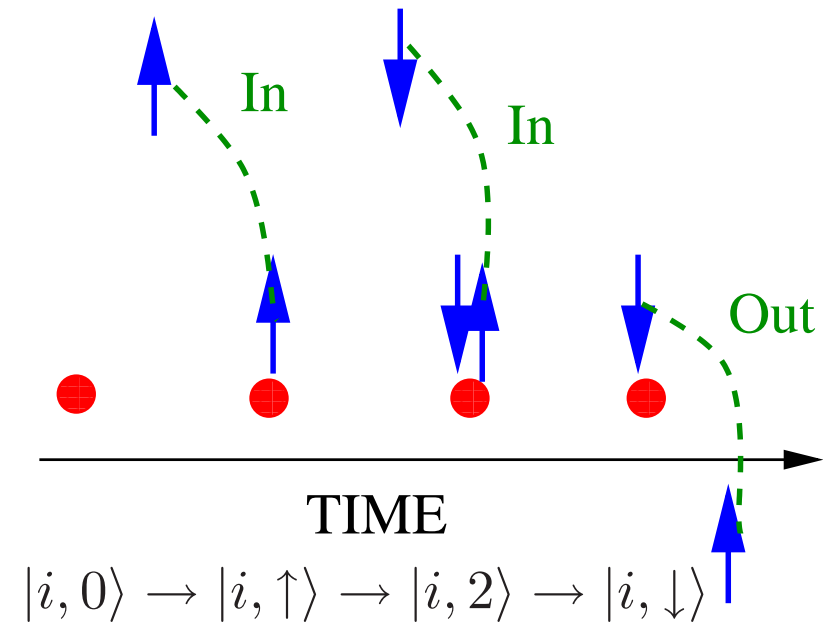
- in strongly correlated systems
- characteristic energy scale
- range of validity for Fermi liquid theory

Hubbard model for strongly correlated electrons

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



Local Hubbard physics



All what we know about Hubbard model

Solved in $U = 0$ limit (non-interacting limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}}}$$

Dispersion relation

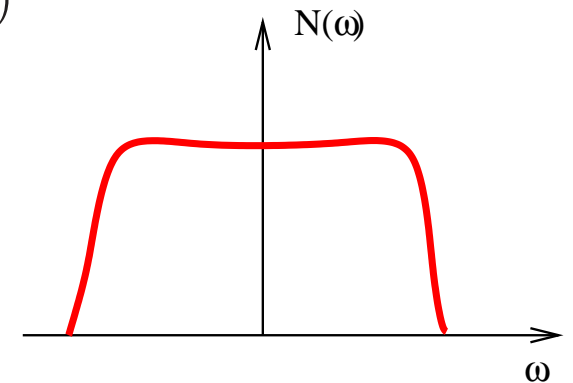
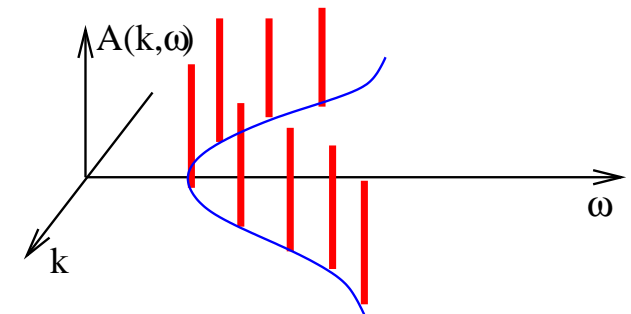
$$\epsilon_{\mathbf{k}} = \sum_{j(i)} t_{ij} e^{i\mathbf{k}(\mathbf{R}_i - \mathbf{R}_j)}$$

Spectral function - one-particle excitations

$$A_{\sigma}(\mathbf{k}, \omega) \equiv -\frac{1}{\pi} \text{Im}G(\mathbf{k}, \omega) = \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$

Density of states (DOS) - thermodynamics

$$N_{\sigma}(\omega) \equiv \sum_{\mathbf{k}} A(\mathbf{k}, \omega) = \sum_{\mathbf{k}} \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$



All what we know about Hubbard model

Solved in $t = 0$ limit (atomic limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1 - n_{-\sigma}}{\omega + \mu} + \frac{n_{-\sigma}}{\omega + \mu - U} = \frac{1}{\omega + \mu - \Sigma_{\sigma}(\omega)}$$

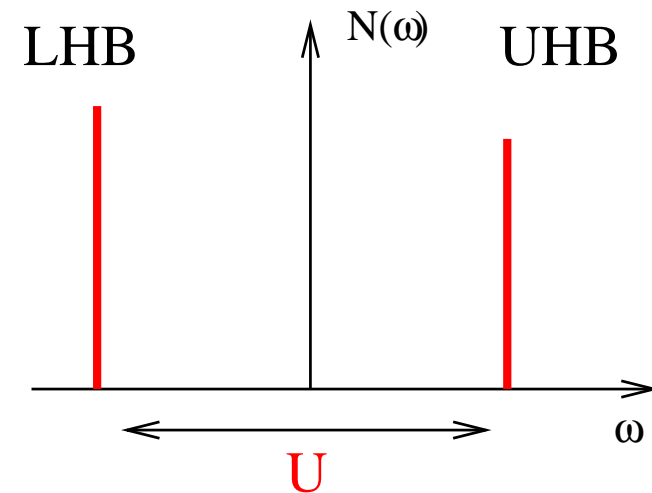
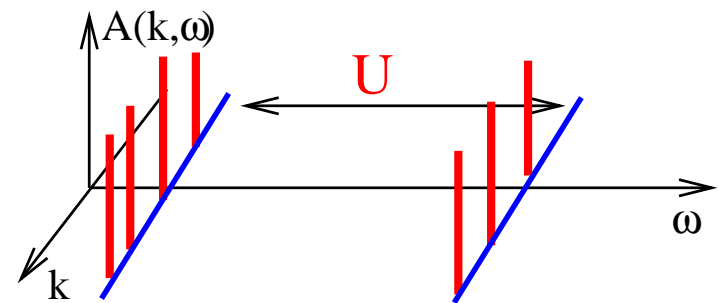
Real self-energy

$$\Sigma_{\sigma}(\omega) = n_{\sigma}U + \frac{n_{-\sigma}(1-n_{-\sigma})U^2}{\omega + \mu - (1-n_{-\sigma})U}$$

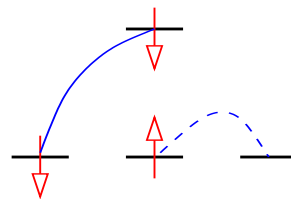
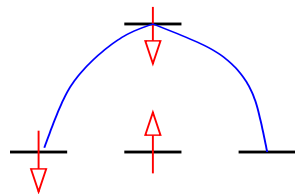
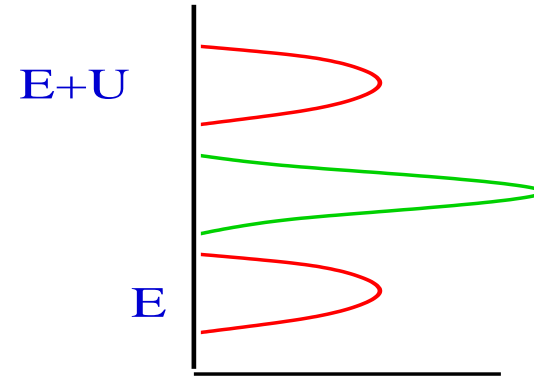
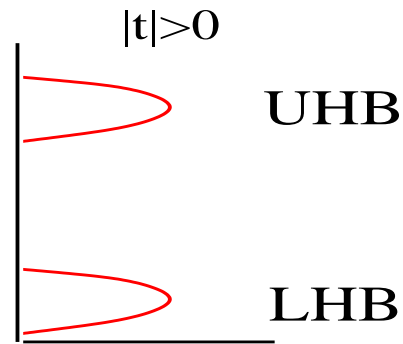
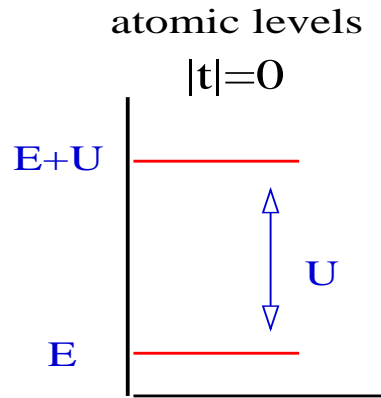
Spectral function

$$A_{\sigma}(\mathbf{k}, \omega) = (1 - n_{-\sigma})\delta(\omega + \mu) + n_{-\sigma}\delta(\omega + \mu - U)$$

Green function and self-energy are local,
i.e. \mathbf{k} independent



Hubbard subbands and quasiparticle peak



spin flip on central site

at $U = U_c$ resonance disappears
gaped insulator

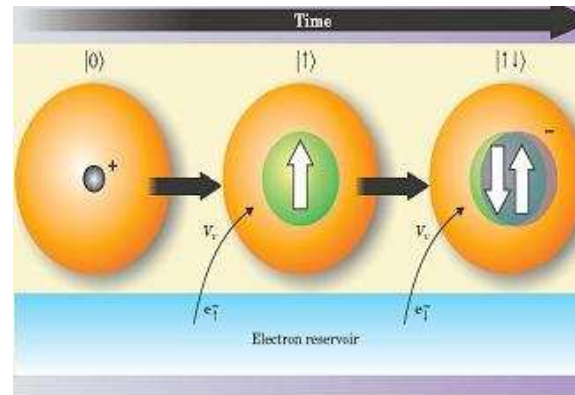
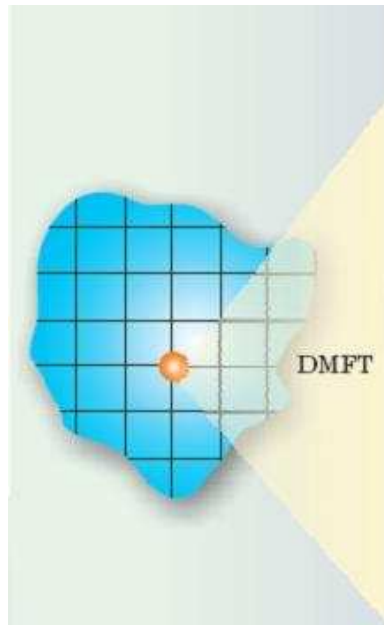
dynamical processes with spin-flips inject states into correlation the gap
giving rise to a **quasiparticle resonance peak**

DMFT for lattice fermions

Replace (map) full many-body lattice problem by a single-site coupled to dynamical reservoir and solve such problem self-consistently

Metzner, Vollhardt 89; Georges et al. 96

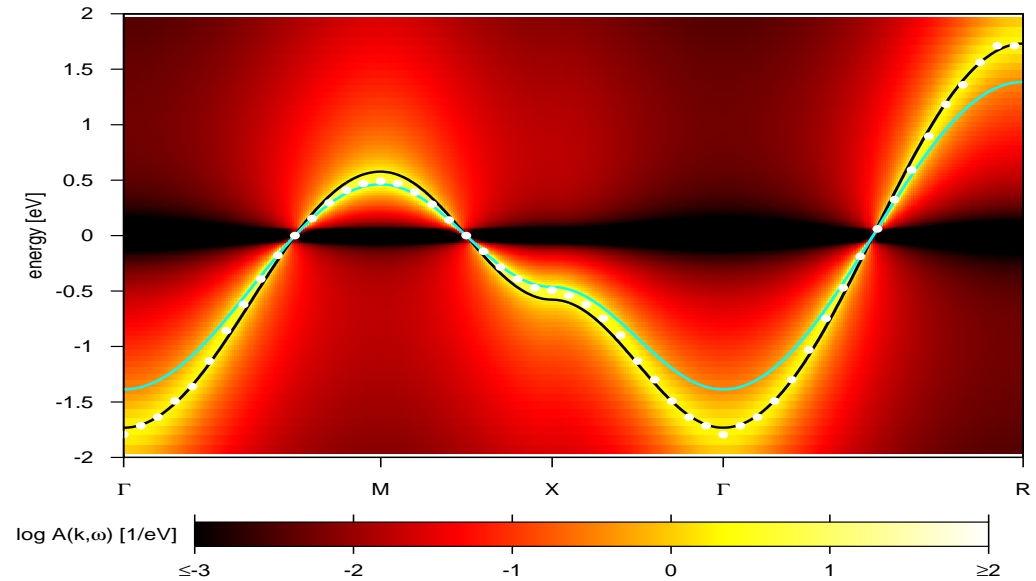
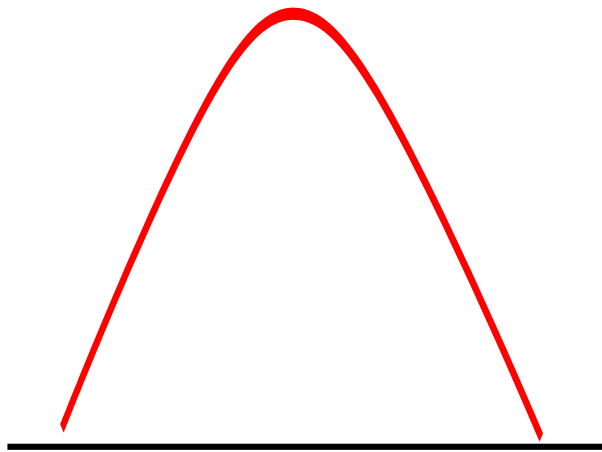
Kotliar, Vollhardt, Physics Today 57 No.3, 53 (2004)



All local dynamical correlations included exactly

Space correlations neglected - mean-field approximation

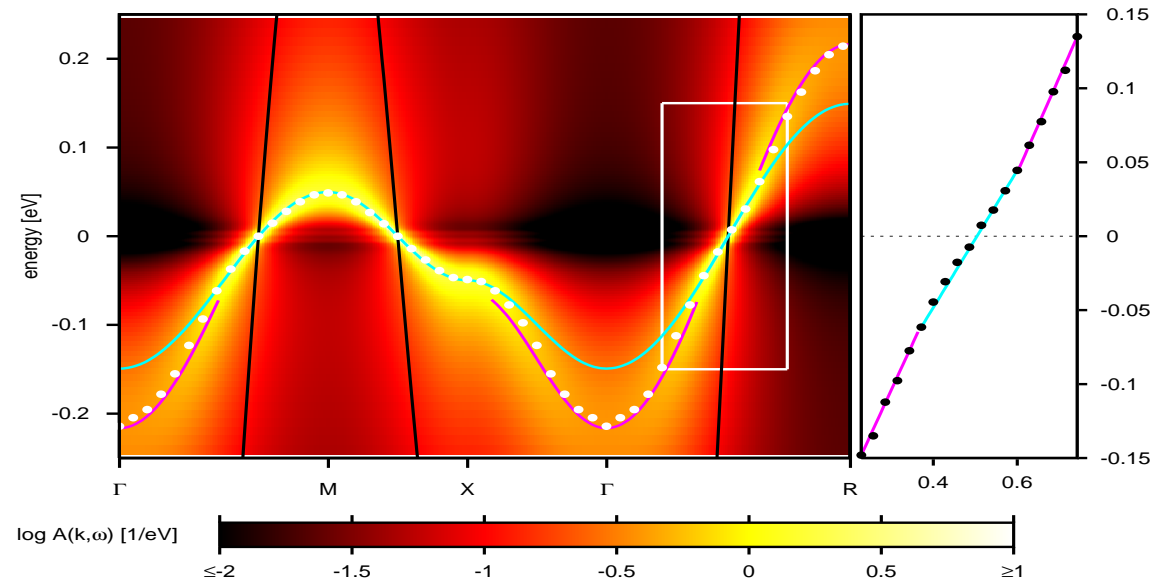
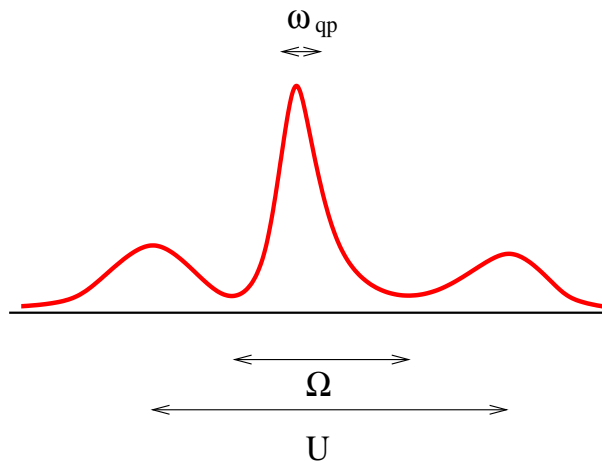
Weakly correlated system



Fermi liquid $Z_{FL} \lesssim 1$: $E_{\mathbf{k}} = Z_{FL} \epsilon_{\mathbf{k}}$ for $|E_{\mathbf{k}}| < \omega_*$

$E_{\mathbf{k}} = \epsilon_{\mathbf{k}}$ for $|E_{\mathbf{k}}| > \omega_*$

Kinks due to strong correlations



Fermi liquid $Z_{FL} \ll 1$: $E_{\mathbf{k}} = Z_{FL}\epsilon_{\mathbf{k}}$ for $|E_{\mathbf{k}}| < \omega_*$

Different renormalization $Z_{CP} \ll 1$: $E_{\mathbf{k}} = Z_{CP}\epsilon_{\mathbf{k}} \pm c$ for $|E_{\mathbf{k}}| > \omega_*$

Mathematical explanation of kinks within DMFT

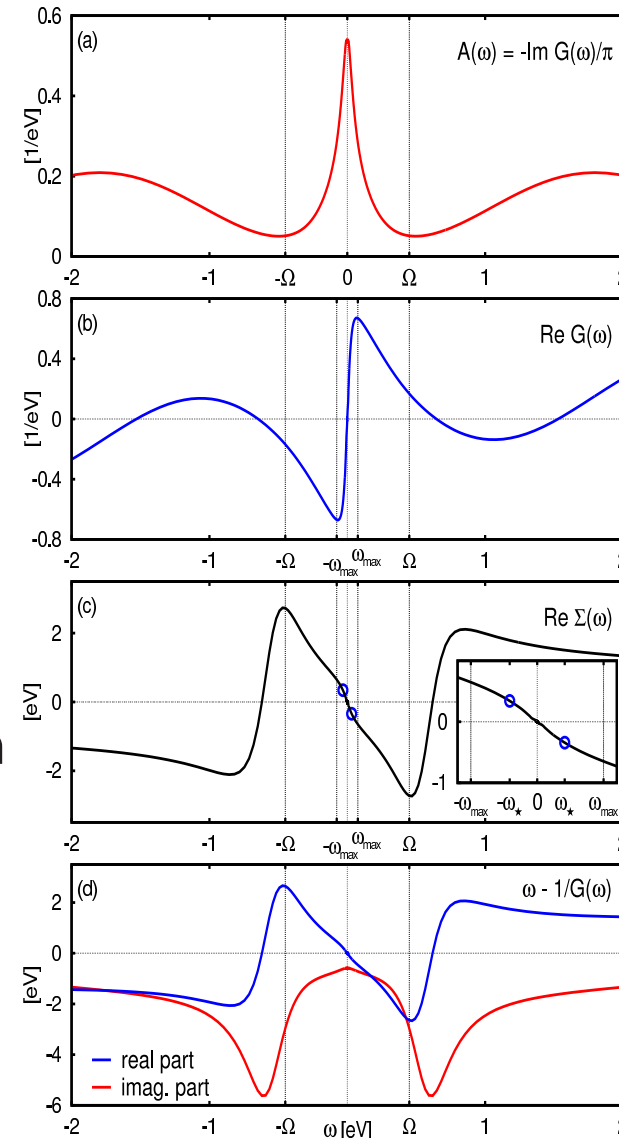
DMFT self-consistency condition

$$\Sigma(\omega) = \omega - 1/G(\omega) - \Delta(G(\omega))$$

$$\Delta(G(\omega)) \approx (m_2 - m_1^2)G(\omega) + \dots$$

Three-peak structure sufficient condition

Fermi-liquid for $|\omega| < \omega_* \sim Z_{FL}$



Microscopic predictions

Starting from:

- $\epsilon_{\mathbf{k}}$ - bare dispersion relation

$$G_0(\omega) = \sum_{\mathbf{k}} \frac{1}{\omega - \epsilon_{\mathbf{k}}}$$

- Z_{FL}

we predict that:

Microscopic predictions

- Kink position

$$\omega_* = 0.41 Z_{FL} \frac{\text{Im}1/G_0}{\text{Re}G'_0/G_0^2}$$

- Intermediate energy regime

$$Z_{CP} = Z_{FL} \frac{1}{\text{Re}G'_0/G_0^2}$$

- Change in the slope Z_{FL}/Z_{CP} interaction independent
- Curvature of the kink $\sim Z_{FL}^2$
- Sharpness of the kink $\sim 1/Z_{FL}^2$
- Sharper for stronger U

Outlook: possible origin of the “waterfalls”

“Waterfalls”: kinks at $\omega_{\star} \approx 300\text{-}400\text{ meV}$ in cuprates

- crossover to Hubbard bands?

Wang et al. (2006)

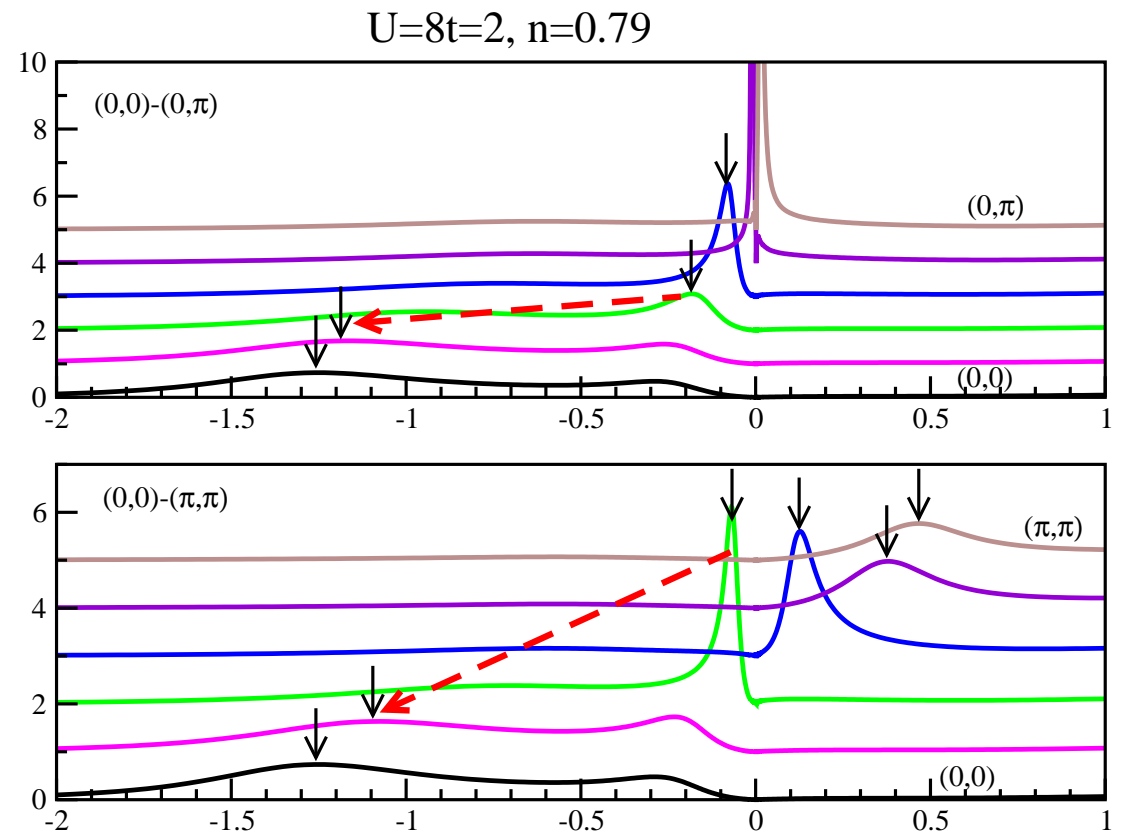
- $U \gg t \Rightarrow$ dispersion goes from central peak to Hubbard band

K. Byczuk, M. Kollar (unpublished)

$$\Sigma(\omega) = \Sigma_0 + \frac{\Sigma_1}{\omega} + O\left(\frac{1}{\omega^2}\right)$$

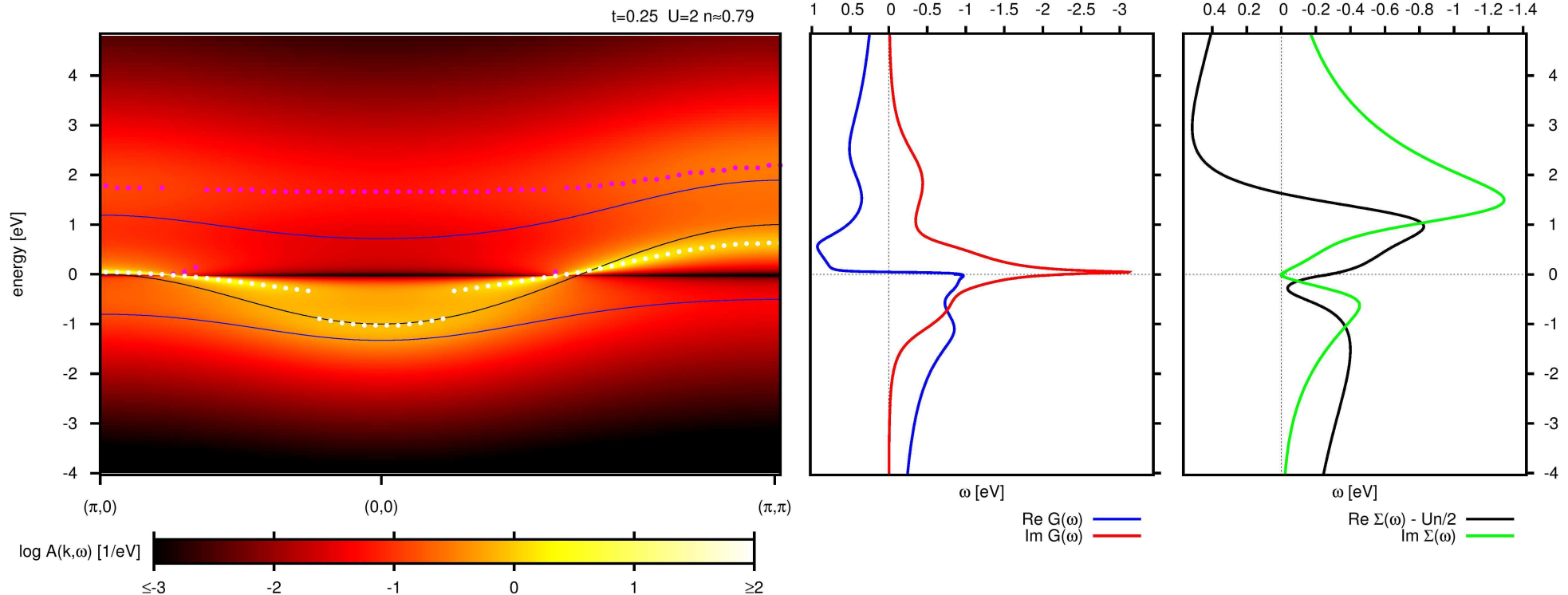
\Downarrow

$$E_k^{\text{UHB,LHB}} \approx \frac{1}{2} \left[\epsilon_k \pm \sqrt{\epsilon_k^2 + cU^2} \right]$$



Crossover to Hubbard bands

Hubbard model, square lattice, DMFT(NRG), $U = 8t$, $n = 0.79$



- $\text{Im}\Sigma$ decays faster than $\text{Re}\Sigma$
- for large energies: E_k approaches $E_k^{\text{UHB,LHB}}$
- **waterfalls** from central peak to LHB

K. Byczuk, M. Kollar (unpublished)
Y.-F. Yang, K. Held (unpublished)

Conclusions

- Strong correlations (three peak spectral function) a sufficient condition for electronic kinks
- **Energy scale** for electronic kinks $\omega_* = Z_{FL}D$ determined by Fermi-liquid renormalization and bare (LDA) density of states
- ω_* sets the energy scale for Fermi-liquid regime where $E_{\mathbf{k}} = Z_{FL}\epsilon_{\mathbf{k}}$ for $|E_{\mathbf{k}}| < \omega_*$
- **Beyond Fermi-liquid regime** the dispersion is still **renormalized** and **useful** $E_{\mathbf{k}} = Z_{CP}\epsilon_{\mathbf{k}} \pm c$ for $|E_{\mathbf{k}}| > \omega_*$ where the offset c and Z_{CP} determined by Z_{FL} and D
- Electronic kinks are within cluster extension of DMFT (DCA)
$$\Sigma_{\mathbf{K}}(\omega) = \omega - \frac{1}{G_{\mathbf{K}}(\omega)} - \Delta(G_{\mathbf{K}}(\omega))$$
- **Electronic kinks and waterfalls are generic feature of strongly correlated systems**