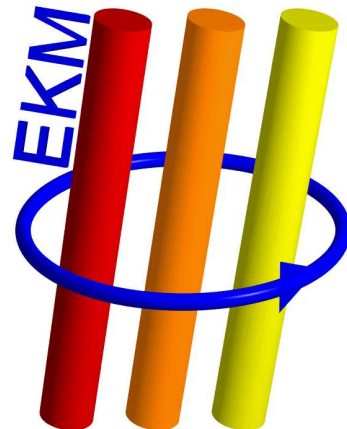


# Dynamical mean-field theory for correlated and disordered electrons

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# Plan of our 4 lectures

## 1. Correlated electrons and dynamical mean-field theory (DMFT) - 2X45min.

- Correlated electrons and models
- Needs for **the** mean-field theory
- Limit of infinite dimension
- Feynman diagrams simplification

## 2. Application of DMFT to pure systems - 2X45min.

- Analytically solvable Falicov-Kimball model
- MIT at half-filling in Hubbard model and experiment
- Electronic kinks in correlated metals
- Mott-Anderson MIT in correlated electron systems with disorder
- Itinerant ferromagnetism
- MIT in binary alloy systems
- Itinerant ferromagnetism in binary alloy systems

# Correlation

- **Correlation** [lat.]: con+relatio (“with relation”)
- Mathematics, Statistics, Natural Science:

$$\langle xy \rangle \neq \langle x \rangle \langle y \rangle$$

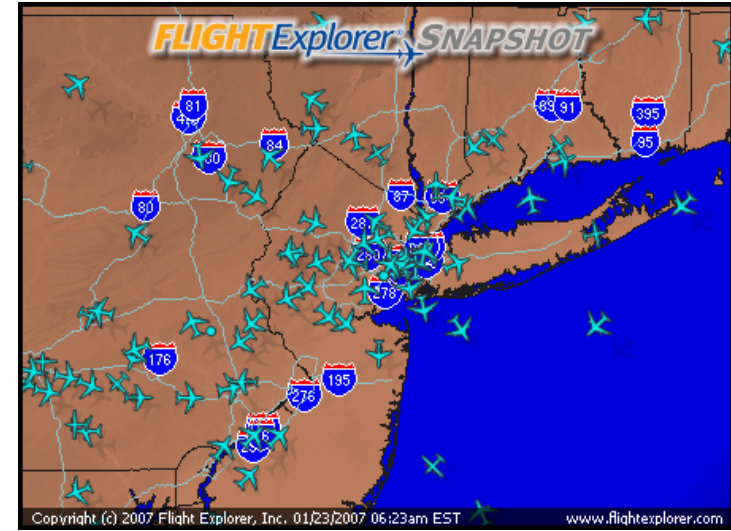
The term **correlation** stems from mathematical statistics and means that two distribution functions,  $f(x)$  and  $g(y)$ , **are not independent** of each other.

- In many body physics: **correlations** are effects beyond factorizing approximations

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle,$$

as in Weiss or Hartree-Fock mean-field theories

# Spatial and temporal correlations everywhere



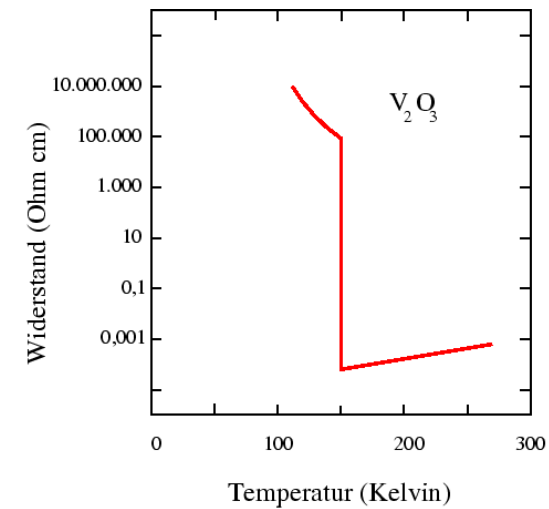
car traffic

air traffic

human traffic

electron traffic

more .....



**Abb. 3:** Beispiel eines Metall-Isolator-Übergangs: Bei Abkühlung unter eine Temperatur von ca. 150 Kelvin erhöht sich der elektrische Widerstand von metallischem Vanadiumoxid ( $V_2O_3$ ) schlagartig um das Einhundertmillionenfache (Faktor  $10^8$ ) – das System wird zum Isolator.

# Spatial and temporal correlations neglected

**time/space average insufficient**

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle = \text{disaster!}$$



Boeing 757 and Tupolev 154 collided at 35,400ft. in 2001

Pilot of Tupolev received at the same time two conflicting (uncorrelated) instructions

# Spatial and temporal correlations neglected

## Local density approximation (LDA) disaster in HTC

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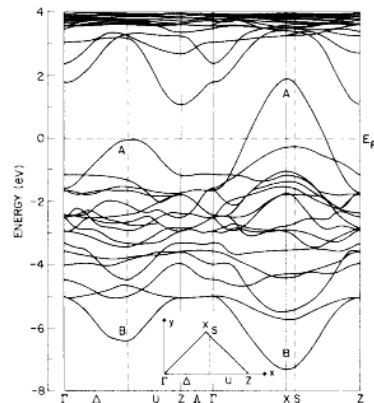
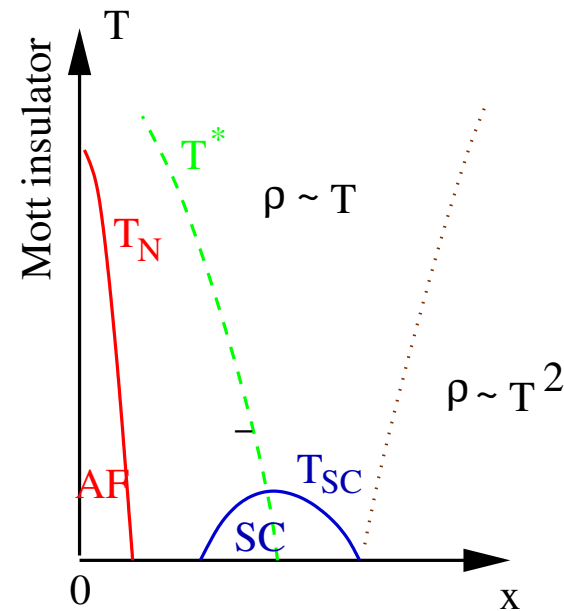


FIG. 1. LAPW energy bands for  $\text{La}_2\text{CuO}_4$  along symmetry lines in the bct Brillouin zone (see inset and discussion in text).



$\text{LaCuO}_4$  Mott (correlated) insulator predicted to be a metal

Partially cured by (AF) long-range order ... but correlations are still missed









# Correlated electrons

**Periodic Table of Elements**

1	2																	3	4	5	6	7	8	9	10																																				
1	2																	3	4	5	6	7	8	9	10																																				
3	4																	5	6	7	8	9	10																																						
11	12	13	14	15	16	17	18																	13	14	15	16	17	18																																
19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36																	31	32	33	34	35	36																						
37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54																	49	50	51	52	53	54																						
55	56	57	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86																	81	82	83	84	85	86																						
87	88	89	104	105	106	107	108	109	110																	87	88	89	104	105	106	107	108	109	110																	87	88	89	104	105	106	107	108	109	110

* Lanthanide Series	58	59	60	61	62	63	64	65	66	67	68	69	70	71
	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
+ Actinide Series	90	91	92	93	94	95	96	97	98	99	100	101	102	103
	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Legend - click to find out more...

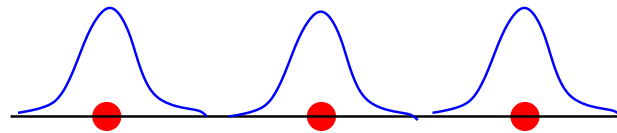
<b>H - gas</b>	<b>Li - solid</b>	<b>Br - liquid</b>	<b>Tc - synthetic</b>
 Non-Metals	 Transition Metals	 Rare Earth Metals	 Halogens
 Alkali Metals	 Alkali Earth Metals	 Other Metals	 Inert Elements

Narrow d,f-orbitals/bands → strong electronic correlations

# Electronic bands in solids

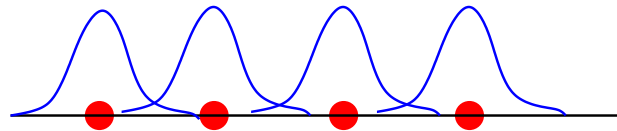
Wave function overlap  $\sim t_{ij} = \langle i | \hat{T} | j \rangle \rightarrow |E_{\mathbf{k}}| \sim \text{bandwidth } W$

Band insulators, e.g. NaCl



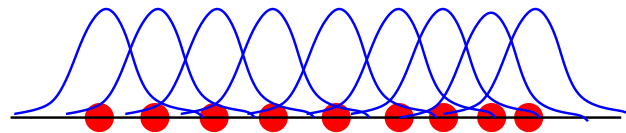
Atomic levels, **localized electrons**  $|\mathbf{R}_i\sigma\rangle$

Correlated metals, e.g. Ni,  $V_2O_3$ , Ce



Narrow bands,  $|\mathbf{R}_i\sigma\rangle \leftrightarrow |\mathbf{k}\sigma\rangle$

Simple metals, e.g. Na, Al



Broad bands, **extended Bloch waves**  $|\mathbf{k}\sigma\rangle$



# Electronic bands in solids

Mean time  $\tau$  spent by the electron on an atom in a solid depends on the band width  $W$

$$\text{group velocity } v_{\mathbf{k}} \approx \frac{\text{lattice spacing}}{\text{mean time}} = \frac{a}{\tau}$$

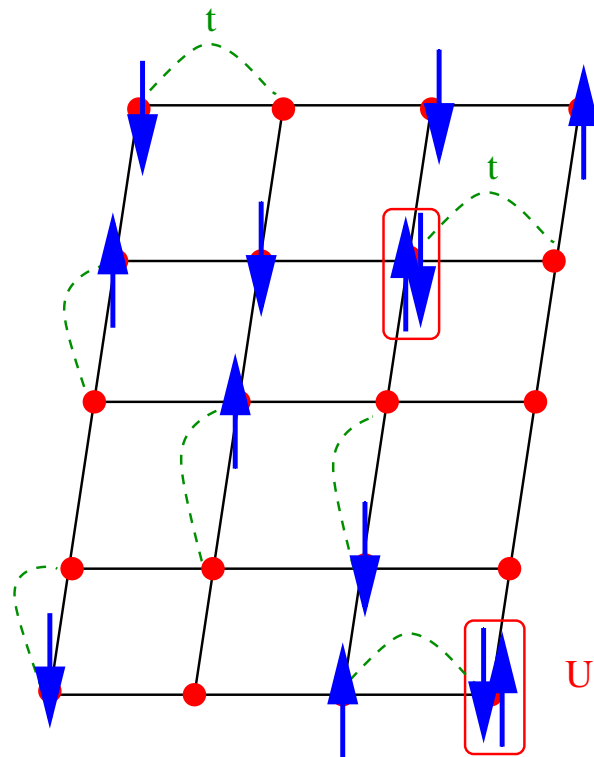
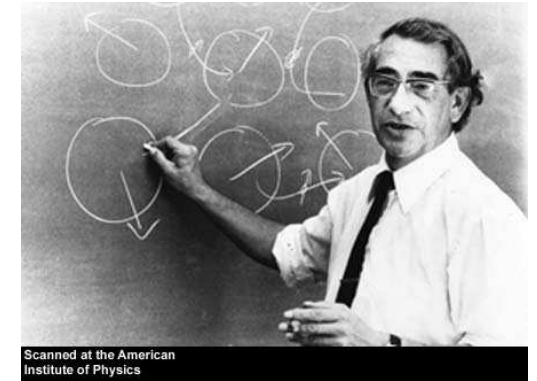
Heisenberg principle  $W\tau \sim \hbar$

$$\frac{a}{\tau} \sim \frac{aW}{\hbar} \implies \tau \sim \frac{\hbar}{W}$$

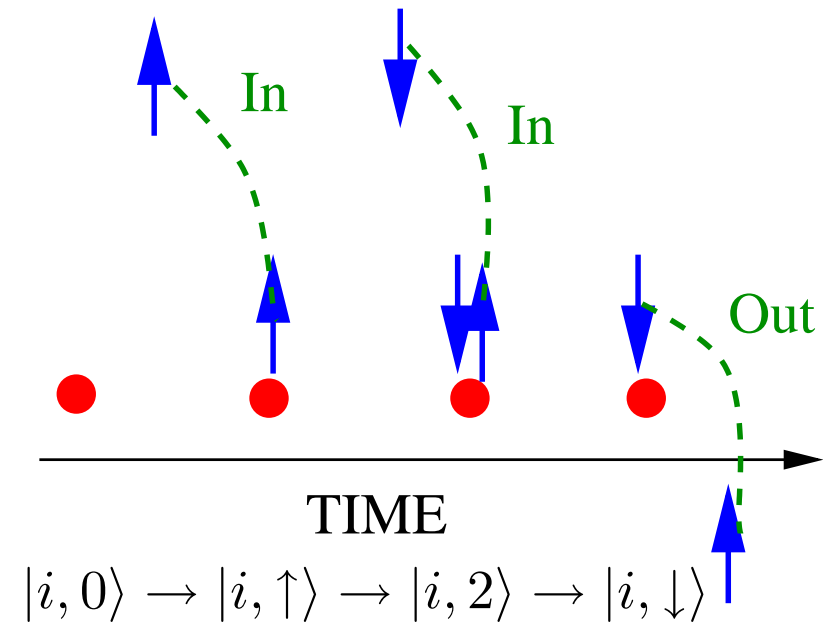
Small  $W$  longer interaction with another electron on the same atom  
**Strong electronic correlations**

# Hubbard model for strongly correlated electrons

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



## Local Hubbard physics



# The Holy Grail for correlated electrons

Fact: Hubbard model is not solved for arbitrary cases

## Find the best comprehensive approximation

- valid for all values of parameters  $t, U, n = N_e/N_L, T$
- thermodynamically consistent
- conserving
- possessing a small expansion (control) parameter and exact in some limit
- flexible to be applied to different systems and material specific calculations

# What we need for Hubbard model

We need **propagator** (one-particle Green function)

$$G_{ij\sigma}(t) = -i \langle T_t c_{i\sigma}(t) c_{j\sigma}^\dagger(0) \rangle \xrightarrow{F.T.} G_\sigma(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\mathbf{k}, \omega)}$$

At finite temperatures  $t \rightarrow -i\tau$

$$G_{ij\sigma}(\tau) = -\langle T_\tau c_{i\sigma}(\tau) c_{j\sigma}^\dagger(0) \rangle = -\frac{1}{Z} \int D[c^*, c] c_{i\sigma}(\tau) c_{j\sigma}^*(0) e^{-S[c^*, c]}$$

with the **action** (Lagrangian)

$$S[c^*, c] = - \int_0^{\beta=1/T} d\tau \sum_{i\sigma} c_{i\sigma}^*(\tau) (\partial_\tau - \mu) c_{i\sigma}(\tau) - H[c^*, c]$$

Later **two-particle Green functions**

# All what we know about Hubbard model

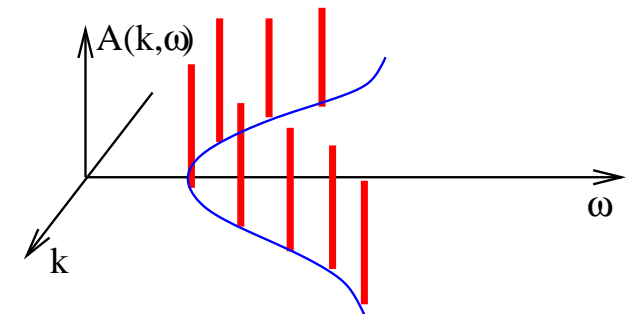
Solved in  $U = 0$  limit (non-interacting limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}}}$$

Dispersion relation

$$\epsilon_{\mathbf{k}} = \sum_{j(i)} t_{ij} e^{i\mathbf{k}(\mathbf{R}_i - \mathbf{R}_j)}$$

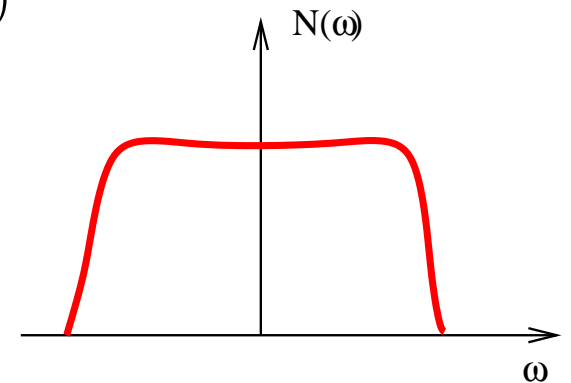
Spectral function - one-particle excitations



$$A_{\sigma}(\mathbf{k}, \omega) \equiv -\frac{1}{\pi} \text{Im} G(\mathbf{k}, \omega) = \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$

Density of states (DOS) - thermodynamics

$$N_{\sigma}(\omega) \equiv \sum_{\mathbf{k}} A(\mathbf{k}, \omega) = \sum_{\mathbf{k}} \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$



# All what we know about Hubbard model

Solved in  $t = 0$  limit (atomic limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1 - n_{-\sigma}}{\omega + \mu} + \frac{n_{-\sigma}}{\omega + \mu - U} = \frac{1}{\omega + \mu - \Sigma_{\sigma}(\omega)}$$

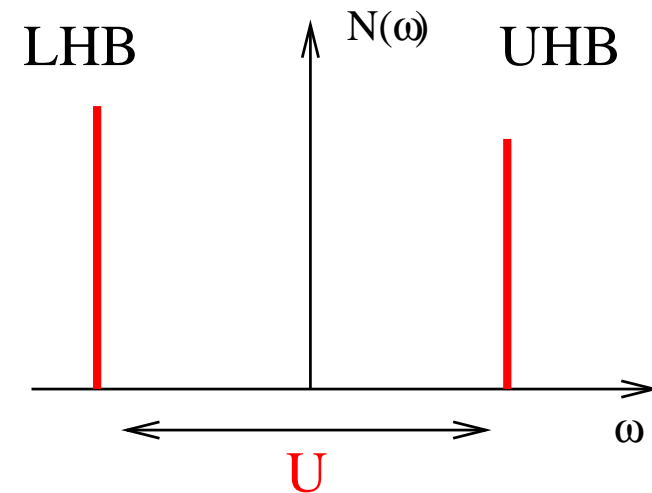
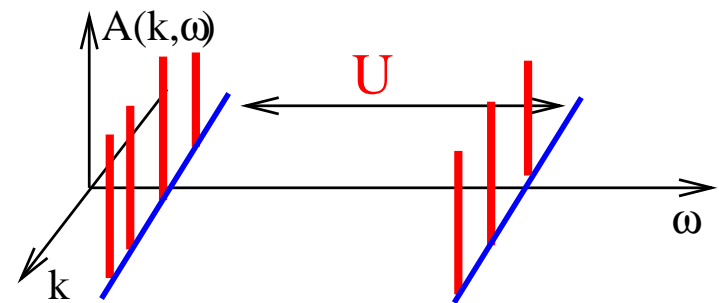
Real self-energy

$$\Sigma_{\sigma}(\omega) = n_{\sigma}U + \frac{n_{-\sigma}(1-n_{-\sigma})U^2}{\omega + \mu - (1-n_{-\sigma})U}$$

Spectral function

$$A_{\sigma}(\mathbf{k}, \omega) = (1 - n_{-\sigma})\delta(\omega + \mu) + n_{-\sigma}\delta(\omega + \mu - U)$$

Green function and self-energy are local,  
i.e.  $\mathbf{k}$  independent



# Summary - Correlations and models

- What is correlations and why they are important
- Correlated electrons
- Hubbard model (HM) for correlated electrons
- Quantities of interest
- Simple solvable limits of HM

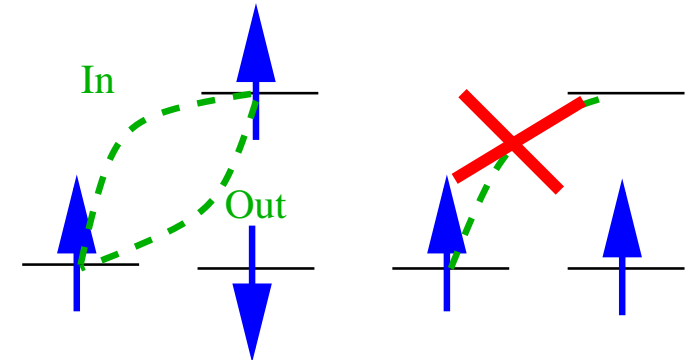
# Hubbard model at large $U$

Project onto the Hilbert subspace without double occupied sites,  $t \ll U$

$$P = \prod_i (1 - n_{i\uparrow} n_{i\downarrow})$$

Effective tJ-Hamiltonian

$$H_{tJ} = P^\dagger H P = \sum_{ij\sigma} t_{ij} \tilde{c}_{i\sigma}^\dagger \tilde{c}_{j\sigma} - \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$



when  $n = 1$

$$H_{exch} = - \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

where antiferromagnetic kinetic exchange coupling  $J_{ij} = 4t_{ij}^2/U \ll t_{ij}$



# Static mean-field for exchange Hamiltonian

Replace many-body Hamiltonian by one-body Hamiltonian with **external (molecular or Weiss) field**

$$Z = e^{-\beta F} = \text{Tr}_{\mathbf{S}_i} e^{-\beta H_{exch}} = \text{Tr}_{\mathbf{S}_i} e^{-\beta H_{MF}}$$

where

$$H_{MF} = \sum_i \mathbf{B}_{MF} \cdot \mathbf{S}_i + E_{shift}$$

Make **mean-field (decoupling) approximation** and determine  $\mathbf{B}_{MF}$

$$\mathbf{B}_{MF} = \sum_{j(i)} J_{ij} \langle \mathbf{S}_j \rangle_{H_{MF}}$$

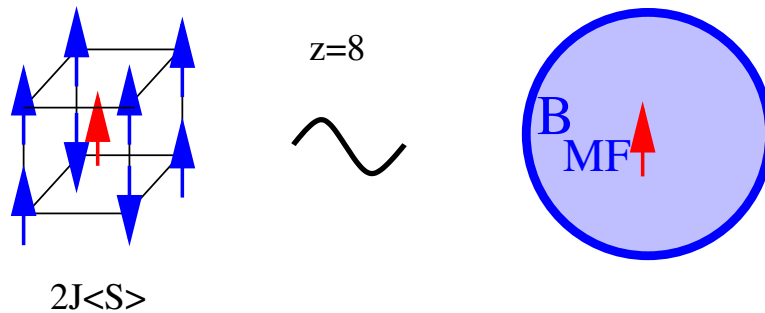
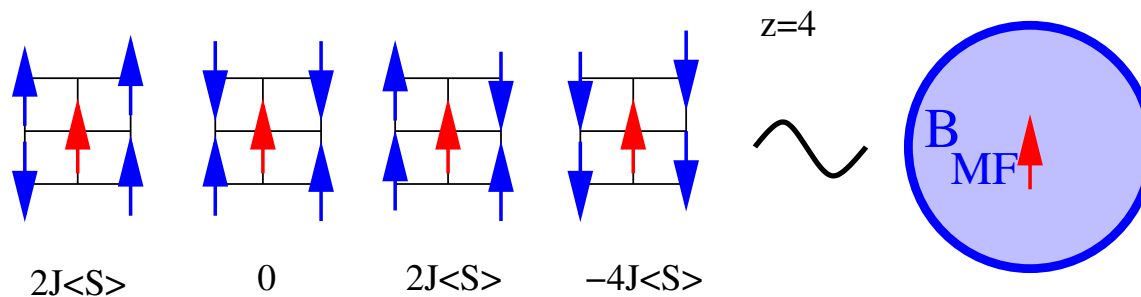
where  $\langle \mathbf{S}_j \rangle = \langle S^z \rangle_{H_{MF}}$  is found **self-consistently**

$$\langle S^z \rangle_{H_{MF}} = \tanh(\beta J \langle S^z \rangle_{H_{MF}})$$

# Static mean-field – principal approximation

Spin-spin correlations are neglected

$$\langle [\mathbf{S}_i - \langle \mathbf{S}_i \rangle] \cdot [\mathbf{S}_j - \langle \mathbf{S}_j \rangle] \rangle = 0 \implies \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle = \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle$$



Quality of mean-field approximation improves when  $z$  is large

## Static mean-field – exact when $z \rightarrow \infty$

$$\langle [\mathbf{S}_i - \langle \mathbf{S}_i \rangle] \cdot [\mathbf{S}_j - \langle \mathbf{S}_j \rangle] \rangle \xrightarrow{z \rightarrow \infty} 0 \implies \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \xrightarrow{z \rightarrow \infty} \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle$$

No spatial correlations in  $z \rightarrow \infty$  limit

Rescaling for nearest neighbor (nn) exchange coupling

$$J \rightarrow \frac{J^*}{z}, \quad J^* = \text{const}$$

then

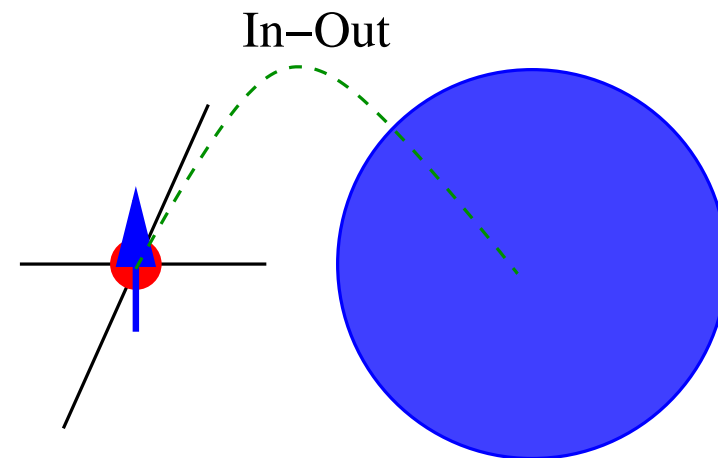
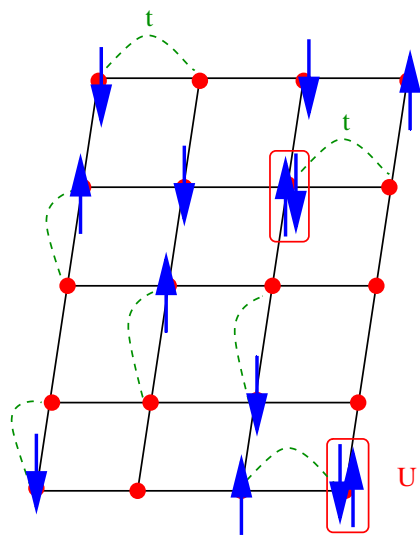
$$\mathbf{B}_{MF} = \sum_{j=1}^z J \langle \mathbf{S} \rangle_{H_{MF}} = \frac{J^*}{z} \sum_{j=1}^z \langle \mathbf{S} \rangle_{H_{MF}} = J^* \langle \mathbf{S} \rangle_{H_{MF}}$$

is bounded when  $z \rightarrow \infty$

Static mean-field theory is exact and nontrivial when  $z \rightarrow \infty$ ;  
there exists a small (expansion) parameter  $1/z$

# Summary - Static mean-field

- Static mean field-theory, though exact in  $z \rightarrow \infty$  limit, is not a comprehensive theory for Hubbard model
- It is valid only for large  $U$
- But we learned potential usefulness of  $z \rightarrow \infty$  limit
- We also understood general idea about mean-field (Weiss field, molecular field) approximation



# Dynamical mean-field theory – heuristic approach

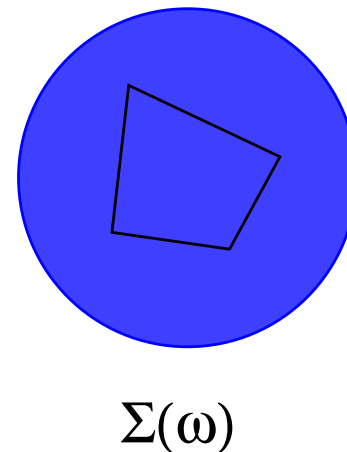
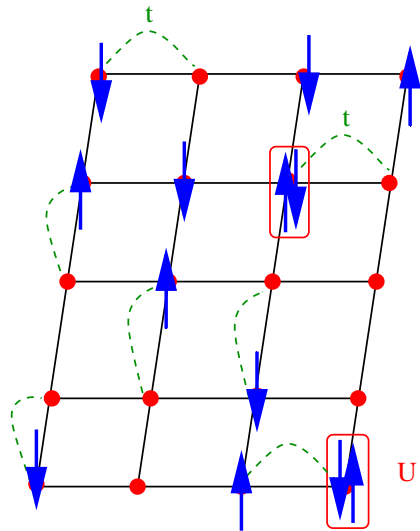
Find a comprehensive mean-field theory for Hubbard model

Exact free energy

$$F = -\frac{1}{\beta} \ln \int D[c^*, c] e^{-S[c^*, c]} = \frac{1}{\beta} \text{Tr} \ln G_\sigma(\mathbf{k}, \omega_n) = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\mathbf{k}, \omega_n)]$$

Approximation  $\Sigma_\sigma(\mathbf{k}, \omega_n) = \Sigma_\sigma(\omega_n)$  - local approximation keeping full dynamics

$$F_{med}[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\omega_n)]$$



# Dynamical mean-field theory – heuristic approach

Need a prescription to determine  $\Sigma_\sigma(\omega_n)$

“Remove” a single site in the effective medium and replace it by the actual, bare interaction

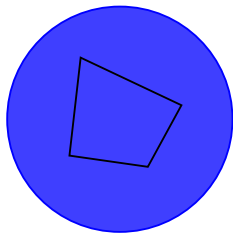
$$F = F_{med}[\Sigma] - F_i[\Sigma] + F_i^{bare}$$

Local Green function ( $i = j$  and index omitted)

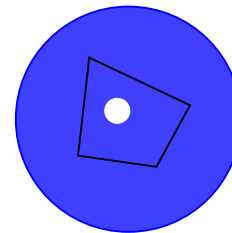
$$G_\sigma(i\omega_n) = \sum_{\mathbf{k}} G_\sigma(\mathbf{k}, \omega_n) = \sum_{\mathbf{k}} \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\omega_n)} = G_\sigma^0(i\omega + \mu - \Sigma_\sigma(\omega_n))$$

and

$$F_i[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [G_\sigma^0(i\omega + \mu - \Sigma_\sigma(\omega_n))]^{-1}$$



$\Sigma(\omega)$



$\Sigma(\omega)$

# Dynamical mean-field theory – heuristic approach

Actual bare interaction

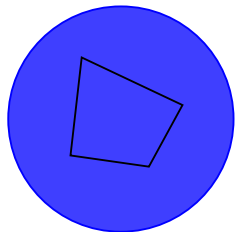
$$F_i^{bare}[\mathcal{G}] = -\frac{1}{\beta} \ln \int D[c^*, c] e^{-S_{loc}^{bare}[c^*, c]}$$

where

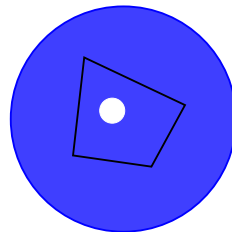
$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^*(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

and the local-Dyson equation defines

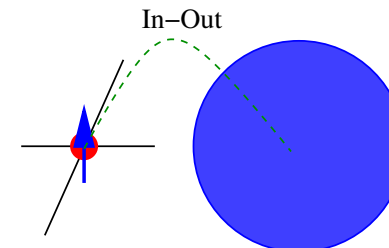
$$\mathcal{G}_{\sigma}^{-1}(\omega_n) = G_{\sigma}^{-1}(\omega_n) + \Sigma_{\sigma}(\omega_n)$$



$\Sigma(\omega)$



$\Sigma(\omega)$



$\Sigma(\omega)$

# Dynamical mean-field theory – heuristic approach

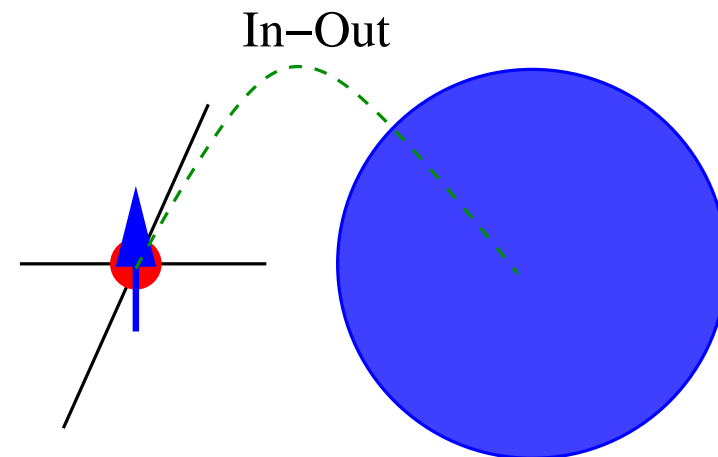
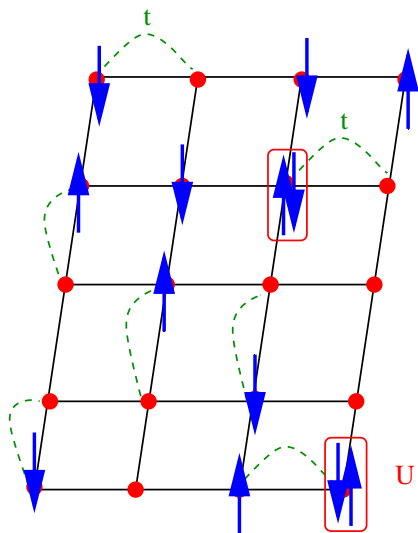
to close the set of equations use stationary condition

$$\frac{\delta F[\Sigma, \mathcal{G}^{-1}]}{\delta \mathcal{G}^{-1}} = 0$$

hence

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^{*}(0) \rangle_{S_{loc}^{bare}}$$

and all three local but dynamical variables  $G$ ,  $\mathcal{G}$ , and  $\Sigma$  are determined





# Summary – DMFT - full glory

Local Green function

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^{*}(0) \rangle_{S_{loc}^{bare}}$$

where

$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^{*}(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

Weiss (mean-field) function and self-energy

$$\mathcal{G}_{\sigma}^{-1}(\omega_n) = G_{\sigma}^{-1}(\omega_n) + \Sigma_{\sigma}(\omega_n)$$

Local Green function and lattice system self-consistency

$$G_{\sigma}(i\omega_n) = \sum_{\mathbf{k}} G_{\sigma}(\mathbf{k}, \omega_n) = \sum_{\mathbf{k}} \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\sigma}(\omega_n)} = G_{\sigma}^0(i\omega + \mu - \Sigma_{\sigma}(\omega_n))$$

# DMFT – what is neglected, what is kept

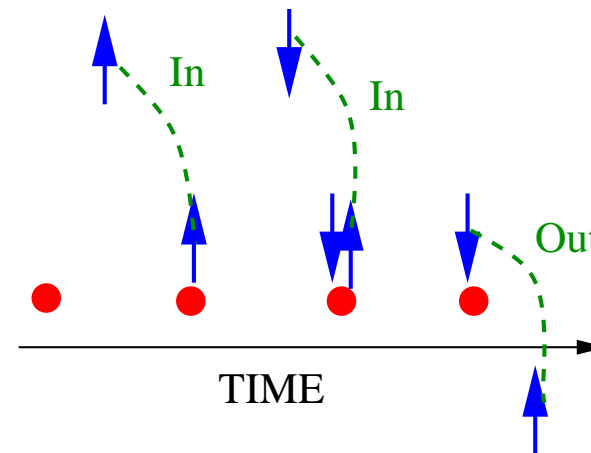
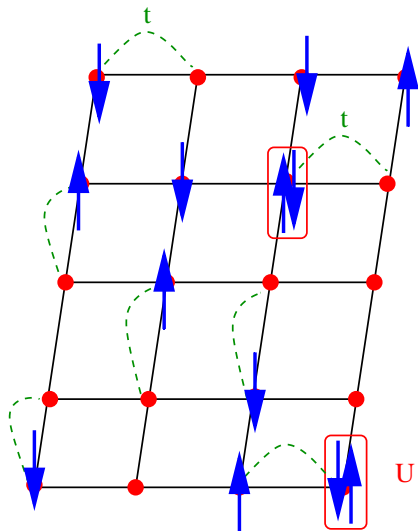
**Spatial correlations** are neglected (LRO is OK)

$$\langle [n_{i\sigma}(\tau) - \langle n_{i\sigma}(\tau) \rangle] [n_{j\sigma'}(\tau') - \langle n_{j\sigma'}(\tau') \rangle] \rangle_{S_{loc}} = 0$$

**Local temporal** correlations are kept exactly

$$\langle [n_{i\sigma}(\tau) - \langle n_{i\sigma}(\tau) \rangle] [n_{i\sigma'}(\tau') - \langle n_{i\sigma'}(\tau') \rangle] \rangle_{S_{loc}} = \text{“exact”}$$

Local **dynamical** Hubbard physics described well



# DMFT – conserving theory

any reliable approximation should be conserving, i.e. all microscopic conservation laws should be preserved by an approximate theory

$\Phi$ -derivable theory (Baym, Kadanoff - 1962)

$$\Omega[\Sigma] = \Phi[\Sigma] - \text{Tr}(\Sigma G) - \text{Tr}(G_0^{-1} - \Sigma)$$

where

$$\Sigma = \frac{\delta\Phi}{\delta\Sigma}$$

is conserving because vertices

$$\Lambda = \frac{\delta^2\Phi}{\delta\Sigma\delta\Sigma}$$

are approximated in the same way

dynamical mean-field theory is a conserving approximation due to construction

# DMFT – consistent and comprehensive

DMFT is thermodynamically consistent, e.g.

$$n = -\frac{1}{\beta} \frac{\partial F}{\partial \mu} = -\frac{1}{\beta} \sum_{n\sigma} G_{\sigma}(\omega_n)$$

DMFT is valid for any value of the microscopic parameters,  
no expansion wrt  $U$ ,  $t$ ,  $\beta$ ,  $n$  or  $1/n$

**DMFT is comprehensive theory for correlated electrons**

# DMFT – flexibility; LDA+DMFT

Multi-band systems (Anisimov et al. 97; ... Nekrasov et al. 00, ...)

$$H = H_{LDA} + H_{int} - H_{LDA}^U = H_{LDA}^0 + H_{int}$$

direct and exchange interaction

$$H_{int} = \frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'\sigma'} U_{mm'}^{\sigma\sigma'} n_{ilm\sigma} n_{ilm'\sigma'}$$

$$-\frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'} J_{mm'} c_{ilm\sigma}^\dagger c_{ilm'-\sigma}^\dagger c_{ilm'\sigma} c_{ilm-\sigma}$$

kinetic part, determined from DFT-LDA calculation (**material specific**)

$$H_{LDA}^0 = \sum_{ilm, j'l'm', \sigma} t_{ilm, j'l'm'}^0 c_{ilm\sigma}^\dagger c_{j'l'm'\sigma}$$

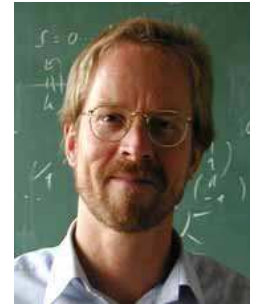
**LDA+DMFT - state of the art for realistic approach to correlated electron systems**

# DMFT scheme

$S_{loc}$  - local interactions  $U$  or  $J$  from a model **TB** or a microscopic **LDA** Hamiltonian



D. Vollhardt



W. Metzner

$$\hat{G} = -\langle T\hat{C}(\tau)\hat{C}^*(0)\rangle_{S_{loc}}$$

**DMFT**

$$\hat{G}^{-1} = \hat{G}^{-1} + \hat{\Sigma}$$

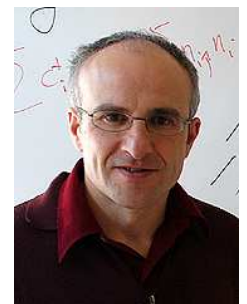
$$\hat{\Sigma}$$

$$\hat{\Sigma} = \hat{G}^{-1} - \hat{G}^{-1}$$

G. Kotliar



A. Georges



$$\hat{G} = \sum [(\omega + \mu)\hat{1} - \hat{H}^0 - \hat{\Sigma}]^{-1}$$

$\hat{H}^0$  is a model **TB** or a microscopic **LDA** Hamiltonian

# DMFT – flexibility; disordered systems

Correlated electrons with **local disorder**

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} + \sum_{i\sigma} \epsilon_i n_{i\sigma}$$

where  $\epsilon_i$  is random (on-site) local energy with fixed probability distribution function (PDF)  $P(\epsilon_i)$

In **self-averaged systems**, physical quantities are given by **arithmetic average**

$$G(\omega)_{av} = - \int d\epsilon_i P(\epsilon_i) \langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)} \equiv \langle \langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)} \rangle_{dis}$$

In **non-self-averaged systems**, physical quantities are given by typical ones - **geometric average**  $O_{geom} = \exp[\langle \ln O \rangle]$

$$-\text{Im}G(\omega)_{typ} = e^{\langle \ln[-\text{Im}\langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)}] \rangle_{dis}}$$

# Summary – DMFT - flexibility

- Local temporal correlations exact
- Spatial correlations neglected
- Conserving and thermodynamically consistent
- Comprehensive mean-field theory
- LDA+DMFT
- DMFT for disordered electrons



# DMFT – $d \rightarrow \infty$ limit, small parameter $O(1/z)$

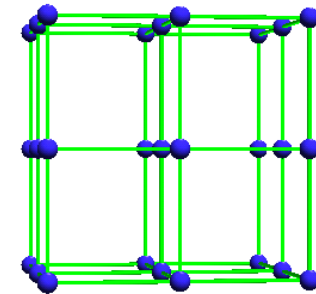
Idea: spatial correlations are absent when number of neighbors is large (infinite)

Crystal lattices in  $d = 3$ :

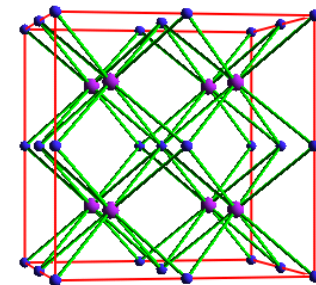
simple cubic (sc) -  $z = 6$

body center cubic (bcc) -  $z = 8$

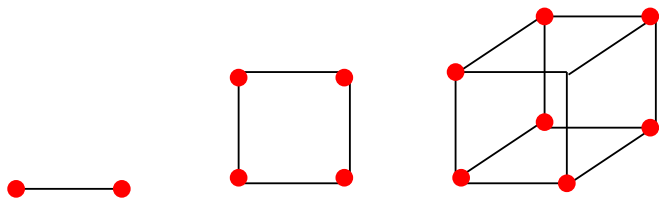
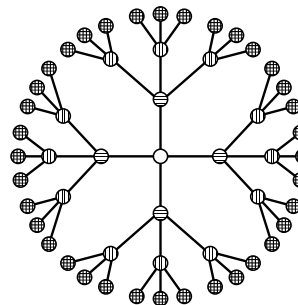
face centered cubic (fcc) -  $z = 12$



Hypercubic lattice in  $d$ -dimension -  $z = 2d$



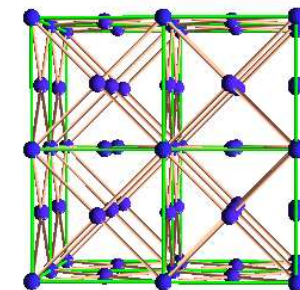
Bethe (Caley) tree -  $z = K + 1$



d=1

d=2

d=3



## Simple $d \rightarrow \infty$ limit

Kinetic energy

$$H_0 = \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

NN hopping:

$$t_{ij} = t(\mathbf{R}_i - \mathbf{R}_j) = \begin{cases} -t & \text{if } \mathbf{R}_i - \mathbf{R}_j = \pm \mathbf{e}_n \\ 0 & \text{otherwise} \end{cases}$$

Bare dispersion

$$\epsilon_{\mathbf{k}} = -2t \sum_{i=1}^d \cos k_i$$

Density of states

$$N_d(\epsilon) \sum_{\mathbf{k}} \delta(\epsilon - \epsilon_{\mathbf{k}}) \rightarrow_{d \rightarrow \infty} \frac{1}{2t\sqrt{\pi d}} e^{-\left(\frac{\epsilon}{2t\sqrt{d}}\right)^2}$$

arbitrary broad and featureless in  $d \rightarrow \infty$  limit

# Non-trivial $d \rightarrow \infty$ limit

Non-trivial DOS is obtained when hopping is **rescaled**

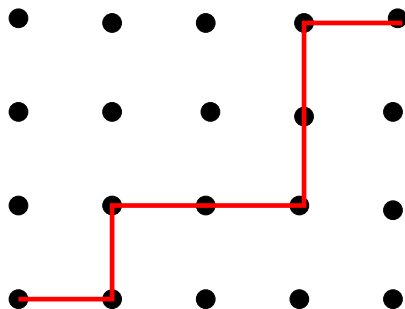
$$t \rightarrow \frac{t^*}{\sqrt{2d}}, \quad t^* = \text{const}$$

$$N_\infty(\epsilon) = \frac{1}{\sqrt{2\pi}t^*} e^{-\left(\frac{\epsilon}{2t^*}\right)^2}$$

(Metzner, Vollhardt, 1989)

In general

$$t_{ij} \rightarrow \frac{t_{ij}^*}{\sqrt{d} \|\mathbf{R}_i - \mathbf{R}_j\|}$$



$\|\mathbf{R}_i - \mathbf{R}_j\|$  - taxi cab (Manhattan, New York) distance

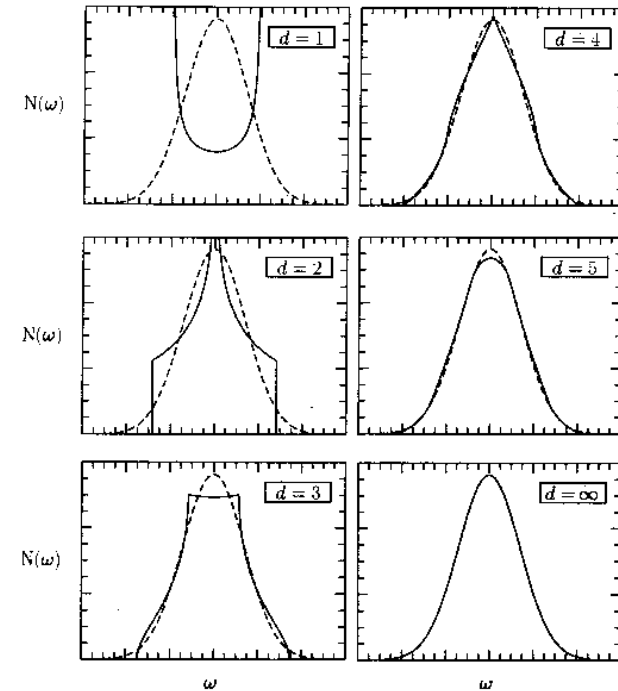


Fig. 1.2 Tight-binding density of states in  $d = 1, 2, 3, 4, 5$  as compared with the case for  $d = \infty$ .

## Non-trivial $d \rightarrow \infty$ limit

Non-trivial (asymptotic) theory is well defined such that the energy density is generically finite and non-zero

$$\frac{1}{N_L} E_{kin} = \frac{1}{N_L} \sum_{ij\sigma} t_{ij} \langle c_{i\sigma}^\dagger c_{j\sigma} \rangle = \frac{1}{N_L} \sum_{i\sigma} \underbrace{\sum_{j(i)}_{O(d^{\|\mathbf{R}_i - \mathbf{R}_j\|})}} t_{ij} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} G_{ij\sigma}(\omega) \sim O(1)$$

Fact, since  $G_{ij}$  is probability amplitude for hopping,

$$G_{ij} \sim O(d^{-\frac{\|\mathbf{R}_i - \mathbf{R}_j\|}{2}})$$

with rescaling

$$t_{ij} \rightarrow \frac{t_{ij}^*}{\sqrt{d^{\|\mathbf{R}_i - \mathbf{R}_j\|}}}$$

sum  $\sum_{j(i)}$  is compensated and energy is finite (Metzner, Vollhardt, 1989)

## $d \rightarrow \infty$ limit – Feynman diagrams simplification

One proves, term by term, that skeleton expansion for the self-energy  $\Sigma_{ij}[G]$  has only **local** contributions

$$\Sigma_{ij\sigma}(\omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{ii\sigma}(\omega_n) \delta_{ij}$$

Fourier transform is **k-independent**

$$\Sigma_{\sigma}(\mathbf{k}, \omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{\sigma}(\omega_n)$$

**DMFT is an exact theory in infinite dimension (coordination number) and small control parameter is  $1/d$  ( $1/z$ )**

(Metzner, Vollhardt, 1989)

ansatz in heuristic derivation is then exact (Janis, Vollhardt, 1992)

$$F = F_{med}[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\sigma}(\omega_n)]$$

# Summary – DMFT exact in large dimension

- Construction models nontrivial in  $d \rightarrow \infty$  limit
- Rescaling of hoppings
- Simplifications for diagrams

# DMFT in practice

The hardest part of DMFT is to solve local, many-body problem

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^*(0) \rangle_{S_{loc}^{bare}}$$

where

$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^*(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

it is usually mapped onto the **Single Impurity Anderson Model**  
(Kotliar, Georges, 1992; Jarrell, 1992)

$$H_{SIAM} = \epsilon_d \sum_{\sigma} n_{d\sigma} + U n_{d\uparrow} n_{d\downarrow} + \sum_{\mathbf{k}\sigma} V_{\mathbf{k}} d_{\sigma}^{\dagger} c_{\mathbf{k}\sigma} + H.c. + \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}}^{aux} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma}$$

# DMFT solvers in practice

- **Analytical approaches** (approximate, but fast)
  - (IPT) Iterative perturbation expansion
  - (NCA) Non-crossing approximation
  - (LMA) Local moment approach
  - (LDMFT) Linear DMFT
  - (Hubbard I or III) Strong coupling approach
- **Numerical approaches** (formally exact, but expensive)
  - (QMC) Quantum Monte Carlo - Trotter decomposition, large  $T$ , limited  $U$
  - (QMC) Quantum Monte Carlo - continuum time, perturbative character (?)
  - (PQMC) Projected quantum Monte Carlo - only ground state
  - (ED) Exact diagonalization - small system, discrete spectrum
  - (NRG) Numerical renormalization group - logarithmic broadening
  - (DMRG) Dynamical matrix renormalization group - poor low energy

Find the best solver to you physical problem



# DMFT – long-range orders and susceptibilities

**Broken symmetry phases** (infinite-long range orders) are described by generalized local problem and self-consistency condition

e.g., for AF we need to sites (A or B) which are coupled by opposite spins

**Susceptibilities** (two-particle correlation functions) are determined by the corresponding local quantities and non-interacting lattice parts

$$\hat{\chi}_{\mathbf{q}}^{-1} = \hat{\chi}_{loc}^{-1} + \hat{\chi}_{0,\mathbf{q}}^{-1} - \hat{\chi}_{0,loc}^{-1}$$

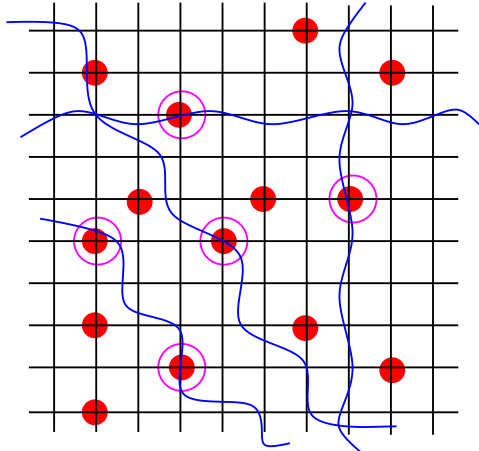
# Summary – DMFT in practice

- Mapping onto impurity model
- Impurity solvers
- Long-range order
- Two-particle correlation functions

# Examples

1. Analytically solvable Falicov-Kimball model
2. MIT at half-filling in Hubbard model and experiment
3. Electronic kinks in correlated metals
4. Mott-Anderson MIT in correlated electron systems with disorder
5. Itinerant ferromagnetism
6. MIT in binary alloy systems
7. Itinerant ferromagnetism in binary alloy systems

# 1. Falicov-Kimball model



brother/sister of Hubbard model

$n_c$  and  $n_f$  independently fixed (canonical approach)

$n_c + n_f$  fixed (grand canonical approach)

- mobile particles on a lattice
- localized particles on a lattice
- local interaction

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \epsilon_f \sum_i f_i^\dagger f_i + U \sum_i f_i^\dagger f_i c_i^\dagger c_i$$

# 1. Falicov-Kimball model

Self-consistency for f-electrons is simple:  $\mathcal{G}_f^{-1} = \partial_\tau - \mu$

DMFT local action

$$S_{loc} = \int d\tau d\tau' c^*(\tau) \mathcal{G}_c^{-1}(\tau - \tau') c(\tau') \\ + \int d\tau f^*(\tau) (\partial_\tau - \mu + \epsilon_f) f(\tau) + U \int d\tau f^*(\tau) f(\tau) c^*(\tau) c(\tau)$$

Integrate out f-electrons (atomic limit) analytically

$$G_d(i\omega_n) = \frac{n_f}{\mathcal{G}_d(i\omega_n)^{-1} - U} + \frac{1 - n_f}{\mathcal{G}_d(i\omega_n)^{-1}}$$

# 1. Falicov-Kimball model

Self-consistency equations:

$$G_d(i\omega_n) = \int d\epsilon \frac{N_0(\epsilon)}{i\omega_n + \mu - \Sigma_d(i\omega_n) - \epsilon}$$

and

$$G_d(i\omega_n)^{-1} = \mathcal{G}_d(i\omega_n)^{-1} - \Sigma_d(i\omega_n)$$

determines  $G_d(i\omega_n)$  for any DOS

Skeleton functional  $\Sigma_D[G_d]$

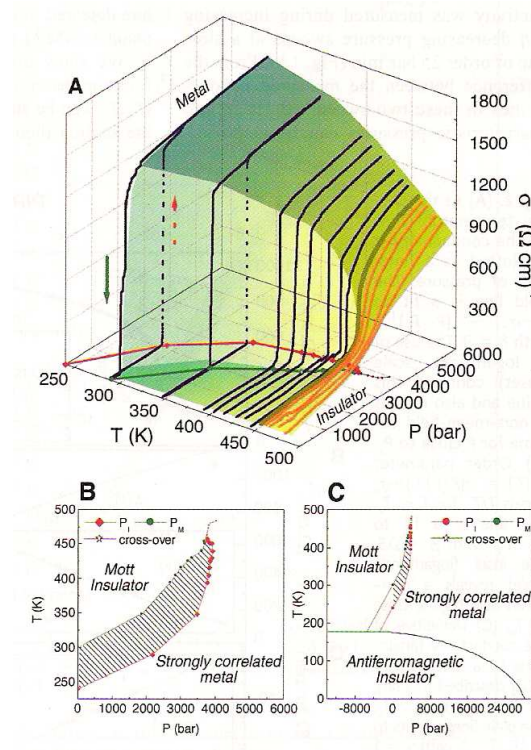
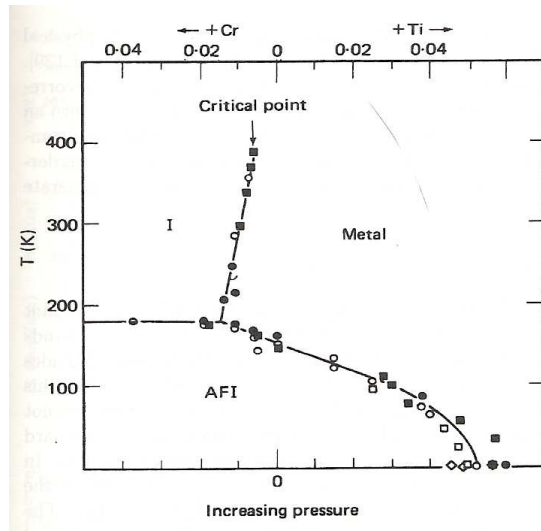
$$\Sigma_d(i\omega_n) = \frac{U}{2} - \frac{1}{2G_d(i\omega_n)} \pm \sqrt{\left(\frac{U}{2} - \frac{1}{2G_d(i\omega_n)}\right)^2 + U \frac{n_f}{G_d(i\omega_n)}}$$

involves all orders in  $U$

Brand and Mielsch 89, van Dongen and Vollhardt 90, Si et al. 92, Frierecks and Zlatic 03, Lemanski et al. 00-01

## 2. MIT at half-filling – canonical example: $V_2O_3$

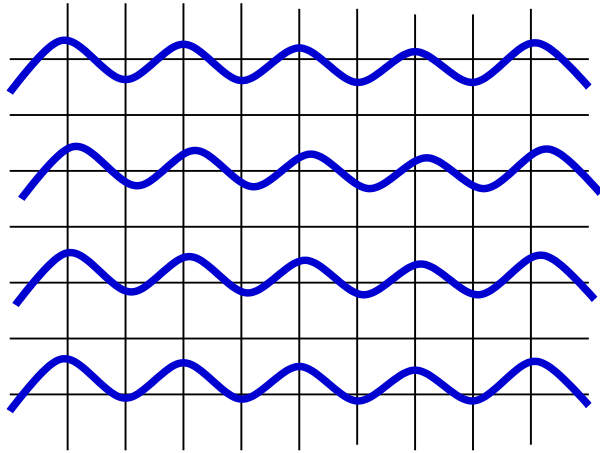
$V$  ( $[Ar]3d^24s^2$ ) gives  $V^{+3}$  valence band partially filled (metallic?)



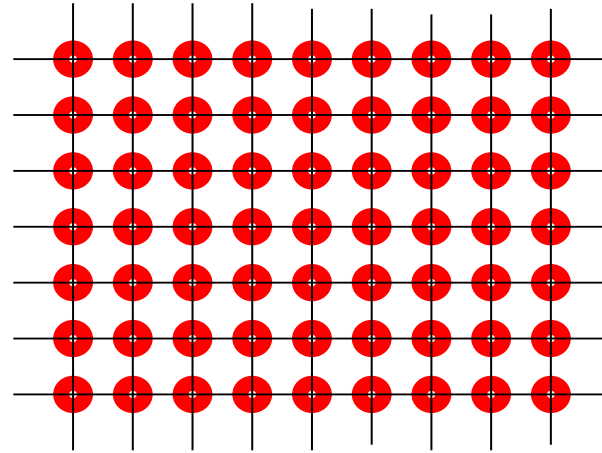
True Mott insulator  
persists above  $T_N$

Mott – Hubbard Insulator, Mott – Heisenberg Insulator, and Slater Insulator

## 2. MIT at half-filling

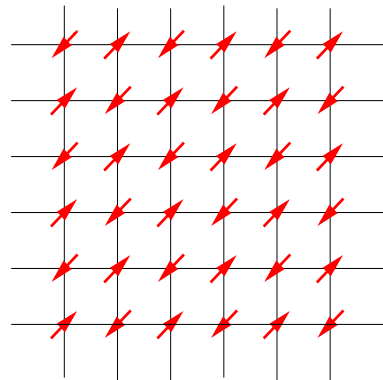


$$U \ll |t_{ij}|, \Delta \mathbf{p} = 0$$



$$U \gg |t_{ij}|, \Delta \mathbf{r} = 0$$

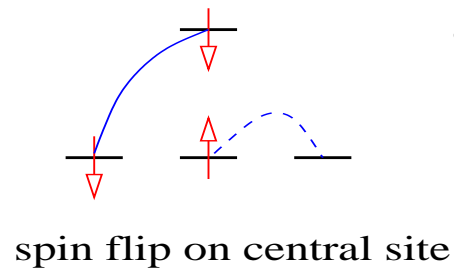
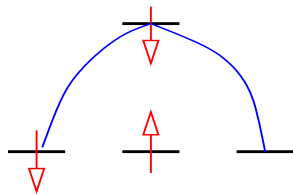
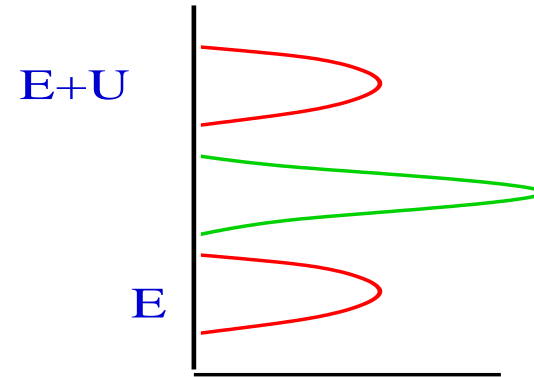
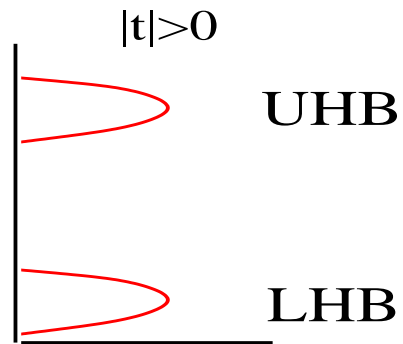
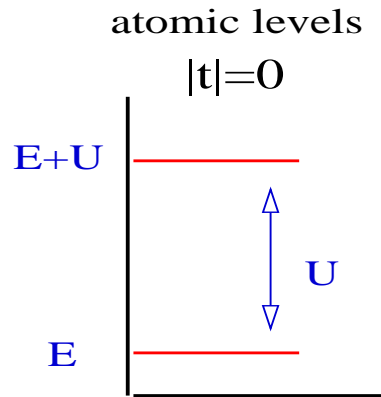
Antiferromagnetic Mott insulator



typical intermediate coupling problem  $U_c \approx |t_{ij}|$



## 2. MIT at half-filling

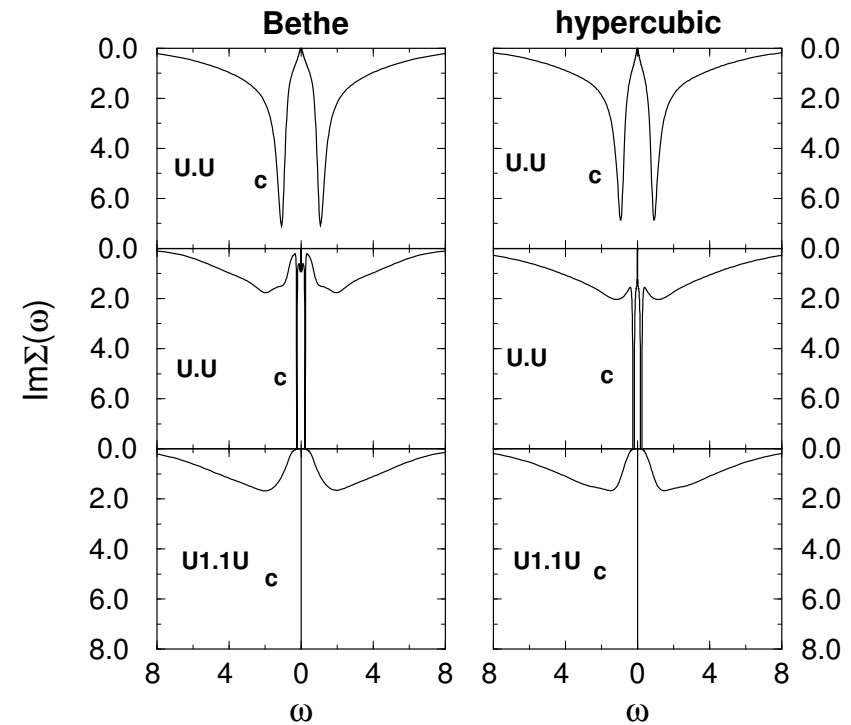
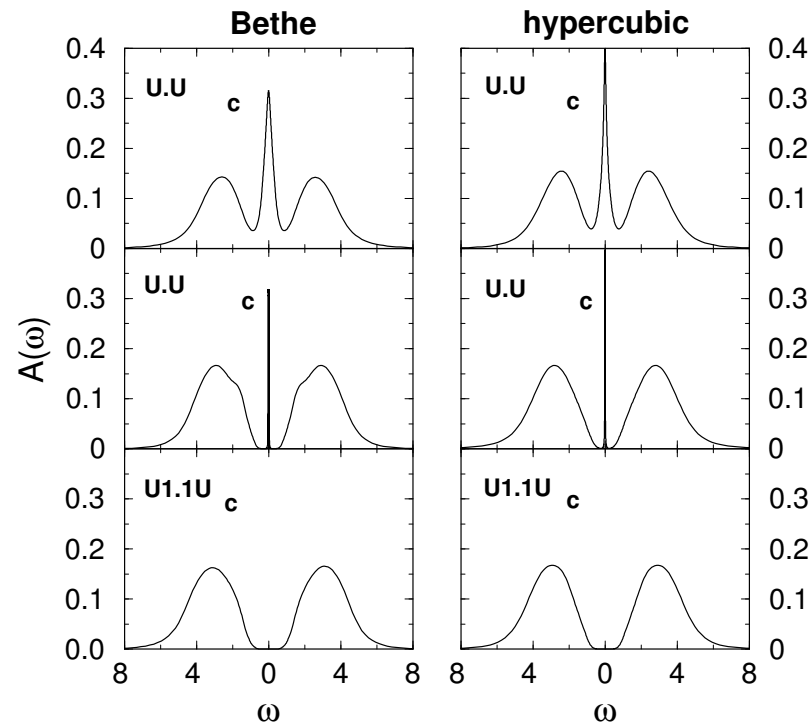


at  $U = U_c$  resonance disappears  
gaped insulator

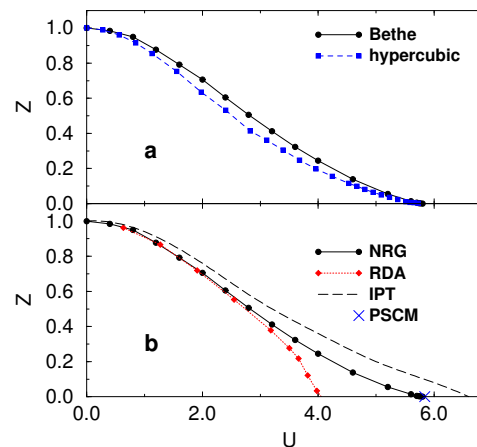
dynamical processes with spin-flips inject states into correlation gap giving a **quasiparticle resonance**

## 2. MIT at half-filling at $T = 0$ according to DMFT

Kotliar et al. 92-96, Bulla, 99



$$G(k, \omega) \sim \frac{Z}{\omega - \tilde{\epsilon}_k - i\alpha \omega^2} + G_{inc}$$



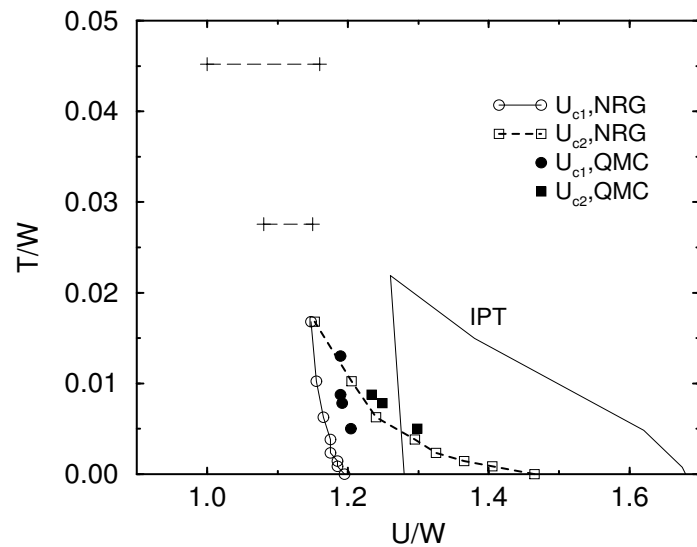
Luttinger pinning  $A(0) = N_0(0)$

Fermi liquid

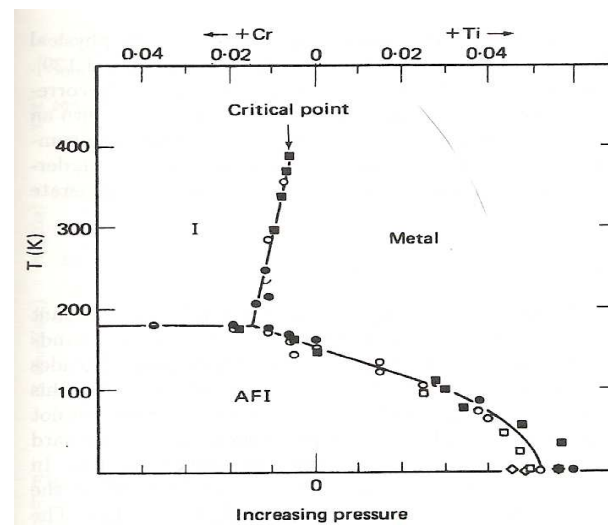
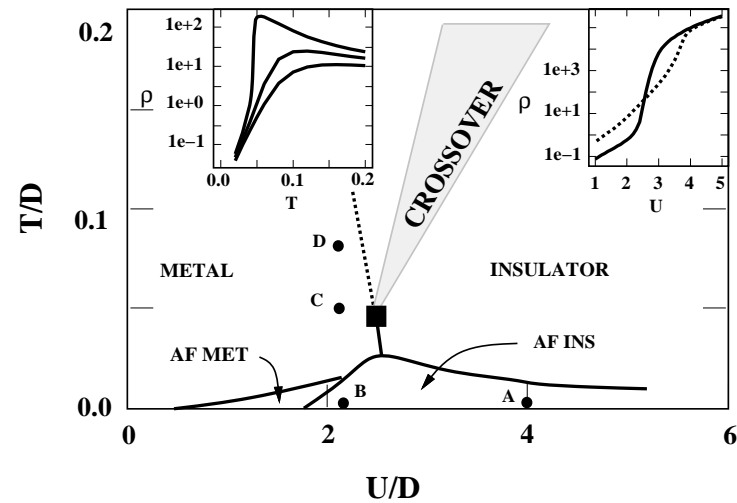
Muller-Hartmann 1989

## 2. MIT at half-filling at $T > 0$ according to DMFT

Kotliar et al. 92-96, Bulla et al. 01, also Spalek 87

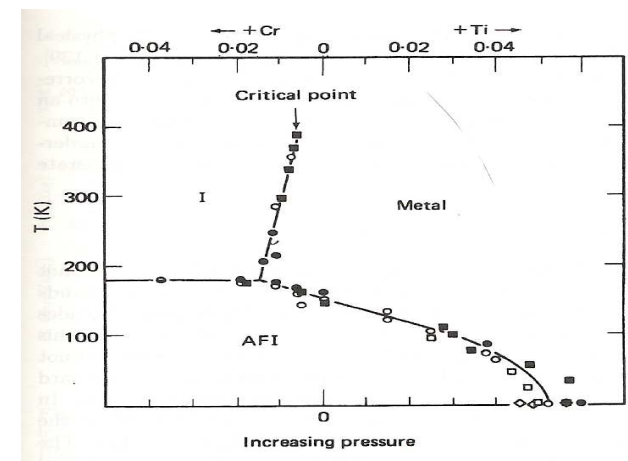
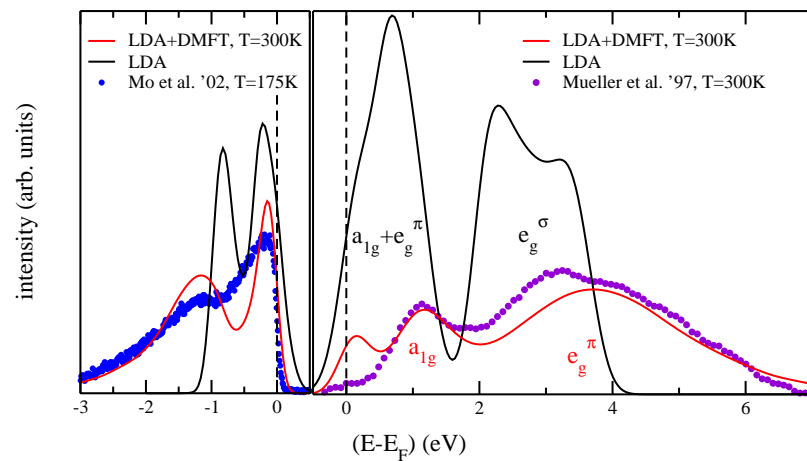
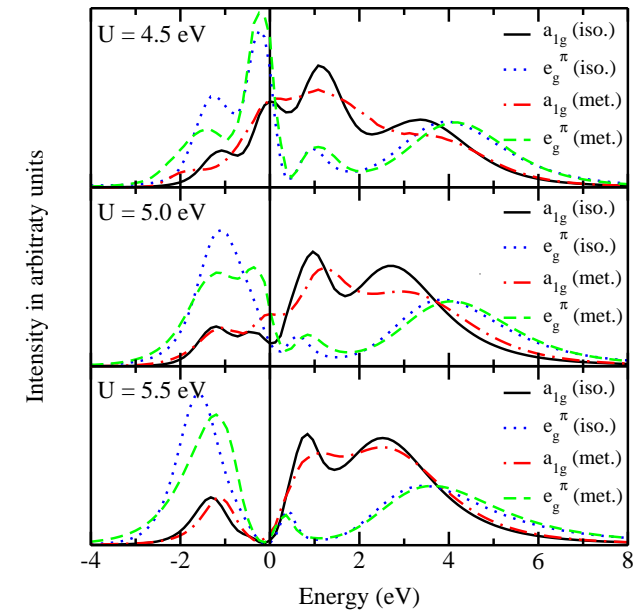
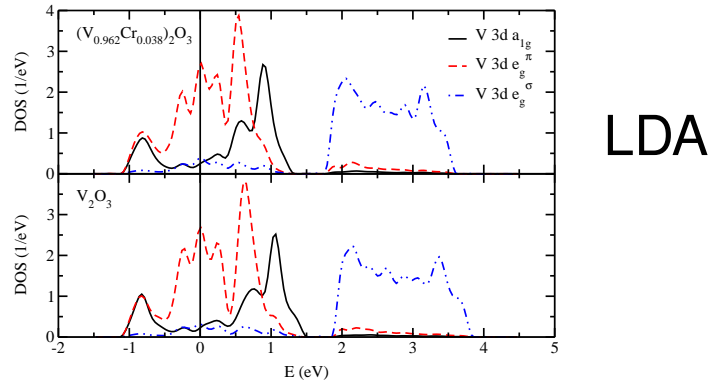


1<sup>st</sup>-order transition



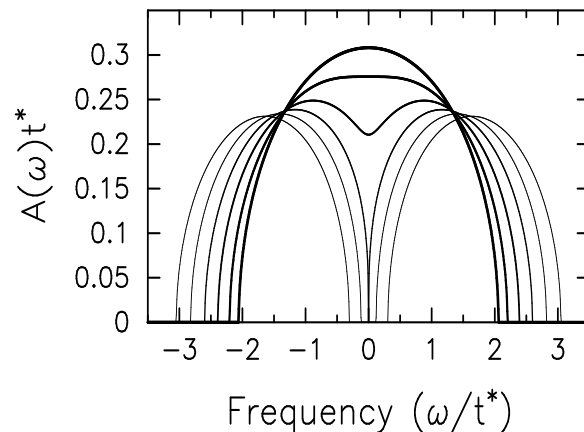
# 2. MIT in $V_2O_3$ according to DMFT+DMFT

Held et al. 01, Keller et al. 04



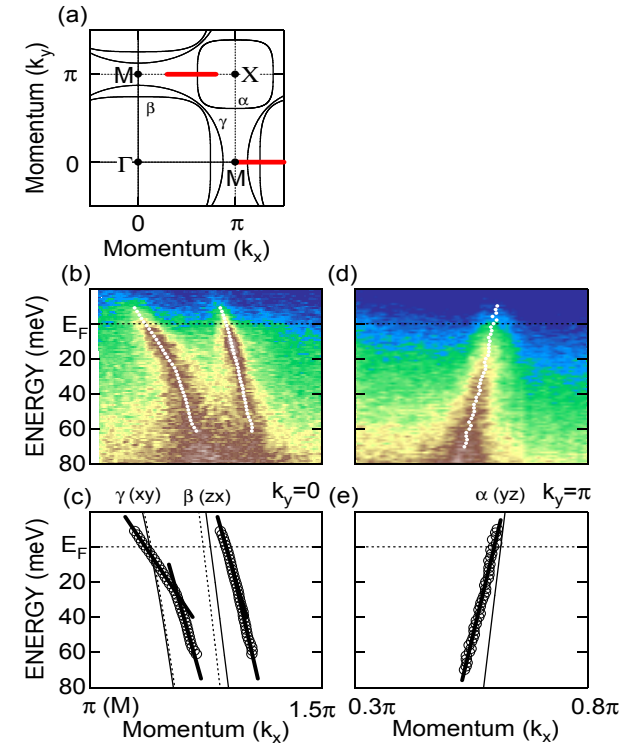
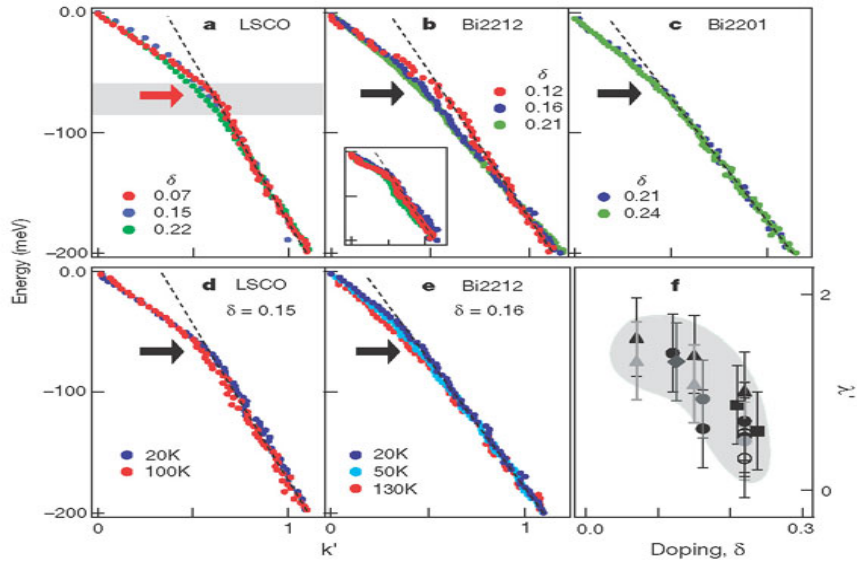
## 2. Mott MIT in Falicov-Kimball model - DMFT

- f-particles appear as like disorder scatterers (with an annealed averaging).
- No Fermi liquid property of FK model if  $n_f \neq 0$  or 1.
- Pseudo-gap regime.
- For  $n_e = n_f = 0.5$  and  $U = U_c \sim W$  continuous Mott like MIT.
- Correlation gap opened.

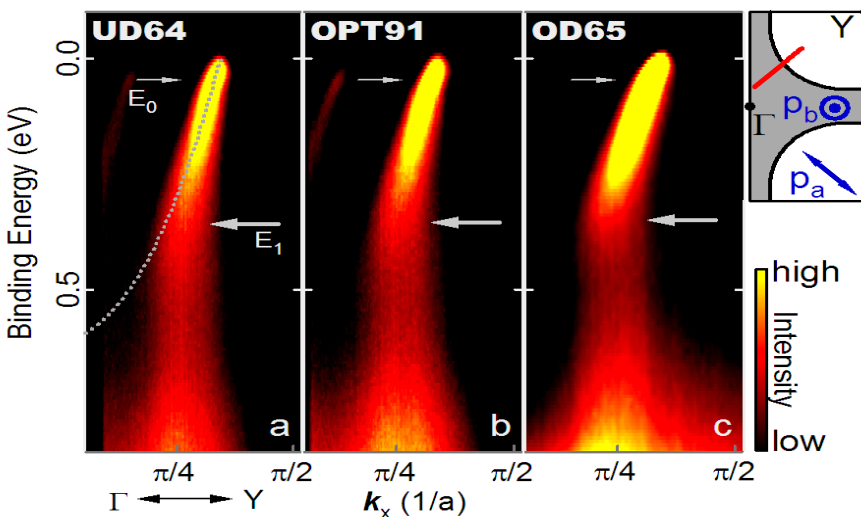


van Dongen and Lainung 1997, DMFT, Bethe, no CDW,  $U = 0.5 - 3.0$

# 3. Electronic kinks in correlated metals



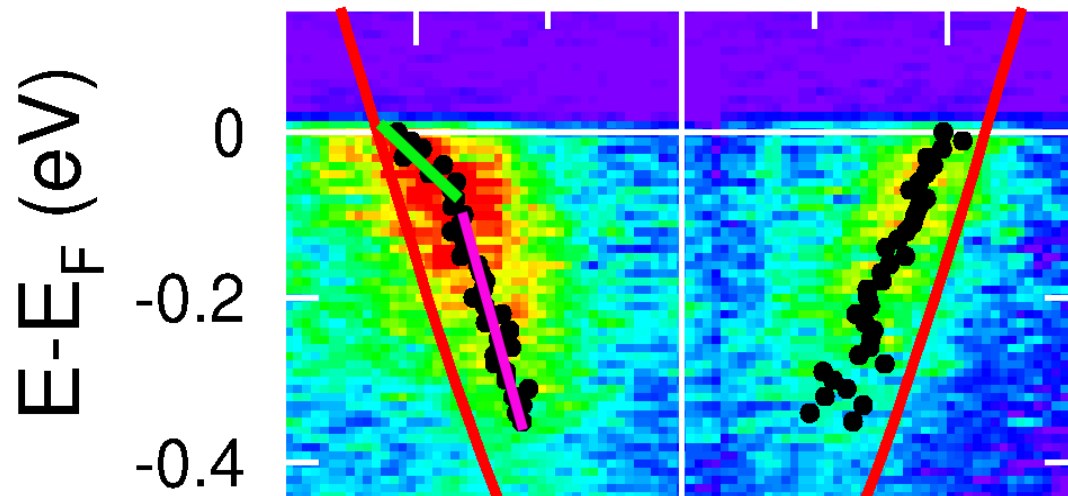
$\text{Sr}_2\text{RuO}_4$ , cond-mat/0508312



Kinks seen experimentally between 20-800 meV  
Origin: phonons, spin fluctuations, often not known

water falls in different HTC systems, cond-mat/0604284, cond-mat/0607319

### 3. Kinks - more examples of kinks in ARPES



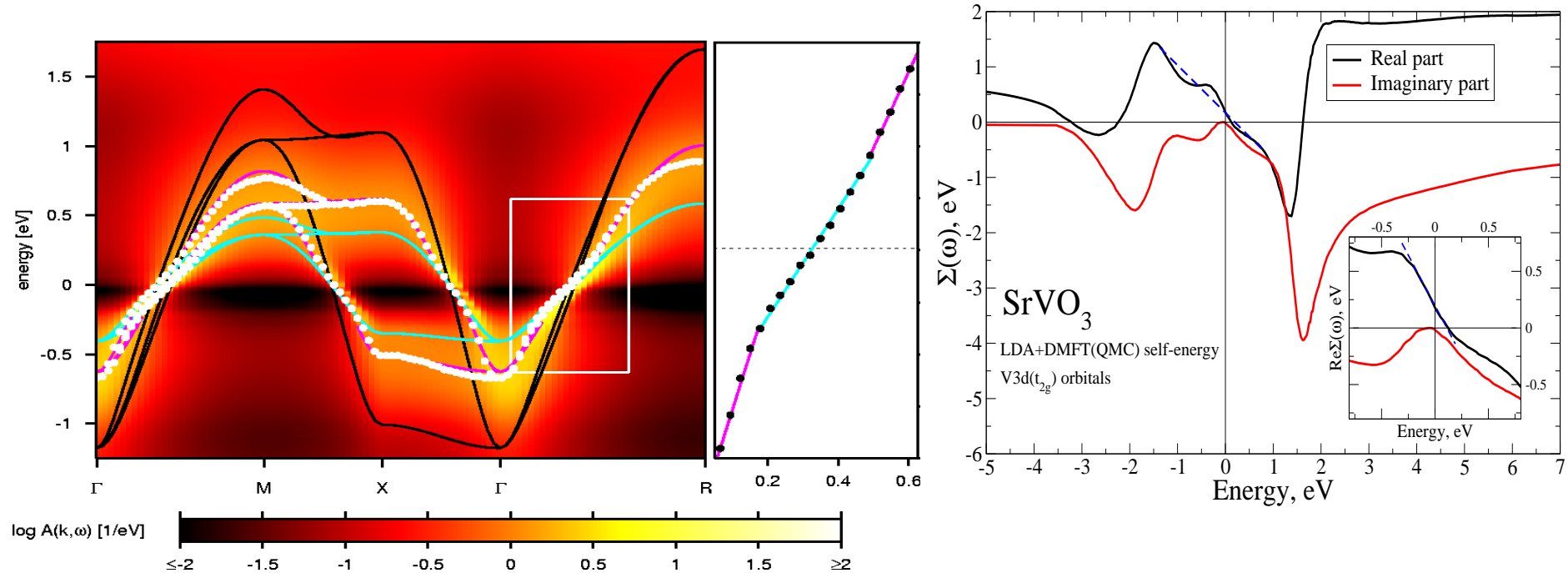
SrVO<sub>3</sub>, cond-mat/0504075

Kinks seen experimentally at 150 meV  
Pure electronic origin?

### 3. Kinks in LDA+DMFT study of SrVO<sub>3</sub>

plain band model with local correlations, no other bosons, ... but kinks!

I.A. Nekrasov *et al.*, cond-mat/0508313, PRB (2006)



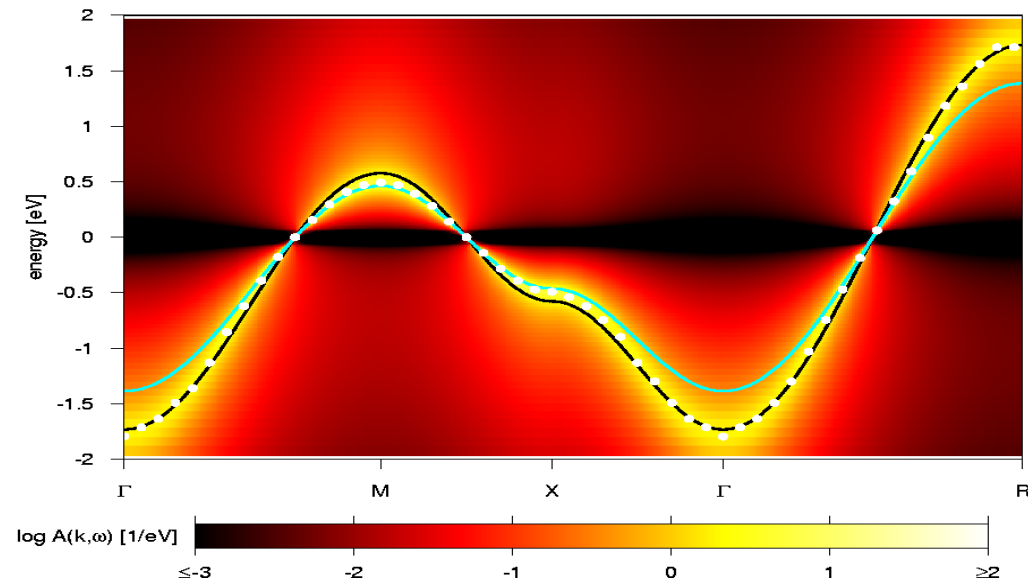
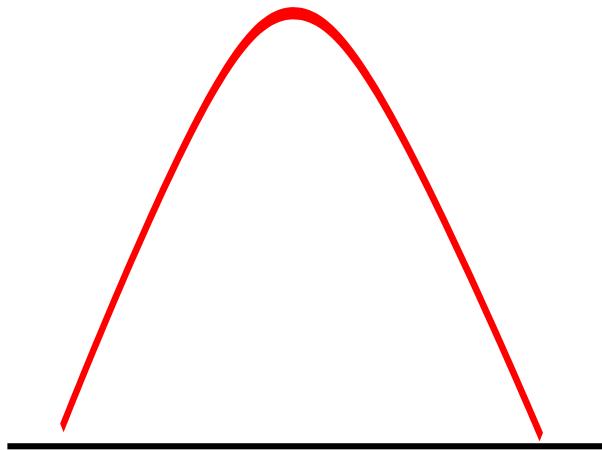
$$G_{\mathbf{k}}(\omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma(\omega)} \quad \rightarrow \quad E_{\mathbf{k}} + \mu - \epsilon_{\mathbf{k}} - \text{Re}\Sigma(E_{\mathbf{k}}) = 0$$

Not found in SIAM with simple hybridization function!  $\rightarrow$  DMFT self-consistency effect



### 3. Kinks - weakly correlated system

K. Byczuk, M. Kollar, K. Held, Y.-F. Yang, I. A. Nekrasov, Th. Pruschke, D. Vollhardt, cond-mat/0609594

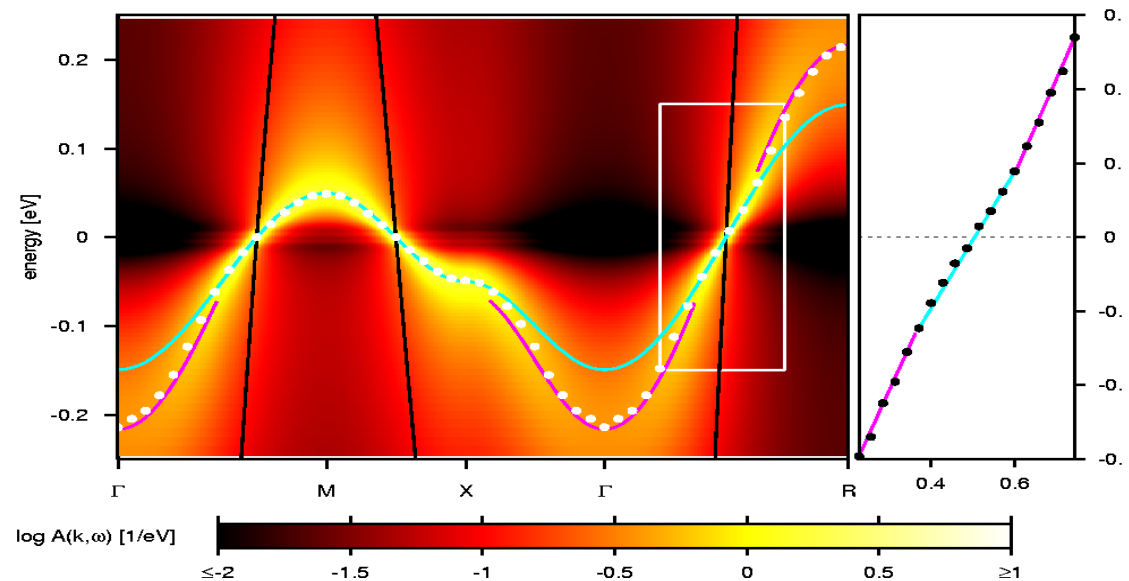
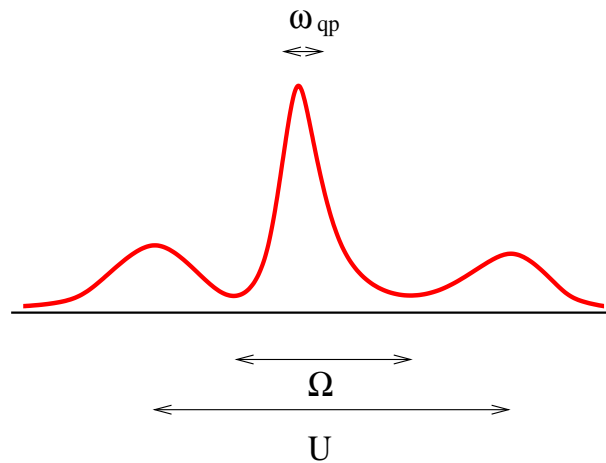


Fermi liquid  $Z_{FL} \lesssim 1$ :  $E_{\mathbf{k}} = Z_{FL} \epsilon_{\mathbf{k}}$  for  $|E_{\mathbf{k}}| < \omega_*$

$$E_{\mathbf{k}} = \epsilon_{\mathbf{k}} \text{ for } |E_{\mathbf{k}}| > \omega_*$$

# 3. Kinks due to strong correlations

K. Byczuk, M. Kollar, K. Held, Y.-F. Yang, I. A. Nekrasov, Th. Pruschke, D. Vollhardt, cond-mat/0609594



$$\text{Fermi liquid } Z_{FL} \ll 1: E_{\mathbf{k}} = Z_{FL} \epsilon_{\mathbf{k}} \quad \text{for } |E_{\mathbf{k}}| < \omega_*$$

$$\text{Different renormalization } Z_{CP} \ll 1: E_{\mathbf{k}} = Z_{CP} \epsilon_{\mathbf{k}} \pm c \quad \text{for } |E_{\mathbf{k}}| > \omega_*$$

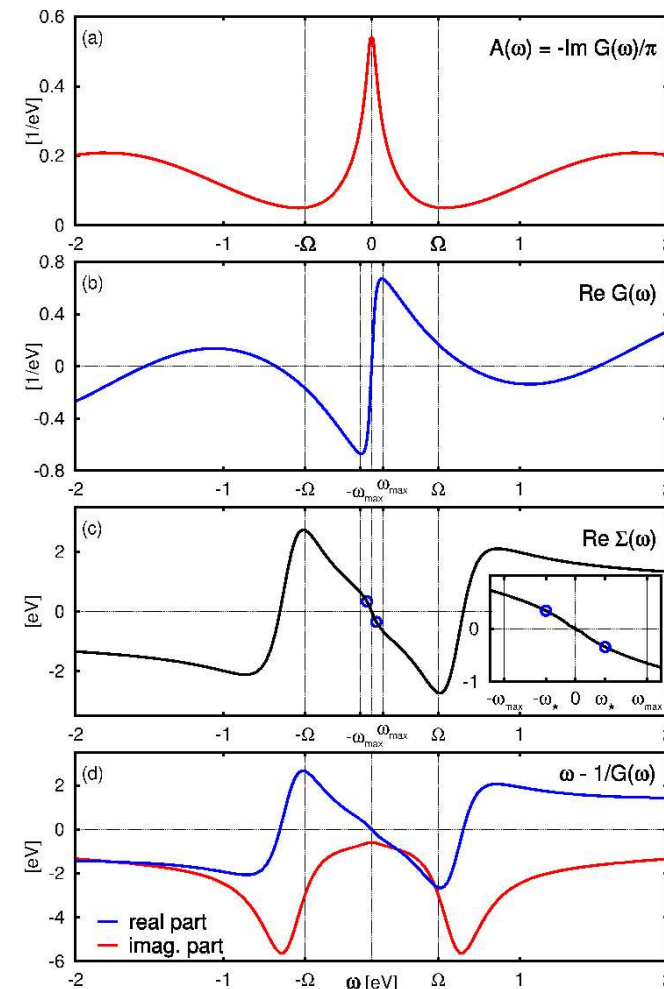
# 3. Kinks - microscopic explanation within DMFT

K. Byczuk, M. Kollar, K. Held, Y.-F. Yang, I. A. Nekrasov, Th. Pruschke, D. Vollhardt, cond-mat/0609594

DMFT self-consistency condition

$$\Sigma(\omega) = \omega - 1/G(\omega) - \Delta(G(\omega))$$

$$\Delta(G(\omega)) \approx (m_2 - m_1^2)G(\omega) + \dots$$

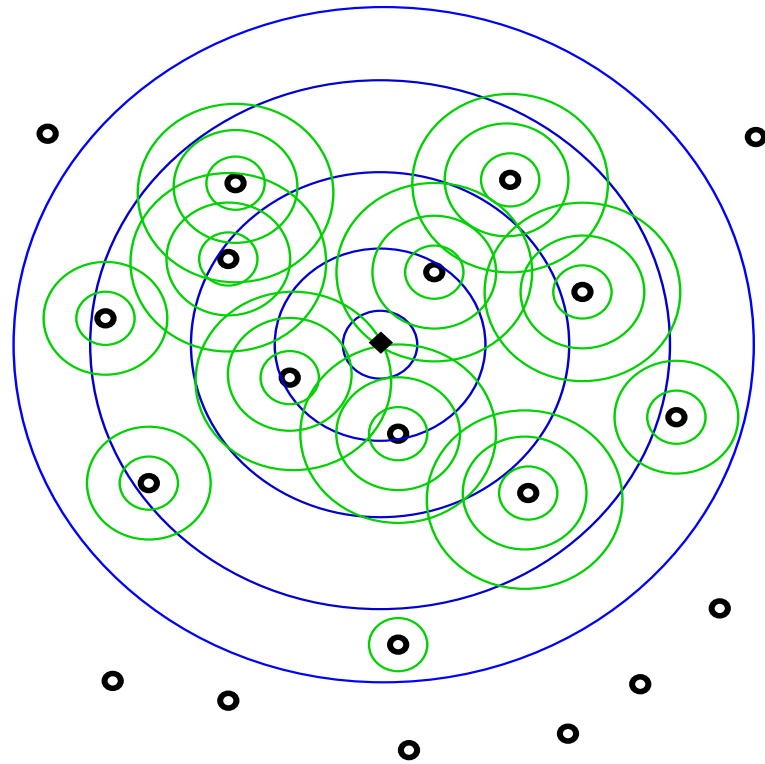


### 3. Kinks- microscopic predictions

- Strong correlations (three peak spectral function) a sufficient condition for electronic kinks
- **Energy scale** for electronic kinks  $\omega_* = Z_{FL}D$  determined by Fermi-liquid renormalization and bare (LDA) density of states
- $\omega_*$  sets the energy scale for Fermi-liquid regime where  $E_{\mathbf{k}} = Z_{FL}\epsilon_{\mathbf{k}}$  for  $|E_{\mathbf{k}}| < \omega_*$
- **Beyond Fermi-liquid regime** the dispersion is still **renormalized** and **useful**  $E_{\mathbf{k}} = Z_{CP}\epsilon_{\mathbf{k}} \pm c$  for  $|E_{\mathbf{k}}| > \omega_*$  where the offset  $c$  and  $Z_{CP}$  determined by  $Z_{FL}$  and  $D$
- Electronic kinks are within cluster extension of DMFT (DCA)  
$$\Sigma_{\mathbf{K}}(\omega) = \omega - \frac{1}{G_{\mathbf{K}}(\omega)} - \Delta(G_{\mathbf{K}}(\omega))$$
- **Electronic kinks are generic feature of strongly correlated systems**

## 4. Anderson localization

propagation of waves in a randomly inhomogeneous medium



random conservative linear wave equation

$$\frac{\partial^2 w}{\partial t^2} = c(x)^2 \frac{\partial^2 w}{\partial x^2}$$

$$i \frac{\partial w}{\partial t} = -\frac{\partial^2 w}{\partial x^2} + \nu(x)w$$

$$\Psi_{k(E)}(r) \sim \sum_i \sin(kr + \delta_i)$$

Anderson 1958: (no averaging) – strong scattering forms

“standing” waves, sloshing back and forth in a bounded region of space

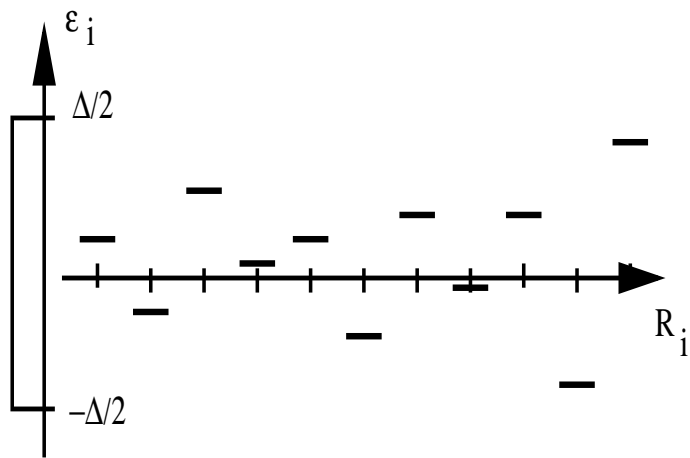
Localization is a destruction of coherent  
superposition of spatially separated states

## 4. Anderson model

$$H = \sum_{i\sigma} \epsilon_i n_{i\sigma} + \sum_{ij\sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma}$$

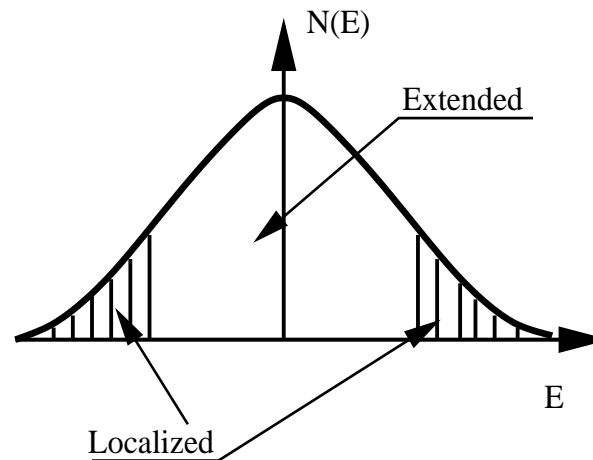
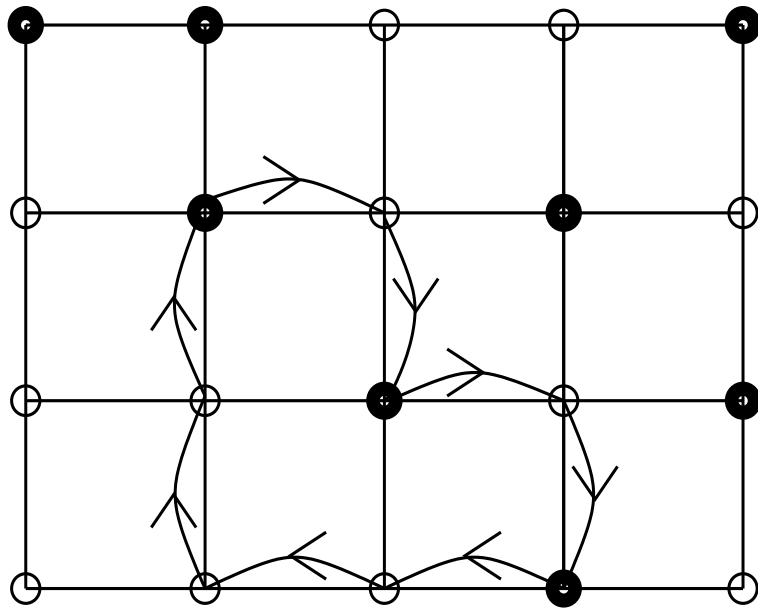
Probability distribution function

$$\mathcal{P}(\epsilon_i) = \frac{1}{\Delta} \Theta\left(\frac{\Delta}{2} - |\epsilon_i|\right)$$



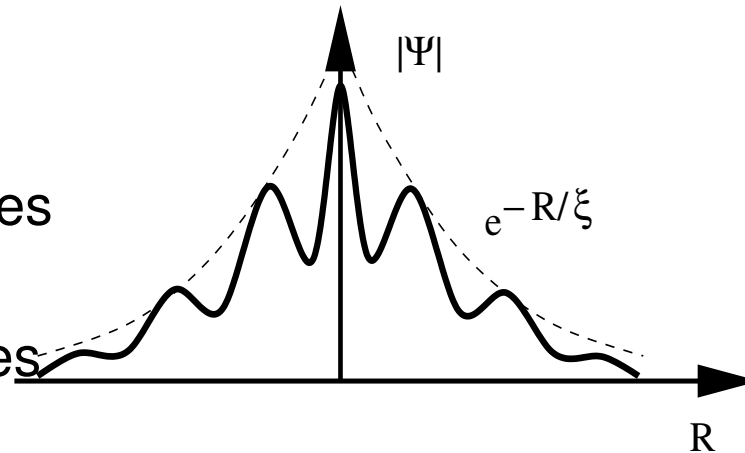
## 4. Anderson MIT - cont.

Returning probability  $P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty)$  ?



$P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty) = 0$  for **extended** states

$P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty) > 0$  for **localized** states



# 4. Characterization of Anderson localization

Local Density of States (LDOS)

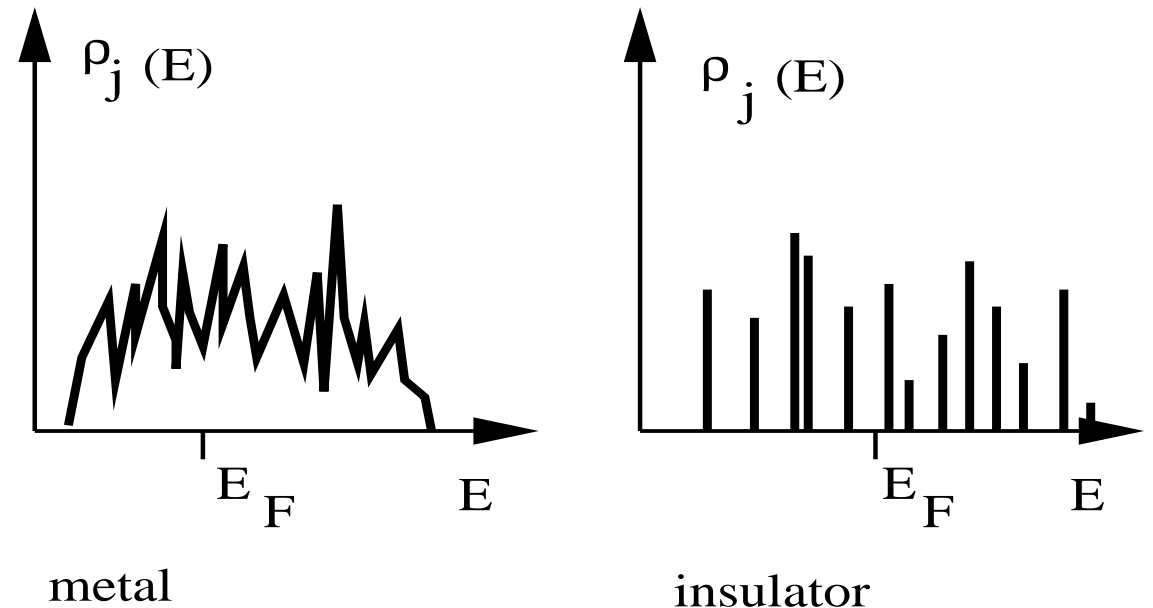
$$\rho_i(E) = \sum_{n=1}^N |\Psi_n(r_i)|^2 \delta(E - E_n)$$

$$P_{j \rightarrow j}(t) = |G_j(t)|^2$$

$$G_j(t) \sim e^{i(\epsilon_j + \Sigma'_j)t - |\Sigma''_j|t} \sim e^{-\frac{t}{\tau_{\text{esc}}}}$$

Fermi Golden Rule

$$\frac{1}{\tau_{\text{esc}}} \sim |t_{ji}|^2 \rho_j(E_F)$$

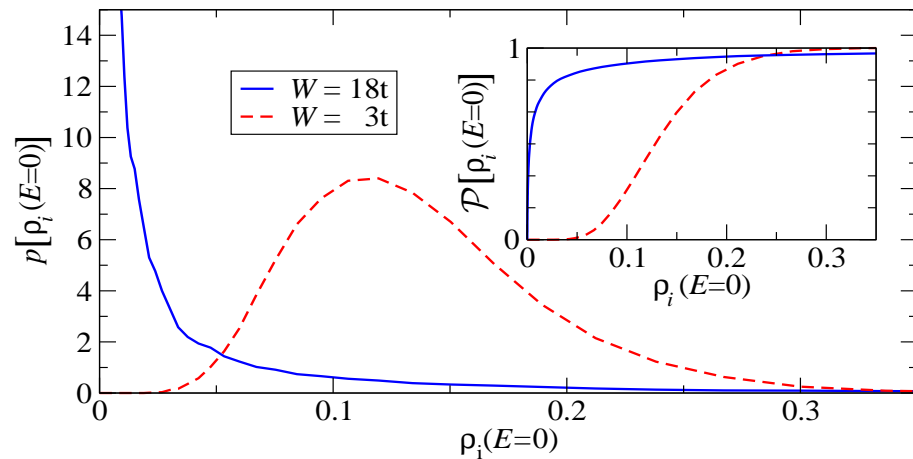




## 4. Anderson MIT - cont.

$\rho_j(E)$  is different at different  $R_j$ ! **Random quantity!**

**Statistical description  $P[\rho_j(E)]!$**



Broadly distributed  $P[\rho_j(E_F)]$

**Typical escape rate is determined**

**by the typical LDOS**

**Multifractality -  $\langle M^{(k)} \rangle \sim L^{-f(k)}$**

## 4. Anderson MIT - cont.

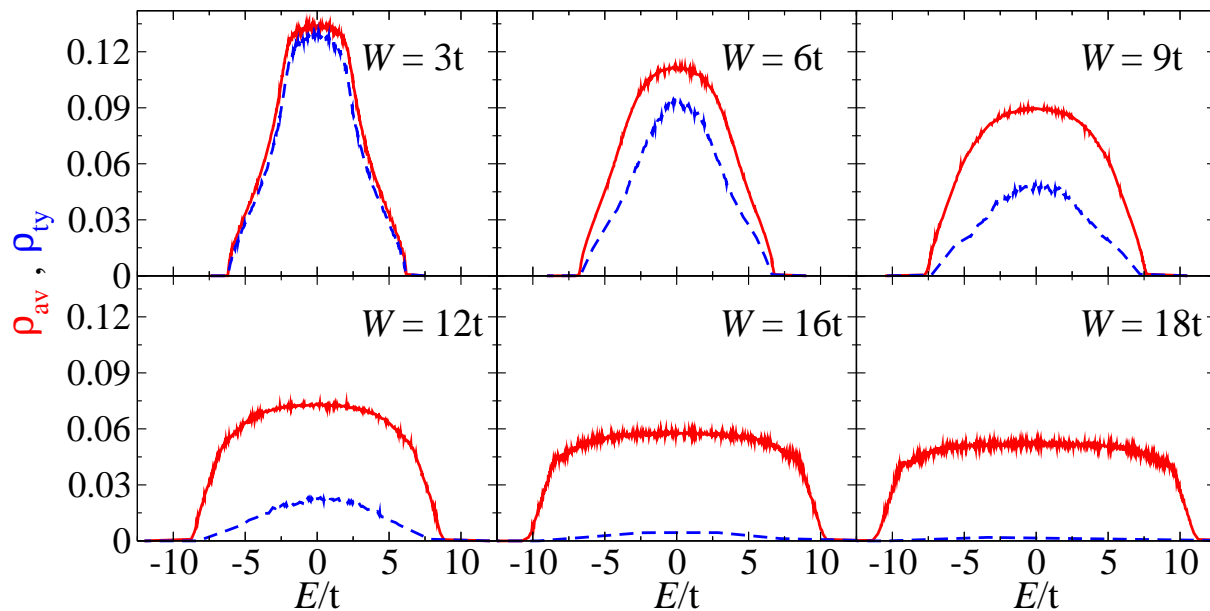
Near Anderson localization typical LDOS is approximated by geometrical mean

$$\rho_{typ}(E) \approx \rho_{geom}(E) = e^{\langle \ln \rho_i(E) \rangle}$$

Theorem (F.Wegner 1981):

$$\rho(E)_{av} = \langle \rho_i(E) \rangle > 0$$

within a band for any finite  $\Delta$



Schubert et al. cond-mat/0309015

# 4. Mott-Anderson MIT

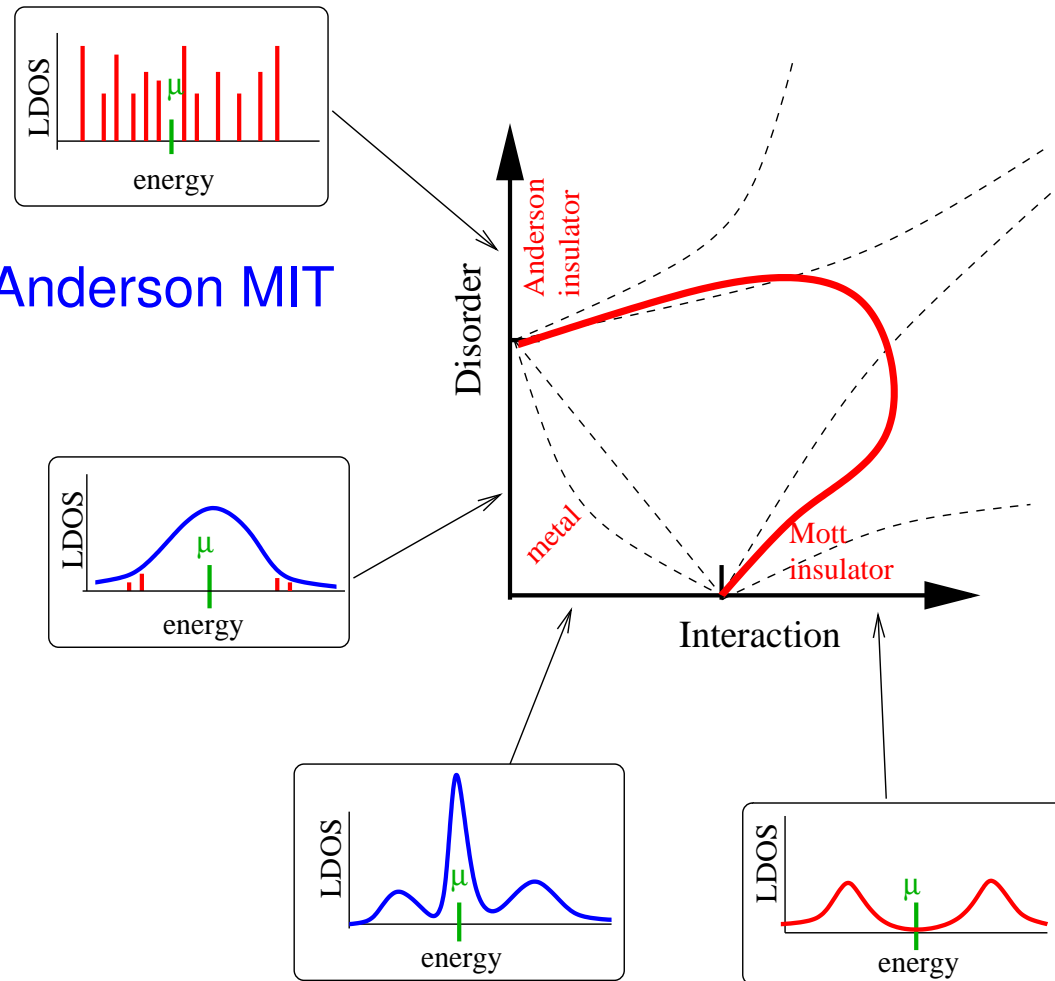
Disorder  $\leftrightarrow$  Anderson MIT

Two insulators are continuously connected

BUT

Interaction  $\leftrightarrow$  Mott-Hubbard MIT

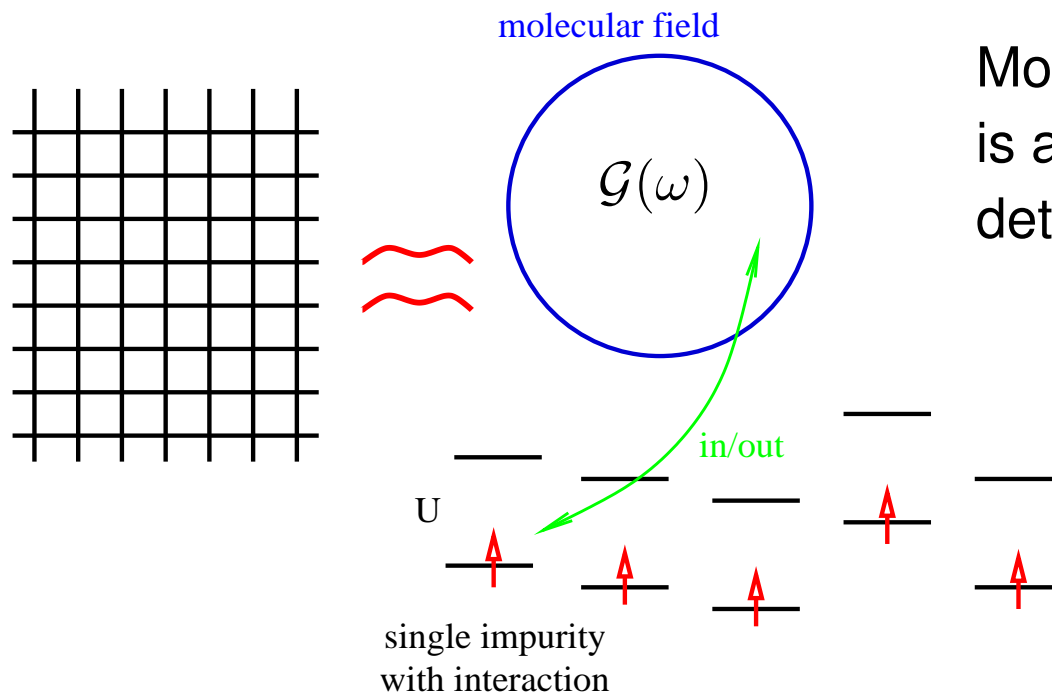
Interaction and disorder compete with each other stabilizing the metallic phase against the occurring one of the insulators



# 4. Dynamical mean-field theory for U and $\Delta$

Byczuk, Hofstetter, Vollhardt 05

Lattice problem of interacting particles is mapped onto an **ensemble of single impurities (single atoms)**



Molecular (Weiss) function  $\mathcal{G}(\omega)$  is a **dynamical** quantity, determined self-consistently

$$\rho_{typ}(E) = e^{\langle \ln \rho_i(E) \rangle}$$

$$H = \sum_{i\sigma} \epsilon_i n_{i\sigma} + \sum_{ij\sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

## 4. DMFT with Anderson MIT

after idea from: Dobrosavljevic et al., Europhys. Lett. 62, 76 (2003)

$$H^{\text{SIAM}} = \sum_{\sigma} (\epsilon_i - \mu) a_{i\sigma}^{\dagger} a_{i\sigma} + U n_{i\uparrow} n_{i\downarrow} + \sum_{\mathbf{k}\sigma} V_{\mathbf{k}} a_{i\sigma}^{\dagger} c_{\mathbf{k}\sigma} + hc + \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma}$$

$$G(\omega, \epsilon_i) \rightarrow \rho_i(\omega) = -\frac{1}{\pi} \text{Im} G(\omega, \epsilon_i)$$

$$\rho_g(\omega) = e^{\langle \ln \rho_i(\omega) \rangle}; \quad G(\omega) = \int d\omega' \frac{\rho_g(\omega')}{\omega - \omega'}$$

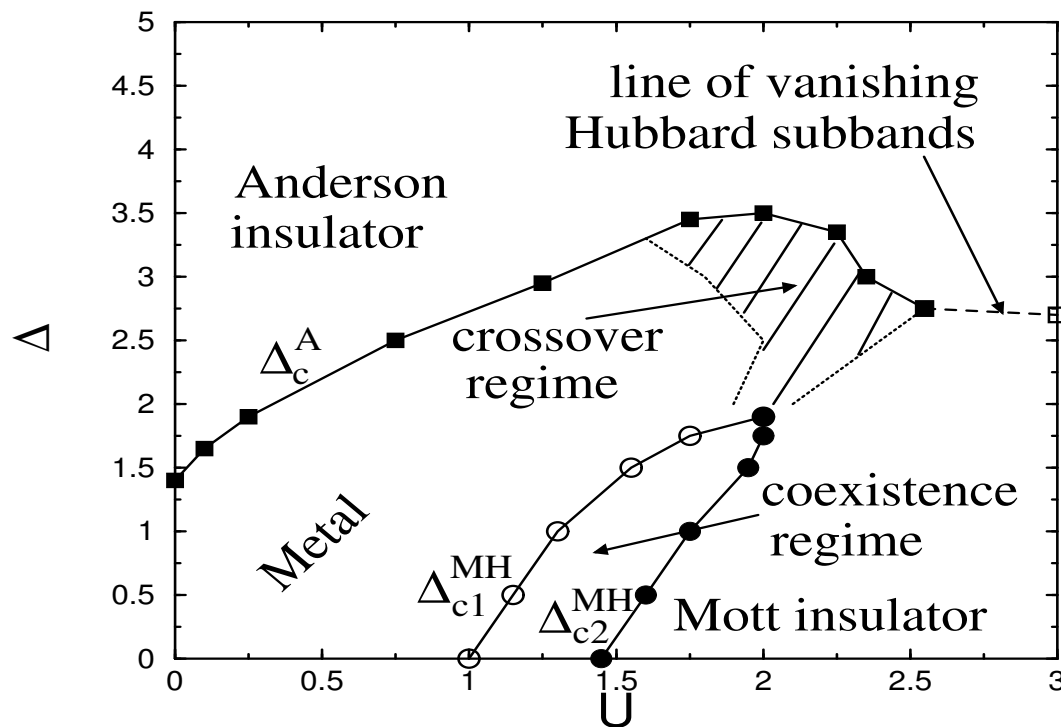
$$G^{-1}(\omega) = \omega - \eta(\omega) - \Sigma(\omega), \quad \eta(\omega) = \sum_{\mathbf{k}} \frac{|V_{\mathbf{k}}|^2}{\omega - \epsilon_{\mathbf{k}}}$$

$$G(\omega) = \int d\epsilon \frac{N_0(\epsilon)}{\omega - \epsilon - \Sigma(\omega)}$$

# 4. Phase diagram for disordered Hubbard model

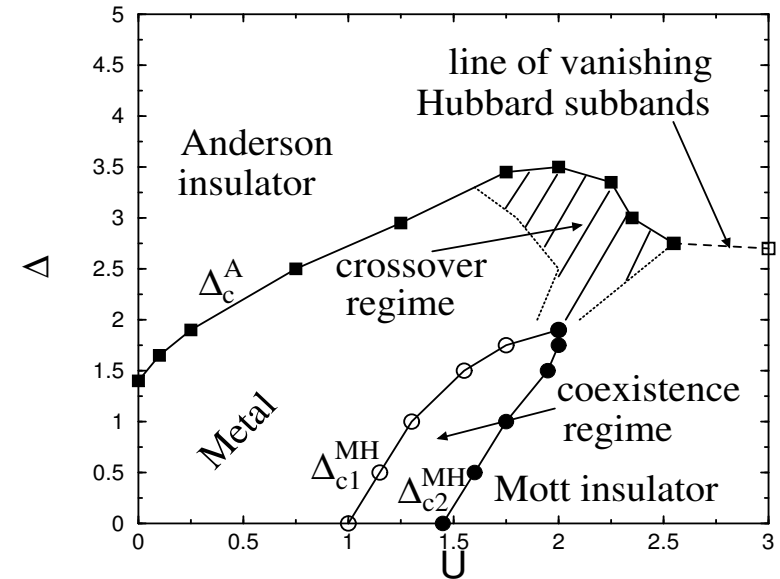
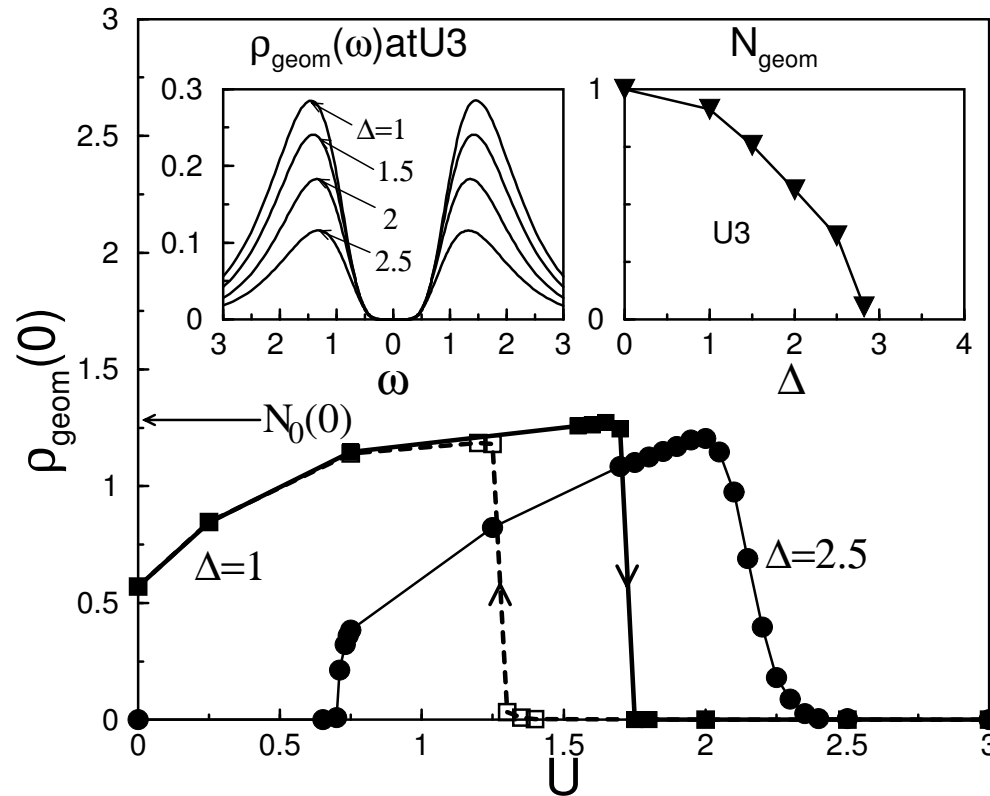
$$N_0(\epsilon) = \frac{2}{\pi D} \sqrt{D^2 - \epsilon^2}; \quad \eta(\omega) = \frac{D^2}{4} G(\omega)$$

$T = 0, n = 1, W = 2D = 1$ , NRG solver



$U$  - interaction,  $\Delta$  - disorder

# 4. Mott-Hubbard MIT in disordered Hubbard model



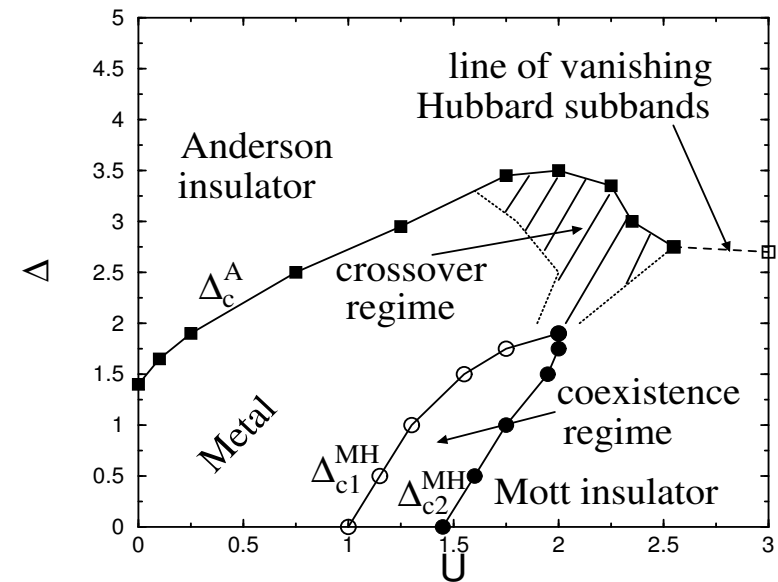
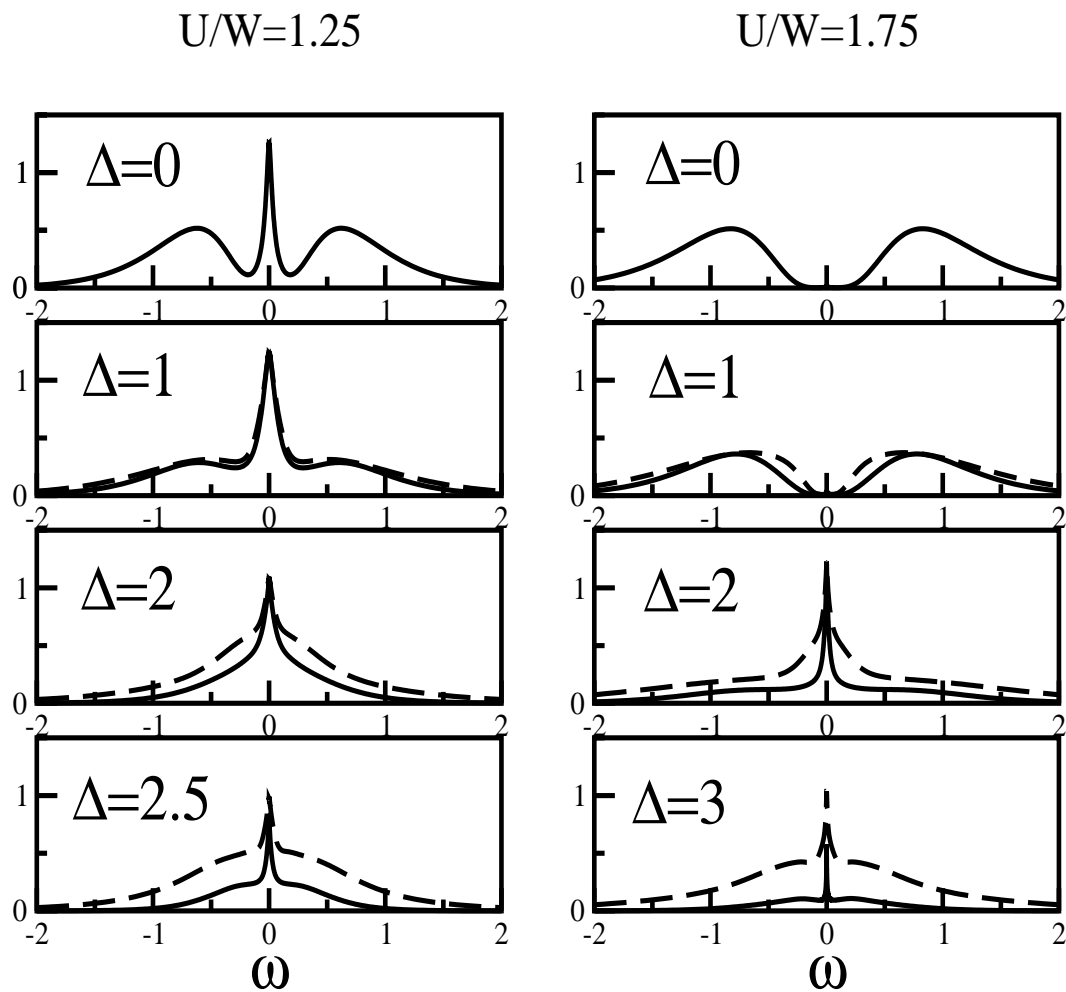
\* Luttinger (FL due to  $U$ )

\* Hysteresis  $\Delta_{c1}(U)$ ,  $\Delta_{c2}(U)$

\* Crossover

\* Similar conclusions with  $\langle \rho_j \rangle$  scheme

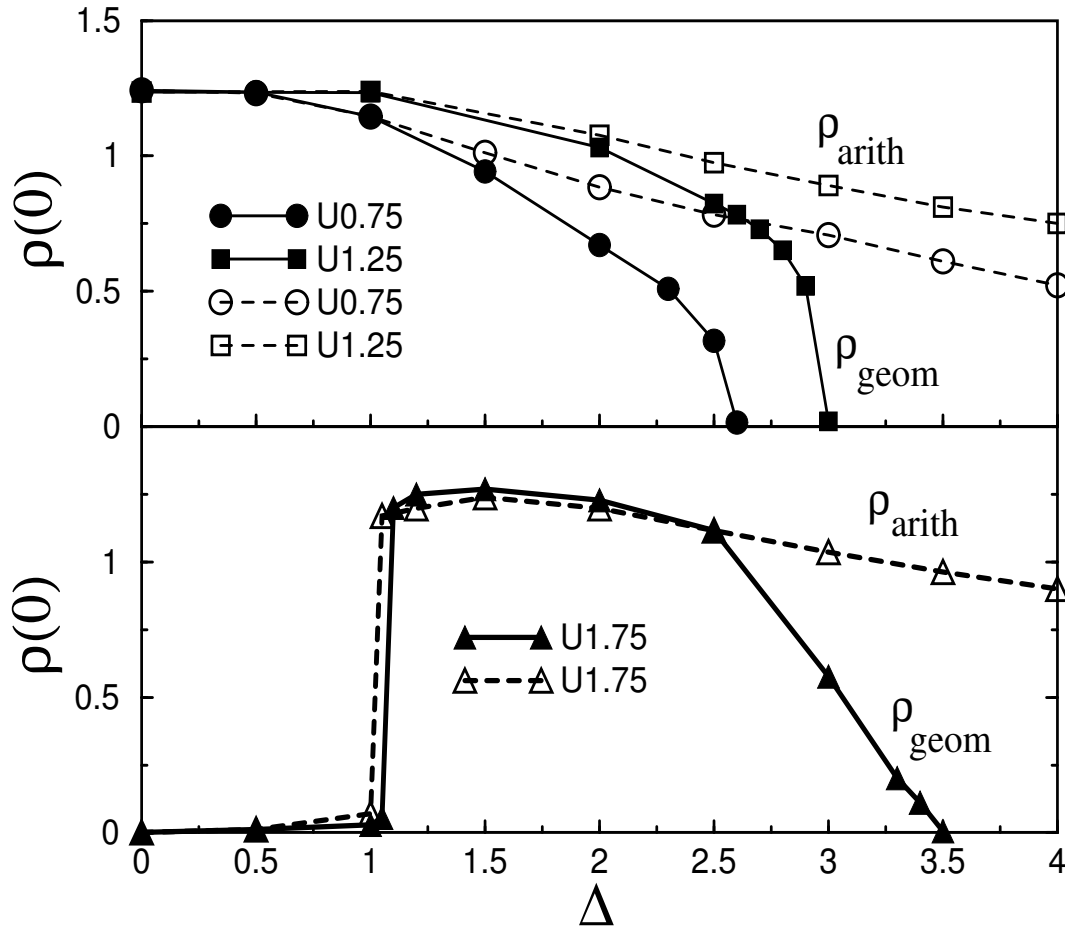
# 4. Spectral functions in disordered Hubbard model



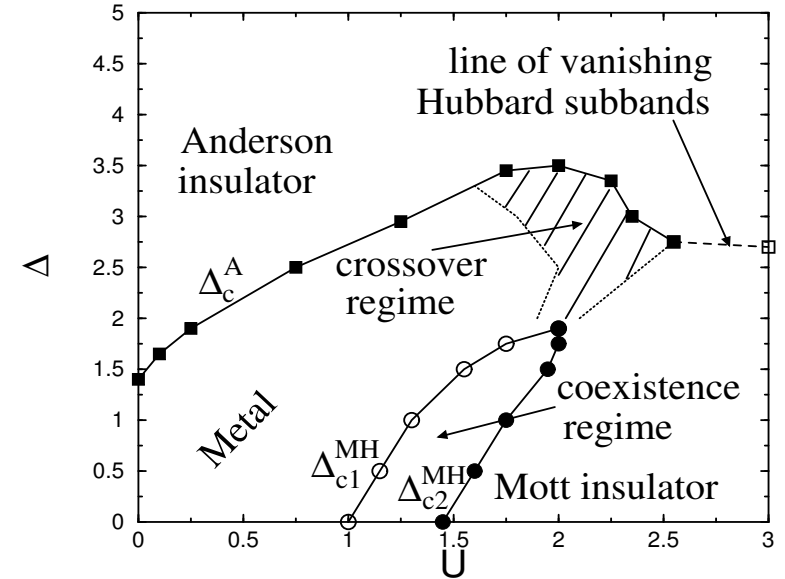
- \* Redistribution of spectral weight
- \* Reentrant Mott-Hubbard MIT
- \* Anderson MIT -  $\rho_{geom}(\omega) \rightarrow 0$



# 4. Anderson transition in Hubbard model



\* Two insulators: Mott and Anderson



$$* A(0) \sim [\Delta_c(U) - \Delta(U)]^\beta$$

with  $\beta = 1$  or  $\beta < 1$

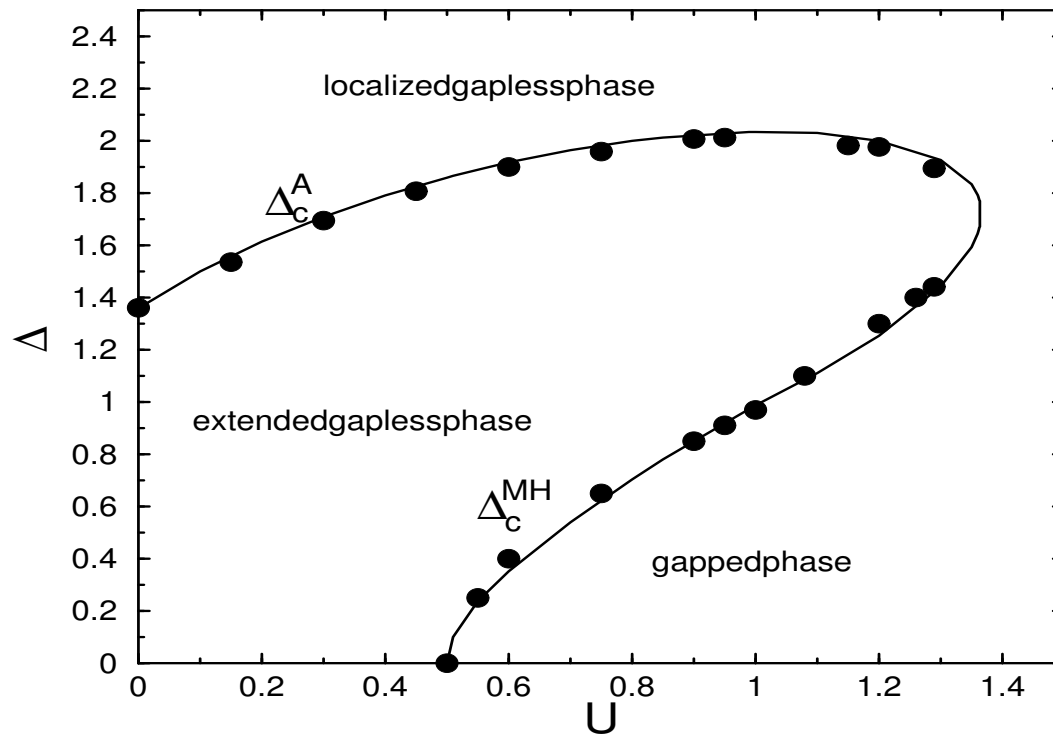
\* Adiabatic continuity

$$(U > 0, \Delta = 0) \rightarrow (U = 0, \Delta > 0)$$

## 4. Phase diagram for disordered FK model

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_i \epsilon_i c_i^\dagger c_i + U \sum_i c_i^\dagger c_i f_i^\dagger f_i$$

$T = 0, n = 1, W = 2D = 1$ , analytical solver

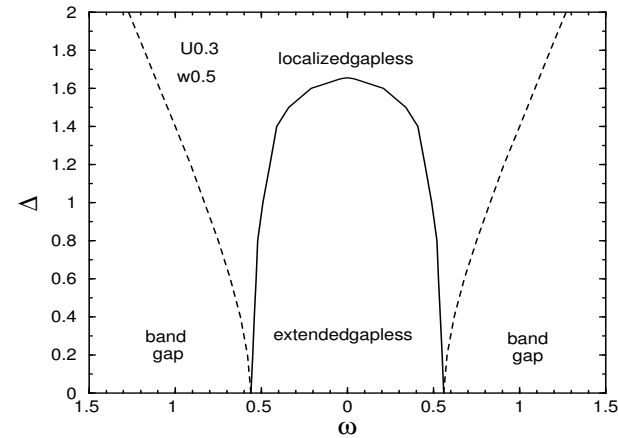


$U$  - interaction,  $\Delta$  - disorder

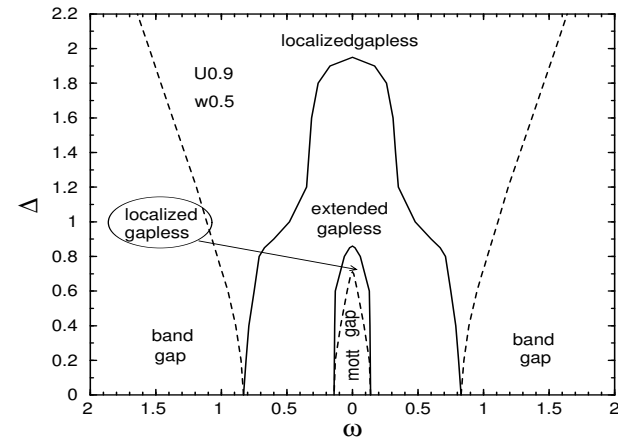
Byczuk 05

# 4. Spectral phase diagrams

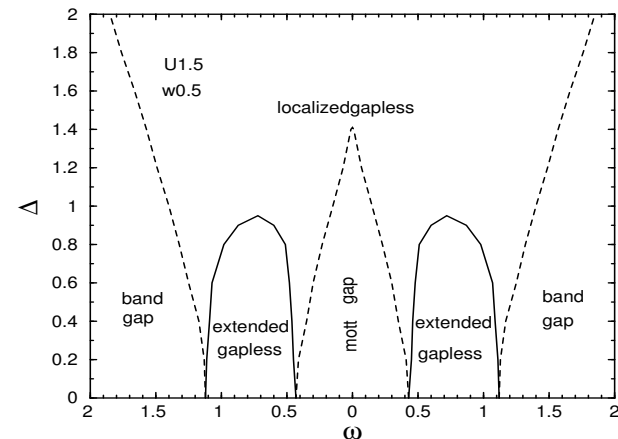
weak coupling  $0 < U < W/2$



medium coupling  $W/2 < U \lesssim 1.36W$



strong coupling  $1.36W \lesssim U$



## 4. Mott-Anderson MIT – conclusions

**Interaction and disorder compete with each other stabilizing the metallic phase against the occurring one of the insulators**

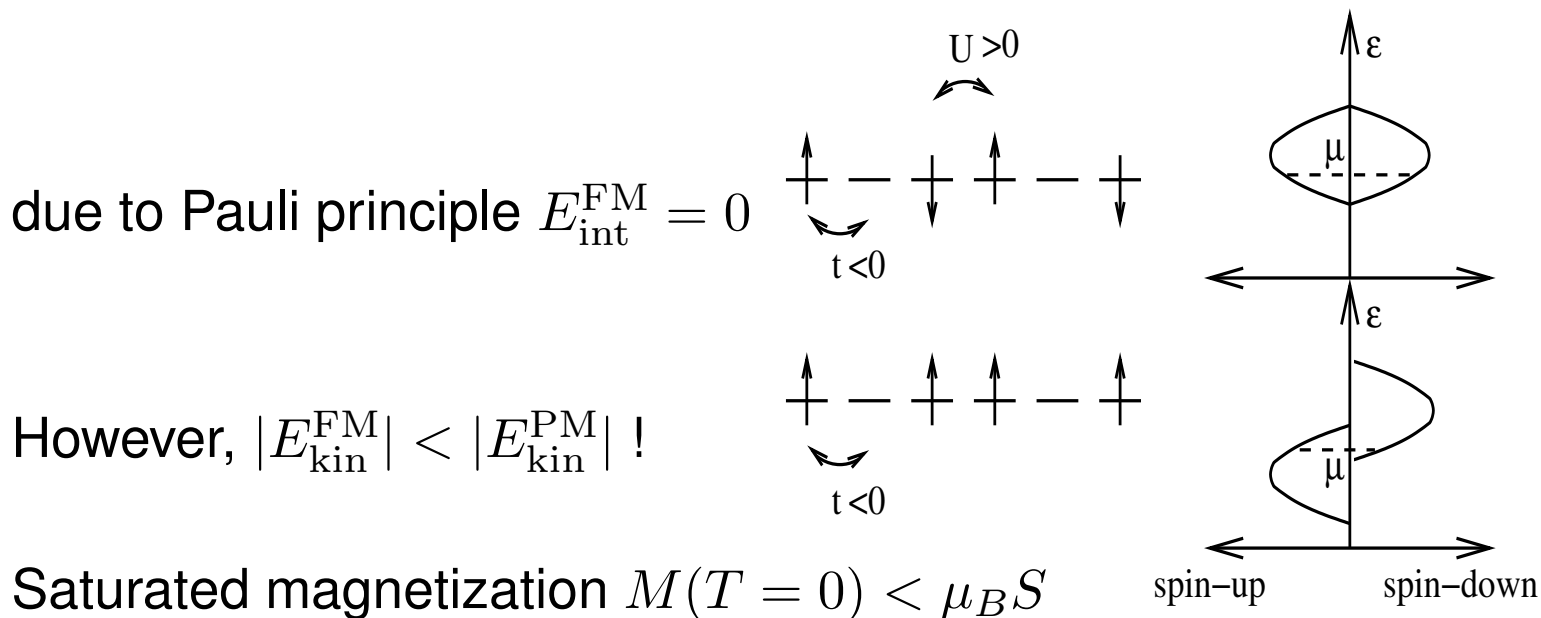
- Geometrical means used to study Anderson MIT in correlated electron system within DMFT
- Complete phase diagrams
- Nonmonotonic behavior of  $\Delta_c(U)$  at Anderson MIT
- Two insulators connected continuously
- Certain similarity/differences between Hubbard and FK models

Further projects: AF, CDW phases and Anderson localization in Hubbard and FK models

# 5. Itinerant Ferromagnetism

## Dynamical way to make FM

To reduce interaction energy electrons prefer FM state



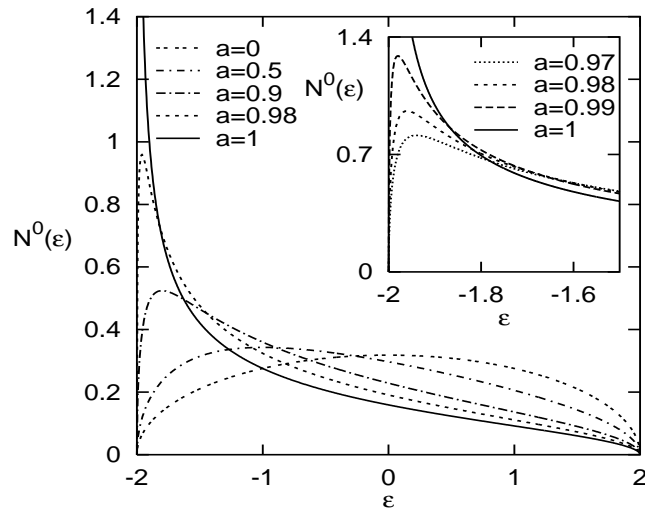
FM stable if  $U \gtrsim |t|$  - **intermediate coupling problem !!!**

Many itinerant FM are alloys

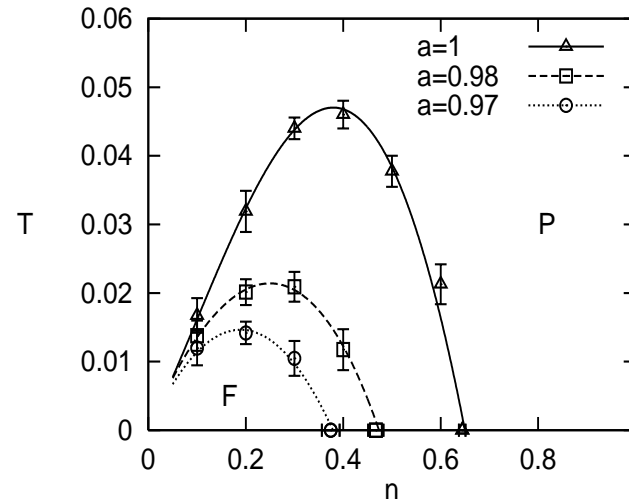
# 5. Route to FM in one-band Hubbard (DMFT)

$$H = \sum t a_{i\sigma}^\dagger a_{j\sigma} + U \sum n_{i\uparrow} n_{i\downarrow}$$

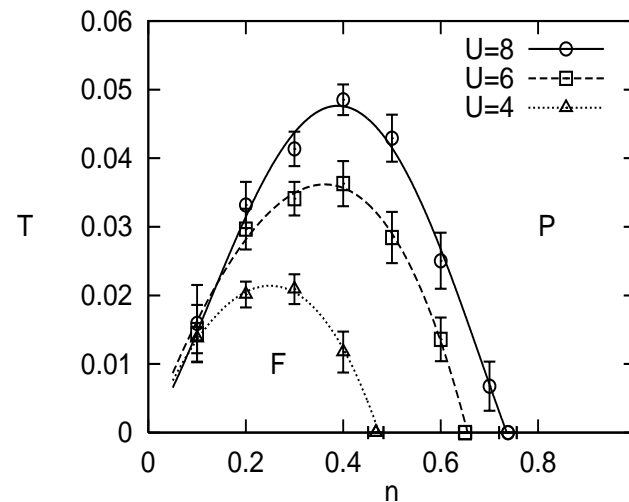
DOS asymmetry -  $a$



Interaction -  $U$



$U = 4$

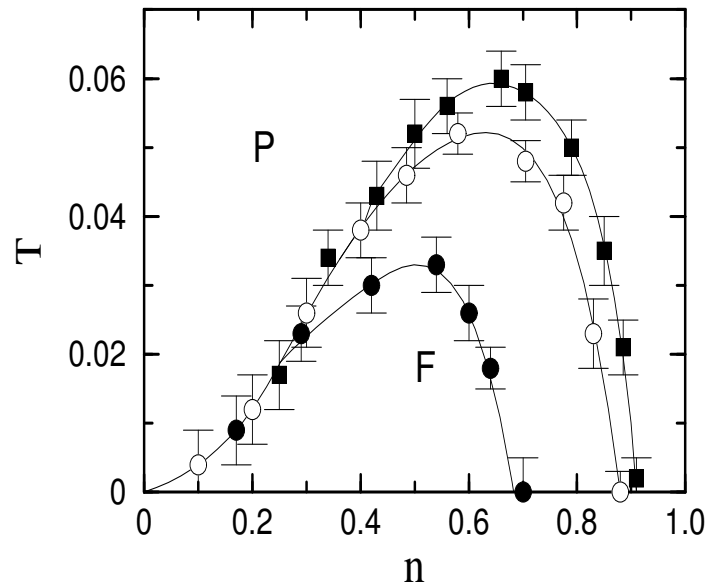
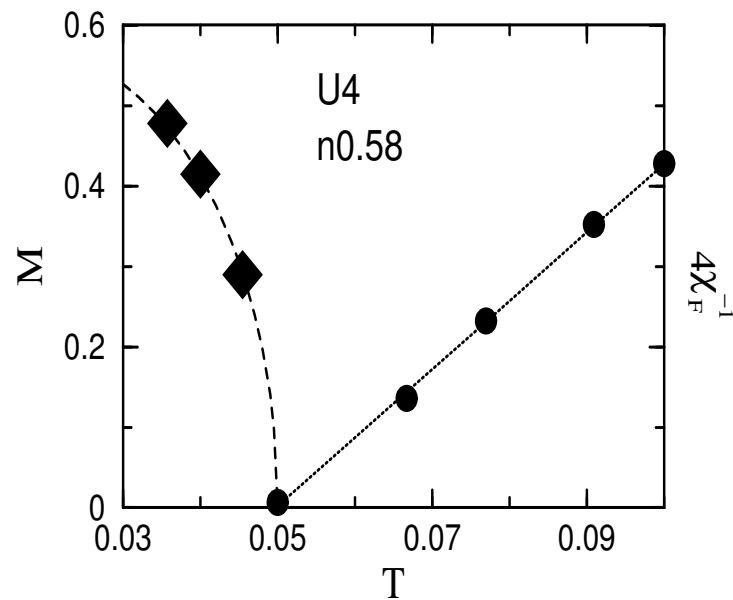


$a = 0.98$

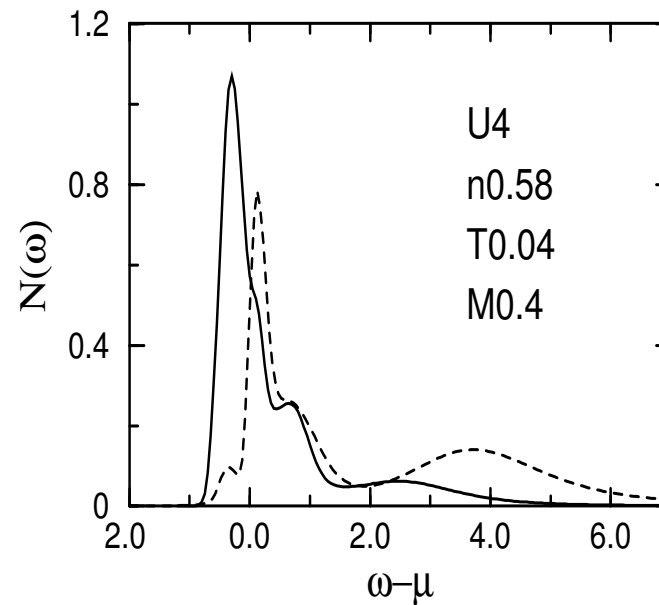
Wahle et al. 1998

# 5. FCC $d = \infty$ FM in one-band Hubbard

$$N^0(\epsilon) = \frac{\exp[-\frac{1+\sqrt{2}\epsilon}{2}]}{\sqrt{\pi(1+\sqrt{2}\epsilon)}}$$



$U = 2, 4, 5$



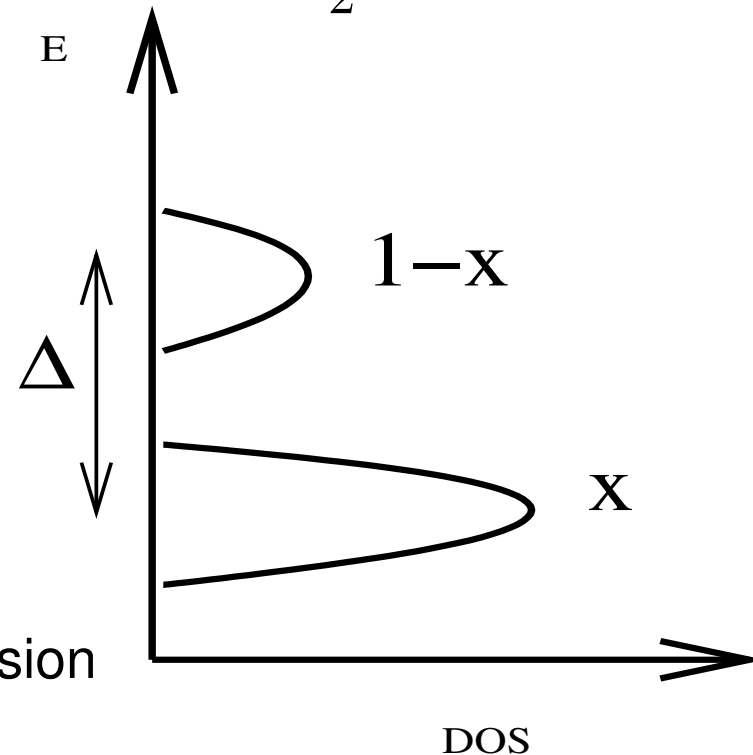
Ulmke et al. 1998

## 6. Alloy disorder and Band Splitting

Binary alloy disorder (alloys  $A_{1-x}B_x$ , e.g.  $\text{Fe}_{1-x}\text{Co}_x$ )

$$\mathcal{P}(\epsilon_i) = x\delta(\epsilon_i + \frac{\Delta}{2}) + (1-x)\delta(\epsilon_i - \frac{\Delta}{2})$$

$$H = \sum_i \epsilon_i + t \sum_{ij} a_i^\dagger a_j$$



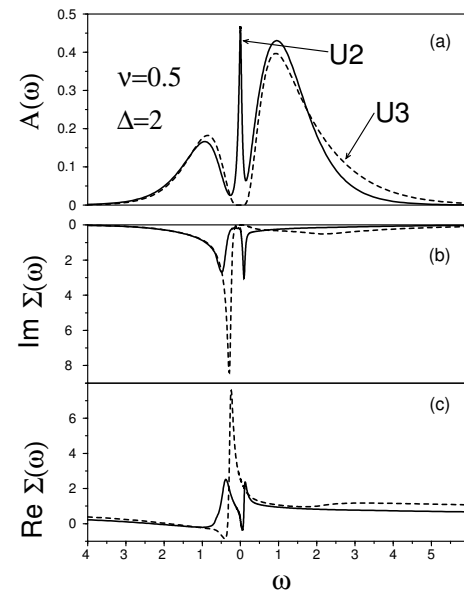
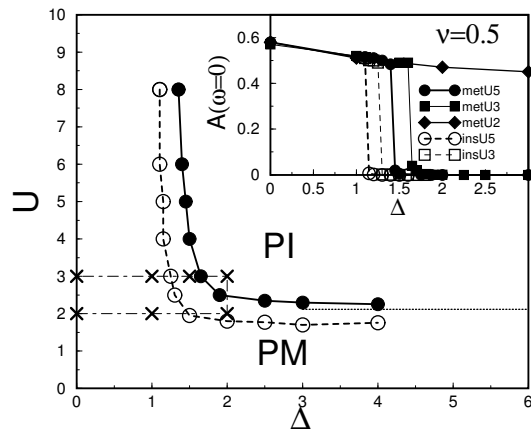
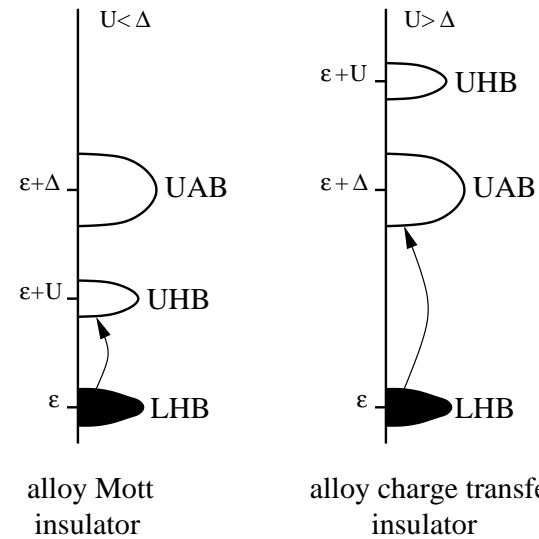
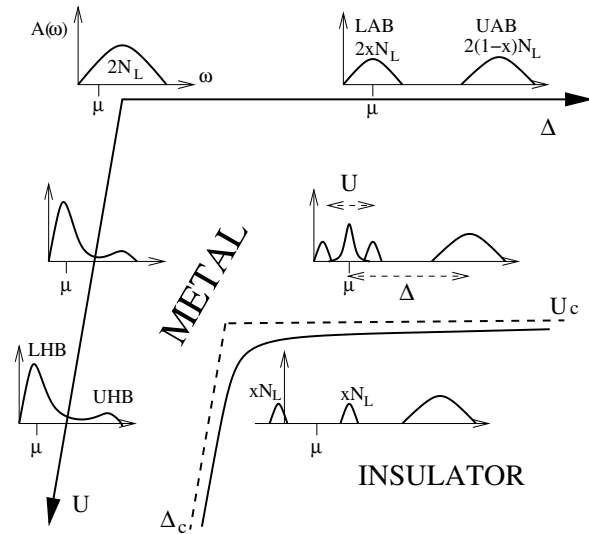
alloy band splitting for  $\Delta \gtrsim W$  in any dimension

**intermediate “coupling” problem !!!**

$$\text{physical quantity: } O = \int d\epsilon \mathcal{P}(\epsilon) \langle \hat{O}(\epsilon) \rangle$$



# 6. Mott–Hubbard at fractional filling



# 7. FM in binary alloy itinerant electrons

Anderson–Hubbard Hamiltonian

$$H = \sum_{ij,\sigma} t_{ij} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \sum_{i\sigma} \epsilon_i \hat{n}_{i\sigma} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

where  $\epsilon$  is random variable with bimodal PDF

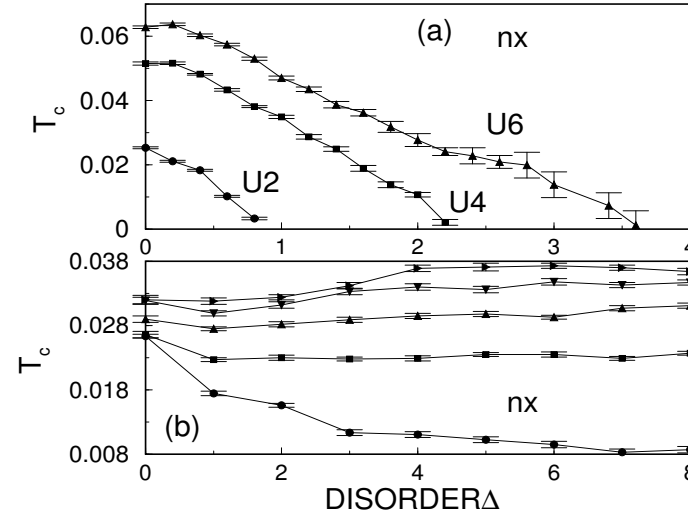
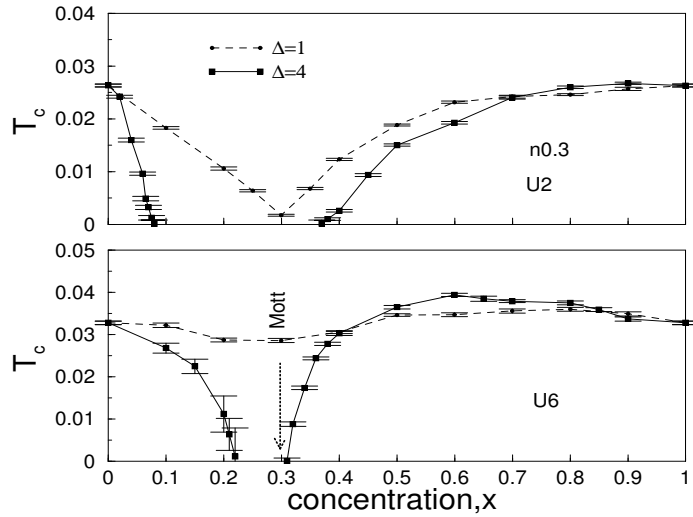
$$P(\epsilon) = x \delta \left( \epsilon + \frac{\Delta}{2} \right) + (1 - x) \delta \left( \epsilon - \frac{\Delta}{2} \right)$$

Physical observable averaged arithmetically

$$\langle \cdots \rangle_{\text{dis}} = \int d\epsilon P(\epsilon) (\cdots)$$

$d = \infty$  FCC DOS  $N^0(\omega) = \frac{\exp[-\frac{1+\sqrt{2}\omega}{2}]}{\sqrt{\pi(1+\sqrt{2}\omega)}}$  stabilizes FM

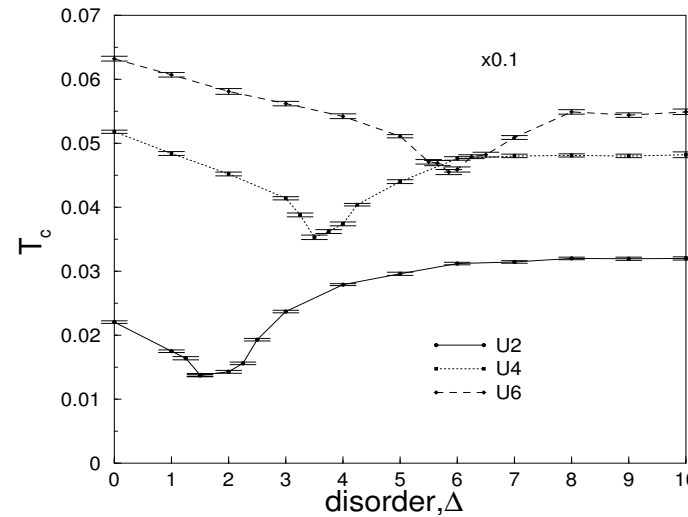
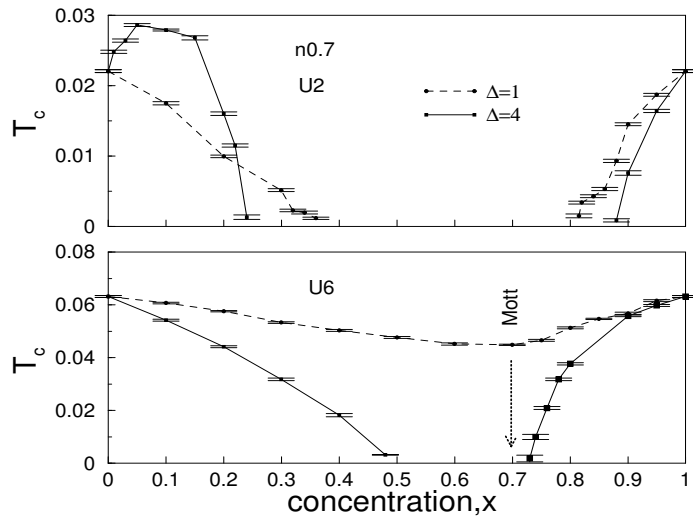
# 7. Curie temperature



$n = 0.7$   $x = 0.5$

$n = 0.3$   $x = 0.5$

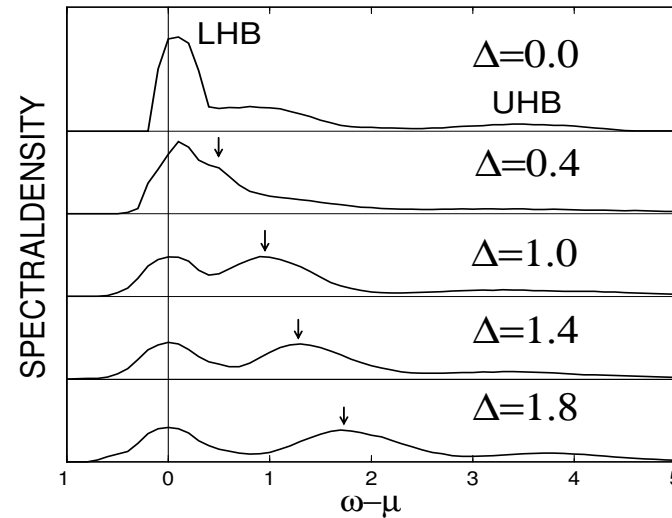
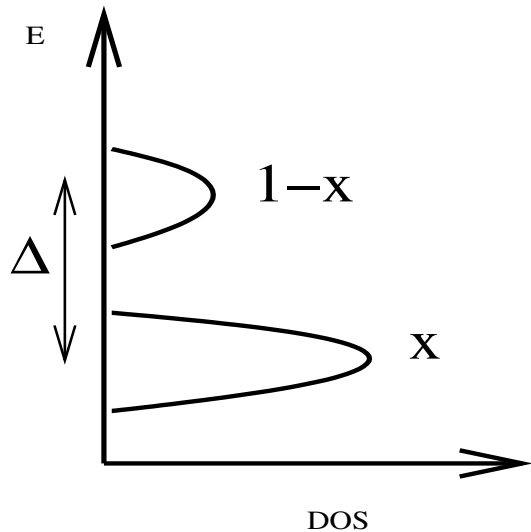
$T_c(x)$  increases !!! at some cases



$n = 0.7$

## 7. Is there an alloy band splitting at $U > 0$ ?

$$U = 4, n = 0.3, n = 0.5, T = 0.071, \text{MEM}$$

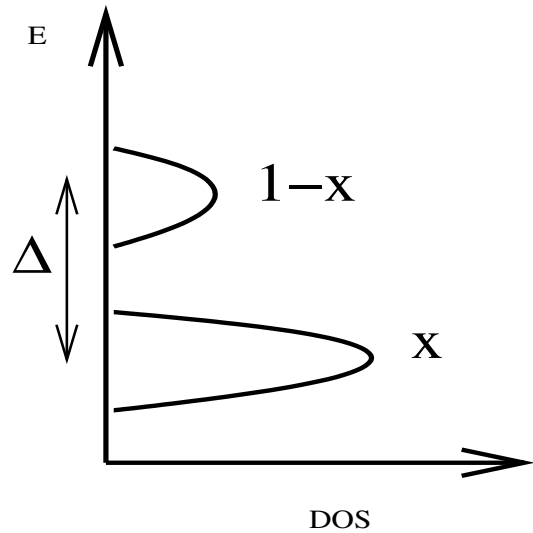


Subtle interplay between  $\Delta$  and  $U$  increases  $T_c$ !

# 7. Why is Curie temperature enhanced?

$$n < 2x \rightarrow n_{\text{eff}} = \frac{n}{x}$$

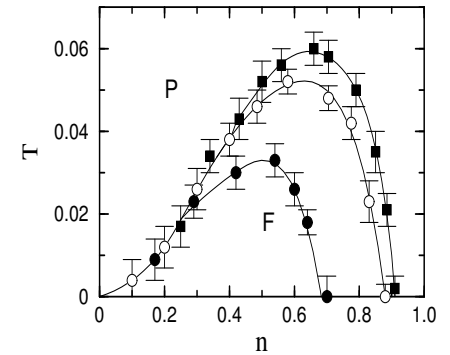
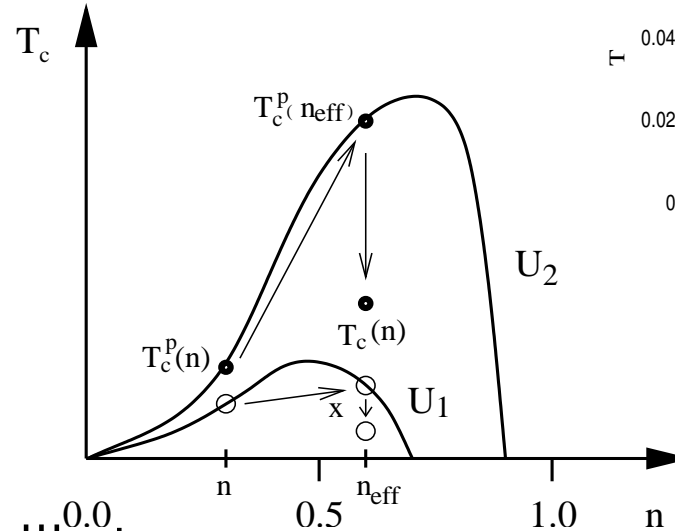
$$T_c = x T_c^p$$



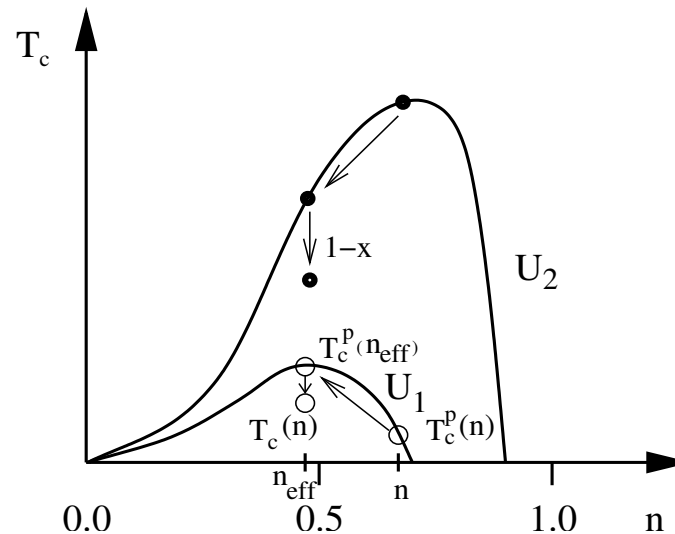
$T_c(x)$  **increases** !!! at some cases

$$n > 2x \rightarrow n_{\text{eff}} = \frac{n-2x}{1-x}$$

$$T_c = (1-x) T_c^p$$

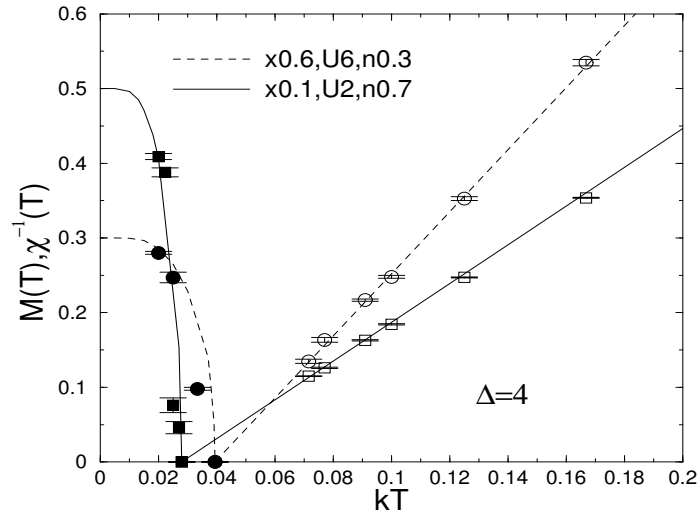
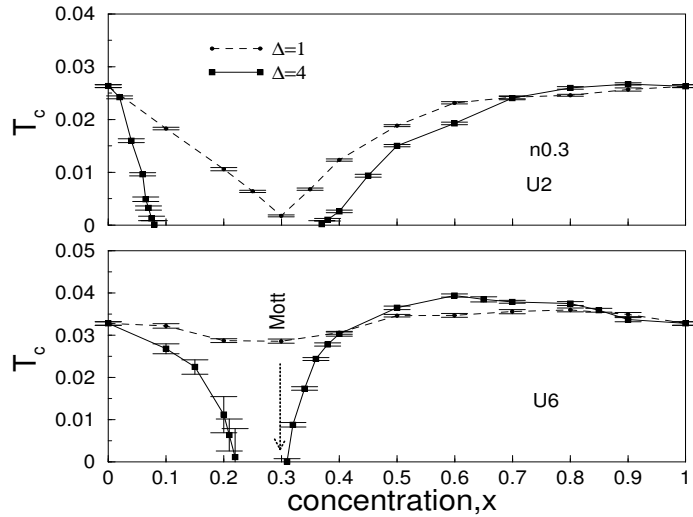


Good for large  $U$

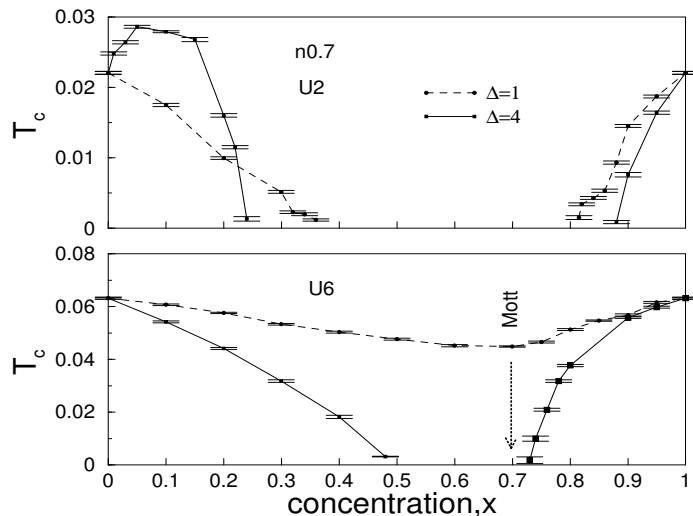


Good for small  $U$

# 7. Magnetization and Curie-Weiss law



If  $\Delta \gg W$  and  $n < 2x \rightarrow M_s = n$  but  $n > 2x \rightarrow M_s = n - 2x$



$$\frac{M(T)}{M_s} = \tanh\left[\frac{T_c M(T)}{T M_s}\right]$$

$$\chi(T) = \frac{C}{T - T_c}, \text{ where } C \approx M_s$$

$$\frac{C_1}{C_2} = 0.623 \quad \text{close to } \frac{3}{5}$$

## 7. Summary of MIT and FM in binary alloy systems

- New collective effects induced by correlation and disorder
- Possibilities of  $T_c$  increase in binary alloy ferromagnet
- New Mott–Hubbard metal–insulator transition at  $n \neq 1$
- Alloy Mott insulator vs. Alloy charge transfer insulator
- Alloy concentration controlled Mott MIT

## Outlook

- $T_c(x)$  - QPT ? 2nd vs 1st order PT ?
- Multi-band Hubbard model, role of Hund and exchange coupling, which from our findings are generic for many orbitals ?
- Material specific models ?? LDA+DMFT+disorder ???