

# Competing Phases in Correlated Lattice Fermions with Disorder

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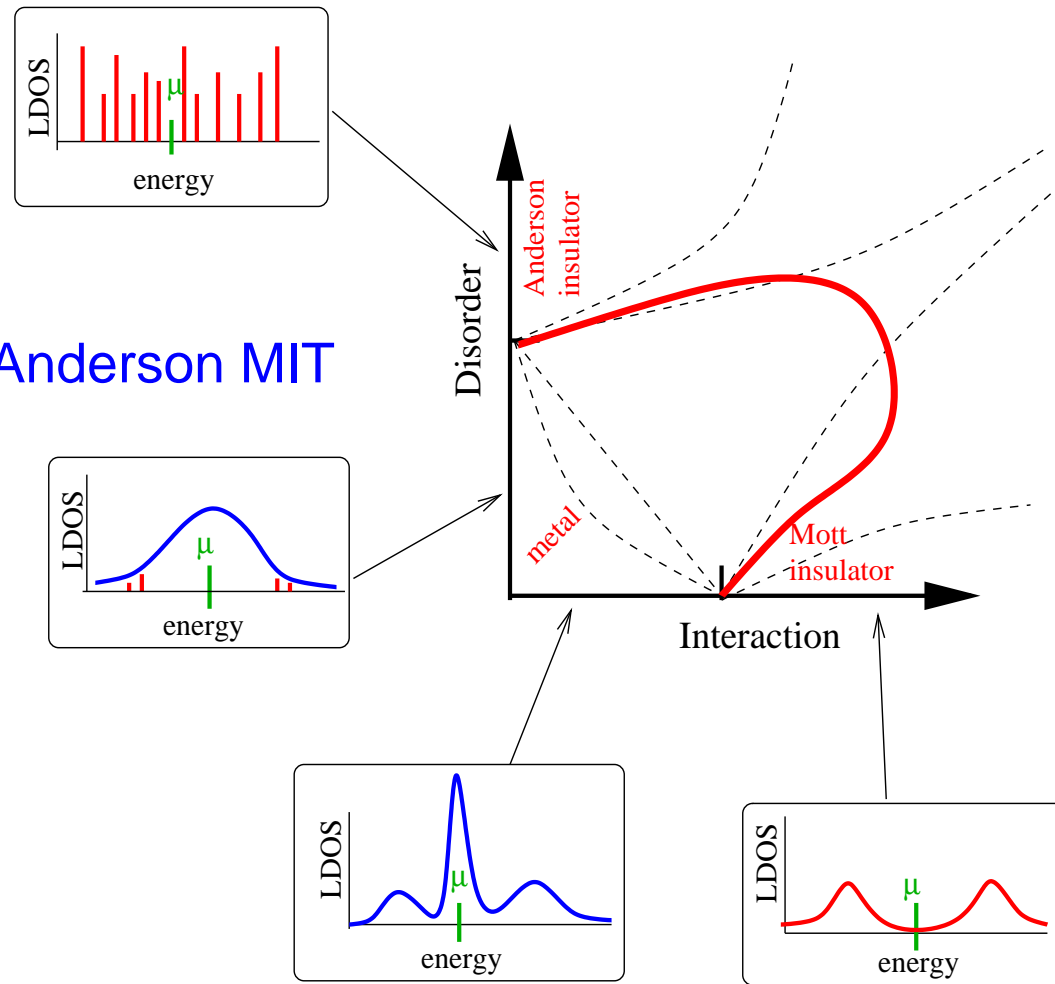


Collaboration: Dieter Vollhardt (Augsburg), Walter Hofstetter (Frankfurt)

# 1. Main results

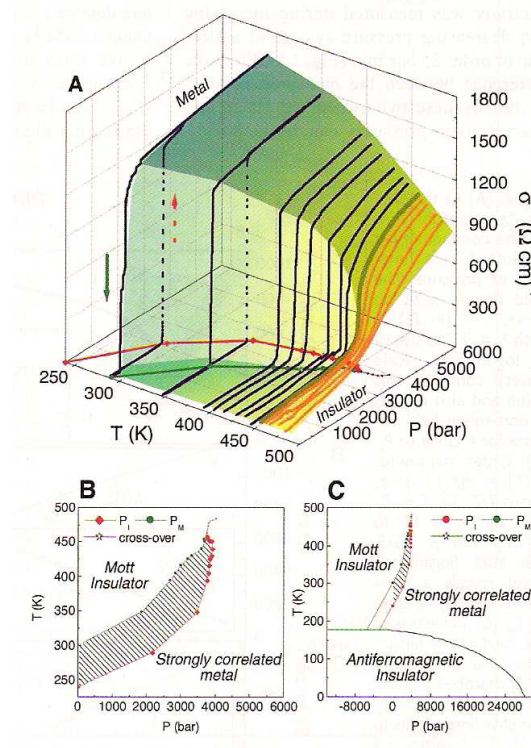
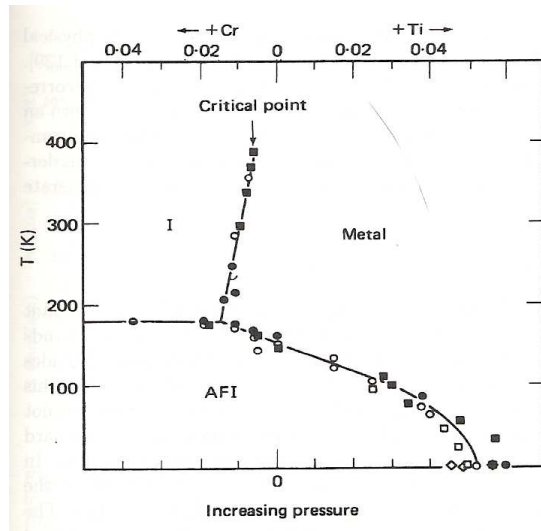
Disorder  $\leftrightarrow$  Anderson MIT

Interaction  $\leftrightarrow$  Mott-Hubbard MIT



## 2. MIT at half-filling – canonical example: $V_2O_3$

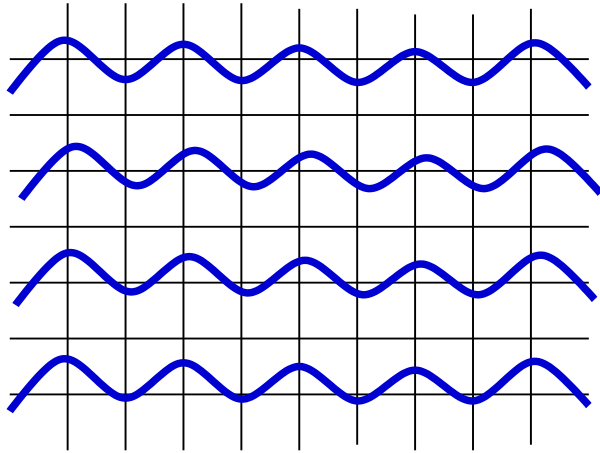
$V$  ( $[Ar]3d^24s^2$ ) gives  $V^{+3}$  valence band partially filled (metallic?)



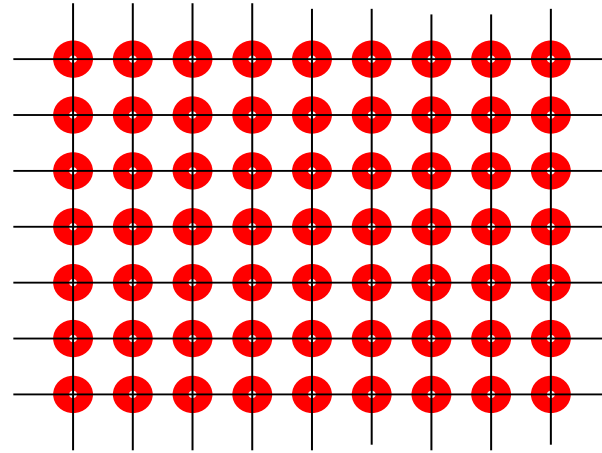
True Mott insulator  
persists above  $T_N$

Mott – Hubbard Insulator, Mott – Heisenberg Insulator, and Slater Insulator

## 2. MIT at half-filling

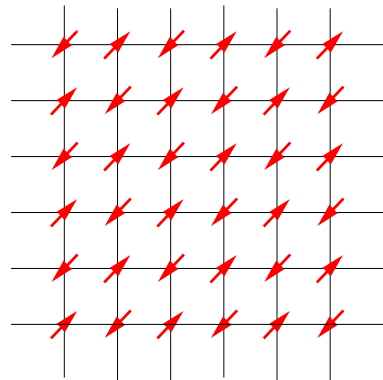


$$U \ll |t_{ij}|, \Delta \mathbf{p} = 0$$



$$U \gg |t_{ij}|, \Delta \mathbf{r} = 0$$

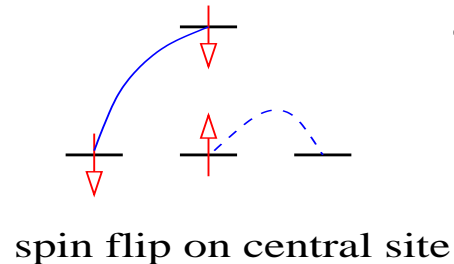
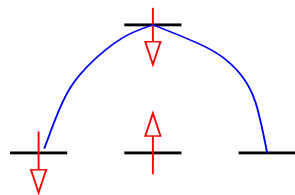
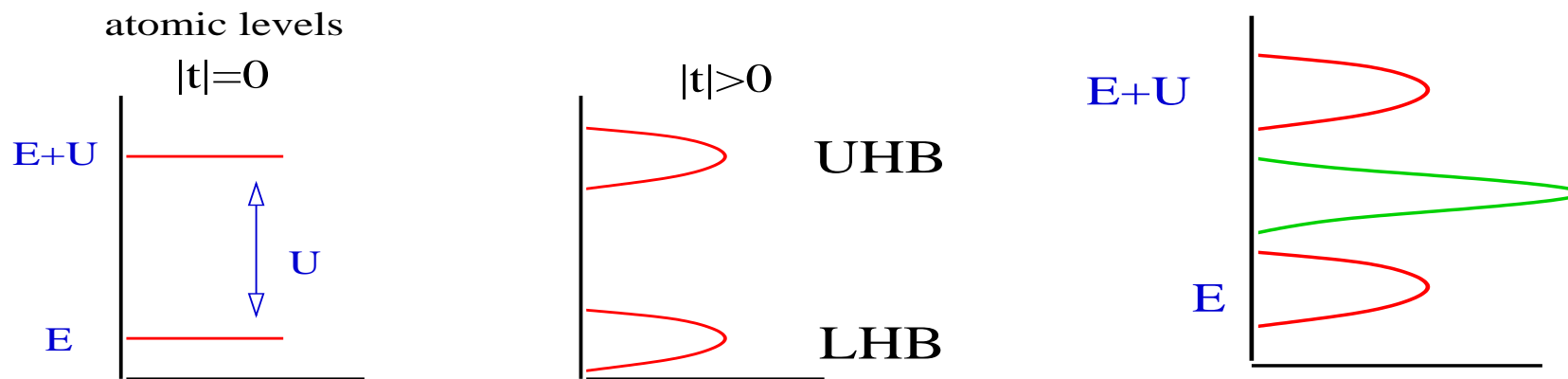
Antiferromagnetic Mott insulator



typical **intermediate coupling problem**  $U_c \approx |t_{ij}|$

## 2. MIT at half-filling in Hubbard model

$$H = \sum_{ij\sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

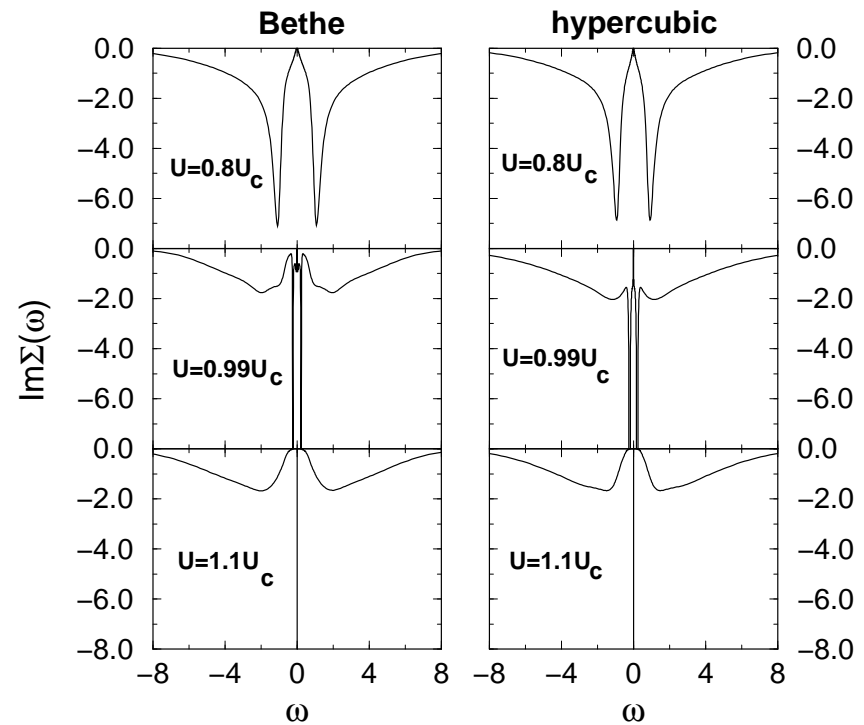
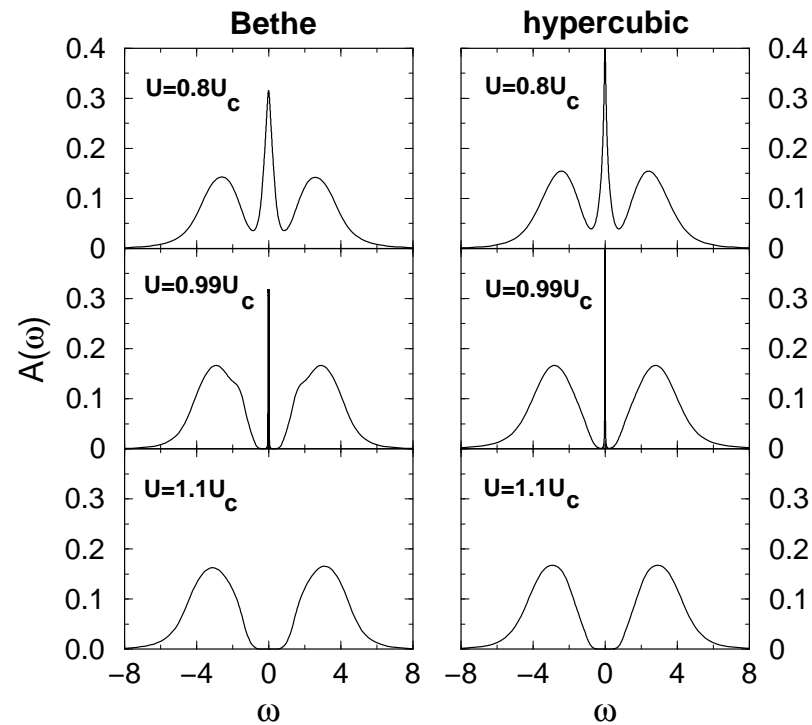


at  $U = U_c$  resonance disappears  
gaped insulator

dynamical processes with spin-flips inject states into correlation gap giving a **quasiparticle resonance**

## 2. MIT at half-filling at $T = 0$ according to DMFT

Kotliar et al. 92-96, Bulla, 99



Luttinger pinning  $A(0) = N_0(0)$

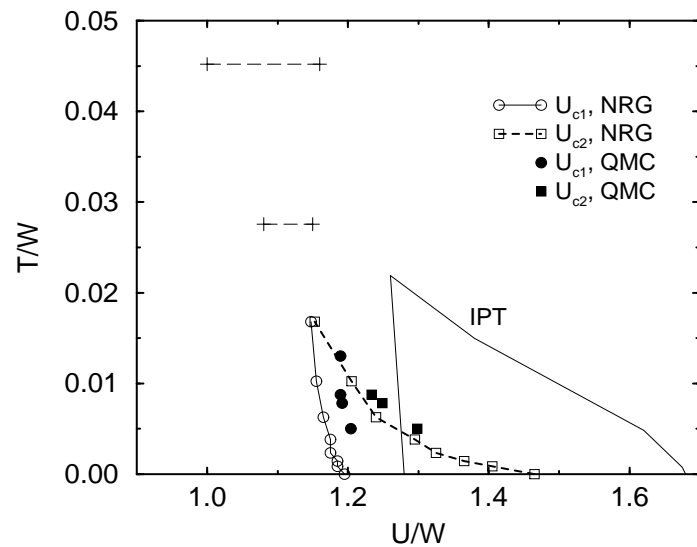
Fermi liquid

$$G(k, \omega) \sim \frac{Z}{\omega - \tilde{\epsilon}_k - i\alpha \omega^2} + G_{inc}$$

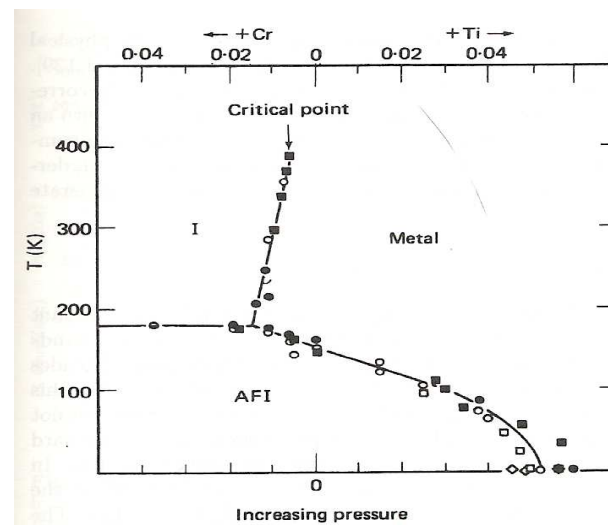
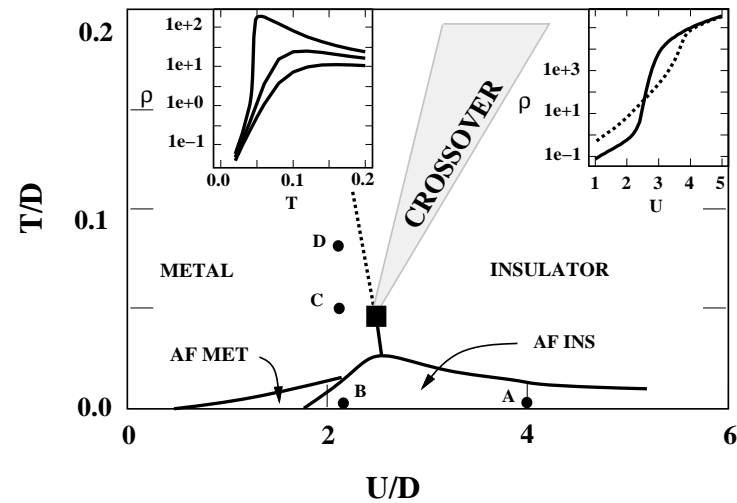
Muller-Hartmann 1989

## 2. MIT at half-filling at $T > 0$ according to DMFT

Kotliar et al. 92-96, Bulla et al. 01, also Spalek 87

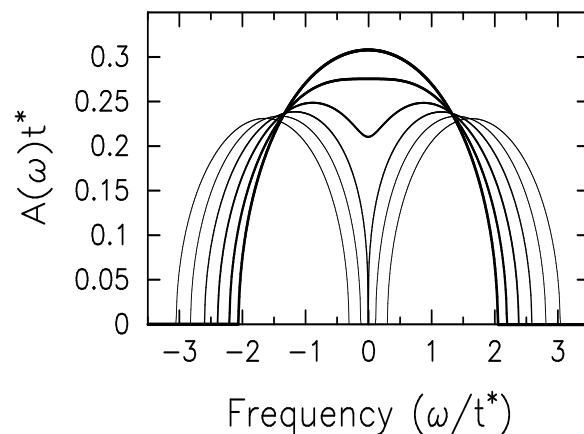


1<sup>st</sup>-order transition



## 2. Mott MIT in Falicov-Kimball model - DMFT

- f-particles appear as like disorder scatterers (with an annealed averaging).
- No Fermi liquid property of FK model if  $n_f \neq 0$  or 1.
- Pseudo-gap regime.
- For  $n_e = n_f = 0.5$  and  $U = U_c \sim W$  continuous Mott like MIT.
- Correlation gap opened.

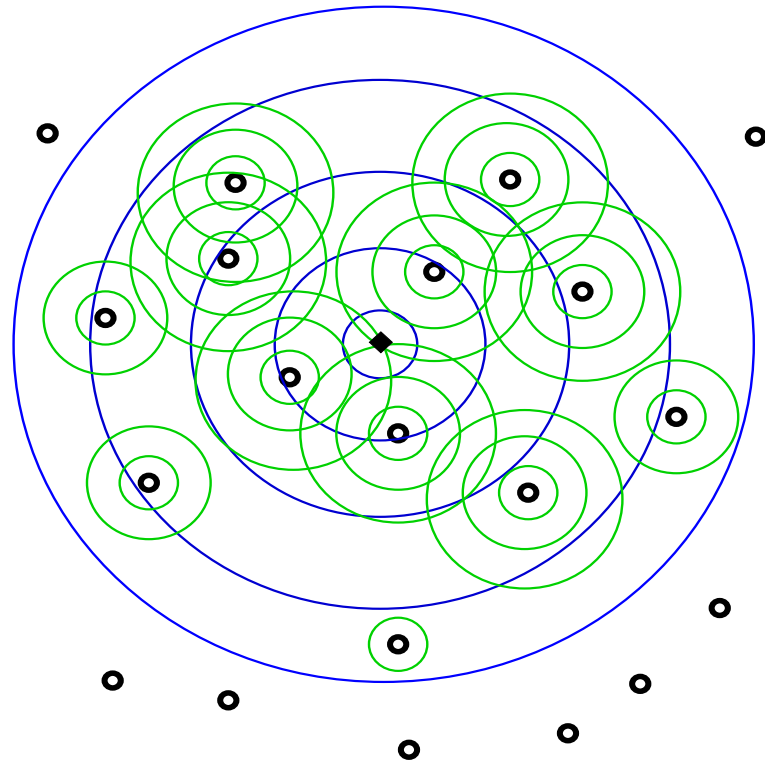


van Dongen and Lainung 1997, DMFT, Bethe, no CDW,  $U = 0.5 - 3.0$



### 3. Anderson localization

propagation of waves in a randomly inhomogeneous medium



random conservative linear wave equation

$$\frac{\partial^2 w}{\partial t^2} = c(x)^2 \frac{\partial^2 w}{\partial x^2}$$

$$i \frac{\partial w}{\partial t} = -\frac{\partial^2 w}{\partial x^2} + \nu(x)w$$

$$\Psi_{k(E)}(r) \sim \sum_i \sin(kr + \delta_i)$$

Anderson 1958: (no averaging) – strong scattering forms

“standing” waves, sloshing back and forth in a bounded region of space

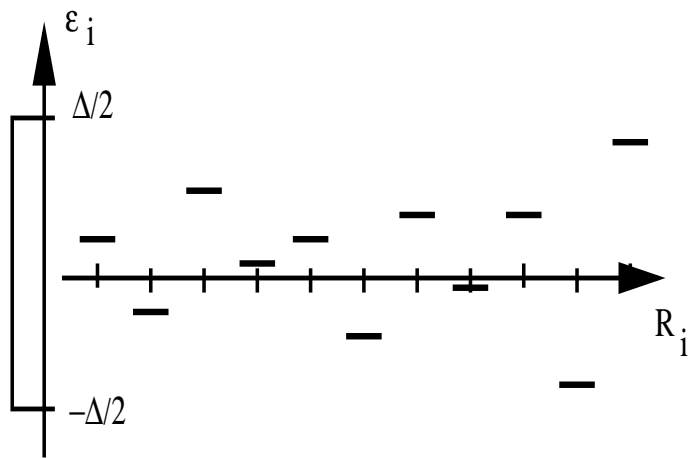
Localization is a destruction of coherent  
superposition of spatially separated states

### 3. Anderson model

$$H = \sum_{i\sigma} \epsilon_i n_{i\sigma} + \sum_{ij\sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma}$$

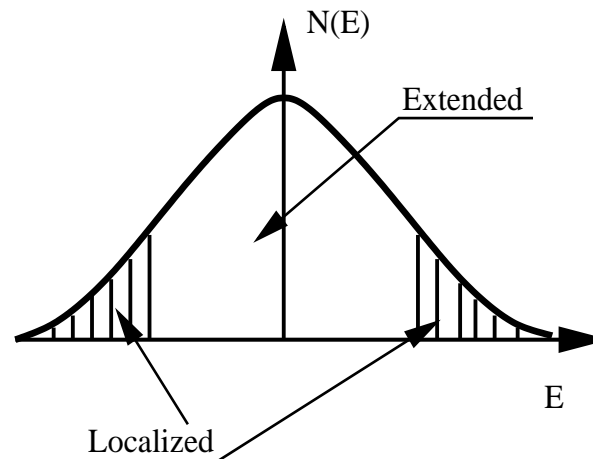
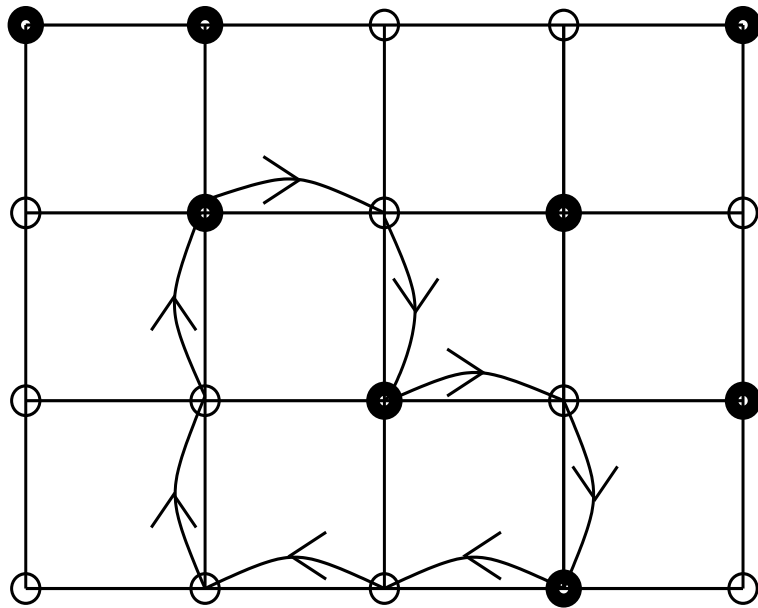
Probability distribution function

$$\mathcal{P}(\epsilon_i) = \frac{1}{\Delta} \Theta\left(\frac{\Delta}{2} - |\epsilon_i|\right)$$



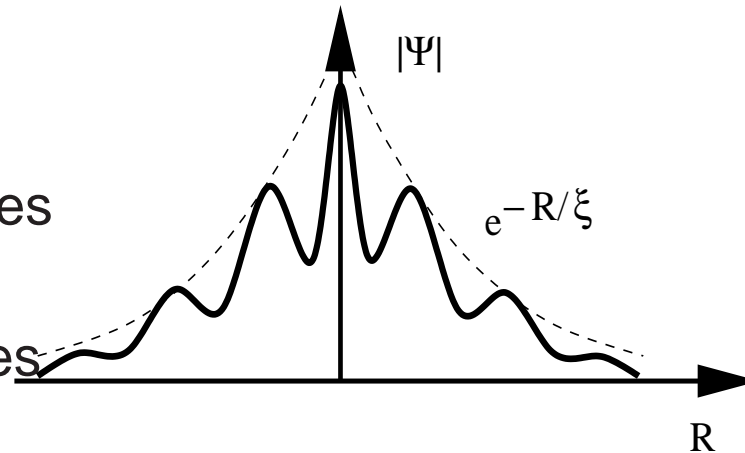
### 3. Anderson MIT - cont.

Returning probability  $P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty)$  ?



$P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty) = 0$  for **extended** states

$P_{j \rightarrow j}(t \rightarrow \infty; V \rightarrow \infty) > 0$  for **localized** states



# 3. Characterization of Anderson localization

Local Density of States (LDOS)

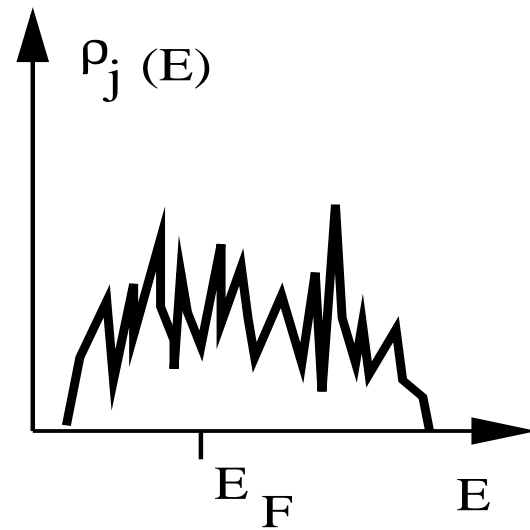
$$\rho_i(E) = \sum_{n=1}^N |\Psi_n(r_i)|^2 \delta(E - E_n)$$

$$P_{j \rightarrow j}(t) = |G_j(t)|^2$$

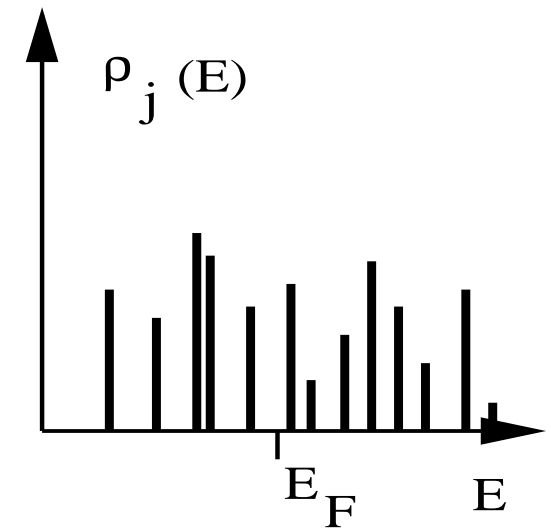
$$G_j(t) \sim e^{i(\epsilon_j + \Sigma'_j)t - |\Sigma''_j|t} \sim e^{-\frac{t}{\tau_{\text{esc}}}}$$

Fermi Golden Rule

$$\frac{1}{\tau_{\text{esc}}} \sim |t_{ji}|^2 \rho_j(E_F)$$



metal

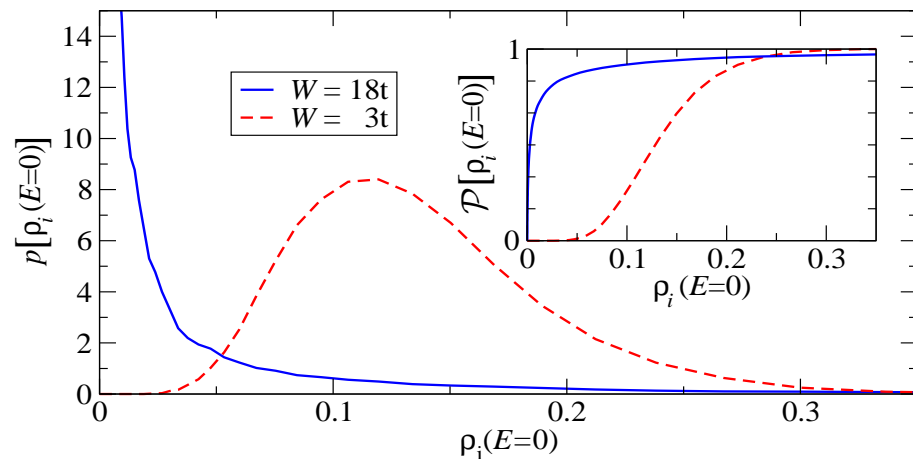


insulator

### 3. Anderson MIT - cont.

$\rho_j(E)$  is different at different  $R_j$ ! **Random quantity!**

**Statistical description  $P[\rho_j(E)]$ !**



Broadly distributed  $P[\rho_j(E_F)]$

**Typical escape rate is determined**

**by the typical LDOS**

Multifractality -  $\langle M^{(k)} \rangle \sim L^{-f(k)}$

### 3. Anderson MIT - cont.

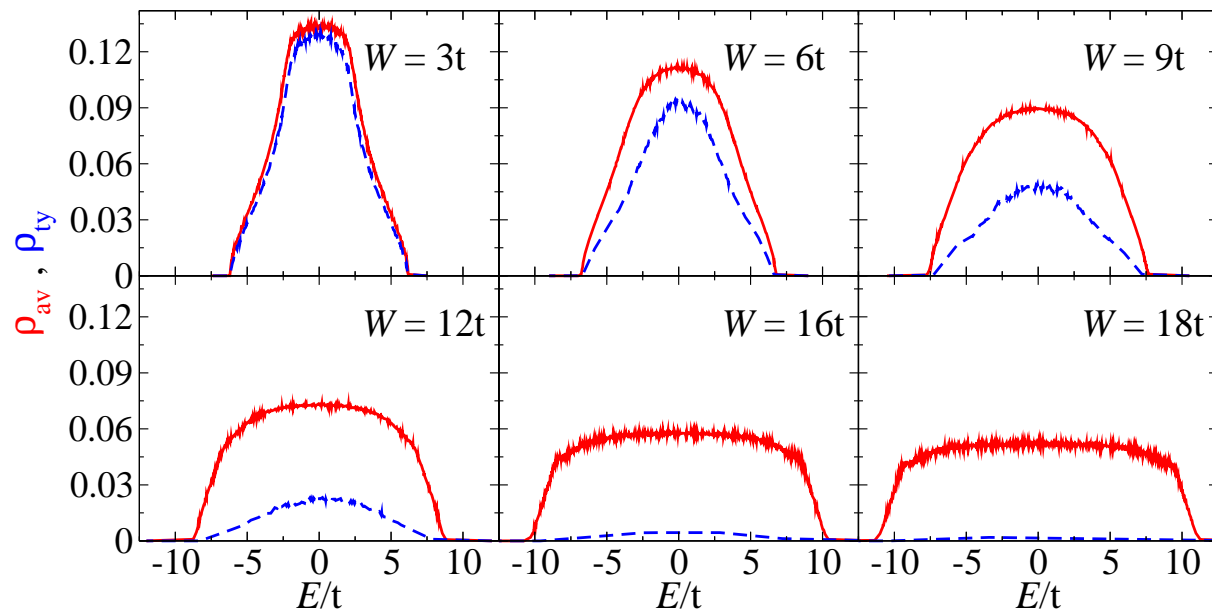
Near Anderson localization typical LDOS is approximated by geometrical mean

$$\rho_{typ}(E) \approx \rho_{geom}(E) = e^{\langle \ln \rho_i(E) \rangle}$$

Theorem (F.Wegner 1981):

$$\rho(E)_{av} = \langle \rho_i(E) \rangle > 0$$

within a band for any finite  $\Delta$



Schubert et al. cond-mat/0309015

# 4. Mott-Anderson MIT

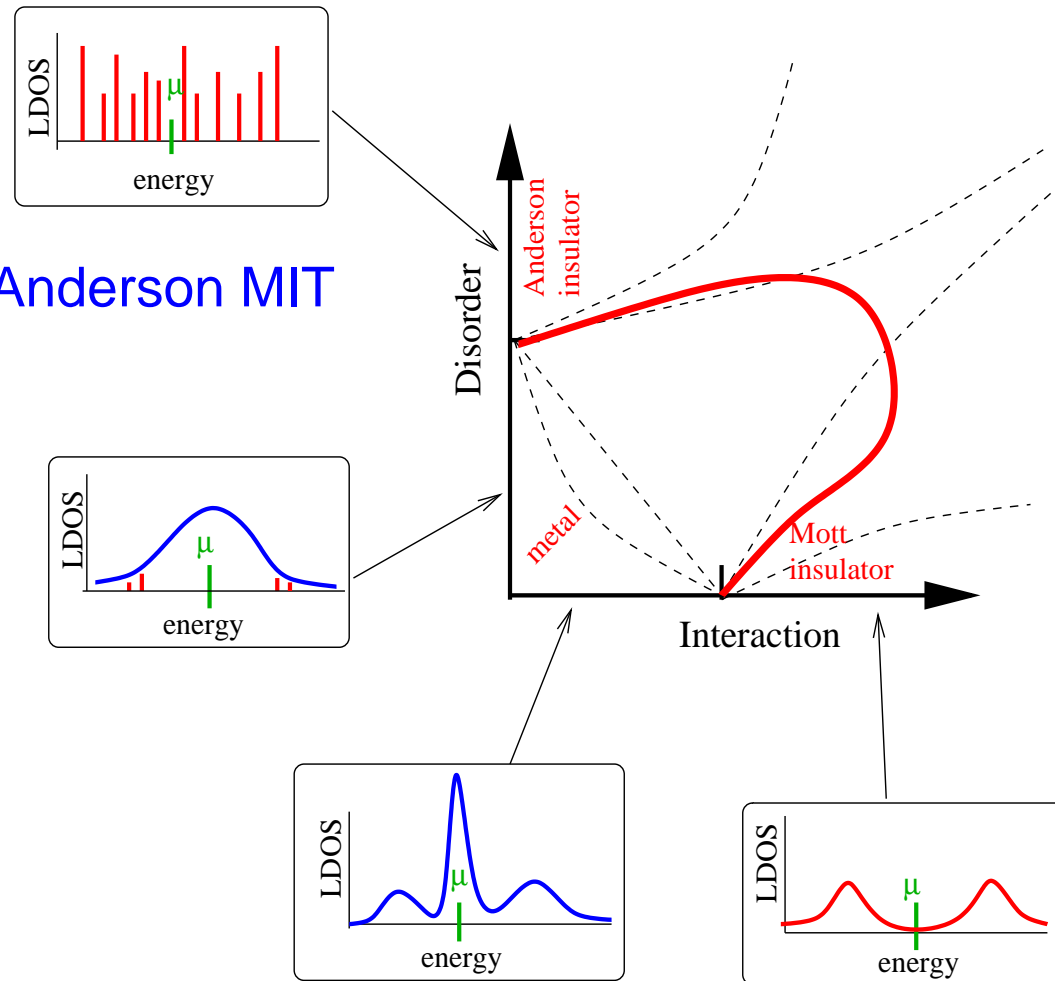
Disorder  $\leftrightarrow$  Anderson MIT

Two insulators are  
continuously connected

BUT

Interaction  $\leftrightarrow$  Mott-Hubbard MIT

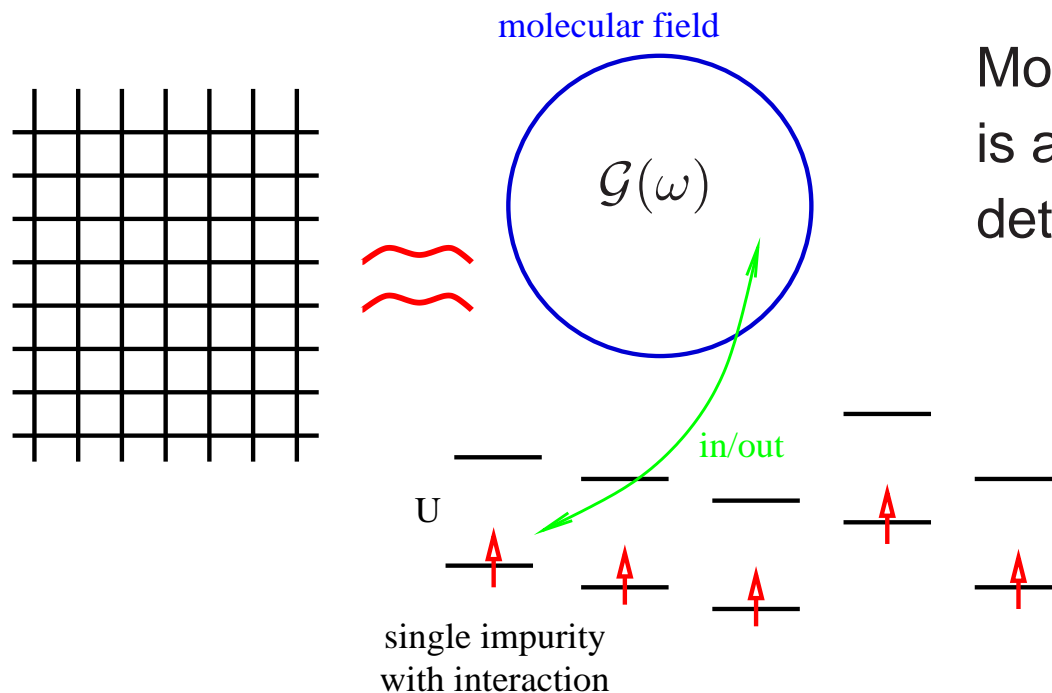
Interaction and disorder compete with each other stabilizing  
the metallic phase against the occurring one of the insulators



# 4. Dynamical mean-field theory for U and $\Delta$

Byczuk, Hofstetter, Vollhardt 05

Lattice problem of interacting particles is mapped onto an **ensemble of single impurities (single atoms)**



Molecular (Weiss) function  $\mathcal{G}(\omega)$  is a **dynamical** quantity, determined self-consistently

$$\rho_{typ}(E) = e^{\langle \ln \rho_i(E) \rangle}$$

$$H = \sum_{i\sigma} \epsilon_i n_{i\sigma} + \sum_{ij\sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



## 4. DMFT with Anderson MIT

after idea from: Dobrosavljevic et al., Europhys. Lett. 62, 76 (2003)

$$H^{\text{SIAM}} = \sum_{\sigma} (\epsilon_i - \mu) a_{i\sigma}^{\dagger} a_{i\sigma} + U n_{i\uparrow} n_{i\downarrow} + \sum_{\mathbf{k}\sigma} V_{\mathbf{k}} a_{i\sigma}^{\dagger} c_{\mathbf{k}\sigma} + hc + \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma}$$

$$G(\omega, \epsilon_i) \rightarrow \rho_i(\omega) = -\frac{1}{\pi} \text{Im} G(\omega, \epsilon_i)$$

$$\rho_g(\omega) = e^{\langle \ln \rho_i(\omega) \rangle}; \quad G(\omega) = \int d\omega' \frac{\rho_g(\omega')}{\omega - \omega'}$$

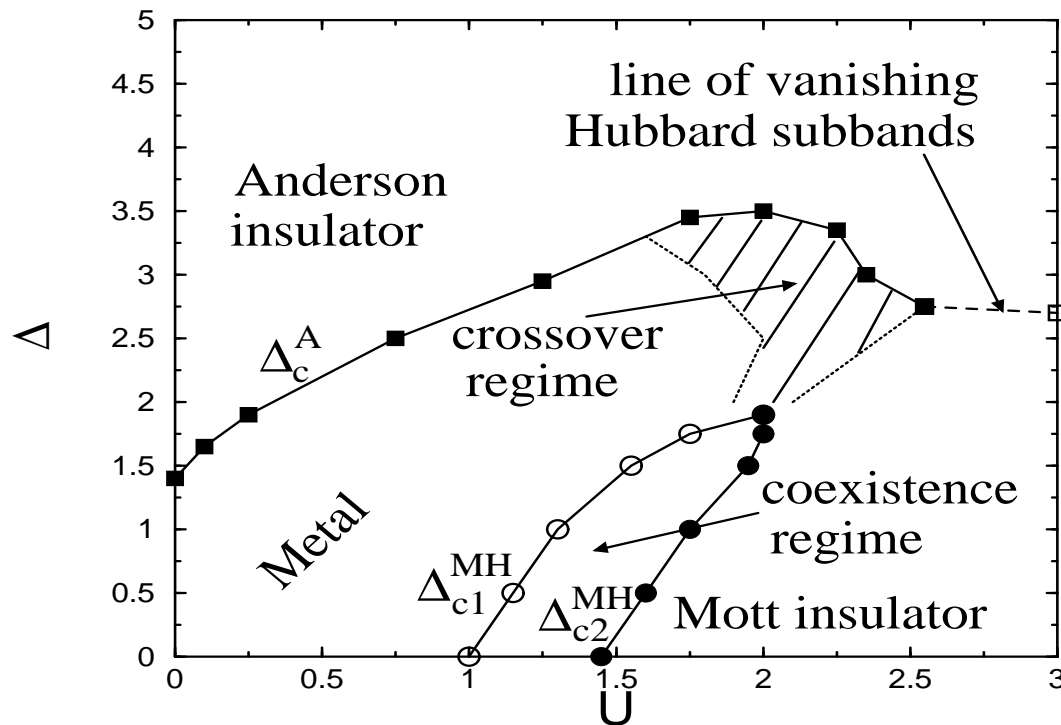
$$G^{-1}(\omega) = \omega - \eta(\omega) - \Sigma(\omega), \quad \eta(\omega) = \sum_{\mathbf{k}} \frac{|V_{\mathbf{k}}|^2}{\omega - \epsilon_{\mathbf{k}}}$$

$$G(\omega) = \int d\epsilon \frac{N_0(\epsilon)}{\omega - \epsilon - \Sigma(\omega)}$$

# 4. Phase diagram for disordered Hubbard model

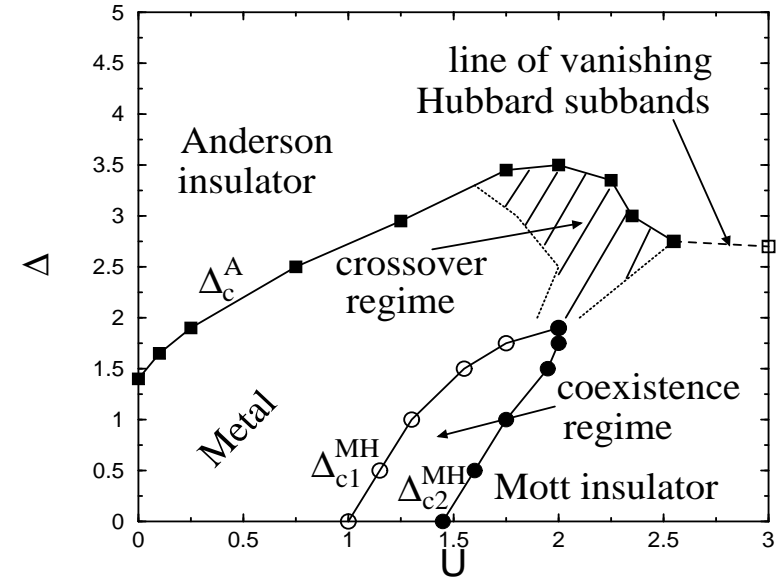
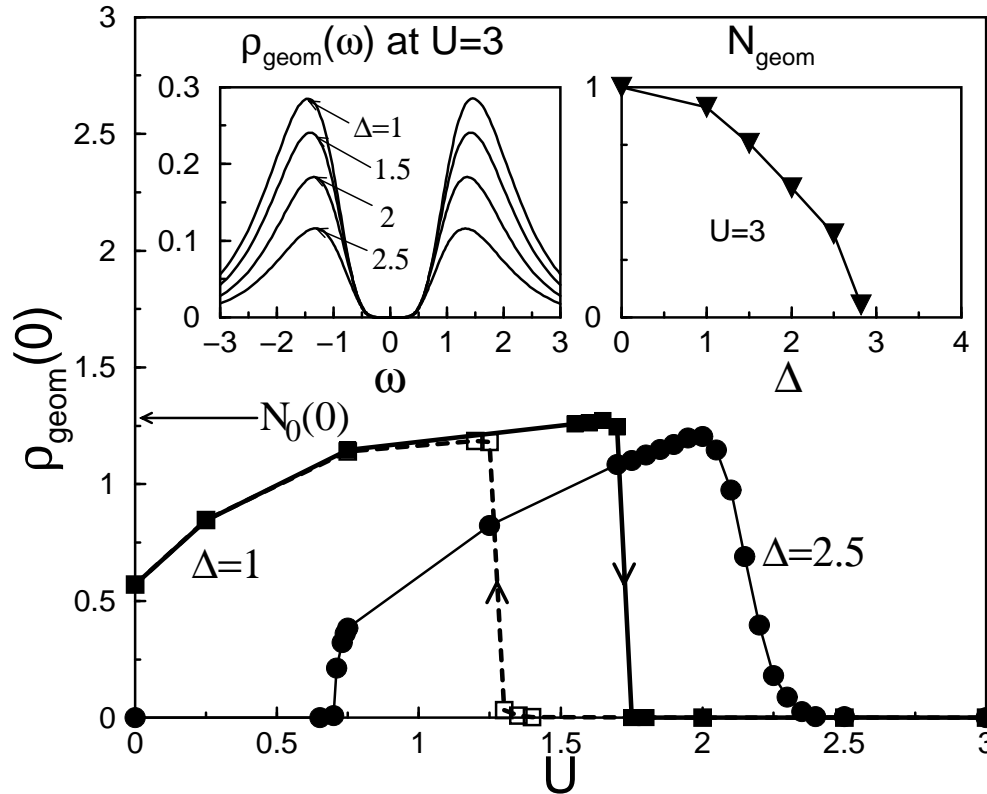
$$N_0(\epsilon) = \frac{2}{\pi D} \sqrt{D^2 - \epsilon^2}; \quad \eta(\omega) = \frac{D^2}{4} G(\omega)$$

$T = 0, n = 1, W = 2D = 1$ , NRG solver



$U$  - interaction,  $\Delta$  - disorder

# 4. Mott-Hubbard MIT in disordered Hubbard model



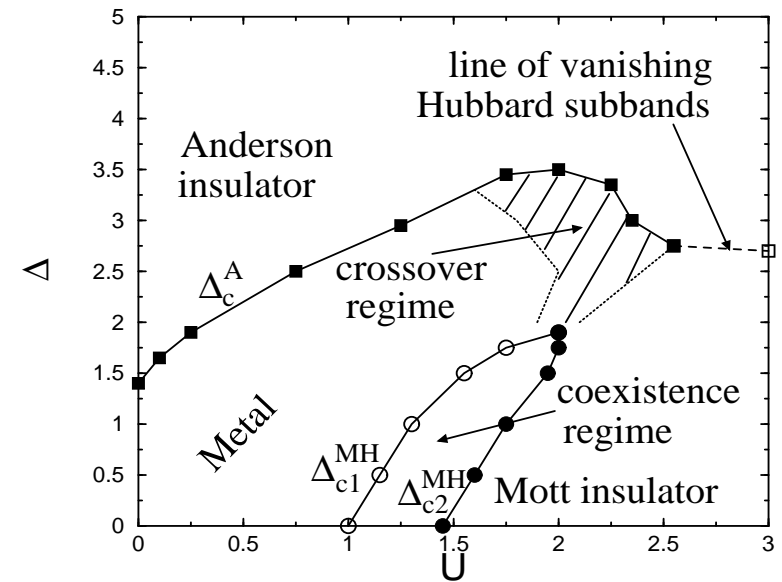
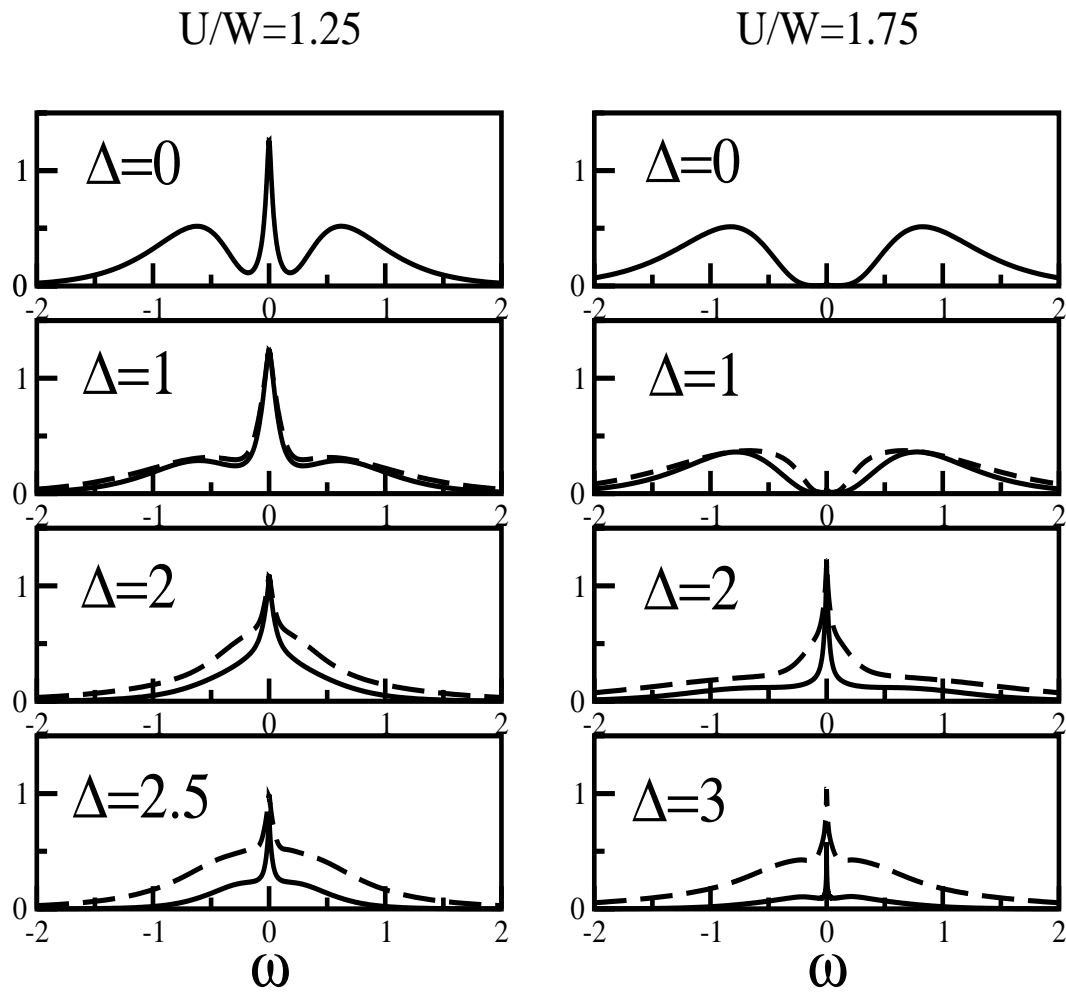
\* Luttinger (FL due to  $U$ )

\* Hysteresis  $\Delta_{c1}(U)$ ,  $\Delta_{c2}(U)$

\* Crossover

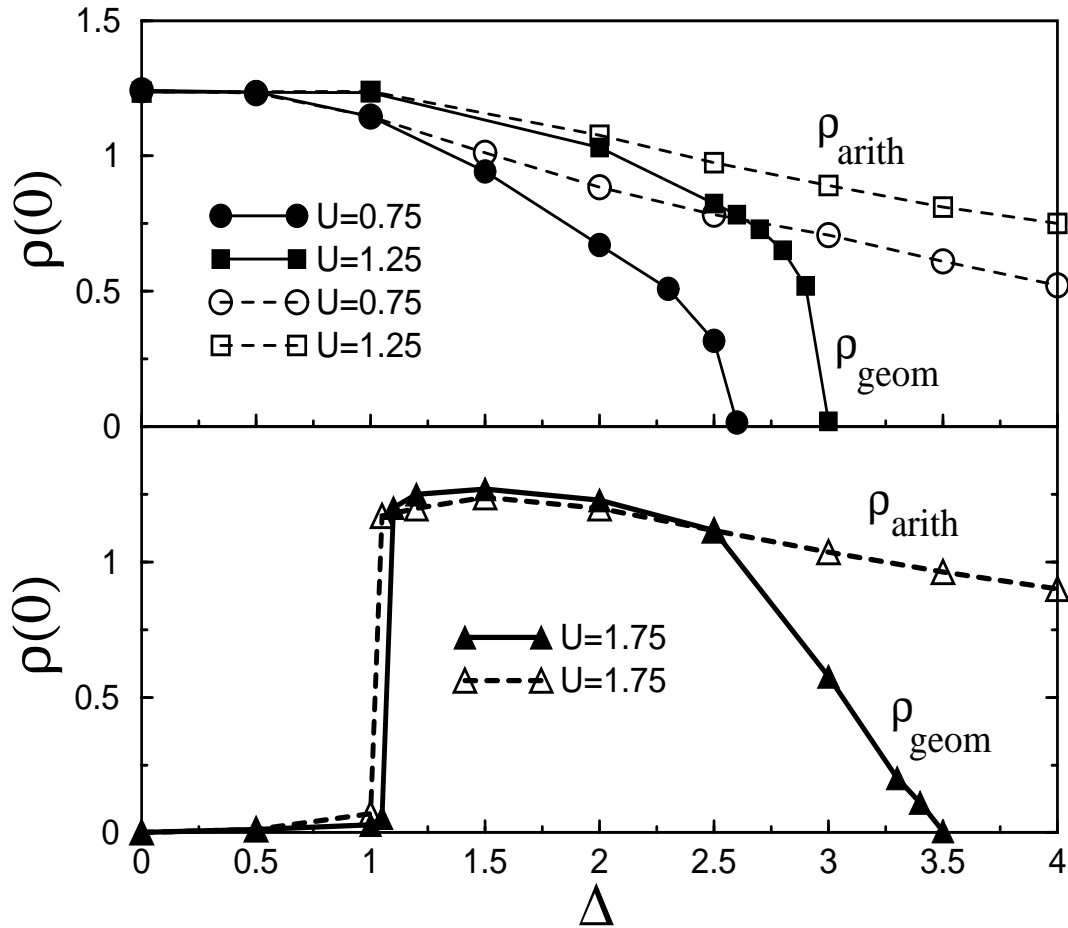
\* Similar conclusions with  $\langle \rho_j \rangle$  scheme

# 4. Spectral functions in disordered Hubbard model

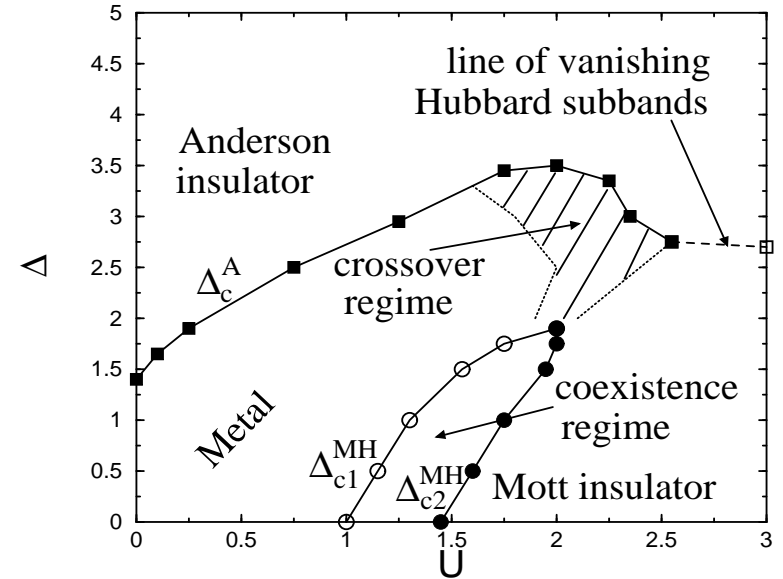


- \* Redistribution of spectral weight
- \* Reentrant Mott-Hubbard MIT
- \* Anderson MIT -  $\rho_{geom}(\omega) \rightarrow 0$

# 4. Anderson transition in Hubbard model



\* Two insulators: Mott and Anderson



$$* A(0) \sim [\Delta_c(U) - \Delta(U)]^\beta$$

with  $\beta = 1$  or  $\beta < 1$

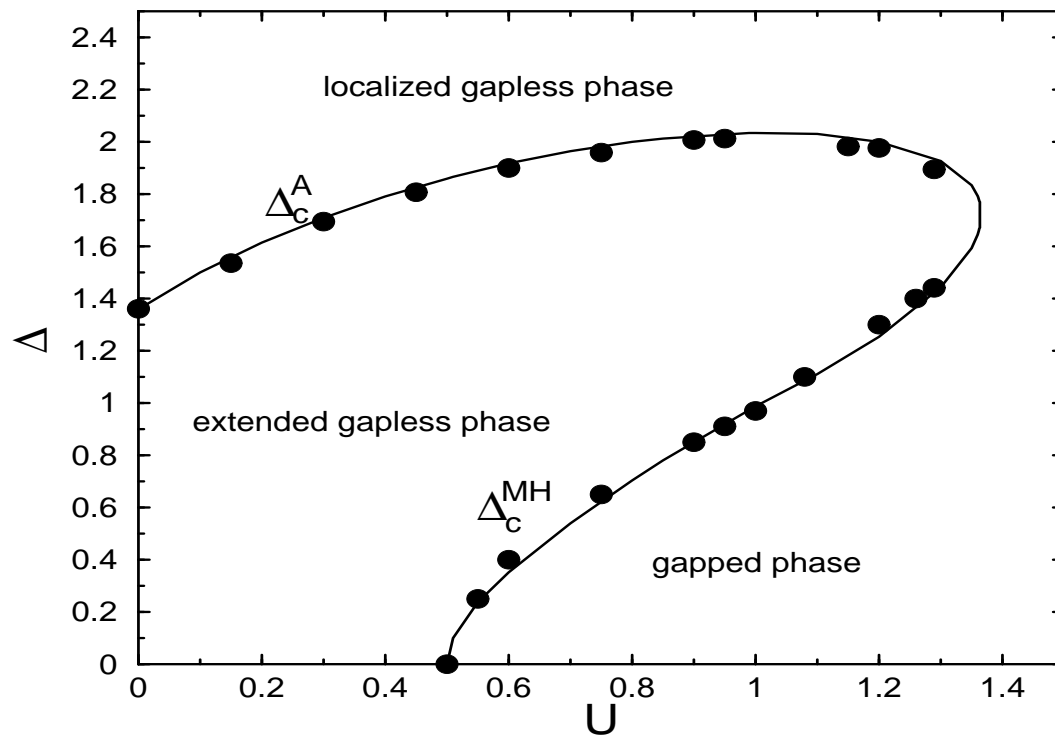
\* Adiabatic continuity

$$(U > 0, \Delta = 0) \rightarrow (U = 0, \Delta > 0)$$

## 4. Phase diagram for disordered FK model

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_i \epsilon_i c_i^\dagger c_i + U \sum_i c_i^\dagger c_i f_i^\dagger f_i$$

$T = 0, n = 1, W = 2D = 1$ , analytical solver

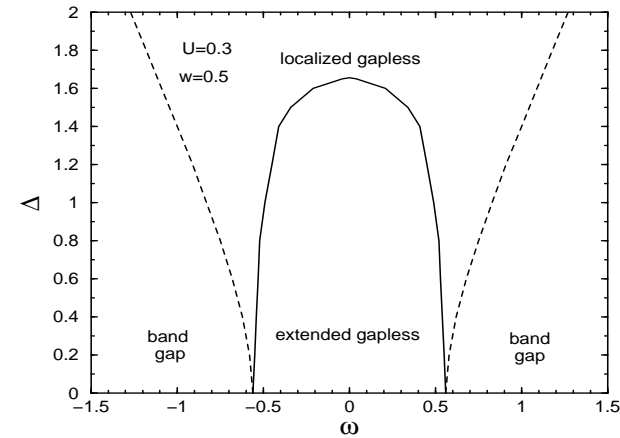


$U$  - interaction,  $\Delta$  - disorder

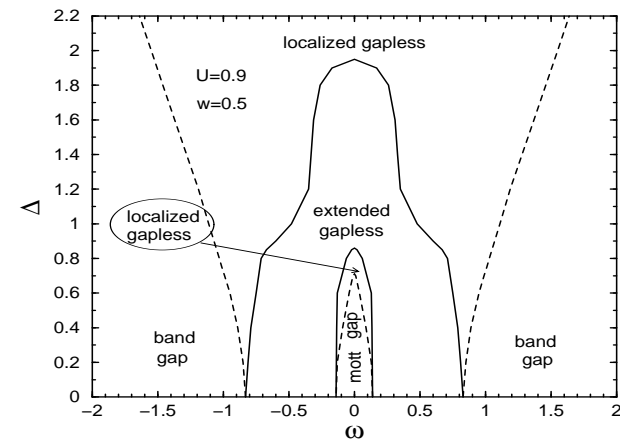
Byczuk 05

# 4. Spectral phase diagrams

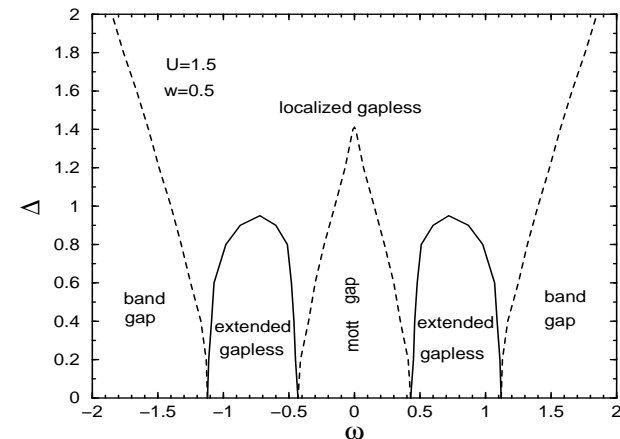
weak coupling  $0 < U < W/2$



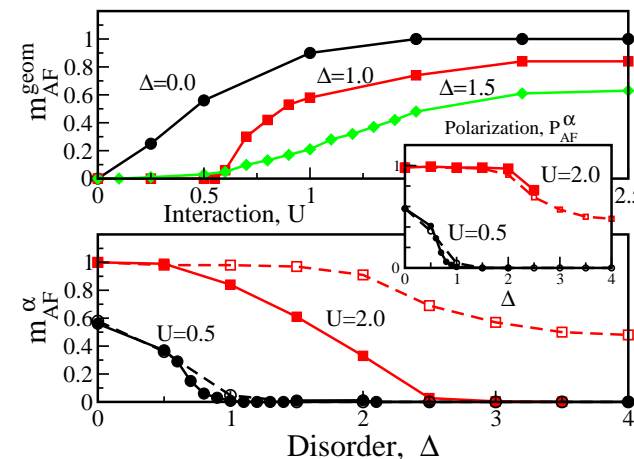
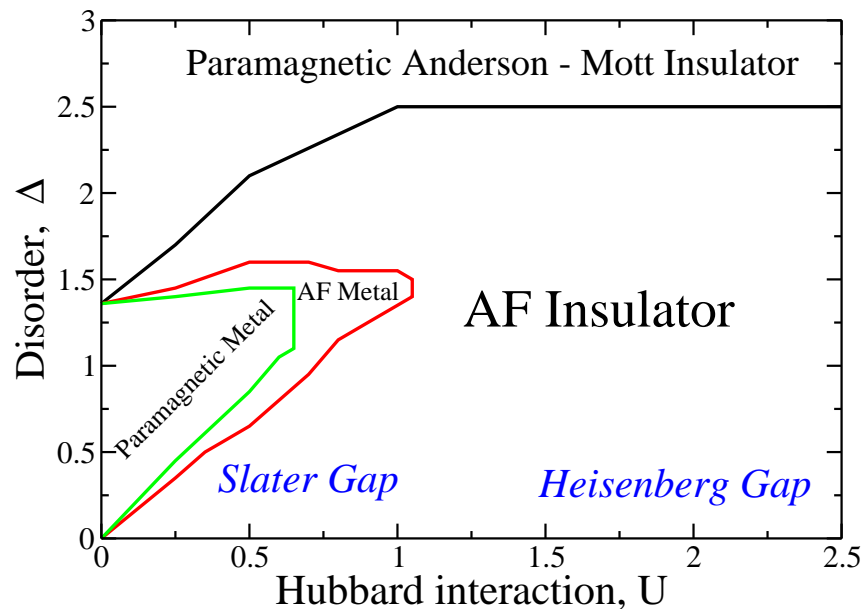
medium coupling  $W/2 < U \lesssim 1.36W$



strong coupling  $1.36W \lesssim U$



# 5. Mott-Anderson MIT with AF long-range order



No phase transition between Slater and Heisenberg limits

**BUT**

AF and PM metal only in Slater limit with disorder



## 6. Mott-Anderson MIT – conclusions

**Interaction and disorder compete with each other stabilizing the metallic phase against the occurring one of the insulators**

- Geometrical means used to study Anderson MIT in correlated electron system within DMFT
- Complete phase diagrams
- Nonmonotonic behavior of  $\Delta_c(U)$  at Anderson MIT
- Two insulators connected continuously
- Certain similarity/differences between Hubbard and FK models
- AF-LRO destabilized in Slater limit, PM and AF metals with disorder
- AF-LRO stable in Heisenberg limit until Anderson-like transition