

# Homogeneous Rank One Perturbations and Inverse Square Potentials

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Abstract. Following [2, 3, 5], I describe several exactly solvable families of closed operators on  $L^2[0, \infty[$ . Some of these families are defined by the theory of singular rank one perturbations. The remaining families are Schrödinger operators with inverse square potentials and various boundary conditions. I describe a close relationship between these families. In all of them one can observe interesting "renormalization group flows" (action of the group of dilations).

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# 1. Introduction

My contribution consists of an introduction and 3 sections, each describing interesting families of exactly solvable closed operators on  $L^2[0, \infty[$ .

The first two sections seem at first unrelated. Only in the third section the reader will see a relationship.

Section 2 is based on [3]. It is devoted to two families of operators,  $H_{m,\lambda}$  and  $H_0^{\rho}$ , obtained by a rank one perturbation of a certain generic self-adjoint operator. The operators can be viewed as an elementary toy model illustrating some properties of the renormalization group. Note that in this section we do not use special functions. However we use a relatively sophisticated technique to define an operator, called sometimes singular perturbation theory or the Aronszajn–Donoghue method, see, e.g., [1, 4, 9].

Section 3 is based on my joint work with Bruneau and Georgescu [2], and also with Richard [5]. It is devoted to Schrödinger operators with potentials proportional to  $\frac{1}{x^2}$ . Both  $-\partial_x^2$  and  $\frac{1}{x^2}$  are homogeneous of degree -2. With appropriate homogeneous boundary conditions, we obtain a family of operators  $H_m$ , which we

call homogeneous Schrödinger operators. They are also homogeneous of degree -2. One can compute all basic quantities for these operators using special functions – more precisely, Bessel-type functions and the Gamma function.

The operators  $H_m$  are defined only for  $\operatorname{Re} m > -1$ . We conjecture that they cannot be extended to the left of the line  $\operatorname{Re} m = -1$  in the sense described in our paper. This conjecture was stated in [2]. It has not been proven or disproved so far.

Finally, Section 4 is based on my joint work with Richard [5], and also on [3]. It describes more general Schrödinger operators with the inverse square potentials. They are obtained by mixing the boundary conditions. These operators in general are no longer homogeneous, because their homogeneity is (weakly) broken by their boundary condition – hence the name almost homogeneous Schrödinger operators. They can be organized in two families  $H_{m,\kappa}$  and  $H_0^{\nu}$ .

It turns out that there exists a close relationship between the operators from Section 4 and from Section 2: they are similar to one another. In particular, they have the same point spectrum.

Almost homogeneous Schrödinger operators in the self-adjoint case have been described in the literature before, see, e.g., [7]. However, the non-self-adjoint case seems to have been first described in [5]. A number of new exact formulas about these operators is contained in [2, 5, 10] and [3].

Let us also mention one amusing observation, which seems to be original, about self-adjoint extensions of

$$-\partial_x^2 + \left(-\frac{1}{4} + \alpha\right)\frac{1}{x^2}$$

The "renormalization group" acts on the set of these extensions, as described in Table 1 after Proposition 15. Depending on  $\alpha \in \mathbb{R}$ , we obtain 4 "phases" of the problem. Some analogies to the condensed matter physics are suggested.

# 2. Toy model of renormalization group

Consider the Hilbert space  $\mathcal{H} = L^2[0,\infty]$  and the operator X

$$Xf(x) := xf(x).$$

Let  $m \in \mathbb{C}, \lambda \in \mathbb{C} \cup \{\infty\}$ . Following [3], we consider a family of operators formally given by

$$H_{m,\lambda} := X + \lambda |x^{\frac{m}{2}}\rangle \langle x^{\frac{m}{2}}|. \tag{1}$$

In the perturbation  $|x^{\frac{m}{2}}\rangle\langle x^{\frac{m}{2}}|$  we use the Dirac ket-bra notation, hopefully self-explanatory. Unfortunately, the function  $x \mapsto x^{\frac{m}{2}}$  is never square integrable. Therefore, this perturbation is never an operator. It can be however understood as a quadratic form. We will see below how to interpret (1) as an operator.

If  $-1 < \operatorname{Re} m < 0$ , the perturbation  $|x^{\frac{m}{2}}\rangle\langle x^{\frac{m}{2}}|$  is form bounded relatively to X, and then  $H_{m,\lambda}$  can be defined by the form boundedness technique. The

perturbation is formally rank one. Therefore,

$$(z - H_{m,\lambda})^{-1} = (z - X)^{-1} + \sum_{n=0}^{\infty} (z - X)^{-1} |x^{\frac{m}{2}}\rangle (-\lambda)^{n+1} \langle x^{\frac{m}{2}} | (z - X)^{-1} |x^{\frac{m}{2}}\rangle^n \langle x^{\frac{m}{2}} | (z - X)^{-1} | (z - X)$$

It is an easy exercise in complex analysis to compute

$$\langle x^{\frac{m}{2}} | (z - X)^{-1} | x^{\frac{m}{2}} \rangle = \int_0^\infty x^m (z - x)^{-1} \mathrm{d}x = (-z)^m \frac{\pi}{\sin \pi m}$$

Therefore, the resolvent of  $H_{m,\lambda}$  can be given in a closed form:

$$(z-H_{m,\lambda})^{-1} = (z-X)^{-1} + \left(\lambda^{-1} - (-z)^m \frac{\pi}{\sin \pi m}\right)^{-1} (z-X)^{-1} |x^{\frac{m}{2}}\rangle \langle x^{\frac{m}{2}} | (z-X)^{-1}.$$

The rhs of the above formula defines a function with values in bounded operators satisfying the resolvent equation for all  $-1 < \operatorname{Re} m < 1$  and  $\lambda \in \mathbb{C} \cup \{\infty\}$ . Therefore, the method of pseudoresolvent [8] allows us to define a holomorphic family of closed operators  $H_{m,\lambda}$ . Note that  $H_{m,0} = X$ .

The case m = 0 is special:  $H_{0,\lambda} = X$  for all  $\lambda$ . One can however introduce another holomorphic family of operators  $H_0^{\rho}$  for any  $\rho \in \mathbb{C} \cup \{\infty\}$  by

$$(z - H_0^{\rho})^{-1} = (z - X)^{-1} - (\rho + \ln(-z))^{-1} (z - X)^{-1} |x^0\rangle \langle x^0 | (z - X)^{-1} |x^0\rangle \langle$$

In particular,  $H_0^{\infty} = X$ .

Let  $\mathbb{R} \ni \tau \mapsto U_{\tau}$  be the group of dilations on  $L^2[0,\infty[$ , that is

$$(U_{\tau}f)(x) = \mathrm{e}^{\tau/2} f(\mathrm{e}^{\tau}x).$$

We say that B is homogeneous of degree  $\nu$  if

$$U_{\tau}BU_{\tau}^{-1} = \mathrm{e}^{\nu\tau}B.$$

E.g., X is homogeneous of degree 1 and  $|x^{\frac{m}{2}}\rangle\langle x^{\frac{m}{2}}|$  is homogeneous of degree 1+m.

The group of dilations ("the renormalization group") acts on our operators in a simple way:

$$U_{\tau}H_{m,\lambda}U_{\tau}^{-1} = \mathrm{e}^{\tau}H_{m,\mathrm{e}^{\tau}m\lambda},$$
$$U_{\tau}H_{0}^{\rho}U_{\tau}^{-1} = \mathrm{e}^{\tau}H_{0}^{\rho+\tau}.$$

The essential spectrum of  $H_{m,\lambda}$  and  $H_0^{\nu}$  is  $[0, \infty]$ . The point spectrum is more intricate, and is described by the following theorem:

## Theorem 1.

1.  $z \in \mathbb{C} \setminus [0, \infty]$  belongs to the point spectrum of  $H_{m,\lambda}$  iff

$$(-z)^{-m} = \lambda \frac{\pi}{\sin \pi m}.$$

2.  $H_0^{\rho}$  possesses an eigenvalue iff  $-\pi < \text{Im } \rho < \pi$ , and then it is  $z = -e^{\rho}$ .

For a given pair  $(m, \lambda)$  all eigenvalues form a geometric sequence that lies on a logarithmic spiral, which should be viewed as a curve on the Riemann surface of the logarithm. Only its "physical sheet" gives rise to eigenvalues. For m which are not purely imaginary, only a finite piece of the spiral is on the "physical sheet" and therefore the number of eigenvalues is finite.

If m is purely imaginary, this spiral degenerates to a half-line starting at the origin.

If m is real, the spiral degenerates to a circle. But then the operator has at most one eigenvalue.

The following theorem about the number of eigenvalues of  $H_{m,\lambda}$  is proven in [5]. It describes an interesting pattern of "phase transitions" when we vary the parameter m. In this theorem, we denote by  $\operatorname{spec}_p(A)$  the set of eigenvalues of an operator A and by #X the number of elements of the set X.

**Theorem 2.** Let  $m = m_r + im_i \in \mathbb{C} \setminus \{0\}$  with  $|m_r| < 1$ .

(i) Let 
$$m_{\mathbf{r}} = 0$$
.  
(a) If  $\frac{\ln(|\varsigma|)}{m_{\mathbf{i}}} \in ] -\pi, \pi[$ , then  $\# \operatorname{spec}_{\mathbf{p}}(H_{m,\lambda}) = \infty$ ,  
(a) if  $\frac{\ln(|\lambda_{\sin\pi\pi}|)}{m_{\mathbf{i}}} \notin ] -\pi, \pi[$  then  $\# \operatorname{spec}_{\mathbf{p}}(H_{m,\lambda}) = 0$ .

(ii) If  $m_r \neq 0$  and if  $N \in \mathbb{N}$  satisfies  $N < \frac{m_r^2 + m_i^2}{|m_r|} \leq N + 1$ , then

$$\#\operatorname{spec}_{\mathbf{p}}(H_{m,\lambda}) \in \{N, N+1\}.$$

# 3. Homogeneous Schrödinger operators

Let  $\alpha \in \mathbb{C}$ . Consider the differential expression

$$L_{\alpha} = -\partial_x^2 + \left(-\frac{1}{4} + \alpha\right)\frac{1}{x^2}$$

 $L_{\alpha}$  is homogeneous of degree -2. Following [2], we would like to interpret  $L_{\alpha}$  as a closed operator on  $L^2[0,\infty[$  homogeneous of degree -2.

 $L_{\alpha}$ , and closely related operators  $H_m$  that we introduce shortly, are interesting for many reasons.

• They appear as the radial part of the Laplacian in all dimensions, in the decomposition of Aharonov–Bohm Hamiltonian, in the membranes with conical singularities, in the theory of many body systems with contact interactions and in the Efimov effect.

- They have rather subtle and rich properties illustrating various concepts of the operator theory in Hilbert spaces (e.g., the Friedrichs and Krein extensions, holomorphic families of closed operators).
- Essentially all basic objects related to  $H_m$ , such as their resolvents, spectral projections, Møller and scattering operators, can be explicitly computed.
- A number of nontrivial identities involving special functions, especially from the Bessel family, find an appealing operator-theoretical interpretation in terms of the operators  $H_m$ . E.g., the Barnes identity leads to the formula for Møller operators.

We start the Hilbert space theory of the operator  $L_{\alpha}$  by defining its two naive interpretations on  $L^2[0,\infty]$ :

- 1. The minimal operator  $L_{\alpha}^{\min}$ : We start from  $L_{\alpha}$  on  $C_{c}^{\infty}[0,\infty[$ , and then we take its closure.
- 2. The maximal operator  $L_{\alpha}^{\max}$ : We consider the domain consisting of all  $f \in$  $L^2[0,\infty]$  such that  $L_{\alpha}f \in L^2[0,\infty]$ .

We will see that it is often natural to write  $\alpha = m^2$ . Let us describe basic properties of  $L_{m^2}^{\max}$  and  $L_{m^2}^{\min}$ :

#### Theorem 3.

- 1. For  $1 \leq \operatorname{Re} m$ ,  $L_{m^2}^{\min} = L_{m^2}^{\max}$ . 2. For  $-1 < \operatorname{Re} m < 1$ ,  $L_{m^2}^{\min} \subsetneq L_{m^2}^{\max}$ , and the codimension of their domains is 2.
- 3.  $(L_{\alpha}^{\min})^* = L_{\overline{\alpha}}^{\max}$ . Hence, for  $\alpha \in \mathbb{R}$ ,  $L_{\alpha}^{\min}$  is Hermitian. 4.  $L_{\alpha}^{\min}$  and  $L_{\alpha}^{\max}$  are homogeneous of degree -2.

Let  $\xi$  be a compactly supported cutoff equal 1 around 0.

Let  $-1 \leq \operatorname{Re} m$ . It is easy to check that  $x^{\frac{1}{2}+m}\xi$  belongs to  $\operatorname{Dom} L_{m^2}^{\max}$ . We define the operator  $H_m$  to be the restriction of  $L_{m^2}^{\max}$  to

$$\operatorname{Dom} L_{m^2}^{\min} + \mathbb{C} x^{\frac{1}{2}+m} \xi.$$

The operators  $H_m$  are in a sense more interesting than  $L_{m^2}^{\max}$  and  $L_{m^2}^{\min}$ :

#### Theorem 4.

- 1. For  $1 \leq \operatorname{Re} m$ ,  $L_{m^2}^{\min} = H_m = L_{m^2}^{\max}$ . 2. For  $-1 < \operatorname{Re} m < 1$ ,  $L_{m^2}^{\min} \subsetneq H_m \subsetneq L_{m^2}^{\max}$  and the codimension of the domains is 1.
- 3.  $H_m^* = H_{\bar{m}}$ . Hence, for  $m \in ]-1, \infty[$ ,  $H_m$  is self-adjoint.
- 4.  $H_m$  is homogeneous of degree -2.
- 5. spec  $H_m = [0, \infty]$ .
- 6. {Re m > -1}  $\ni m \mapsto H_m$  is a holomorphic family of closed operators.

The theorem below is devoted to self-adjoint operators within the family  $H_m$ .

#### Theorem 5.

1. For  $\alpha \geq 1$ ,  $L_{\alpha}^{\min} = H_{\sqrt{\alpha}}$  is essentially self-adjoint on  $C_{c}^{\infty}]0, \infty[$ .

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- 2. For  $\alpha < 1$ ,  $L_{\alpha}^{\min}$  is Hermitian but not essentially self-adjoint on  $C_{c}^{\infty}[0,\infty[$ . It has deficiency indices 1, 1.
- 3. For  $0 \leq \alpha < 1$ , the operator  $H_{\sqrt{\alpha}}$  is the Friedrichs extension and  $H_{-\sqrt{\alpha}}$  is
- the Krein extension of  $L_{\alpha}^{\min}$ . 4.  $H_{\frac{1}{2}}$  is the Dirichlet Laplacian and  $H_{-\frac{1}{2}}$  is the Neumann Laplacian on a halfline.
- 5. For  $\alpha < 0$ ,  $L_{\alpha}^{\min}$  has no homogeneous selfadjoint extensions.

Various objects related to  $H_m$  can be computed with help of functions from the Bessel family. Indeed, we have the following identity

$$x^{-\frac{1}{2}} \left( -\partial_x^2 + \left( -\frac{1}{4} + \alpha \right) \frac{1}{x^2} \pm 1 \right) x^{\frac{1}{2}} = -\partial_x^2 - \frac{1}{x} \partial_x + \left( -\frac{1}{4} + \alpha \right) \frac{1}{x^2} \pm 1,$$

where the rhs defines the well-known (modified) Bessel equation.

One can compute explicitly the resolvent of  $H_m$ :

**Theorem 6.** Denote by  $R_m(-k^2; x, y)$  the integral kernel of the operator  $(k^2 +$  $H_m)^{-1}$ . Then for  $\operatorname{Re} k > 0$  we have

$$R_m(-k^2; x, y) = \begin{cases} \sqrt{xy} I_m(kx) K_m(ky) & \text{if } x < y, \\ \sqrt{xy} I_m(ky) K_m(kx) & \text{if } x > y, \end{cases}$$

where  $I_m$  is the modified Bessel function and  $K_m$  is the MacDonald function.

The operators  $H_m$  are similar to self-adjoint operators. Therefore, they possess the spectral projection onto any Borel subset of their spectrum  $[0,\infty]$ . In particular, below we give a formula for the spectral projection of  $H_m$  onto the interval [a, b]:

**Proposition 7.** For  $0 < a < b < \infty$ , the integral kernel of  $\mathbb{1}_{[a,b]}(H_m)$  is

$$\mathbb{1}_{[a,b]}(H_m)(x,y) = \int_{\sqrt{a}}^{\sqrt{b}} \sqrt{xy} J_m(kx) J_m(ky) k \, \mathrm{d}k$$

where  $J_m$  is the Bessel function.

One can diagonalize the operators  $H_m$  in a natural way, using the so-called Hankel transformation  $\mathcal{F}_m$ , which is the operator on  $L^2[0,\infty]$  given by

$$\left(\mathcal{F}_m f\right)(x) := \int_0^\infty J_m(kx)\sqrt{kx}f(x)\mathrm{d}x \ . \tag{2}$$

**Theorem 8.**  $\mathcal{F}_m$  is a bounded invertible involution on  $L^2[0,\infty]$  diagonalizing  $H_m$ , more precisely

$$\mathcal{F}_m H_m \mathcal{F}_m^{-1} = X^2$$

It satisfies  $\mathcal{F}_m A = -A\mathcal{F}_m$ , where

$$A = \frac{1}{2i}(x\partial_x + \partial_x x)$$

is the self-adjoint generator of dilations.

It turns out that the Hankel transformation can be expressed in terms of the generator of dilations. This expression, together with the Stirling formula for the asymptotics of the Gamma function, proves the boundedness of  $\mathcal{F}_m$ .

**Theorem 9.** Set 
$$\mathcal{I}f(x) = x^{-1}f(x^{-1}), \quad \Xi_m(t) = e^{i\ln(2)t} \frac{\Gamma(\frac{m+1+it}{2})}{\Gamma(\frac{m+1-it}{2})}.$$

Then

$$\mathcal{F}_m = \Xi_m(A)\mathcal{I}.$$

Therefore, we have the identity

$$H_m := \Xi_m^{-1}(A) X^{-2} \Xi_m(A).$$
(3)

(Result obtained independently by Bruneau, Georgescu, and myself in [2], and by Richard and Pankrashkin in [10].)

The operators  $H_m$  generate 1-parameter groups of bounded operators. They possess scattering theory and one can explicitly compute their Møller (wave) operators and the scattering operator.

**Theorem 10.** The Møller operators associated to the pair  $H_m, H_k$  exist and

$$\Omega_{m,k}^{\pm} := \lim_{t \to \pm \infty} \mathrm{e}^{\mathrm{i}tH_m} \mathrm{e}^{-\mathrm{i}tH_k} = \mathrm{e}^{\pm \mathrm{i}(m-k)\pi/2} \mathcal{F}_m \mathcal{F}_k = \mathrm{e}^{\pm \mathrm{i}(m-k)\pi/2} \frac{\Xi_k(A)}{\Xi_m(A)}$$

The formula (3) valid for  $\operatorname{Re} m > -1$  can be used as an alternative definition of the family  $H_m$  also beyond this domain. It defines a family of closed operators for the parameter m that belongs to

$$\{m \mid \operatorname{Re} m \neq -1, -2, \dots\} \cup \mathbb{R}.$$
(4)

Their spectrum is always equal to  $[0, \infty)$  and they are analytic in the interior of (4).

In fact,  $\Xi_m(A)$  is a unitary operator for all real values of m. Therefore, for  $m \in \mathbb{R}$ , (3) is well defined and self-adjoint.

 $\Xi_m(A)$  is bounded and invertible also for all m such that  $\operatorname{Re} m \neq -1, -2, \ldots$ . Therefore, formula (3) defines an operator for all such m.

One can then pose various questions:

- What happens with these operators along the lines  $\operatorname{Re} m = -1, -2, \dots$ ?
- What is the meaning of these operators to the left of Re = -1? (They are not differential operators!)

Let us describe a certain precise conjecture about the family  $H_m$ . In order to state it we need to define the concept of a holomorphic family of closed operators.

The definition (or actually a number of equivalent definitions) of a holomorphic family of bounded operators is quite obvious and does not need to be recalled. In the case of unbounded operators the situation is more subtle, and is described, e.g., in [8], see also [6].

Suppose that  $\Theta$  is an open subset of  $\mathbb{C}$ ,  $\mathcal{H}$  is a Banach space, and  $\Theta \ni z \mapsto H(z)$  is a function whose values are closed operators on  $\mathcal{H}$ . We say that this is a holomorphic family of closed operators if for each  $z_0 \in \Theta$  there exists a

neighborhood  $\Theta_0$  of  $z_0$ , a Banach space  $\mathcal{K}$  and a holomorphic family of injective bounded operators  $\Theta_0 \ni z \mapsto B(z) \in B(\mathcal{K}, \mathcal{H})$  such that  $\operatorname{Ran} B(z) = \mathcal{D}(H(z))$  and

$$\Theta_0 \ni z \mapsto H(z)B(z) \in B(\mathcal{K}, \mathcal{H})$$

is a holomorphic family of bounded operators.

We have the following practical criterion:

**Theorem 11.** Suppose that  $\{H(z)\}_{z\in\Theta}$  is a function whose values are closed operators on  $\mathcal{H}$ . Suppose in addition that for any  $z \in \Theta$  the resolvent set of H(z) is nonempty. Then  $z \mapsto H(z)$  is a holomorphic family of closed operators if and only if for any  $z_0 \in \Theta$  there exists  $\lambda \in \mathbb{C}$  and a neighborhood  $\Theta_0$  of  $z_0$  such that  $\lambda$  belongs to the resolvent set of H(z) for  $z \in \Theta_0$  and  $z \mapsto (H(z) - \lambda)^{-1} \in B(\mathcal{H})$  is holomorphic on  $\Theta_0$ .

The above theorem indicates that it is more difficult to study holomorphic families of closed operators that for some values of the complex parameter have an empty resolvent set. We have the following conjecture (formulated as an open question in [2]), so far unproven:

Conjecture 12. It is impossible to extend

$${\operatorname{Re} m > -1} \ni m \mapsto H_m$$

to a holomorphic family of closed operators on a larger connected open subset of  $\mathbb{C}$ .

#### 4. Almost homogeneous Schrödinger operators

For  $-1 < \operatorname{Re} m < 1$  the codimension of  $\operatorname{Dom}(L_{m^2}^{\min})$  in  $\operatorname{Dom}(L_{m^2}^{\max})$  is two. Therefore, following [5], one can fit a 1-parameter family of closed operators in between  $L_{m^2}^{\min}$  in  $L_{m^2}^{\max}$ , mixing the boundary condition  $x^{\frac{1}{2}+m}$  and  $x^{\frac{1}{2}-m}$ . These operators in general are no longer homogeneous – their homogeneity is broken by the boundary condition. We will say that they are *almost homogeneous*.

More precisely, for any  $\kappa \in \mathbb{C} \cup \{\infty\}$  let  $H_{m,\kappa}$  be the restriction of  $L_{m^2}^{\max}$  to the domain

$$\operatorname{Dom}(H_{m,\kappa}) = \left\{ f \in \operatorname{Dom}(L_{m^2}^{\max}) \mid \text{ for some } c \in \mathbb{C}, \\ f(x) - c \left( x^{1/2-m} + \kappa x^{1/2+m} \right) \in \operatorname{Dom}(L_{m^2}^{\min}) \text{ around } 0 \right\}, \quad \kappa \neq \infty;$$

 $\operatorname{Dom}(H_{m,\infty}) = \left\{ f \in \operatorname{Dom}(L_{m^2}^{\max}) \mid \text{ for some } c \in \mathbb{C}, \\ f(x) - cx^{1/2+m} \in \operatorname{Dom}(L_{m^2}^{\min}) \text{ around } 0 \right\}.$ 

The case m = 0 needs a special treatment. For  $\nu \in \mathbb{C} \cup \{\infty\}$ , let  $H_0^{\nu}$  be the restriction of  $L_0^{\max}$  to

$$Dom(H_0^{\nu}) = \left\{ f \in Dom(L_0^{\max}) \mid \text{ for some } c \in \mathbb{C}, \\ f(x) - c(x^{1/2} \ln x + \nu x^{1/2}) \in Dom(L_0^{\min}) \text{ around } 0 \right\}, \quad \nu \neq \infty;$$

$$\begin{split} \operatorname{Dom}(H_0^\infty) &= \left\{ f \in \operatorname{Dom}(L_0^{\max}) \mid \text{ for some } c \in \mathbb{C}, \\ f(x) - cx^{1/2} \in \operatorname{Dom}(L_0^{\min}) \text{ around } 0 \right\} \end{split}$$

Here are the basic properties of almost homogeneous Schrödinger operators.

## **Proposition 13.**

1. For any  $|\operatorname{Re}(m)| < 1, \ \kappa \in \mathbb{C} \cup \{\infty\}$ 

$$H_{m,\kappa} = H_{-m,\kappa^{-1}}.$$

- 2.  $H_{0,\kappa}$  does not depend on  $\kappa$ , and these operators coincide with  $H_0^{\infty}$ .
- 3. We have

$$U_{\tau}H_{m,\kappa}U_{-\tau} = e^{-2\tau}H_{m,e^{-2\tau m_{\kappa}}},$$
$$U_{\tau}H_{0}^{\nu}U_{-\tau} = e^{-2\tau}H_{0}^{\nu+\tau}.$$

In particular, only

$$H_{m,0} = H_{-m}, \quad H_{m,\infty} = H_m, \quad H_0^{\infty} = H_0$$

are homogeneous.

The following proposition describes self-adjoint cases among these operators.

# **Proposition 14.**

 $H_{m,\kappa}^* = H_{\bar{m},\bar{\kappa}} \qquad and \qquad H_0^{\nu*} = H_0^{\bar{\nu}}.$ 

In particular,

- (i)  $H_{m,\kappa}$  is self-adjoint for  $m \in ]-1, 1[$  and  $\kappa \in \mathbb{R} \cup \{\infty\}$ , and for  $m \in \mathbb{R}$  and  $|\kappa| = 1$ .
- (ii)  $H_0^{\nu}$  is self-adjoint for  $\nu \in \mathbb{R} \cup \{\infty\}$ .

The essential spectrum of  $H_{m,\kappa}$  and  $H_0^{\nu}$  is always  $[0, \infty)$ . The following proposition describes the point spectrum in the self-adjoint case.

# Proposition 15.

- 1. If  $m \in [-1, 1[$  and  $\kappa \ge 0$  or  $\kappa = \infty$ , then  $H_{m,\kappa}$  has no eigenvalues.
- 2. If  $m \in ]-1,1[$  and  $\kappa < 0$ , then  $H_{m,\kappa}$  has a single eigenvalue  $at -4\left(\frac{\Gamma(m)}{\kappa\Gamma(-m)}\right)^{\frac{1}{m}}$ .
- 3. If  $m \in i\mathbb{R}$  and  $|\kappa| = 1$ , then  $H_{m,\kappa}$  has an infinite sequence of eigenvalues accumulating at  $-\infty$  and 0. If  $m = im_1$  and  $e^{i\alpha} = \frac{\kappa\Gamma(-im_1)}{\Gamma(im_1)}$ , then these eigenvalues are  $-4\exp(-\frac{\alpha+2\pi n}{m_1})$ ,  $n \in \mathbb{Z}$ .

It is interesting to analyze how the set of self-adjoint extensions of the Hermitian operator

$$L_{\alpha}^{\min} = -\partial_x^2 + \left(-\frac{1}{4} + \alpha\right)\frac{1}{x^2}$$

depends on the real parameter  $\alpha$ . Self-adjoint extensions form a set isomorphic either to a point or to a circle. The "renormalization group" acts on this set by a continuous flow, as described by Proposition 13. This flow may have fixed points.

The following table describes the various "phases" of the theory of self-adjoint extensions of  $L_{\alpha}^{\min}$ . To each phase I give a name inspired by condensed matter physics. The reader does not have to take these names very seriously, however I suspect that they have some deeper meaning.

$1 \le \alpha$	"gas"	point	Unique fixed point: Friedrichs extension $=$ Krein extension.
$0 < \alpha < 1$	"liquid"	circle	Two fixed points: Friedrichs and Krein extension. Ren. group flows from Krein to Friedrichs. On one semicircle of non-fixed points all have one bound state; on the other all have no bound states.
$\alpha = 0$	"liquid-solid phase transition"	circle	Unique fixed point: Friedrichs extension = Krein extension. Ren. group flows from Krein to Friedrichs. Non-fixed points have one bound state.
$\alpha < 0$	"solid"	circle	No fixed points. Ren. group rotates the circle. All have infinitely many bound states.
TABLE 1			

Table 1 can be represented by the picture shown in Figure 1, which is self-explanatory.





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There exists a close link between almost homogeneous Schrödinger operators described in this section and the "toy model of renormalization group" described in Section 2. It turns out that the corresponding operators are similar to one another.

Define the unitary operator

$$(If)(x) := x^{-\frac{1}{4}} f(2\sqrt{x}).$$

Its inverse is

$$(I^{-1}f)(x) := \left(\frac{y}{2}\right)^{\frac{1}{2}} f\left(\frac{y^2}{4}\right).$$

Note that

$$I^{-1}XI = \frac{X^2}{4}, \quad I^{-1}AI = \frac{A}{2}.$$

We change slightly notation: the operators  $H_m$ ,  $H_{m,\kappa}$  and  $H_0^{\nu}$  of this section will be denoted  $\tilde{H}_m$ ,  $\tilde{H}_{m,\kappa}$  and  $\tilde{H}_0^{\nu}$ . Recall that in (2) we introduced the Hankel transformation  $\mathcal{F}_m$ , which is a bounded invertible involution satisfying

$$\mathcal{F}_m \tilde{H}_m \mathcal{F}_m^{-1} = X^2,$$
$$\mathcal{F}_m A \mathcal{F}_m^{-1} = -A.$$

Recall also that in Section 2 we introduced the operators  $H_{m,\lambda}$  and  $H_0^{\rho}$ . The following theorem is proven in [3]:

#### Theorem 16.

1. If 
$$\lambda_{\overline{\sin(\pi m)}} = \kappa \frac{\Gamma(m)}{\Gamma(-m)}$$
, then the operators  $H_{m,\lambda}$  are similar to  $\tilde{H}_{m,\kappa}$ :  
 $\mathcal{F}_m^{-1}I^{-1}H_{m,\lambda}I\mathcal{F}_m = \frac{1}{4}\tilde{H}_{m,\kappa}$ ,

2. If  $\rho = -2\nu$ , then the operators  $H_0^{\rho}$  are similar to  $\tilde{H}_0^{\nu}$ :

$$\mathcal{F}_m^{-1} I^{-1} H_0^{\rho} I \mathcal{F}_m = \frac{1}{4} \tilde{H}_0^{\nu},$$

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