

# On the use of evolutionary methods in spaces of Euclidean signature

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# The main message:

some of the arguments and techniques developed originally and applied so far exclusively only in the Lorentzian case do also apply to Riemannian spaces

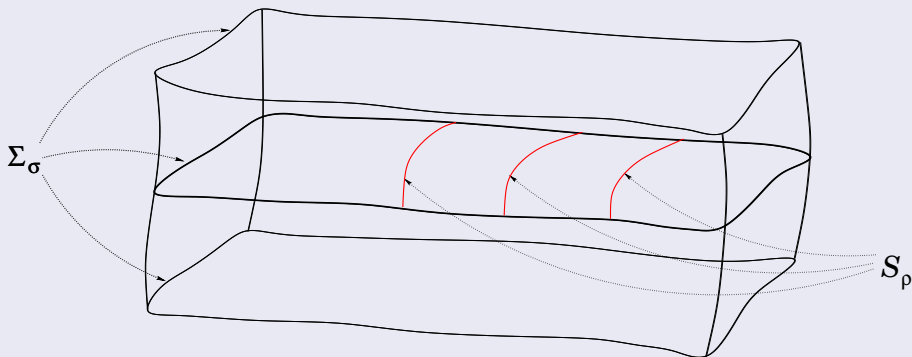
Based on some recent works:

- I. Rácz: *Is the Bianchi identity always hyperbolic?*, CQG **31** 155004 (2014)
- I. Rácz: *Cauchy problem as a two-surface based 'geometroynamics'*, Class. Quantum Grav. **32** (2015) 015006
- I. Rácz: *Dynamical determination of the gravitational degrees of freedom*, arXiv:1412.0667 (2015)
- I. Rácz: *Constraints as evolutionary systems*, CQG **33** 015014 (2016)
- I. Rácz and J. Winicour: *Black hole initial data without elliptic equations*, Phys. Rev. D **91**, 124013 (2015)
- I. Rácz: *A simple method of constructing binary black hole initial data*, Astronomy Reports 62 953-958 (2018)
- I. Rácz: *On the ADM charges of multiple black holes*, arXiv:1608.02283
- I. Rácz and J. Winicour: *Toward computing gravitational initial data without elliptic solvers*, CQG **35** 135002 (2018)
- K. Csukás and I. Rácz: *On the asymptotics of solutions to the evolutionary form of the constraints*, to be submitted for publication (2019)

All the involved results are valid for arbitrary dimension: i.e. for  $\dim(M) = n (\geq 4)$ . Nevertheless, for the sake of simplicity attention will be restricted to the case of  $n = 4$ .

# Outline:

- **Einsteinian spaces:**  $(M, g_{ab})$ 
  - First part
  - Second part



- in both cases metrics of Euclidean signature will be involved
- no gauge condition
  - ... arbitrary choice of foliations & “evolutionary” vector field

# The basic setup:

- **Einsteinian spaces:**  $(M, g_{ab})$ 
  - $M$  : 4-dimensional, smooth, paracompact, connected, orientable manifold
  - $g_{ab}$ : smooth Lorentzian $(-,+,+,+)$  or Riemannian $(+,+,+,+)$  metric

- **Einstein's equations:**

$$G_{ab} - \mathcal{G}_{ab} = 0$$

with source term:  $\nabla^a \mathcal{G}_{ab} = 0$

- $\nabla_a$  denotes the covariant derivative operator associated with  $g_{ab}$ .
- in a more familiar setup: **Einstein's equations** with cosmological constant  $\Lambda$

$$[R_{ab} - \frac{1}{2} g_{ab} R] + \Lambda g_{ab} = 8\pi T_{ab}$$

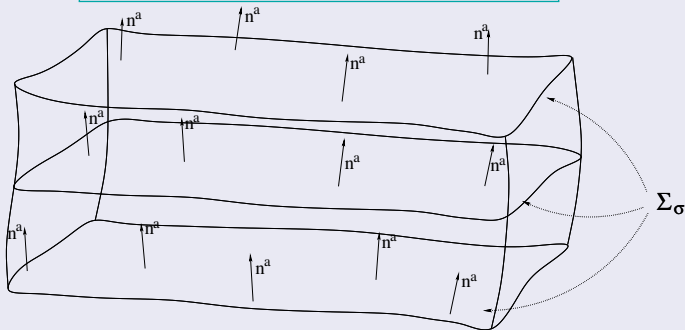
with matter fields satisfying their Euler-Lagrange equations

- $$\mathcal{G}_{ab} = 8\pi T_{ab} - \Lambda g_{ab}$$

## PART I:

## The primary splitting

- **Assume:**  $M$  is smoothly foliated by a one-parameter family of homologous hypersurfaces, i.e.  $M \simeq \mathbb{R} \times \Sigma$ , for some three-dimensional manifold  $\Sigma$ .
  - known to **hold for globally hyperbolic spacetimes** (Lorentzian case)
  - **equivalent to** the existence of a smooth function  $\sigma : M \rightarrow \mathbb{R}$  with non-vanishing gradient  $\partial_a \sigma$  such that the  $\sigma = \text{const}$  level surfaces  $\Sigma_\sigma = \{\sigma\} \times \Sigma$  comprise the one-parameter foliation of  $M$ .
- $n_a \sim \partial_a \sigma \dots \& \dots g^{ab} \rightarrow n^a = g^{ab} n_b$



# Projections:

## The projection operator:

- $n^a$  the 'unit norm' vector field that is normal to the  $\Sigma_\sigma$  level surfaces

$$n^a n_a = \epsilon$$

- the sign is not fixed:  $\epsilon$  takes the value  $-1$  or  $+1$  for Lorentzian or Riemannian metric  $g_{ab}$ , respectively
- **the projection operator**

$$h^a_b = \delta^a_b - \epsilon n^a n_b$$

to the level surfaces of  $\sigma : M \rightarrow \mathbb{R}$ .

- **the induced metric** on the  $\sigma = \text{const}$  level surfaces

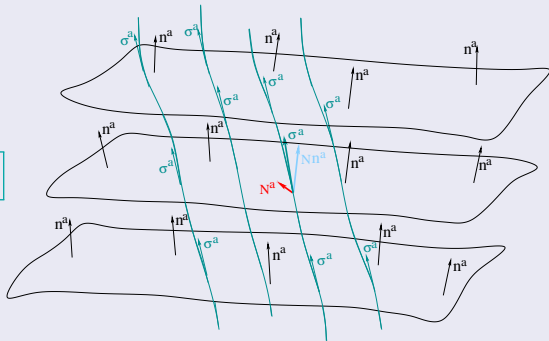
$$h_{ab} = h^e_a h^f_b g_{ef}$$

- $D_a$  denotes the covariant derivative operator associated with  $h_{ab}$ .

$\sigma^a$  is “time evolution vector field” if:

- the integral curves of  $\sigma^a$  meet the  $\sigma = \text{const}$  level surfaces precisely once
- $\sigma^e \nabla_e \sigma = 1$

$$\sigma^a = \sigma^a_{\perp} + \sigma^a_{\parallel} = N n^a + N^a$$



- where  $N$  and  $N^a$  denotes the **lapse** and **shift** of  $\sigma^a$ :

$$N = \epsilon(\sigma^e n_e) \quad \text{and} \quad N^a = h^a_e \sigma^e$$

# Decompositions of various fields:

Any symmetric tensor field  $P_{ab}$  can be decomposed

in terms of  $n^a$  and fields intrinsic to the individual  $\sigma = \text{const}$  level surfaces as

$$P_{ab} = \pi n_a n_b + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathbf{P}_{ab}$$

where

$$\pi = n^e n^f P_{ef}, \quad \mathbf{p}_a = \epsilon h^e_a n^f P_{ef}, \quad \mathbf{P}_{ab} = h^e_a h^f_b P_{ef}$$

It is also rewarding to inspect the decomposition of the cov. divergence  $\nabla^a P_{ab}$ :

$$\begin{aligned} \epsilon (\nabla^a P_{ae}) n^e &= \mathcal{L}_n \pi + D^e \mathbf{p}_e + [\pi (K^e_e) - \epsilon \mathbf{P}_{ef} K^{ef} - 2\epsilon \dot{n}^e \mathbf{p}_e] \\ (\nabla^a P_{ae}) h^e_b &= \mathcal{L}_n \mathbf{p}_b + D^e \mathbf{P}_{eb} + [(K^e_e) \mathbf{p}_b + \dot{n}_b \pi - \epsilon \dot{n}^e \mathbf{P}_{eb}] \end{aligned}$$

$$K_{ab} = h^e_a \nabla_e n_b = \frac{1}{2} \mathcal{L}_n h_{ab}$$

$$\dot{n}_a := n^e \nabla_e n_a = -\epsilon D_a \ln N$$



# Decompositions of various fields:

## Examples:

- the metric

$$g_{ab} = \epsilon n_a n_b + h_{ab}$$

- the “source term”

$$\mathcal{G}_{ab} = n_a n_b \mathbf{e} + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathfrak{S}_{ab}$$

where  $\mathbf{e} = n^e n^f \mathcal{G}_{ef}$ ,  $\mathbf{p}_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$ ,  $\mathfrak{S}_{ab} = h^e_a h^f_b \mathcal{G}_{ef}$

- l.h.s. of Einstein's equation:  $E_{ab} = G_{ab} - \mathcal{G}_{ab}$

$$E_{ab} = n_a n_b E^{(\mathcal{H})} + [n_a E_b^{(\mathcal{M})} + n_b E_a^{(\mathcal{M})}] + (E_{ab}^{(\mathcal{E}\mathcal{V}\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})})$$

$$E^{(\mathcal{H})} = n^e n^f E_{ef}, \quad E_a^{(\mathcal{M})} = \epsilon h^e_a n^f E_{ef}, \quad E_{ab}^{(\mathcal{E}\mathcal{V}\mathcal{O}\mathcal{L})} = h^e_a h^f_b E_{ef} - h_{ab} E^{(\mathcal{H})}$$

The decomposition of the covariant divergence  $\nabla^a E_{ab} = 0$  of  $E_{ab} = G_{ab} - \mathcal{G}_{ab}$ :

$$\begin{aligned} \mathcal{L}_n E^{(\mathcal{H})} + D^e E_e^{(\mathcal{M})} + [E^{(\mathcal{H})} (K^e_e) - 2\epsilon (\dot{n}^e E_e^{(\mathcal{M})}) & \leftarrow \text{Div} \\ & - \epsilon K^{ae} (E_{ae}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ae} E^{(\mathcal{H})})] = 0 \\ \mathcal{L}_n E_b^{(\mathcal{M})} + D^a (E_{ab}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})}) + [(K^e_e) E_b^{(\mathcal{M})} + E^{(\mathcal{H})} \dot{n}_b \\ & - \epsilon (E_{ab}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})}) \dot{n}^a] = 0 \end{aligned}$$

1st order symmetric hyperbolic system: linear and homogeneous in  $(E^{(\mathcal{H})}, E_I^{(\mathcal{M})})^T$ :

- $N \times$  “(1)” and  $Nh^{ij} \times$  “(2)” in local coordinates  $(\sigma, x^1, x^2, x^3)$  adopted to an arbitrary flow field  $\sigma^a = N n^a + N^a$ :  $\sigma^e \nabla_e \sigma = 1$  and the foliation  $\{\Sigma_\sigma\}$ , read as

$$\left\{ \begin{pmatrix} 1 & 0 \\ 0 & h^{IJ} \end{pmatrix} \partial_\sigma + \begin{pmatrix} -N^K & N h^{IK} \\ N h^{JK} & -N^K h^{IJ} \end{pmatrix} \partial_K \right\} \begin{pmatrix} E^{(\mathcal{H})} \\ E_I^{(\mathcal{M})} \end{pmatrix} = \begin{pmatrix} \mathcal{E} \\ \mathcal{E}^J \end{pmatrix}$$

- !!! the source terms  $\mathcal{E}$  and  $\mathcal{E}^J$  are linear and homogeneous in  $E^{(\mathcal{H})}$  and  $E_I^{(\mathcal{M})}$  !!!  $\epsilon$

$$\mathcal{A}^\mu \partial_\mu v + \mathcal{B} v = 0 \quad \text{with} \quad v = (E^{(\mathcal{H})}, E_I^{(\mathcal{M})})^T \quad \text{FOSH !!! } v \equiv 0$$

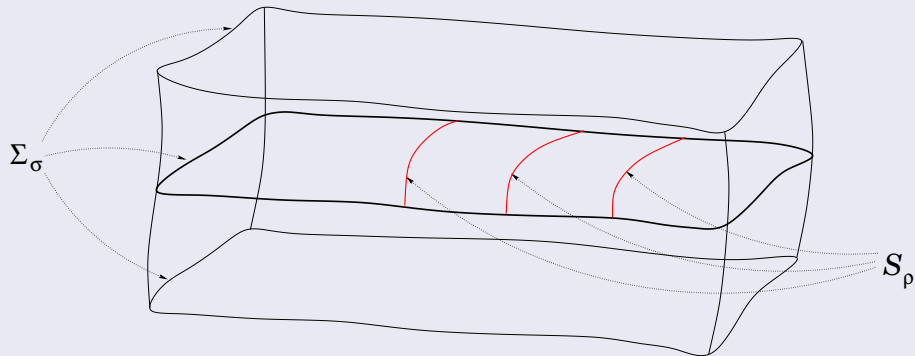
# The main result of the first part:

## Theorem

Let  $(M, g_{ab})$  be an Einsteinian space as specified and assume that the metric  $h_{ab}$  induced on the  $\sigma = \text{const}$  level surfaces is Riemannian. Then, **regardless whether  $g_{ab}$  is of Lorentzian or Euclidean signature**, any solution to the reduced equations  $E_{ab}^{(\text{EVOL})} = 0$  is also a solution to the full set of field equations  $G_{ab} - \mathcal{G}_{ab} = 0$  provided that the constraint expressions  $E^{(\mathcal{H})}$  and  $E_a^{(\mathcal{M})}$  vanish on one of the  $\sigma = \text{const}$  level surfaces.

- no gauge condition was used anywhere in the above analyze !
  - it applies regardless of the choice of the foliation,  $\Sigma_\sigma$ , of  $M$  and for any choice of the flow field,  $\sigma^a \rightleftharpoons N, N^a$

## PART II:



# The explicit form of the constraints:

The constraint expressions are projections of  $E_{ab} = G_{ab} - \mathcal{G}_{ab}$ :

$$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{-\epsilon^{(3)} R + (K^e_e)^2 - K_{ef} K^{ef} - 2\mathfrak{e}\} = 0$$

$$E_a^{(\mathcal{M})} = \epsilon h^e_a n^f E_{ef} = \epsilon [D_e K^e_a - D_a K^e_e - \epsilon \mathfrak{p}_a] = 0$$

- where  $D_a$  denotes the covariant derivative operator associated with  $h_{ab}$  and

$$\mathfrak{e} = n^e n^f \mathcal{G}_{ef}, \quad \mathfrak{p}_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$$

- it is an underdetermined system: 4 equations for 12 variables

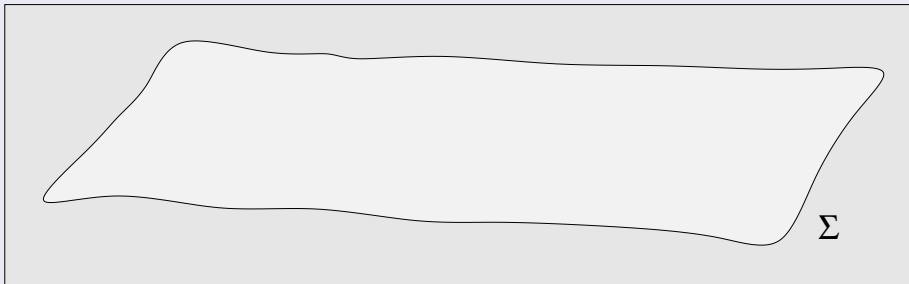
$$(h_{ij}, K_{ij})$$

# A simple example:

Consider the underdetermined equation on  $\Sigma \approx \mathbb{R}^2$  with some coordinates  $(\chi, \xi)$

$$(\partial_\chi^2 + \partial_\xi^2)\mathbf{u} + (\partial_\chi - \partial_\xi)\mathbf{v} + (a\partial_\chi - \partial_\xi^2)\mathbf{w} + \mathbf{z} = 0$$

- it is an equation for the four variables  $\mathbf{u}, \mathbf{v}, \mathbf{w}$  and  $\mathbf{z}$  on  $\Sigma$
- in advance of solving it three of these variables have to be fixed on  $\Sigma$

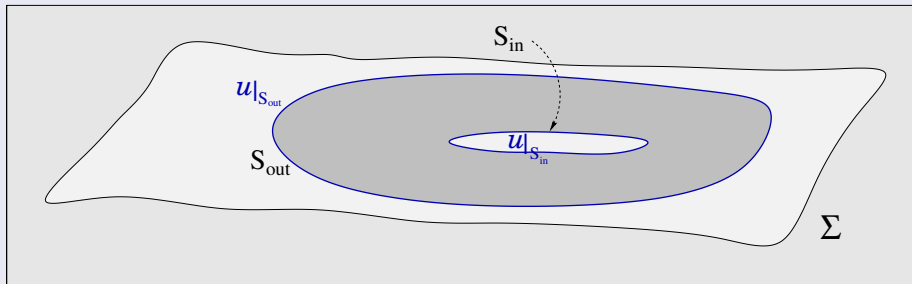


# A simple example:

It is an elliptic equation for  $u$  on  $\Sigma \approx \mathbb{R}^2$  :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables  $v, w$  and  $z$  have to be specified on  $\mathbb{R}^2$
- the variable  $u$  has also to be fixed at the boundaries  $S_{out}$  and  $S_{in}$

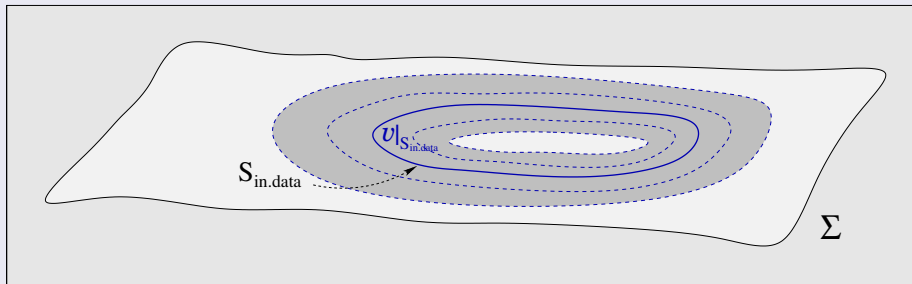


# A simple example:

It is a hyperbolic equation for  $v$  on  $\Sigma \approx \mathbb{R}^2$  :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables  $u, w$  and  $z$  have to be specified on  $\mathbb{R}^2$
- the variable  $v$  has also to be fixed at the initial data surface  $S_{\text{in.data}}$



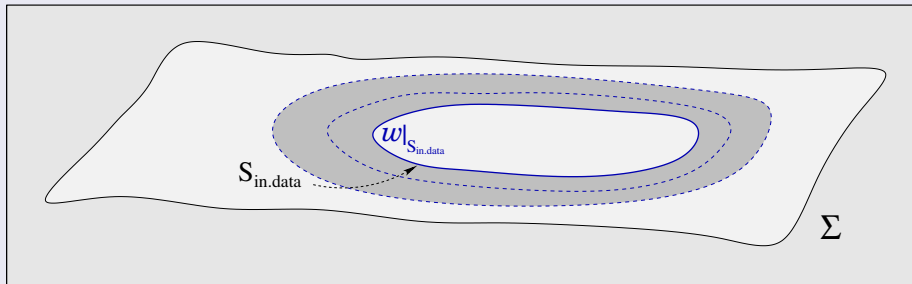


# A simple example:

It is a parabolic equation for  $w$  on  $\Sigma \approx \mathbb{R}^2$  :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables  $u$ ,  $v$  and  $z$  have to be fixed on  $\mathbb{R}^2$  :  $a > 0$
- the variable  $w$  has also to be fixed at the initial data surface  $S_{\text{in.data}}$

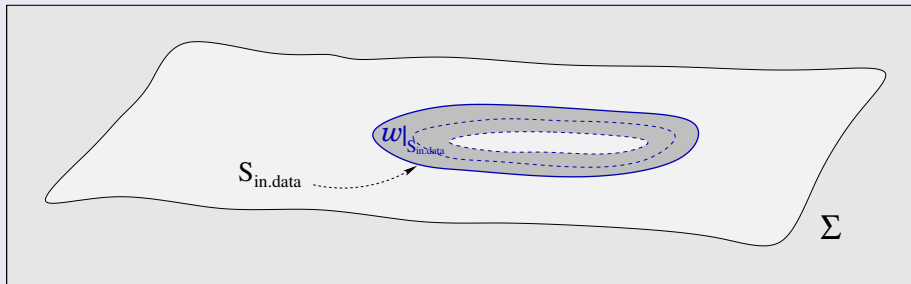


# A simple example:

It is a parabolic equation for  $w$  on  $\Sigma \approx \mathbb{R}^2$  :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables  $u$ ,  $v$  and  $z$  have to be fixed on  $\mathbb{R}^2$  :  $a < 0$
- the variable  $w$  has also to be fixed at the initial data surface  $S_{\text{in.data}}$



# A simple example:

It is an algebraic equation for  $z$  :

$$(\partial_x^2 + \partial_\xi^2)\mathbf{u} + (\partial_x^2 - \partial_\xi^2)\mathbf{v} + (a \partial_x - \partial_\xi^2)w + \mathbf{z} = 0$$

- once the variables  $\mathbf{u}, \mathbf{v}, w$  are specified on  $\mathbb{R}^2$  the solution is determined as

$$\mathbf{z} = - [(\partial_x^2 + \partial_\xi^2)\mathbf{u} + (\partial_x^2 - \partial_\xi^2)\mathbf{v} + (a \partial_x - \partial_\xi^2)w]$$

# New variables by applying $2 + 1$ decompositions:

## Splitting of the metric $h_{ij}$ :

assume:

$$\Sigma \approx \mathbb{R} \times \mathcal{S}$$

$\Sigma$  is smoothly foliated by a one-parameter family of two-surfaces  $\mathcal{S}_\rho$ :  
 $\rho = \text{const}$  level surfaces of a smooth real function  $\rho : \Sigma \rightarrow \mathbb{R}$  with  $\partial_i \rho \neq 0$

$$\Rightarrow \hat{n}_i \sim \partial_i \rho \dots \& \dots h^{ij} \longrightarrow \hat{n}^i = h^{ij} \hat{n}_j \longrightarrow \hat{\gamma}^i_j = \delta^i_j - \hat{n}^i \hat{n}_j$$

- choose  $\rho^i$  to be a flow field on  $\Sigma$ : the integral curves... &  $\rho^i \partial_i \rho = 1$
- 'lapse' and 'shift' of  $\rho^i$

$$\rho^i = \hat{N} \hat{n}^i + \hat{N}^i, \quad \text{where} \quad \hat{N} = \rho^j \hat{n}_j \quad \text{and} \quad \hat{N}^i = \hat{\gamma}^i_j \rho^j$$

- induced metric, extrinsic curvature and acceleration of the  $\mathcal{S}_\rho$  level surfaces:

$$\hat{\gamma}_{ij} = \hat{\gamma}^k_i \hat{\gamma}^l_j h_{kl}$$

$$\hat{K}_{ij} = \frac{1}{2} \mathcal{L}_{\hat{n}} \hat{\gamma}_{ij}$$

$$\hat{\dot{n}}_i := \hat{n}^l D_l \hat{n}_i = -\hat{D}_i \ln \hat{N}$$

- the metric  $h_{ij}$  can then be given as

$$h_{ij} = \hat{\gamma}_{ij} + \hat{n}_i \hat{n}_j$$



$$\{\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}\}$$

## 2 + 1 decompositions:

### Splitting of the symmetric tensor field $K_{ij}$ :



$$K_{ij} = \kappa \hat{n}_i \hat{n}_j + [\hat{n}_i \mathbf{k}_j + \hat{n}_j \mathbf{k}_i] + \mathbf{K}_{ij}$$

where

$$\kappa = \hat{n}^k \hat{n}^l K_{kl}, \quad \mathbf{k}_i = \hat{\gamma}^k{}_i \hat{n}^l K_{kl} \quad \text{and} \quad \mathbf{K}_{ij} = \hat{\gamma}^k{}_i \hat{\gamma}^l{}_j K_{kl}$$

- the **trace** and **trace free** parts of  $\mathbf{K}_{ij}$

$$\mathbf{K}^l{}_l = \hat{\gamma}^{kl} \mathbf{K}_{kl} \quad \text{and} \quad \mathring{\mathbf{K}}_{ij} = \mathbf{K}_{ij} - \frac{1}{2} \hat{\gamma}_{ij} \mathbf{K}^l{}_l$$

### The new variables:



$$(h_{ij}, K_{ij}) \iff (\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}; \kappa, \mathbf{k}_i, \mathbf{K}^l{}_l, \mathring{\mathbf{K}}_{ij})$$

- these variables retain the physically distinguished nature of  $h_{ij}$  and  $K_{ij}$

# The momentum constraint:

$$\hat{n}_i := \hat{n}^l D_l \hat{n}_i = -\hat{D}_i \ln \hat{N}$$

$$D_e K^e{}_a - D_a K^e{}_e - \epsilon p_a = 0$$

$$\hat{K}_{ij} = \frac{1}{2} \mathcal{L}_{\hat{n}} \hat{\gamma}_{ij}; \hat{K}^l{}_i = \hat{\gamma}^{ij} \hat{K}_{ij}$$

$$\mathcal{L}_{\hat{n}} \mathbf{k}_i - \frac{1}{2} \hat{D}_i (\mathbf{K}^l{}_l) - \hat{D}_i \boldsymbol{\kappa} + \hat{D}^l \overset{\circ}{\mathbf{K}}_{li} + (\hat{K}^l{}_l) \mathbf{k}_i + \boldsymbol{\kappa} \hat{n}_i - \hat{n}^l \mathbf{K}_{li} - \epsilon p_l \hat{\gamma}^l{}_i = 0$$

◀ back: str.hyp.sys.

$$\mathcal{L}_{\hat{n}} (\mathbf{K}^l{}_l) - \hat{D}^l \mathbf{k}_l - \boldsymbol{\kappa} (\hat{K}^l{}_l) + \mathbf{K}_{kl} \hat{K}^{kl} + 2 \hat{n}^l \mathbf{k}_l + \epsilon p_l \hat{n}^l = 0$$

## First order symmetric hyperbolic system:

- contract “(1)” with  $2 \hat{N} \hat{\gamma}^{ij}$  and mult. “(2)” by  $\hat{N}$ , when writing them out in coordinates  $(\rho, x^2, x^3)$ , adopted to the foliation  $\mathcal{S}_\rho$  and the vector field  $\rho^i$ ,

$$\left\{ \begin{pmatrix} 2 \hat{\gamma}^{AB} & 0 \\ 0 & 1 \end{pmatrix} \partial_\rho + \begin{pmatrix} -2 \hat{N}^K \hat{\gamma}^{AB} & -\hat{N} \hat{\gamma}^{AK} \\ -\hat{N} \hat{\gamma}^{BK} & -\hat{N}^K \end{pmatrix} \partial_K \right\} \begin{pmatrix} \mathbf{k}_B \\ \mathbf{K}^E{}_E \end{pmatrix} + \begin{pmatrix} \mathcal{B}^A_{(\mathbf{k})} \\ \mathcal{B}(\mathbf{K}) \end{pmatrix} = 0$$

- a first order **symmetric hyperbolic** system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E{}_E)^T$$

!!!  $\rho$  plays the role of ‘time’

regardless of the value of  $\epsilon = \pm 1$

# The Hamiltonian constraint:

## The Hamiltonian constraint in terms of the new variables:

$$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{ -\epsilon^{(3)} R + (K^e_e)^2 - K_{ef} K^{ef} - 2\epsilon \} = 0$$

using 
$${}^{(3)}R = \widehat{R} - \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\}$$

$\widehat{R}$  and  $\widehat{K}_{kl}$  denote the scalar and extrinsic curvature of  $\widehat{\gamma}_{kl}$ , respectively

$$-\epsilon \widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\} + 2 \kappa \mathbf{K}^l_l + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \overset{\circ}{\mathbf{K}}_{kl} \overset{\circ}{\mathbf{K}}^{kl} - 2\epsilon = 0$$

## Alternative choices yielding evolutionary systems:

- it is a **parabolic equation** for  $\widehat{N}$  (the sign of  $\widehat{K}^l_l$  plays a role)
- it is an **algebraic equation** for  $\kappa$  (what is if  $\mathbf{K}^l_l$  vanishes somewhere?)

# The parabolic-hyperbolic system:

The Hamiltonian constraint as a parabolic equation for  $\widehat{N}$  :

$$-\epsilon \widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\} + 2 \kappa \mathbf{K}^l_l + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon = 0$$

- $\widehat{K}^l_l = \widehat{\gamma}^{ij} \widehat{K}_{ij} = \widehat{N}^{-1} [\frac{1}{2} \widehat{\gamma}^{ij} \mathcal{L}_\rho \widehat{\gamma}_{ij} - \widehat{D}_j \widehat{N}^j] = \widehat{N}^{-1} \widehat{K}^*$  as  $\widehat{n}^i = \widehat{N}^{-1} [\rho^i - \widehat{N}^i]$
- $\mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) = -\widehat{N}^{-3} \widehat{K}^* [(\partial_\rho \widehat{N}) - (\widehat{N}^l \widehat{D}_l \widehat{N})] + \widehat{N}^{-2} [(\partial_\rho \widehat{K}^*) - (\widehat{N}^l \widehat{D}_l \widehat{K}^*)]$
- using 
$$\begin{aligned} \mathcal{A} &= 2 [(\partial_\rho \widehat{K}^*) - \widehat{N}^l (\widehat{D}_l \widehat{K}^*)] + \widehat{K}^{*2} + \widehat{K}^*_{kl} \widehat{K}^{*kl} \\ \mathcal{B} &= -\widehat{R} + \epsilon [2 \kappa (\mathbf{K}^l_l) + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon] \end{aligned}$$
- it gets to be a **Bernoulli-type parabolic partial differential equation** provided that  $\widehat{K}^*$  ...
- $2 \widehat{K}^* [(\partial_\rho \widehat{N}) - \widehat{N}^l (\widehat{D}_l \widehat{N})] = 2 \widehat{N}^2 (\widehat{D}^l \widehat{D}_l \widehat{N}) + \mathcal{A} \widehat{N} + \mathcal{B} \widehat{N}^3$  & momentum constr.
- in highly specialized cases of “quasi-spherical” foliations with  $\widehat{\gamma}_{ij} = r^2 \mathring{\gamma}_{ij}$  and with time symmetric initial data  $\mathbf{K}_{ij} \equiv 0$  R. Bartnik (1993), G. Weinstein & B. Smith (2004)



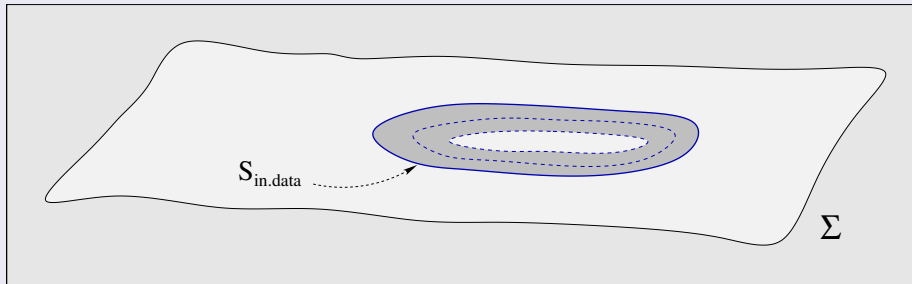
# Constraints as evolutionary systems I.

The parabolic-hyperbolic system:

- $(h_{ij}, K_{ij})$  represented by the variables  $(\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}; \kappa, \mathbf{k}_i, \mathbf{K}^l_l, \mathring{\mathbf{K}}_{ij})$
- the constraints comprise a **parabolic-hyperbolic** system for  $(\hat{N}, \mathbf{k}_i, \mathbf{K}^l_l)$ 
  - with freely specifiable variables on  $\Sigma$  and on  $S_{\text{in.data}}$ :

$$(\hat{N}|_{S_{\text{in.data}}}, \hat{N}^i, \hat{\gamma}_{ij}; \kappa, \mathbf{k}_i|_{S_{\text{in.data}}}, \mathbf{K}^l_l|_{S_{\text{in.data}}}, \mathring{\mathbf{K}}_{ij})$$

- a fixed (+/-) sign of  $\hat{K} = \frac{1}{2} \hat{\gamma}^{ij} \mathcal{L}_\rho \hat{\gamma}_{ij} - \hat{D}_j \hat{N}^j$  can be guaranteed



# The strongly hyperbolic system:

The Hamiltonian constraint as an algebraic equation for  $\kappa$ :

$$-\epsilon \widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l{}_l) + (\widehat{K}^l{}_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\} \\ + 2 \kappa \mathbf{K}^l{}_l + \frac{1}{2} (\mathbf{K}^l{}_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon = 0$$

whence  $\kappa = (2 \mathbf{K}^l{}_l)^{-1} [2 \mathbf{k}^l \mathbf{k}_l - \frac{1}{2} (\mathbf{K}^l{}_l)^2 - \kappa_0]$ ,  $\kappa_0 = -\epsilon {}^{(3)}R - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon$

- by eliminating  $\widehat{D}_i \kappa$  from the momentum constraint mom. constr. one gets

$$\mathcal{L}_{\widehat{n}} \mathbf{k}_i + (\mathbf{K}^l{}_l)^{-1} [\kappa \widehat{D}_i (\mathbf{K}^l{}_l) - 2 \mathbf{k}^l \widehat{D}_i \mathbf{k}_l] + (2 \mathbf{K}^l{}_l)^{-1} \widehat{D}_i \kappa_0 \\ + (\widehat{K}^l{}_l) \mathbf{k}_i + [\kappa - \frac{1}{2} (\mathbf{K}^l{}_l)] \widehat{n}_i - \widehat{n}^l \mathring{\mathbf{K}}_{li} + \widehat{D}^l \mathring{\mathbf{K}}_{li} - \epsilon p_l \widehat{\gamma}^l{}_i = 0, \\ \mathcal{L}_{\widehat{n}} (\mathbf{K}^l{}_l) - \widehat{D}^l \mathbf{k}_l - \kappa (\widehat{K}^l{}_l) + \mathbf{K}_{kl} \widehat{K}^{kl} + 2 \widehat{n}^l \mathbf{k}_l + \epsilon p_l \widehat{n}^l = 0$$

- the above system is a **strongly hyperbolic** one for  $(\mathbf{k}_i, \mathbf{K}^l{}_l)^T$  provided that  $\kappa \cdot \mathbf{K}^l{}_l < 0$
- $\kappa$  is determined algebraically once  $\mathbf{k}_i$  and  $\mathbf{K}^l{}_l$  are known !!!
- the entire three-metric  $h_{ij} = \widehat{\gamma}_{ij} + \widehat{n}_i \widehat{n}_j$  is freely specifiable. !!!

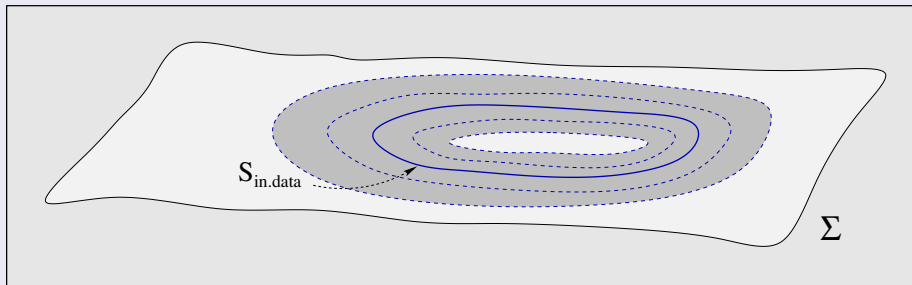
# Constraints as evolutionary systems II:

The strongly hyperbolic system:

- $(h_{ij}, K_{ij})$  represented by the variables  $(\widehat{N}, \widehat{N}^i, \widehat{\gamma}_{ij}; \kappa, \mathbf{k}_i, \mathbf{K}^l_l, \overset{\circ}{\mathbf{K}}_{ij})$
- the constraints form a **strongly hyperbolic** system for  $(\mathbf{k}_i, \mathbf{K}^l_l)$  (alg. for  $\kappa$ )
  - with freely specifiable variables on  $\Sigma$  and on  $S_{\text{in.data}}$ :

$$(\widehat{N}, \widehat{N}^i, \widehat{\gamma}_{ij}; \kappa, \mathbf{k}_i|_{S_{\text{in.data}}}, \mathbf{K}^l_l|_{S_{\text{in.data}}}, \overset{\circ}{\mathbf{K}}_{ij})$$

- by choosing the free data properly  $\kappa \cdot \mathbf{K}^l_l < 0$  can be guaranteed (globally?)



# Summary:

4-dimensional **Riemannian and Lorentzian spaces** satisfying Einstein's equations, and some mild topological assumptions, were considered. **!!!** [ $n(\geq 4)$ ]

- ① it was shown that **the constraint expressions** satisfy a **FOSH system** that is linear and homogeneous  $\implies$  (the constraints propagate)
- ② concerning the constraint equations in Einstein's theory it was shown:
  - **momentum constraint** as a **first order symmetric hyperbolic system**
  - **the Hamiltonian constraint** as a **parabolic** or an **algebraic** equation
  - **in either case** the coupled constraint equations comprise **a well-posed evolutionary system**: a **parabolic-hyperbolic** or a **strongly hyperbolic**,
  - in  $C^\infty$  setting (**local**) **existence and uniqueness** of solutions are guaranteed
- ③ **!!! regardless** whether the primary space is Riemannian or Lorentzian
- ④ **!!! no use** of gauge conditions

## The take home message:

On contrary to the folklore, in the considered two explicit examples, **evolutionary methods can be applied in spaces with metric of Euclidean signature** where, in principle, there is no room for 'time'



謝謝

*Thank You*

# The roots of the evolutionary aspects

The first order symmetric hyperbolic system for  $(E^{(\mathcal{H})}, E_i^{(\mathcal{M})})^T$

- Characteristic directions associated with the FOSH system governing the evolution of the constraint expressions are determined as

$$[h^{ij} - n^i n^j] \xi_i \xi_j = [g^{ij} - (1 + \epsilon) n^i n^j] \xi_i \xi_j = 0$$

The momentum constraint: first order symmetric hyperbolic system

- with characteristic cone given as

$$[\hat{\gamma}^{ij} - 2\hat{n}^i \hat{n}^j] \xi_i \xi_j = [h^{ij} - 3\hat{n}^i \hat{n}^j] \xi_i \xi_j = 0$$

Deriving a Lorentzian metric from a Riemannian one

- ... given a Riemannian metric  $\mathfrak{g}_{ij}$ , a unit form field  $\mathbf{n}_i$  and a positive real function  $\alpha \implies$  a metric of Lorentzian signature can be defined as

$$\check{\mathfrak{g}}_{ij} = \mathfrak{g}_{ij} - (1 + \alpha) \mathbf{n}_i \mathbf{n}_j$$

# The conformal (elliptic) method:

Lichnerowicz A (1944) and York J W (1972):

- replace

$$h_{ij} = \phi^4 \tilde{h}_{ij} \quad \text{and} \quad K_{ij} - \frac{1}{3} h_{ij} K^l_l = \phi^{-2} \tilde{K}_{ij}$$

using these variables the constraints are put into a **semilinear elliptic system**

$$\tilde{D}^l \tilde{D}_l \phi + \epsilon \frac{1}{8} \tilde{R} \phi + \frac{1}{8} \tilde{K}_{ij} \tilde{K}^{ij} \phi^{-7} - \left[ \frac{1}{12} (K^l_l)^2 - \frac{1}{4} \epsilon \right] \phi^5 = 0$$

where  $\tilde{D}_l, \tilde{R}, \dots, \tilde{h}_{ij}$

$$\tilde{K}_{ij} = \tilde{K}_{ij}^{[L]} + \tilde{K}_{ij}^{[TT]}, \quad \text{where} \quad \tilde{K}_{ij}^{[L]} = \left( \tilde{D}_i X_j + \tilde{D}_j X_i - \frac{2}{3} \tilde{h}_{ij} \tilde{D}^l X_l \right)$$

$$\tilde{D}^l \tilde{D}_l X_i + \frac{1}{3} \tilde{D}_i (\tilde{D}^l X_l) + \tilde{R}_i{}^l X_l - \frac{2}{3} \phi^6 \tilde{D}_i (K^l_l) + \epsilon \phi^{10} \mathbf{p}_i = 0$$

$$(h_{ij}, K_{ij})$$

$\longleftrightarrow$

$$\left( \phi, \tilde{h}_{ij}; K^l_l, X_i, \tilde{K}_{ij}^{[TT]} \right)$$