

On the use of evolutionary methods in spaces of Euclidean signature

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Supported by the POLONEZ programme of the National Science Centre of Poland which has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No. 665778.



Physics Department, Bogazici University,
1 July, 2019

The main message:

some of the arguments and techniques developed originally and applied so far exclusively only in the Lorentzian case do also apply to Riemannian spaces

Based on some recent works:

- I. Rácz: *Is the Bianchi identity always hyperbolic?*, CQG 31 155004 (2014)
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All the involved results are valid for arbitrary dimension: i.e. for $\dim(M) = n (\geq 4)$.
Nevertheless, for the sake of simplicity attention will be restricted to the case of $n = 4$.

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- **Einsteinian spaces:** (M, g_{ab})

- First part
- Second part

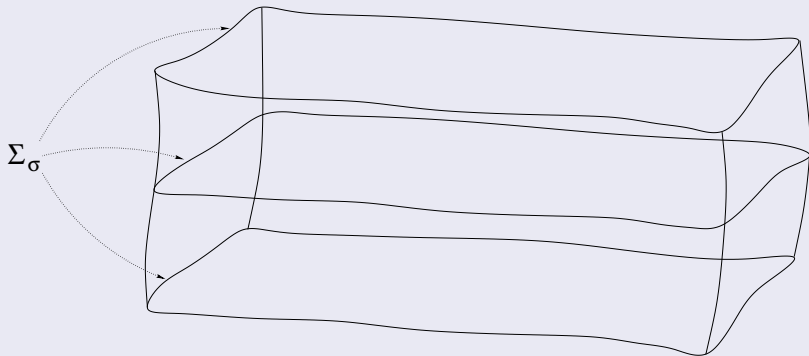
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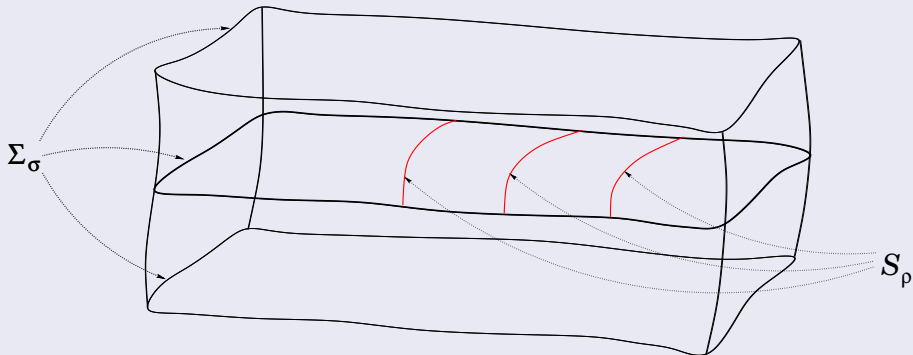
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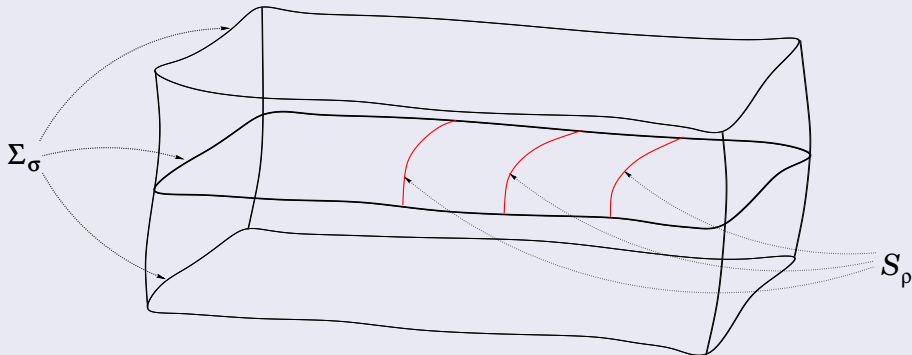


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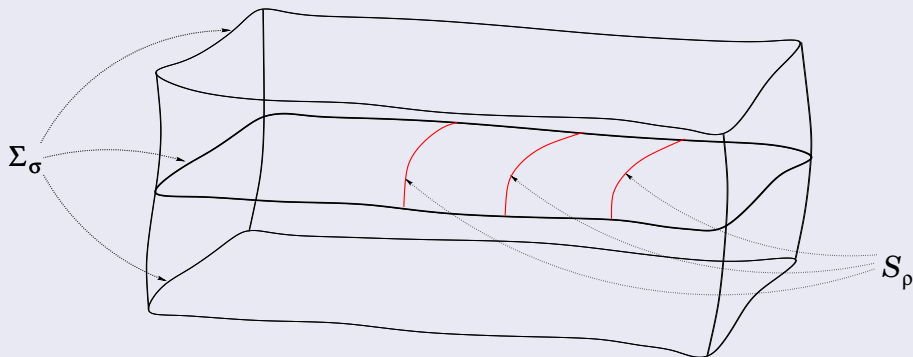
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The basic setup:

- **Einsteinian spaces:** (M, g_{ab})

- M : 4-dimensional, smooth, paracompact, connected, orientable manifold
- g_{ab} : smooth Lorentzian $(-,+,+,+)$ or Riemannian $(+,+,+,+)$ metric

- **Einstein's equations:**

$$G_{ab} - \mathcal{G}_{ab} = 0$$

with source term:

$$\nabla^a \mathcal{G}_{ab} = 0$$

- ∇_a denotes the covariant derivative operator associated with g_{ab} .
- in a more familiar setup: Einstein's equations with cosmological constant Λ

$$[R_{ab} - \frac{1}{2} g_{ab} R] + \Lambda g_{ab} = 8\pi T_{ab}$$

with matter fields satisfying their Euler-Lagrange equations

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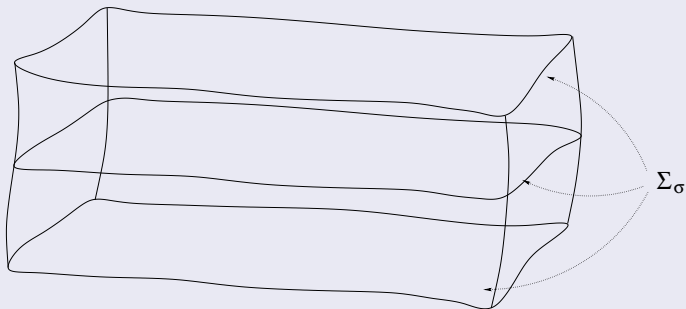
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PART I:

The primary splitting

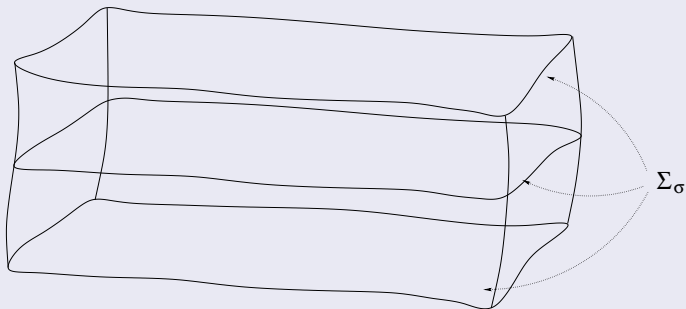
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 - known to hold for globally hyperbolic spacetimes (Lorentzian case)
 - equivalent to the existence of a smooth function $\sigma : M \rightarrow \mathbb{R}$ with non-vanishing gradient $\partial_a \sigma$ such that the $\sigma = \text{const}$ level surfaces $\Sigma_\sigma = \{\sigma\} \times \Sigma$ comprise the one-parameter foliation of M .
- $n_a \sim \partial_a \sigma \dots \& \dots g^{ab} \longrightarrow n^a = g^{ab} n_b$



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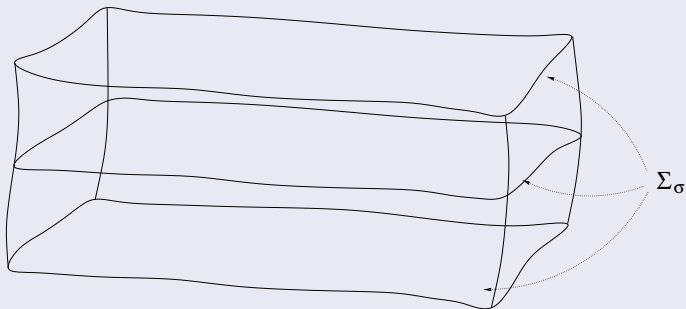
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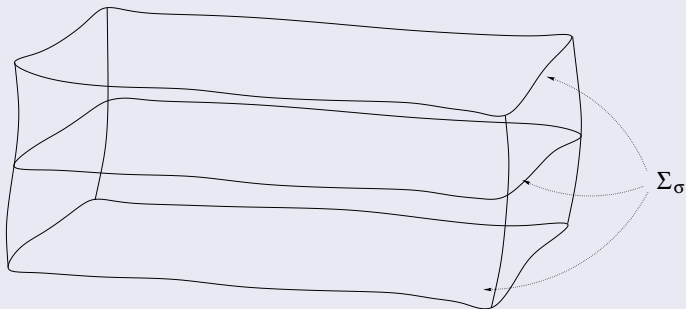
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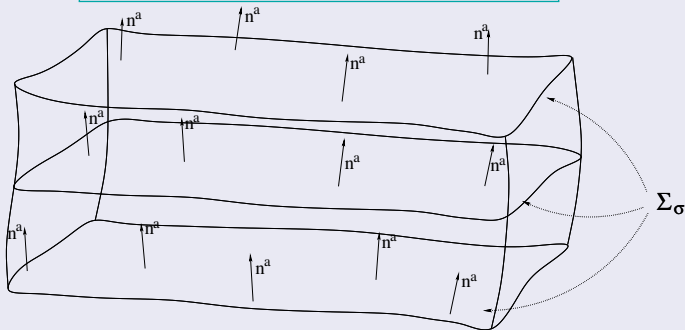
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Projections:

The projection operator:

- n^a the 'unit norm' vector field that is normal to the Σ_σ level surfaces

- the projection operator

$$h^a_b = \delta^a_b - \epsilon n^a n_b$$

to the level surfaces of $\sigma : M \rightarrow \mathbb{R}$.

- the induced metric on the $\sigma = \text{const}$ level surfaces

$$h_{ab} = h^e_a h^f_b g_{ef}$$

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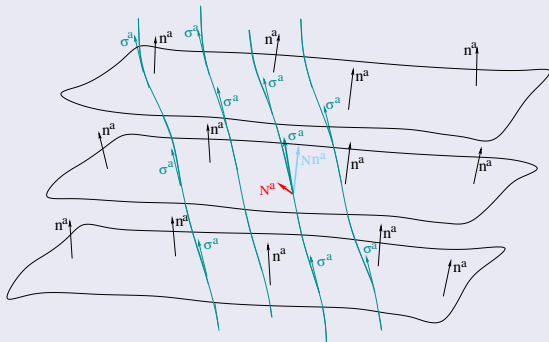
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- the integral curves of σ^a meet the $\sigma = \text{const}$ level surfaces precisely once
- $\sigma^e \nabla_e \sigma = 1$



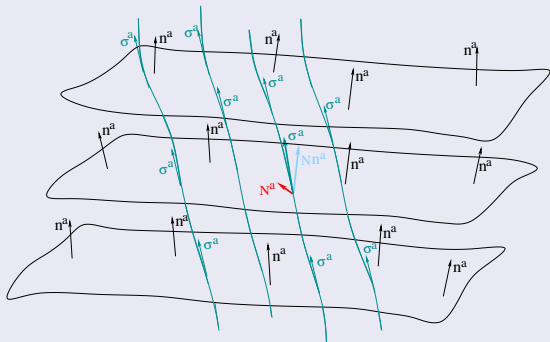
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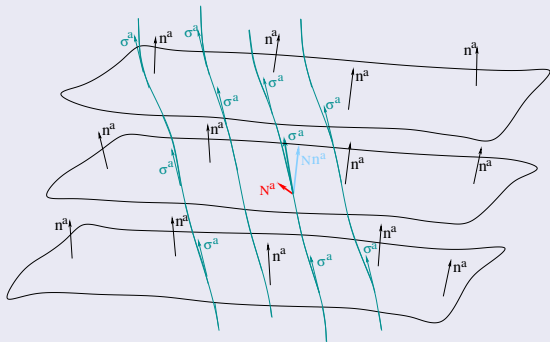


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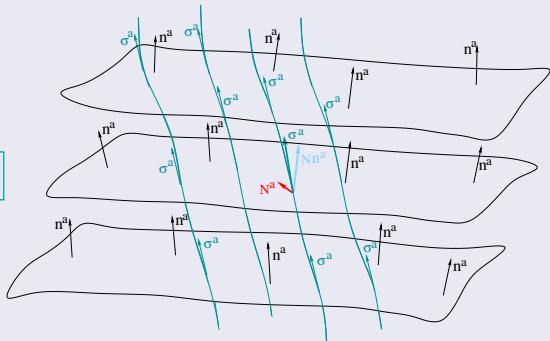
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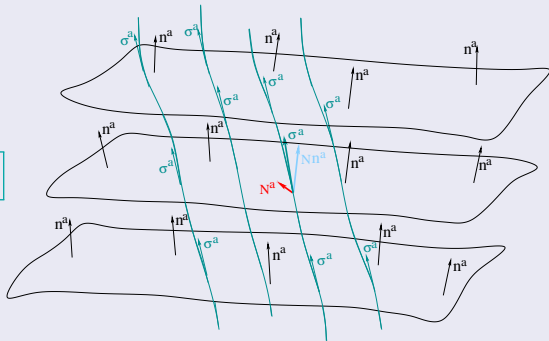
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Decompositions of various fields:

Any symmetric tensor field P_{ab} can be decomposed

in terms of n^a and fields intrinsic to the individual $\sigma = \text{const}$ level surfaces as

$$P_{ab} = \pi n_a n_b + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathbf{P}_{ab}$$

It is also rewarding to inspect the decomposition of the cov. divergence $\nabla^a P_{ab}$:

$$\begin{aligned} \epsilon (\nabla^a P_{ae}) n^e &= \mathcal{L}_n \pi + D^e \mathbf{p}_e + [\pi (K^e_e) - \epsilon \mathbf{P}_{ef} K^{ef} - 2 \epsilon \dot{n}^e \mathbf{p}_e] \\ (\nabla^a P_{ae}) h^e_b &= \mathcal{L}_n \mathbf{p}_b + D^e \mathbf{P}_{eb} + [(K^e_e) \mathbf{p}_b + \dot{n}_b \pi - \epsilon \dot{n}^e \mathbf{P}_{eb}] \end{aligned}$$

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$$K_{ab} = h^e_a \nabla_e n_b = \frac{1}{2} \mathcal{L}_n h_{ab}$$

$$\dot{n}_a := n^e \nabla_e n_a = -\epsilon D_a \ln N$$

Decompositions of various fields:

Examples:

- the metric

$$g_{ab} = \epsilon n_a n_b + h_{ab}$$

- the “source term”

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where $\epsilon = n^e n^f \mathcal{G}_{ef}$, $p_a = \epsilon h^e{}_a n^f \mathcal{G}_{ef}$, $\mathcal{S}_{ab} = h^e{}_a h^f{}_b \mathcal{G}_{ef}$

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The main result of the first part:

Theorem

Let (M, g_{ab}) be an Einsteinian space as specified and assume that the metric h_{ab} induced on the $\sigma = \text{const}$ level surfaces is Riemannian. Then, **regardless whether g_{ab} is of Lorentzian or Euclidean signature**, any solution to the reduced equations $E_{ab}^{(\text{EVOL})} = 0$ is also a solution to the full set of field equations $G_{ab} - \mathcal{G}_{ab} = 0$ provided that the constraint expressions $E^{(\mathcal{H})}$ and $E_a^{(\mathcal{M})}$ vanish on one of the $\sigma = \text{const}$ level surfaces.

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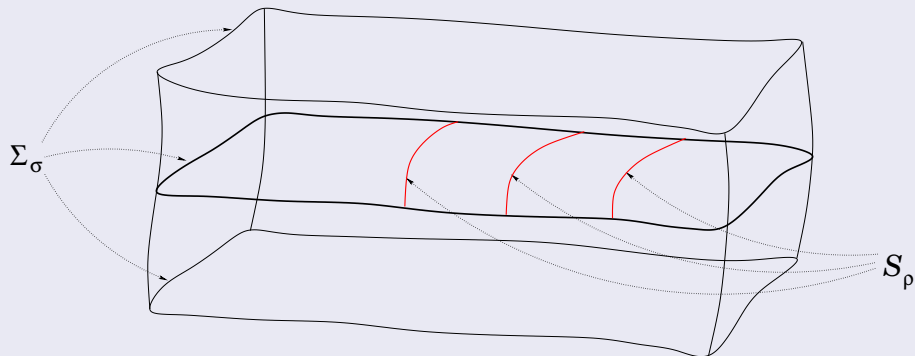
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PART II:



The explicit form of the constraints:

The constraint expressions are projections of $E_{ab} = G_{ab} - \mathcal{G}_{ab}$:

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A simple example:

Consider the underdetermined equation on $\Sigma \approx \mathbb{R}^2$ with some coordinates (χ, ξ)

$$(\partial_\chi^2 + \partial_\xi^2)u + (\partial_\chi - \partial_\xi)v + (a\partial_\chi - \partial_\xi^2)w + z = 0$$

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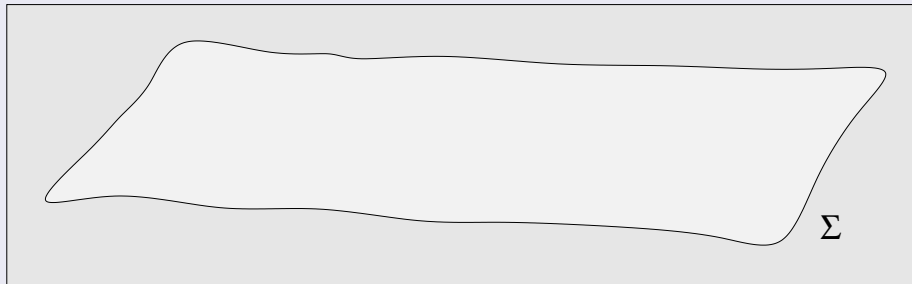
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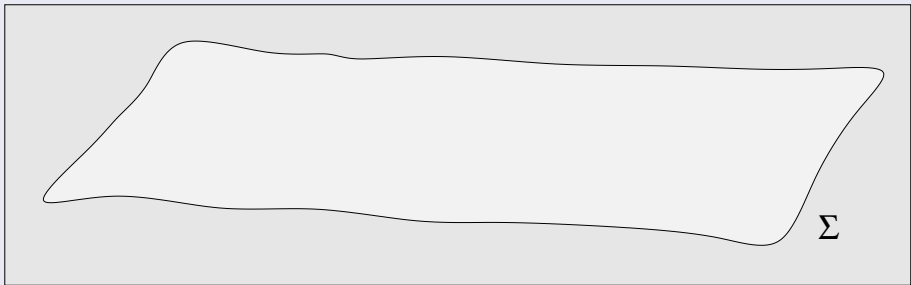
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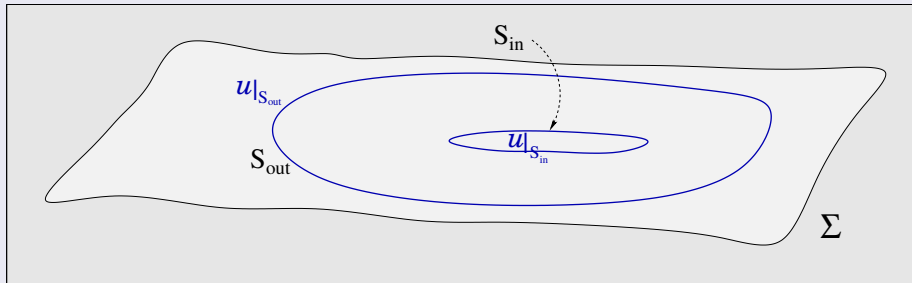


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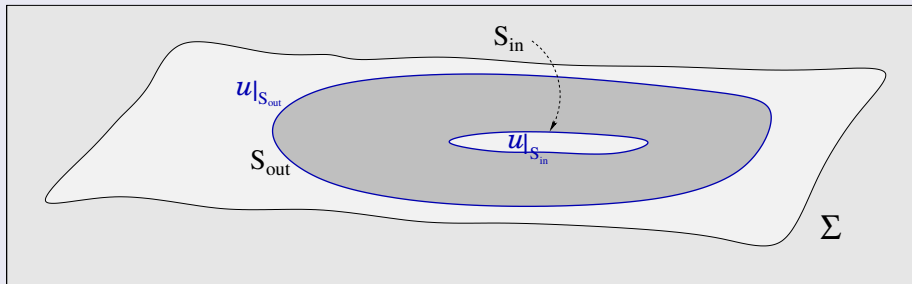


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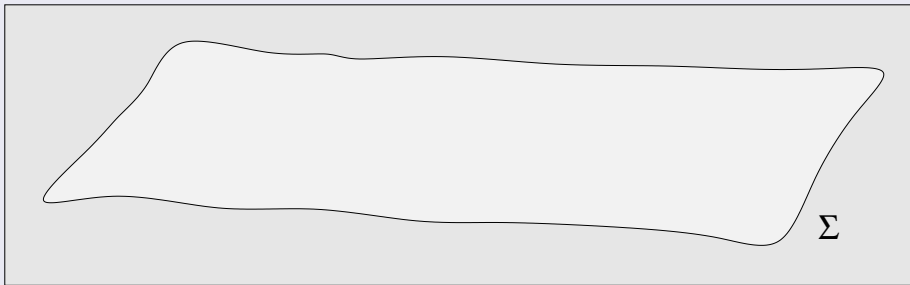
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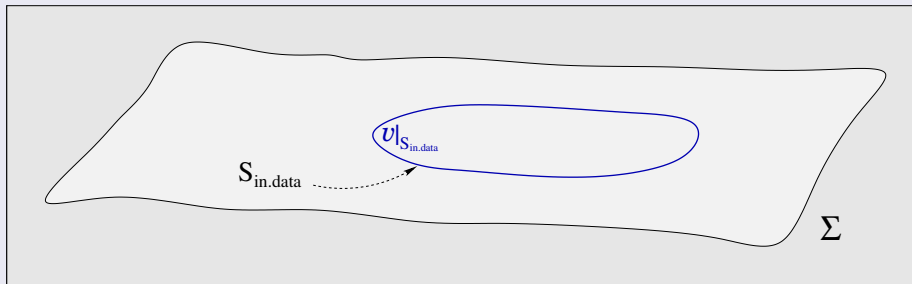


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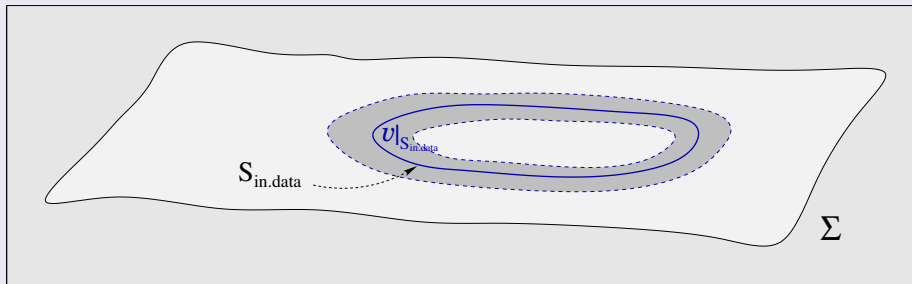


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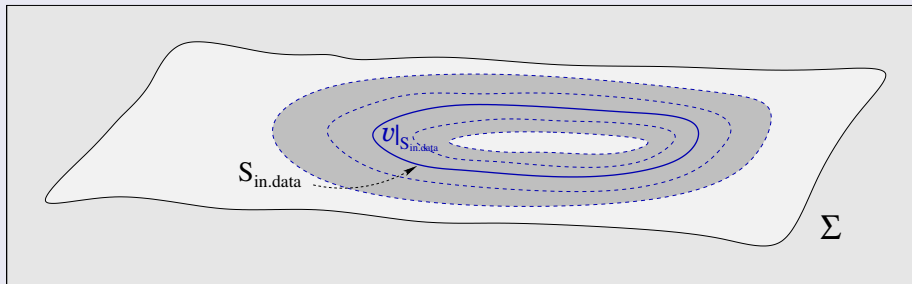


A simple example:

It is a hyperbolic equation for v on $\Sigma \approx \mathbb{R}^2$:

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables u, w and z have to be specified on \mathbb{R}^2
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A simple example:

It is a parabolic equation for w on $\Sigma \approx \mathbb{R}^2$:

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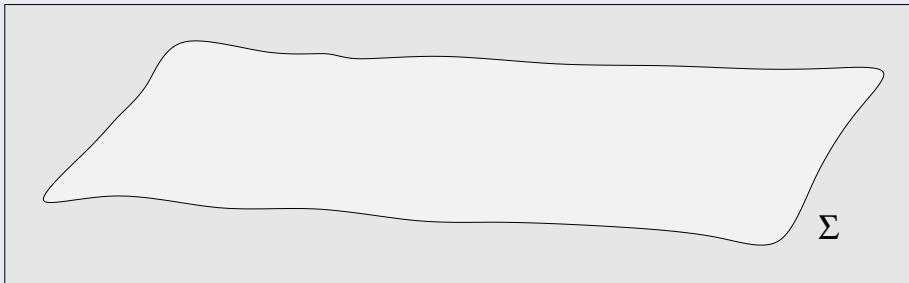
$$a > 0$$

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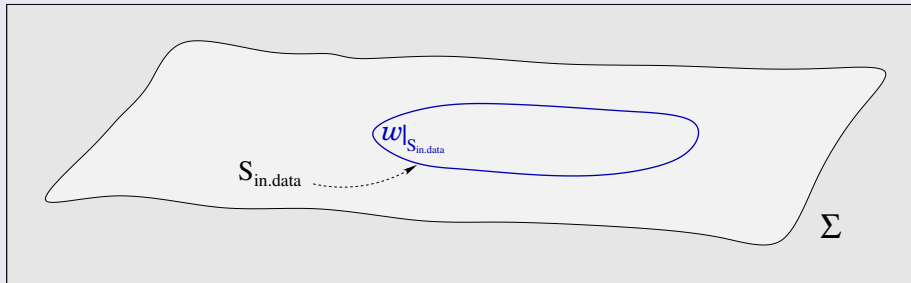


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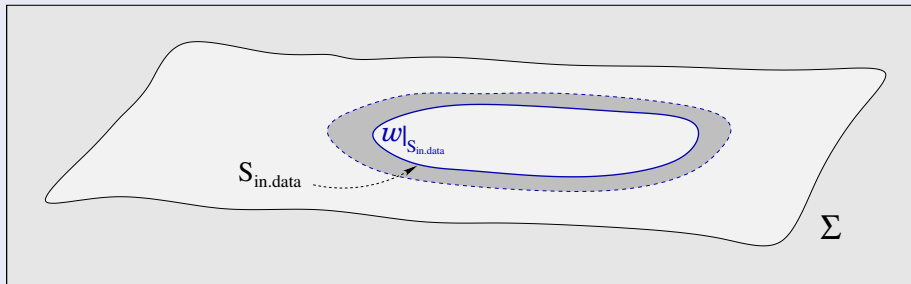


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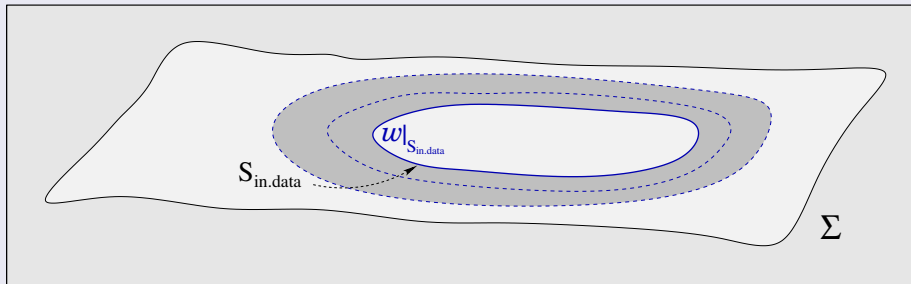


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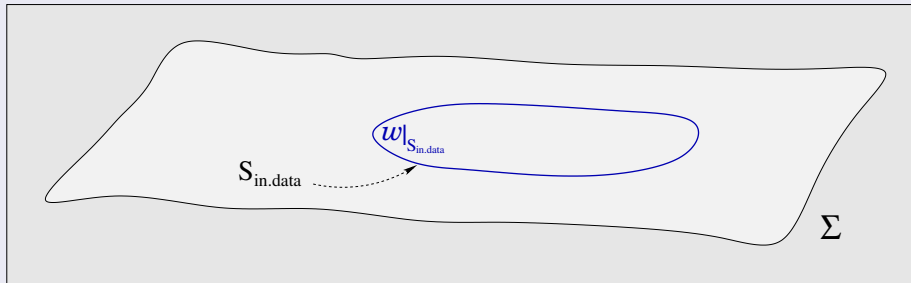


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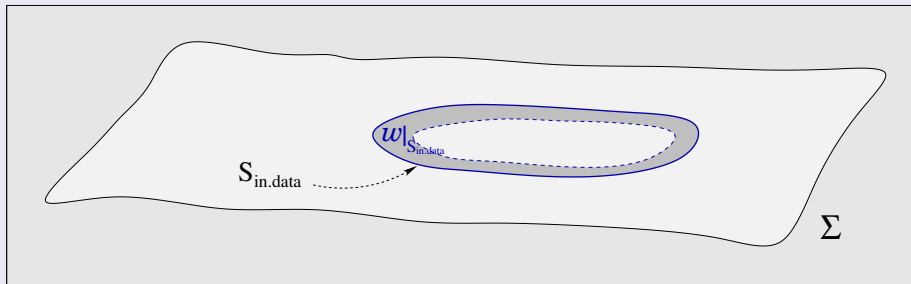


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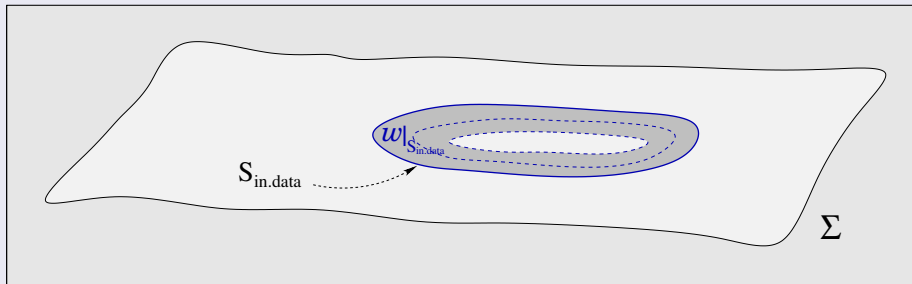


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A simple example:

It is an algebraic equation for z :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x^2 - \partial_\xi^2)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- once the variables u, v, w are specified on \mathbb{R}^2 the solution is determined as

$$z = - [(\partial_x^2 + \partial_\xi^2)u + (\partial_x^2 - \partial_\xi^2)v + (a \partial_x - \partial_\xi^2)w]$$

A simple example:

It is an algebraic equation for z :

$$(\partial_x^2 + \partial_\xi^2)\mathbf{u} + (\partial_x^2 - \partial_\xi^2)\mathbf{v} + (a \partial_x - \partial_\xi^2)w + \mathbf{z} = 0$$

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New variables by applying $2 + 1$ decompositions:

Splitting of the metric h_{ij} :

- choose ρ^i to be a flow field on Σ : the integral curves... & $\rho^i \partial_i \rho = 1$
- 'lapse' and 'shift' of ρ^i

$$\rho^i = \widehat{N} \widehat{n}^i + \widehat{N}^i, \quad \text{where} \quad \widehat{N} = \rho^j \widehat{n}_j \quad \text{and} \quad \widehat{N}^i = \widehat{\gamma}^i_j \rho^j$$

- induced metric, extrinsic curvature and acceleration of the \mathcal{S}_ρ level surfaces:

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2 + 1 decompositions:

Splitting of the symmetric tensor field K_{ij} :



$$K_{ij} = \kappa \hat{n}_i \hat{n}_j + [\hat{n}_i \mathbf{k}_j + \hat{n}_j \mathbf{k}_i] + \mathbf{K}_{ij}$$

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- the trace and trace free parts of \mathbf{K}_{ij}

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$$(h_{ij}, K_{ij}) \iff (\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}; \kappa, \mathbf{k}_i, \mathbf{K}^l{}_l, \mathring{\mathbf{K}}_{ij})$$

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The momentum constraint:

$$D_e K^e_a - D_a K^e_e - \epsilon p_a = 0$$

First order symmetric hyperbolic system:

- contract "(1)" with $2\hat{N}\hat{\gamma}^{\mu\nu}$ and mult. "(2)" by \hat{N} , when writing them out in coordinates (ρ, x^2, x^3) , adopted to the foliation \mathcal{S}_ρ and the vector field ρ^i ,

- a first order symmetric hyperbolic system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E_E)^T$$

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$$\mathcal{L}_{\hat{n}} \mathbf{k}_i - \frac{1}{2} \hat{D}_i (\mathbf{K}^l{}_l) - \hat{D}_i \boldsymbol{\kappa} + \hat{D}^l \overset{\circ}{\mathbf{K}}_{li} + (\hat{K}^l{}_l) \mathbf{k}_i + \boldsymbol{\kappa} \dot{\hat{n}}_i - \dot{\hat{n}}^l \mathbf{K}_{li} - \epsilon p_l \hat{\gamma}^l{}_i = 0$$

◀ back: str.hyp.sys.

$$\mathcal{L}_{\hat{n}} (\mathbf{K}^l{}_l) - \hat{D}^l \mathbf{k}_l - \boldsymbol{\kappa} (\hat{K}^l{}_l) + \mathbf{K}_{kl} \hat{K}^{kl} + 2 \dot{\hat{n}}^l \mathbf{k}_l + \epsilon p_l \dot{\hat{n}}^l = 0$$

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◀ back: str.hyp.sys.

$$\mathcal{L}_{\widehat{n}} (\mathbf{K}^l_l) - \widehat{D}^l \mathbf{k}_l - \boldsymbol{\kappa} (\widehat{K}^l_l) + \mathbf{K}_{kl} \widehat{K}^{kl} + 2 \hat{n}^l \mathbf{k}_l + \epsilon p_l \widehat{n}^l = 0$$

First order symmetric hyperbolic system:

- contract “(1)” with $2 \widehat{N} \widehat{\gamma}^{ij}$ and mult. “(2)” by \widehat{N} , when writing them out in coordinates (ρ, x^2, x^3) , adopted to the foliation \mathcal{S}_ρ and the vector field ρ^i ,

- a first order **symmetric hyperbolic** system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E_E)^T$$

The momentum constraint:

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!!! ρ plays the role of ‘time’

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regardless of the value of $\epsilon = \pm 1$

The Hamiltonian constraint:

The Hamiltonian constraint in terms of the new variables:

- $$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{-\epsilon^{(3)}R + (K^e_e)^2 - K_{ef}K^{ef} - 2\epsilon\} = 0$$

- using
$${}^{(3)}R = \widehat{R} - \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl}\widehat{K}^{kl} + 2\widehat{N}^{-1}\widehat{D}^l\widehat{D}_l\widehat{N} \right\}$$

\widehat{R} and \widehat{K}_{kl} denote the scalar and extrinsic curvature of $\widehat{\gamma}_{kl}$, respectively

- $$-\epsilon\widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl}\widehat{K}^{kl} + 2\widehat{N}^{-1}\widehat{D}^l\widehat{D}_l\widehat{N} \right\} + 2\kappa K^l_l + \frac{1}{2}(K^l_l)^2 - 2k^l k_l - \overset{\circ}{K}_{kl}\overset{\circ}{K}^{kl} - 2\epsilon = 0$$

Alternative choices yielding evolutionary systems:

- it is a parabolic equation for \widehat{N} (the sign of \widehat{K}^l_l plays a role)
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The parabolic-hyperbolic system:

The Hamiltonian constraint as a parabolic equation for \widehat{N} :

$$-\epsilon \widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) + (\widehat{K}^l_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\} + 2 \kappa \mathbf{K}^l_l + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon = 0$$

- $\widehat{K}^l_l = \widehat{\gamma}^{ij} \widehat{K}_{ij} = \widehat{N}^{-1} \left[\frac{1}{2} \widehat{\gamma}^{ij} \mathcal{L}_\rho \widehat{\gamma}_{ij} - \widehat{D}_j \widehat{N}^j \right] = \widehat{N}^{-1} \widehat{K}^*$ as $\widehat{n}^i = \widehat{N}^{-1} [\rho^i - \widehat{N}^i]$
- $\mathcal{L}_{\widehat{n}}(\widehat{K}^l_l) = -\widehat{N}^{-3} \widehat{K}^* [(\partial_\rho \widehat{N}) - (\widehat{N}^l \widehat{D}_l \widehat{N})] + \widehat{N}^{-2} [(\partial_\rho \widehat{K}) - (\widehat{N}^l \widehat{D}_l \widehat{K})]$
- using
$$\begin{aligned} A &= 2 [(\partial_\rho \widehat{K}) - \widehat{N}^l (\widehat{D}_l \widehat{K})] + \widehat{K}^{*2} + \widehat{K}^*_{kl} \widehat{K}^{*kl} \\ B &= -\widehat{R} + \epsilon \left[2 \kappa (\mathbf{K}^l_l) + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon \right] \end{aligned}$$
- it gets to be a **Bernoulli-type parabolic partial differential equation** provided that \widehat{K}^* ...
- $$2 \widehat{K}^* [(\partial_\rho \widehat{N}) - \widehat{N}^l (\widehat{D}_l \widehat{N})] = 2 \widehat{N}^2 (\widehat{D}^l \widehat{D}_l \widehat{N}) + A \widehat{N} + B \widehat{N}^3$$
 & momentum constr.
- in highly specialized cases of “quasi-spherical” foliations with $\widehat{\gamma}_{ij} = r^2 \mathring{\gamma}_{ij}$ and with time symmetric initial data $K_{ij} \equiv 0$ R. Bartnik (1993), G. Weinstein & B. Smith (2004)

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- $\widehat{K}^l_l = \widehat{\gamma}^{ij} \widehat{K}_{ij} = \widehat{N}^{-1} \left[\frac{1}{2} \widehat{\gamma}^{ij} \mathcal{L}_\rho \widehat{\gamma}_{ij} - \widehat{D}_j \widehat{N}^j \right] = \widehat{N}^{-1} \widehat{K}^*$ as $\widehat{n}^i = \widehat{N}^{-1} [\rho^i - \widehat{N}^i]$

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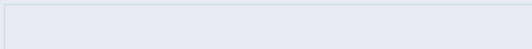
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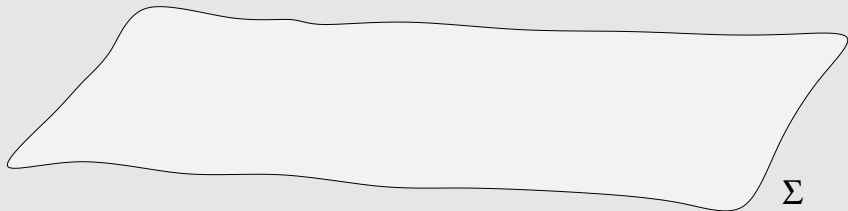
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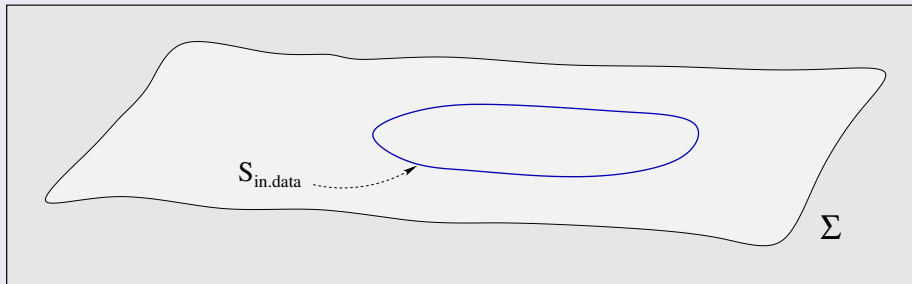
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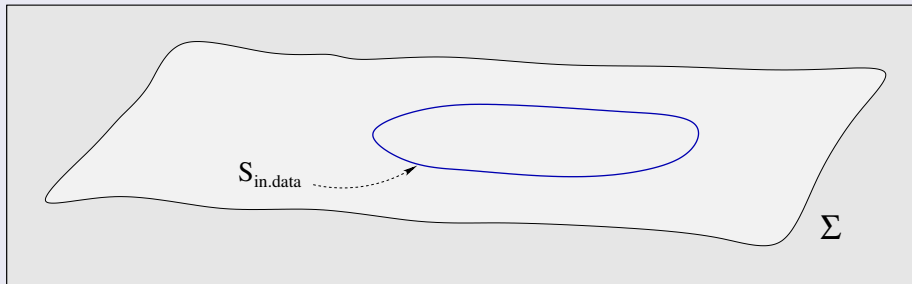
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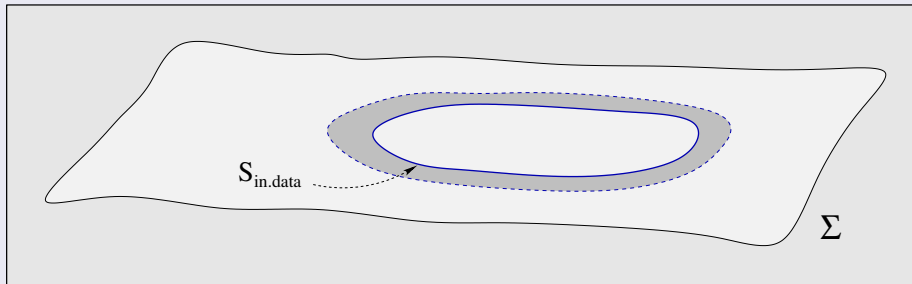
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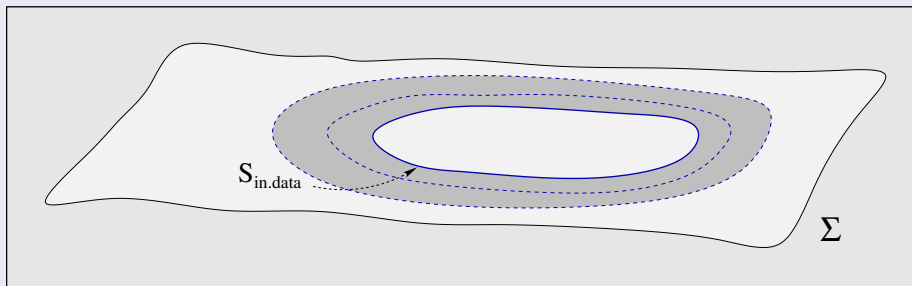
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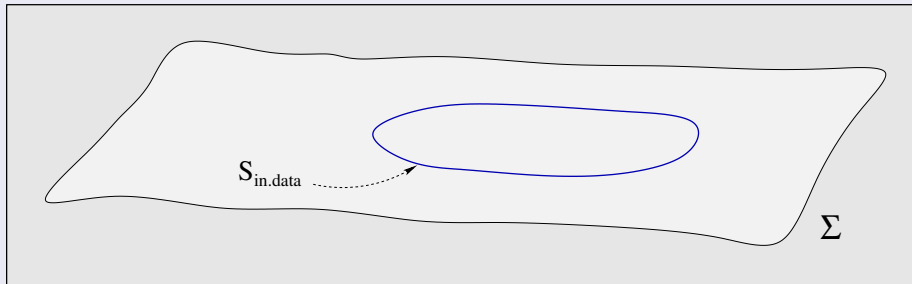
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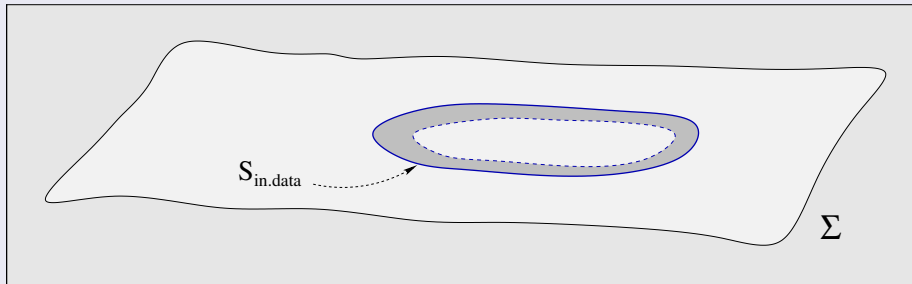
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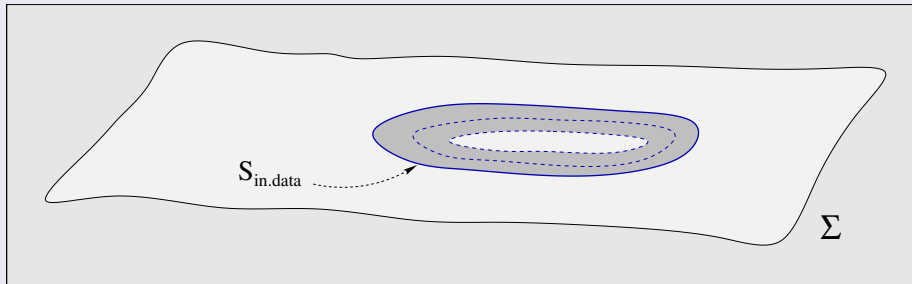
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The strongly hyperbolic system:

The Hamiltonian constraint as an algebraic equation for κ :

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whence $\kappa = (2 \mathbf{K}^l{}_l)^{-1} [2 \mathbf{k}^l \mathbf{k}_l - \frac{1}{2} (\mathbf{K}^l{}_l)^2 - \kappa_0]$, $\kappa_0 = -\epsilon {}^{(3)}R - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon$

- by eliminating $\widehat{D}_i \kappa$ from the momentum constraint mom. constr. one gets

$$\mathcal{L}_{\widehat{n}} \mathbf{k}_i + (\mathbf{K}^l{}_l)^{-1} [\kappa \widehat{D}_i (\mathbf{K}^l{}_l) - 2 \mathbf{k}^l \widehat{D}_i \mathbf{k}_l] + (2 \mathbf{K}^l{}_l)^{-1} \widehat{D}_i \kappa_0 \\ + (\widehat{K}^l{}_l) \mathbf{k}_i + [\kappa - \frac{1}{2} (\mathbf{K}^l{}_l)] \widehat{n}_i - \widehat{n}^l \mathring{\mathbf{K}}_{li} + \widehat{D}^l \mathring{\mathbf{K}}_{li} - \epsilon p_l \widehat{\gamma}^l{}_i = 0, \\ \mathcal{L}_{\widehat{n}} (\mathbf{K}^l{}_l) - \widehat{D}^l \mathbf{k}_l - \kappa (\widehat{K}^l{}_l) + \mathbf{K}_{kl} \widehat{K}^{kl} + 2 \widehat{n}^l \mathbf{k}_l + \epsilon p_l \widehat{n}^l = 0$$

- the above system is a **strongly hyperbolic** one for $(\mathbf{k}_i, \mathbf{K}^l{}_l)^T$ provided that $\kappa \cdot \mathbf{K}^l{}_l < 0$
- κ is determined algebraically once \mathbf{k}_i and $\mathbf{K}^l{}_l$ are known !!!
- the entire three-metric $h_{ij} = \widehat{\gamma}_{ij} + \widehat{n}_i \widehat{n}_j$ is freely specifiable. !!!

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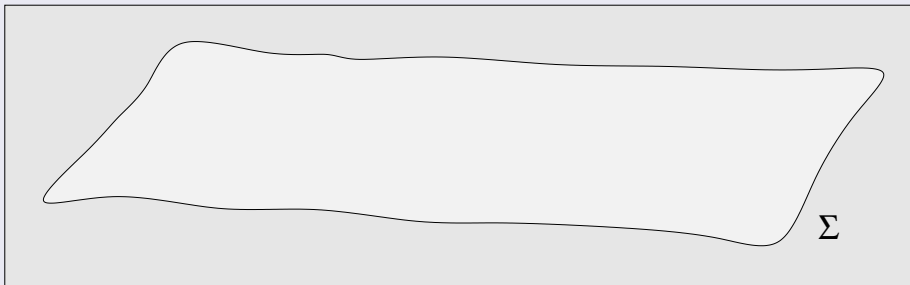
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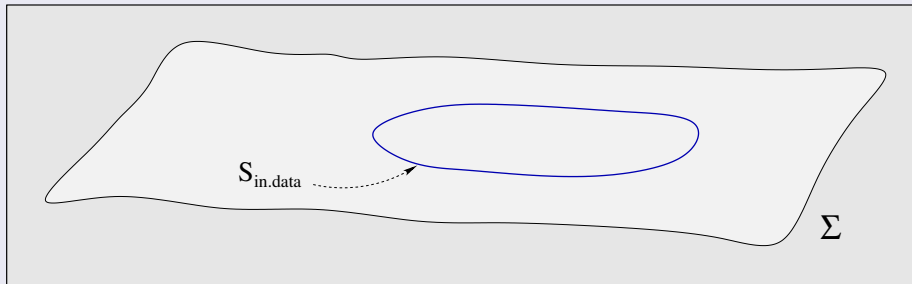
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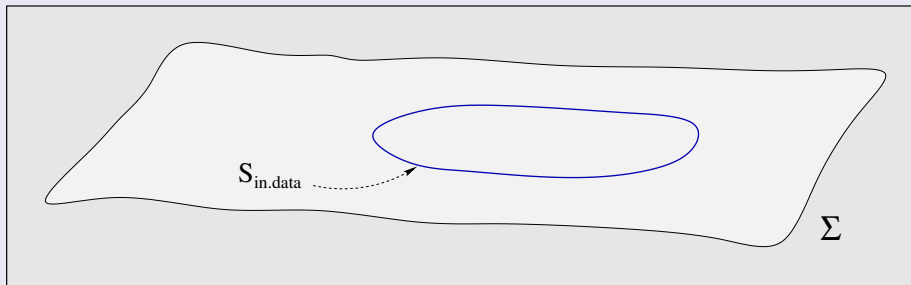
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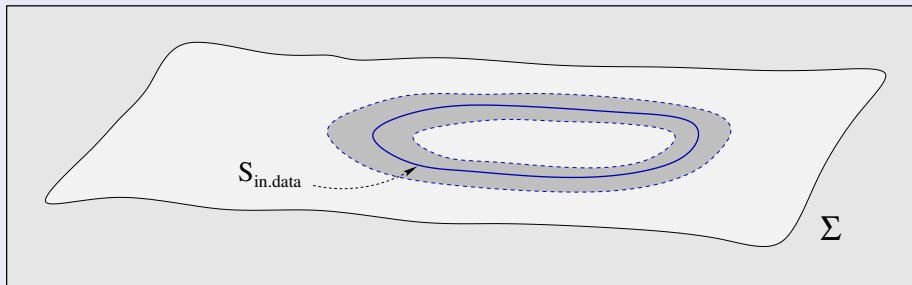
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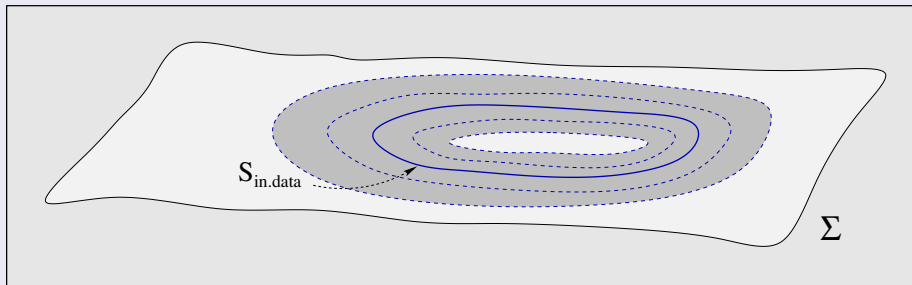
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Summary:

4-dimensional **Riemannian and Lorentzian spaces** satisfying Einstein's equations, and some mild topological assumptions, were considered. **!!!** [$n(\geq 4)$]

- it was shown that the constraint expressions satisfy a **FOSH system** that is linear and homogeneous \implies (the constraints propagate)
- concerning the constraint equations in Einstein's theory it was shown:
 - **!!!** the Hamiltonian constraint is a **propagation equation** (not a constraint)
 - **!!!** the momentum constraint is a **propagation equation** (not a constraint)
 - **!!!** the coupled constraint equations can be written as a **propagation system** a **parabolic-hyperbolic** or a **strongly hyperbolic** system (local) existence and uniqueness of solutions are guaranteed
- **!!!** regardless whether the primary space is Riemannian or Lorentzian
- **!!!** no use of gauge conditions

The take home message:

On contrary to the folklore, in the considered two explicit examples, **evolutionary methods can be applied in spaces with metric of Euclidean signature** where, in principle, there is no room for 'time'

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The first order symmetric hyperbolic system for $(E^{(\mathcal{H})}, E_i^{(\mathcal{M})})^T$

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$$[h^{ij} - n^i n^j] \xi_i \xi_j = [g^{ij} - (1 + \epsilon) n^i n^j] \xi_i \xi_j = 0$$

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The roots of the evolutionary aspects

The first order symmetric hyperbolic system for $(E^{(\mathcal{H})}, E_i^{(\mathcal{M})})^T$

- Characteristic directions associated with the FOSH system governing the evolution of the constraint expressions are determined as

$$[h^{ij} - n^i n^j] \xi_i \xi_j = [g^{ij} - (1 + \epsilon) n^i n^j] \xi_i \xi_j = 0$$

The momentum constraint: first order symmetric hyperbolic system

- with characteristic cone given as

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Deriving a Lorentzian metric from a Riemannian one

- ... given a Riemannian metric \mathfrak{g}_{ij} , a unit form field \mathbf{n}_i and a positive real function $\alpha \implies$ a metric of Lorentzian signature can be defined as

$$\check{\mathfrak{g}}_{ij} = \mathfrak{g}_{ij} - (1 + \alpha) \mathbf{n}_i \mathbf{n}_j$$

The conformal (elliptic) method:

Lichnerowicz A (1944) and **York J W (1972)**:

- replace

$$h_{ij} = \phi^4 \tilde{h}_{ij} \quad \text{and} \quad K_{ij} - \frac{1}{3} h_{ij} K^l_l = \phi^{-2} \tilde{K}_{ij}$$

using these variables the constraints are put into a **semilinear elliptic system**

$$\tilde{D}^l \tilde{D}_l \phi + \epsilon \frac{1}{8} \tilde{R} \phi + \frac{1}{8} \tilde{K}_{ij} \tilde{K}^{ij} \phi^{-7} - \left[\frac{1}{12} (K^l_l)^2 - \frac{1}{4} \epsilon \right] \phi^5 = 0$$

where $\tilde{D}_l, \tilde{R}, \dots, \tilde{h}_{ij}$

$$\tilde{K}_{ij} = \tilde{K}_{ij}^{[L]} + \tilde{K}_{ij}^{[TT]}, \quad \text{where} \quad \tilde{K}_{ij}^{[L]} = \left(\tilde{D}_i X_j + \tilde{D}_j X_i - \frac{2}{3} \tilde{h}_{ij} \tilde{D}^l X_l \right)$$

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$$(h_{ij}, K_{ij})$$

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