

# On the use of evolutionary methods in spaces of Euclidean signature

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Supported by the POLONEZ programme of the National Science Centre of Poland which has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No. 665778.



Relativity Group at the University of Chicago,  
Chicago, 26 February 2019

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some of the arguments and techniques developed originally and applied so far exclusively only in the Lorentzian case do also apply to Riemannian spaces

Based on some recent works:

- I. Rácz: *Is the Bianchi identity always hyperbolic?*, CQG 31 155004 (2014)
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All the involved results are valid for arbitrary dimension: i.e. for  $\dim(M) = n (\geq 4)$ . Nevertheless, for the sake simplicity attention will be restricted to the case of  $n = 4$ .

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# Outline:

- **Einsteinian spaces:**  $(M, g_{ab})$

- First part
- Second part

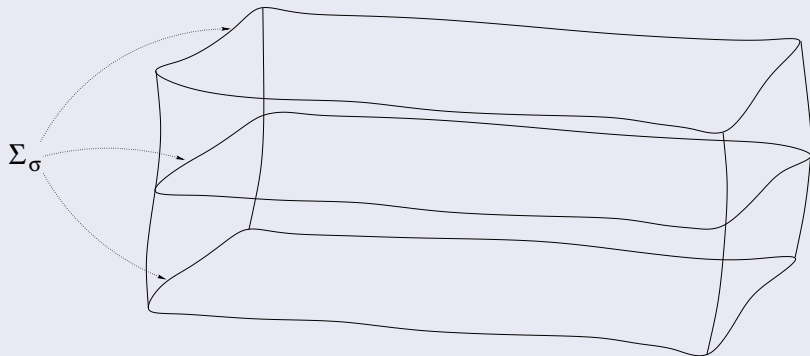
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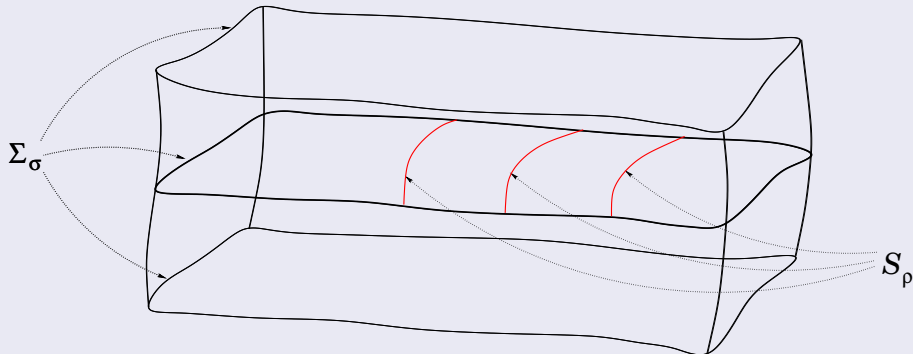


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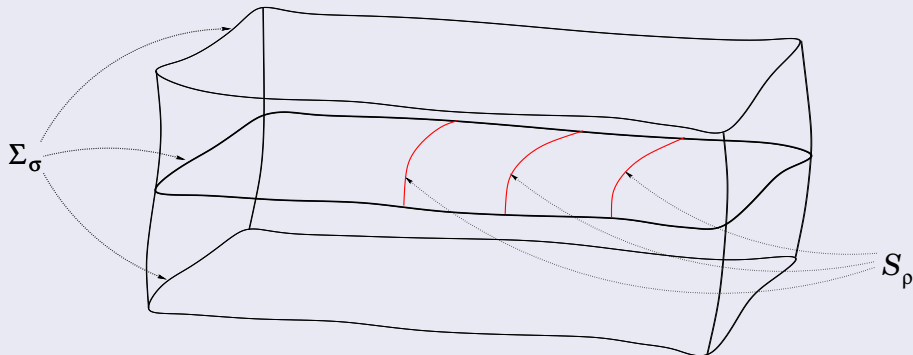


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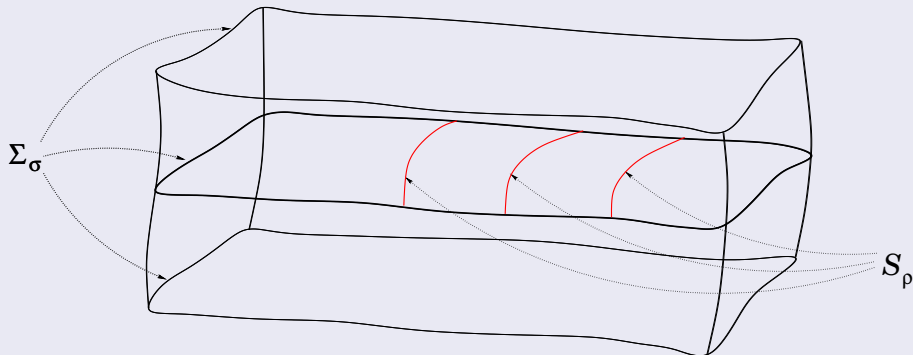
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- **Einsteinian spaces:**  $(M, g_{ab})$

- $M$  : 4-dimensional, smooth, paracompact, connected, orientable manifold
- $g_{ab}$ : smooth Lorentzian $(-,+,+,+)$  or Riemannian $(+,+,+,+)$  metric

- **Einstein's equations:**

$$G_{ab} - \mathcal{G}_{ab} = 0$$

with source term:

$$\nabla^a \mathcal{G}_{ab} = 0$$

- in a more familiar setup: Einstein's equations with cosmological constant  $\Lambda$

$$[R_{ab} - \frac{1}{2} g_{ab} R] + \Lambda g_{ab} = 8\pi T_{ab}$$

with matter fields satisfying their Euler-Lagrange equations

$$\mathcal{G}_{ab} = 8\pi T_{ab} - \Lambda g_{ab}$$

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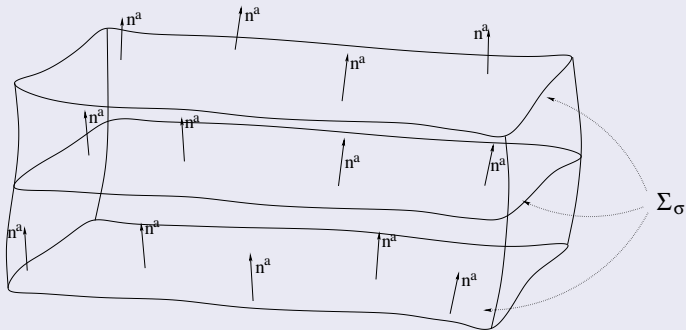
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## The primary splitting

- **Assume:**  $M$  is foliated by a one-parameter family of homologous hypersurfaces, i.e.  $M \simeq \mathbb{R} \times \Sigma$ , for some three-dimensional manifold  $\Sigma$ .
  - known to hold for globally hyperbolic spacetimes (Lorentzian case)
  - equivalent to the existence of a smooth function  $\sigma : M \rightarrow \mathbb{R}$  with non-vanishing gradient  $\partial_a \sigma$  such that the  $\sigma = \text{const}$  level surfaces  $\Sigma_\sigma = \{\sigma\} \times \Sigma$  comprise the one-parameter foliation of  $M$ .
  - $n_a \sim \partial_a \sigma \dots \& \dots g^{ab} \rightarrow n^a = g^{ab} n_b$

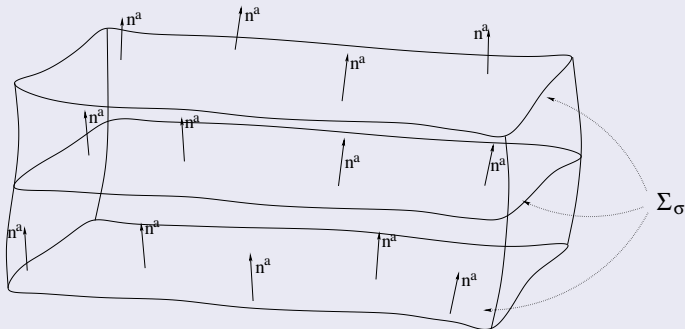




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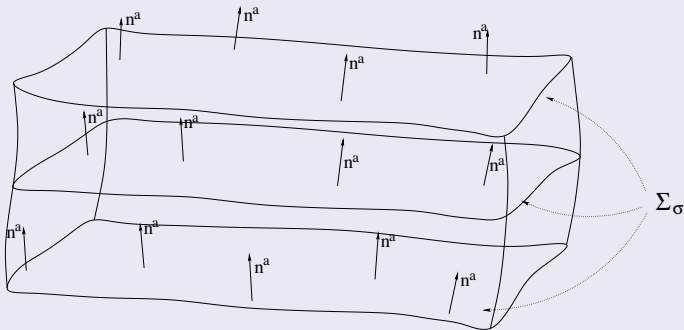


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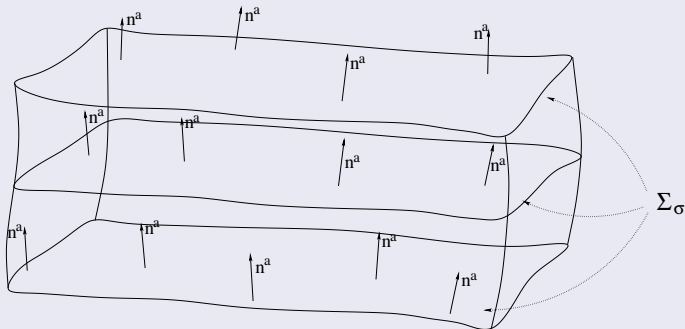
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# Projections:

## The projection operator:

- $n^a$  the 'unit norm' vector field that is normal to the  $\Sigma_\sigma$  level surfaces

$$n^a n_a = \epsilon$$

- the sign is not fixed:  $\epsilon$  takes the value  $-1$  or  $+1$  for Lorentzian or Riemannian metric  $g_{ab}$ , respectively.
- **the projection operator**

$$h^a_b = \delta^a_b - \epsilon n^a n_b$$

to the level surfaces of  $\sigma : M \rightarrow \mathbb{R}$ .

- **the induced metric** on the  $\sigma = \text{const}$  level surfaces

$$h_{ab} = h^e_a h^f_b g_{ef}$$

- $D_a$  denotes the covariant derivative operator associated with  $h_{ab}$ .

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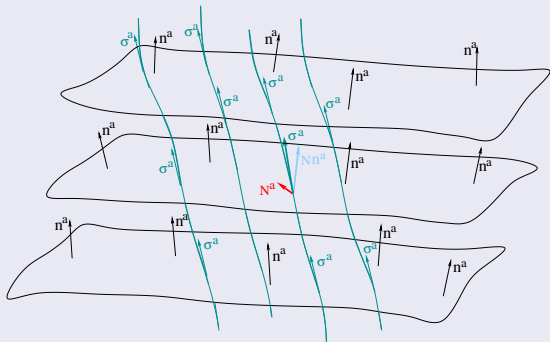
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# $\sigma^a$ is “time evolution vector field” **if**:

- the integral curves of  $\sigma^a$  meet the  $\sigma = \text{const}$  level surfaces precisely once

- $\sigma^e \nabla_e \sigma = 1$

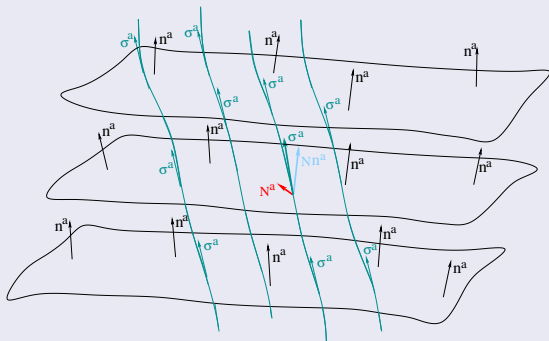


where  $N$  and  $N^a$  denotes the lapse and shift of  $\sigma^a$ :

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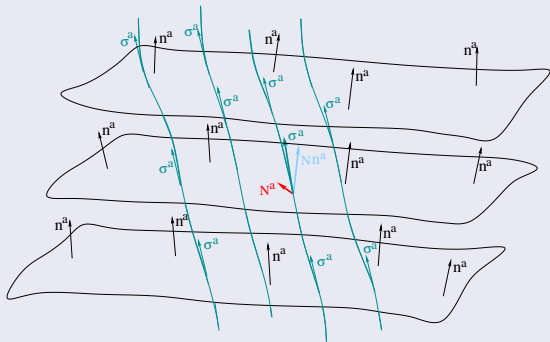


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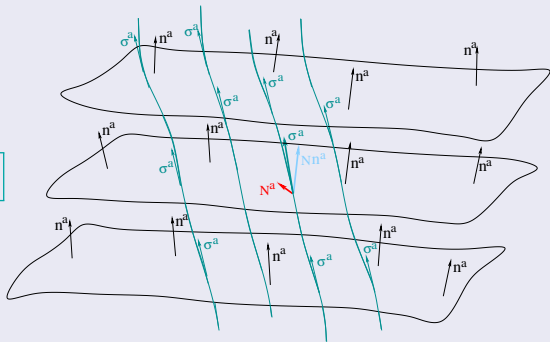
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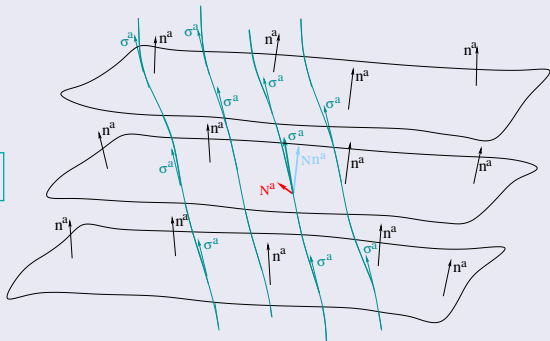
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# Decompositions of various fields:

Any symmetric tensor field  $P_{ab}$  can be decomposed

in terms of  $n^a$  and fields living on the  $\sigma = \text{const}$  level surfaces as

$$P_{ab} = \pi n_a n_b + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathbf{P}_{ab}$$

It is also rewarding to inspect the decomposition of the contraction  $\nabla^a P_{ab}$

$$\begin{aligned} \epsilon (\nabla^a P_{ae}) n^e &= \mathcal{L}_n \pi + D^e \mathbf{p}_e + [\pi (K^e_e) - \epsilon \mathbf{P}_{ef} K^{ef} - 2\epsilon \dot{n}^e \mathbf{p}_e] \\ (\nabla^a P_{ae}) h^e_b &= \mathcal{L}_n \mathbf{p}_b + D^e \mathbf{P}_{eb} + [(K^e_e) \mathbf{p}_b + \dot{n}_b \pi - \epsilon \dot{n}^e \mathbf{P}_{eb}] \end{aligned}$$

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$$K_{ab} = h^e{}_a \nabla_e n_b = \frac{1}{2} \mathcal{L}_n h_{ab}$$

$$\dot{n}_a := n^e \nabla_e n_a = -\epsilon D_a \ln N$$

# Decompositions of various fields:

## Examples:

- the metric

$$g_{ab} = \epsilon n_a n_b + h_{ab}$$

- the "source term"

$$\mathcal{G}_{ab} = n_a n_b \epsilon + [n_a p_b + n_b p_a] + \mathcal{S}_{ab}$$

where  $\epsilon = n^e n^f \mathcal{G}_{ef}$ ,  $p_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$ ,  $\mathcal{S}_{ab} = h^e_a h^f_b \mathcal{G}_{ef}$

- r.h.s. of Einstein's equation:  $E_{ab} = G_{ab} - \mathcal{G}_{ab}$

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- $N \times$  "1" and  $Nh^{ij} \times$  "2" in local coordinates  $(\sigma, x^1, x^2, x^3)$  adapted to the vector field  $\sigma^a = N n^a + N^a$ :  $\sigma^e \nabla_e \sigma = 1$  and the foliation  $\{\Sigma_\sigma\}$ , read as

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# The main result of the first part:

## Theorem

Let  $(M, g_{ab})$  be an Einsteinian space as specified and assume that the metric  $h_{ab}$  induced on the  $\sigma = \text{const}$  level surfaces is Riemannian. Then, **regardless whether  $g_{ab}$  is of Lorentzian or Euclidean signature**, any solution to the reduced equations  $E_{ab}^{(\text{EVOL})} = 0$  is also a solution to the full set of field equations  $G_{ab} - \mathcal{G}_{ab} = 0$  provided that the constraint expressions  $E^{(\mathcal{H})}$  and  $E_a^{(\mathcal{M})}$  vanish on one of the  $\sigma = \text{const}$  level surfaces.

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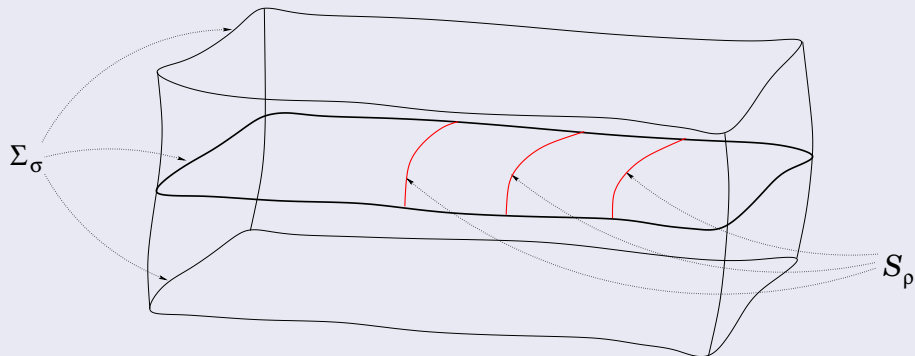
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## PART II:



# The explicit form of the constraints:

The constraint expressions are projections of  $E_{ab} = G_{ab} - \mathcal{G}_{ab}$ :

$$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{ -\epsilon^{(3)} R + (K^e_e)^2 - K_{ef} K^{ef} - 2\epsilon \} = 0$$

$$E_a^{(\mathcal{M})} = \epsilon h^e_a n^f E_{ef} = \epsilon [D_e K^e_a - D_a K^e_e - \epsilon p_a] = 0$$

- where  $D_a$  denotes the covariant derivative operator associated with  $h_{ab}$  and

$$\epsilon = n^e n^f \mathcal{G}_{ef}, \quad p_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$$

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# A simple example:

Consider the underdetermined equation on  $\Sigma \approx \mathbb{R}^2$  with some coordinates  $(\chi, \xi)$

$$(\partial_\chi^2 + \partial_\xi^2)u + (\partial_\chi - \partial_\xi)v + (a\partial_\chi - \partial_\xi^2)w + z = 0$$

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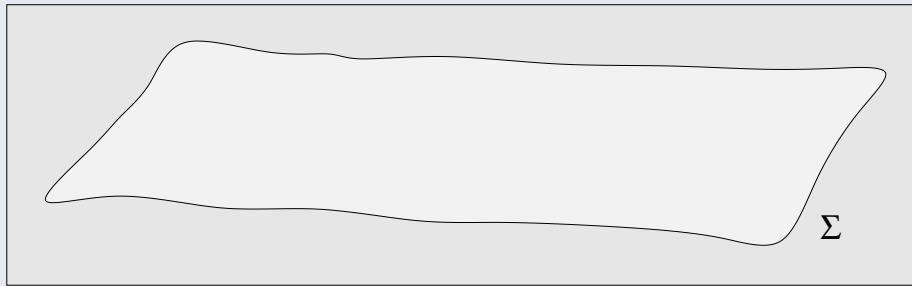
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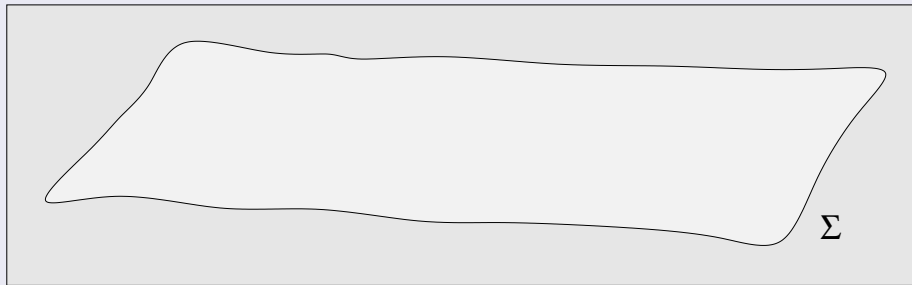
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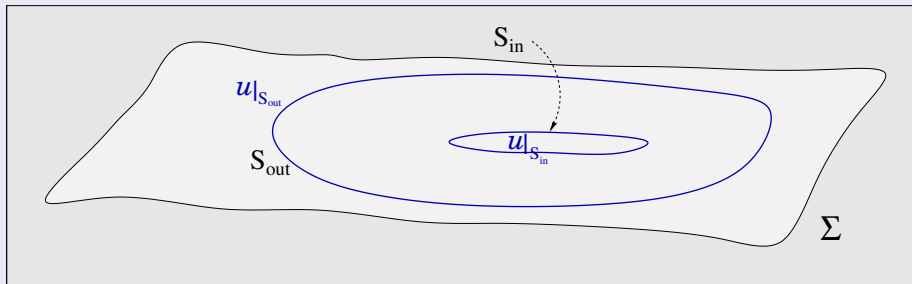


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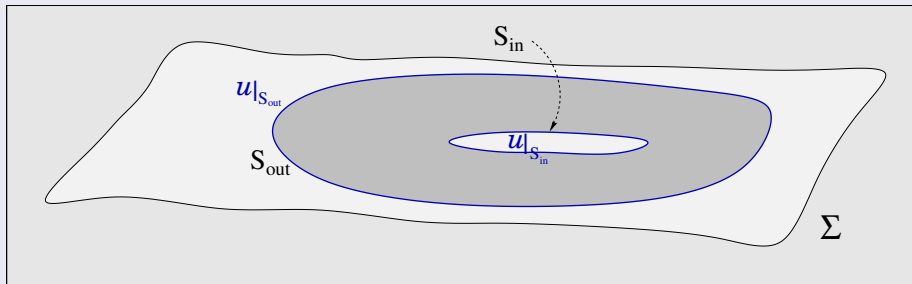


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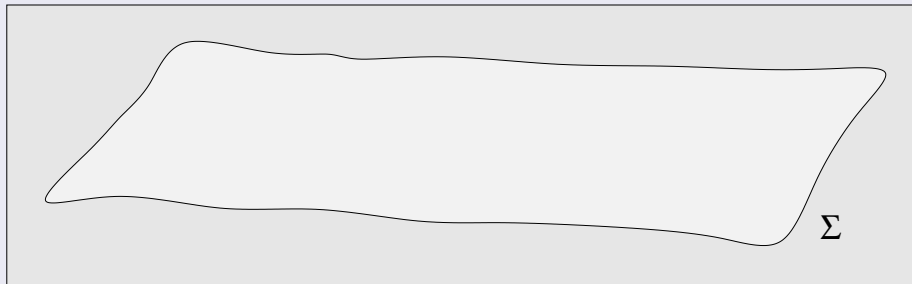


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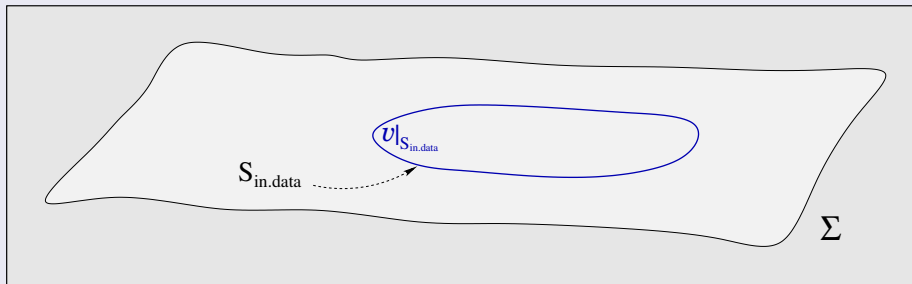


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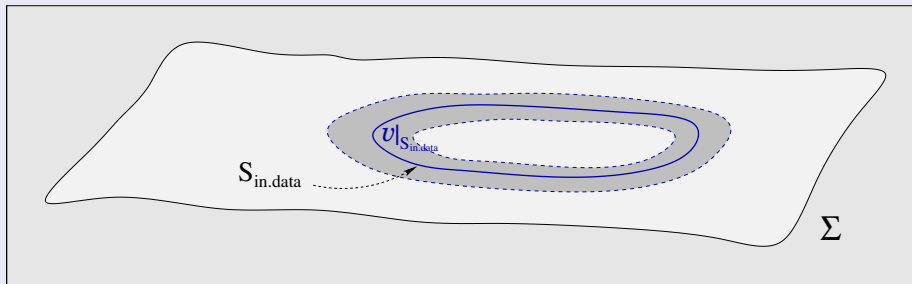


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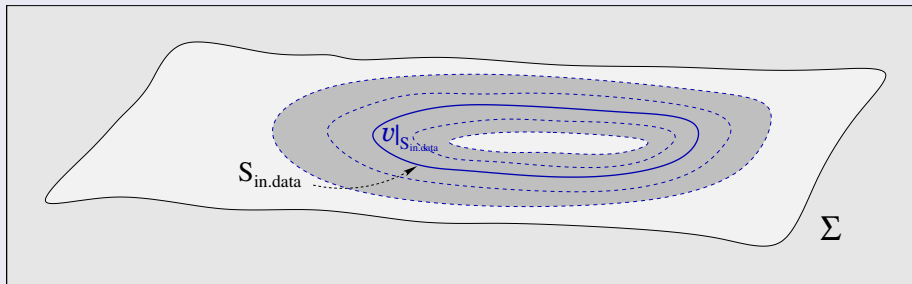


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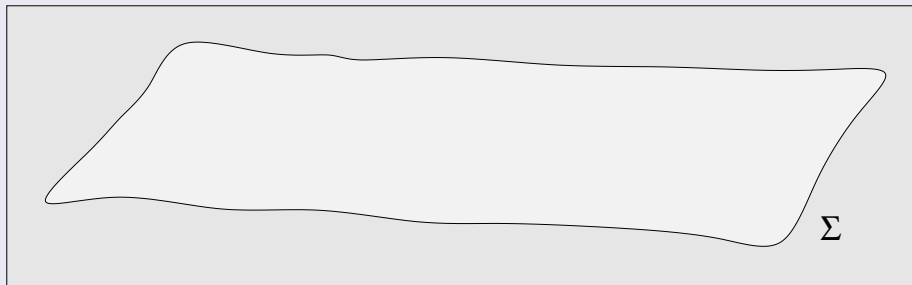
- in solving this equation the variables  $u$ ,  $v$  and  $z$  have to be fixed on  $\mathbb{R}^2$  :  $a > 0$
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# A simple example:

It is a parabolic equation for  $w$  on  $\Sigma \approx \mathbb{R}^2$  :

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a\partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables  $u$ ,  $v$  and  $z$  have to be fixed on  $\mathbb{R}^2$  :  $a > 0$
- the variable  $w$  has also to be fixed at the initial data surface  $S_{\text{in.data}}$

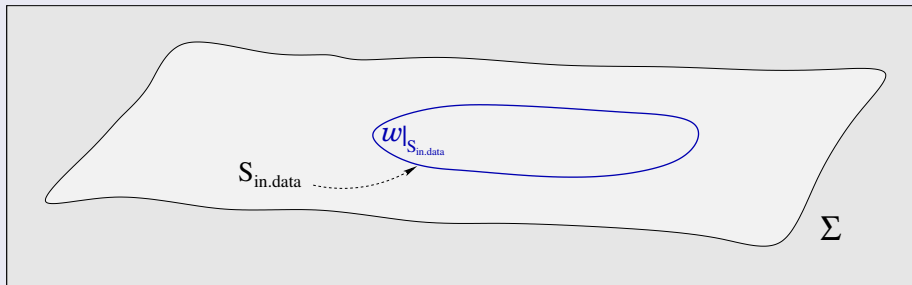


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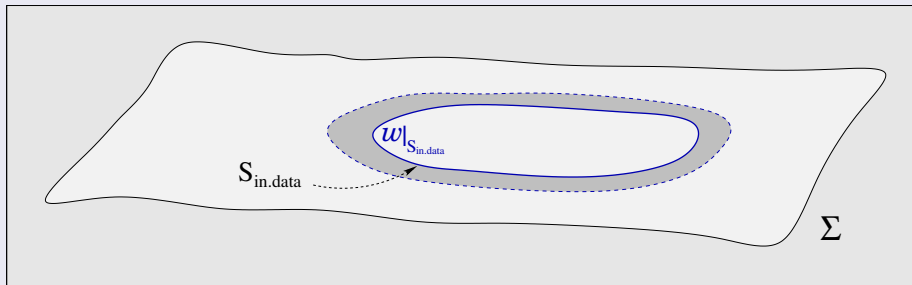


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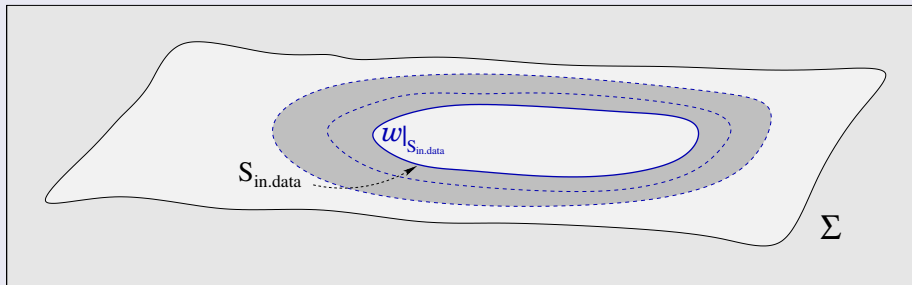


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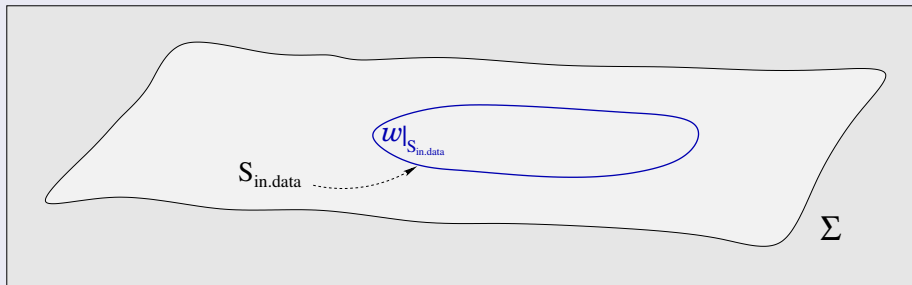


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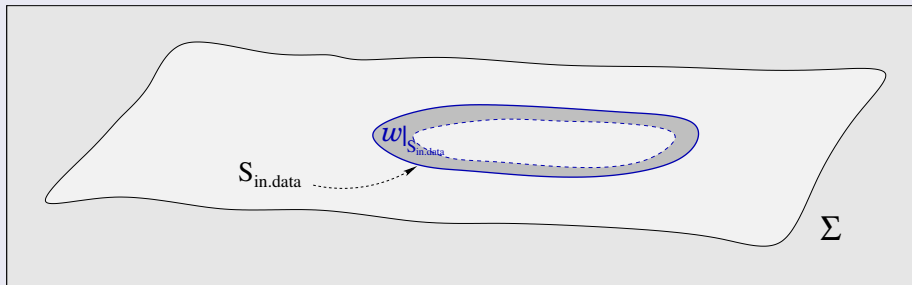


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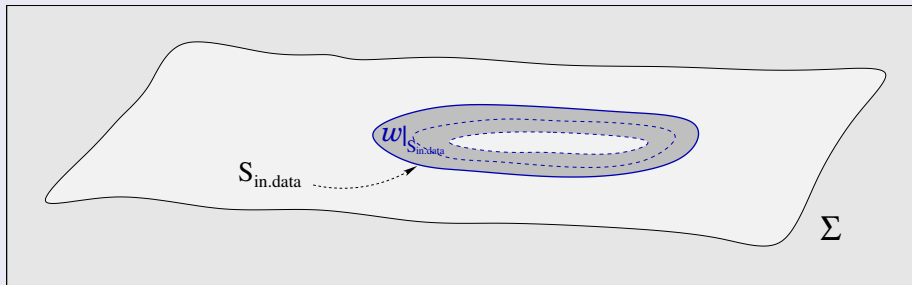


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It is an algebraic equation for  $z$  :

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# New variables by applying $2 + 1$ decompositions:

## Splitting of the metric $h_{ij}$ :

- choose  $\rho^i$  to be a vector field on  $\Sigma$ : the integral curves... &  $\rho^i \partial_i \rho = 1$
- 'lapse' and 'shift' of  $\rho^i$

$$\rho^i = \widehat{N} \widehat{n}^i + \widehat{N}^i, \quad \text{where} \quad \widehat{N} = \rho^j \widehat{n}_j \quad \text{and} \quad \widehat{N}^i = \widehat{\gamma}^i_j \rho^j$$

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# The momentum constraint:

$$D_e K^e{}_a - D_a K^e{}_e - \epsilon p_a = 0$$

## First order symmetric hyperbolic system:

- contract (1) with  $2\tilde{N}\tilde{\gamma}^{ij}$  and mult. (2) by  $\tilde{N}$ , when writing them out in coordinates  $(\rho, x^2, x^3)$ , adopted to the foliation  $\mathcal{S}_\rho$  and the vector field  $\rho^\sharp$ ,

- a first order symmetric hyperbolic system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E{}_E)^T$$

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◀ back: str.hyp.sys.

$$\mathcal{L}_{\hat{n}} (\mathbf{K}^l_l) - \hat{D}^l \mathbf{k}_l - \boldsymbol{\kappa} (\hat{K}^l_l) + \mathbf{K}_{kl} \hat{K}^{kl} + 2 \hat{n}^l \mathbf{k}_l + \epsilon p_l \hat{n}^l = 0$$

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## First order symmetric hyperbolic system:

- contract (1) with  $2 \widehat{N} \widehat{\gamma}^{ij}$  and mult. (2) by  $\widehat{N}$ , when writing them out in coordinates  $(\rho, x^2, x^3)$ , adopted to the foliation  $\mathcal{S}_\rho$  and the vector field  $\rho^i$ ,

- a first order **symmetric hyperbolic** system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E{}_E)^T$$

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regardless of the value of  $\epsilon = \pm 1$

# The Hamiltonian constraint:

## The Hamiltonian constraint in terms of the new variables:

- $$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{-\epsilon^{(3)}R + (K^e_e)^2 - K_{ef}K^{ef} - 2\epsilon\} = 0$$

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$${}^{(3)}R = \hat{R} - \left\{ 2\mathcal{L}_{\hat{n}}(\hat{K}^l_l) + (\hat{K}^l_l)^2 + \hat{K}_{kl}\hat{K}^{kl} + 2\hat{N}^{-1}\hat{D}^l\hat{D}_l\hat{N} \right\}$$

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# The parabolic-hyperbolic system:

The Hamiltonian constraint as a parabolic equation for  $\hat{N}$  :

$$-\epsilon \hat{R} + \epsilon \left\{ 2 \mathcal{L}_{\hat{n}}(\hat{K}^l_l) + (\hat{K}^l_l)^2 + \hat{K}_{kl} \hat{K}^{kl} + 2 \hat{N}^{-1} \hat{D}^l \hat{D}_l \hat{N} \right\} + 2\kappa \mathbf{K}^l_l + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2\mathbf{k}^l \mathbf{k}_l - \hat{\mathbf{K}}_{kl} \hat{\mathbf{K}}^{kl} - 2\epsilon = 0$$

- $\hat{K}^l_l = \hat{\gamma}^{ij} \hat{K}_{ij} = \hat{N}^{-1} [\frac{1}{2} \hat{\gamma}^{ij} \mathcal{L}_\rho \hat{\gamma}_{ij} - \hat{D}_j \hat{N}^j] = \hat{N}^{-1} \hat{K}$  as  $\hat{n}^i = \hat{N}^{-1} [\rho^i - \hat{N}^i]$
- $\mathcal{L}_{\hat{n}}(\hat{K}^l_l) = -\hat{N}^{-3} \hat{K} [(\partial_\rho \hat{N}) - (\hat{N}^l \hat{D}_l \hat{N})] + \hat{N}^{-2} [(\partial_\rho \hat{K}) - (\hat{N}^l \hat{D}_l \hat{K})]$
- using 
$$\begin{aligned} \mathcal{A} &= 2 [(\partial_\rho \hat{K}) - \hat{N}^l (\hat{D}_l \hat{K})] + \hat{K}^2 + \hat{K}_{kl} \hat{K}^{kl} \\ \mathcal{B} &= -\hat{R} + \epsilon [2\kappa (\mathbf{K}^l_l) + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2\mathbf{k}^l \mathbf{k}_l - \hat{\mathbf{K}}_{kl} \hat{\mathbf{K}}^{kl} - 2\epsilon] \end{aligned}$$
- it gets to be a **Bernoulli-type parabolic partial differential equation** provided that  $\hat{K} \dots$
- $2 \hat{K} [(\partial_\rho \hat{N}) - \hat{N}^l (\hat{D}_l \hat{N})] = 2 \hat{N}^2 (\hat{D}^l \hat{D}_l \hat{N}) + \mathcal{A} \hat{N} + \mathcal{B} \hat{N}^3$  & momentum constr.
- in highly specialized cases of “quasi-spherical” foliations with  $\hat{\gamma}_{ij} = r^2 \hat{\gamma}_{ij}$  and with time symmetric initial data  $K_{ij} \equiv 0$  R. Bartnik (1993), G. Weinstein & B. Smith (2004)

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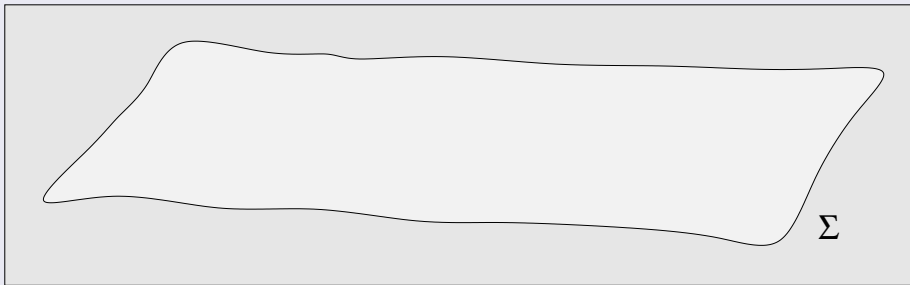
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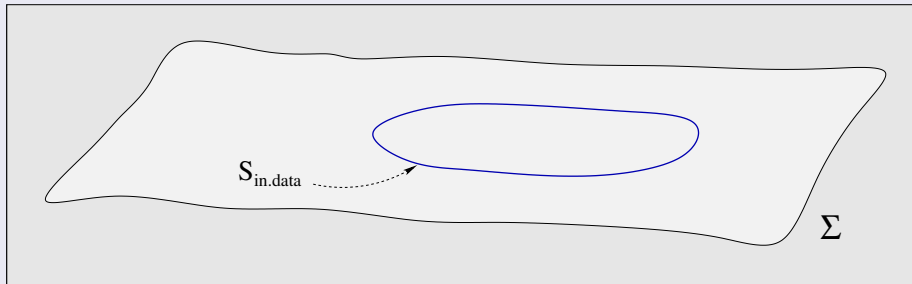
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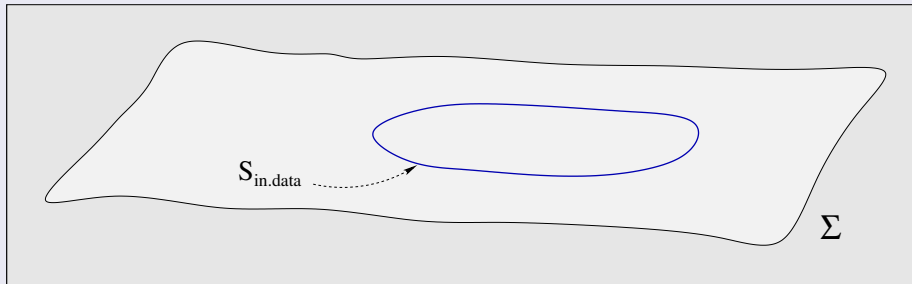
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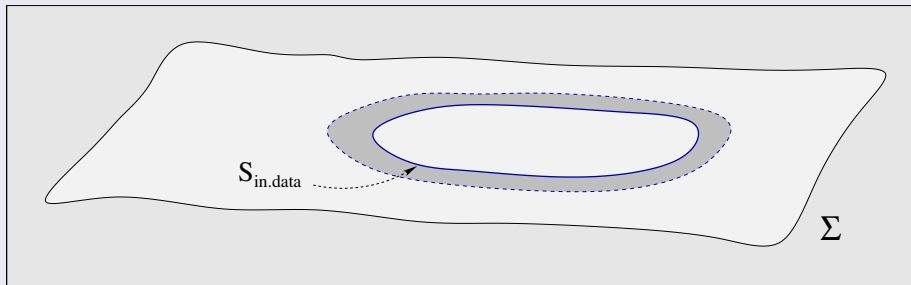
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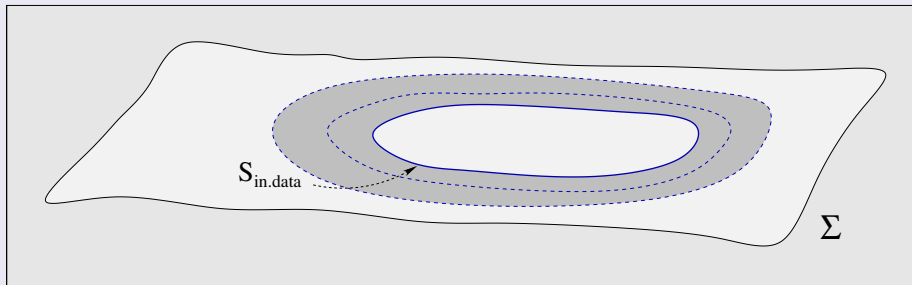
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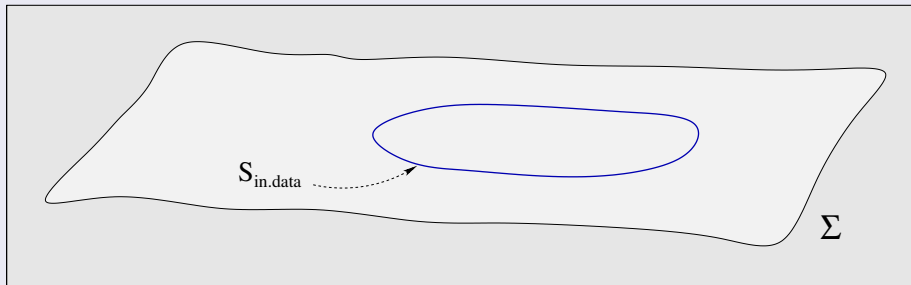
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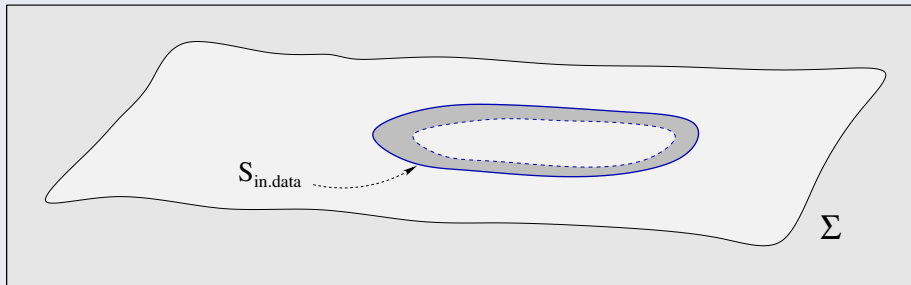
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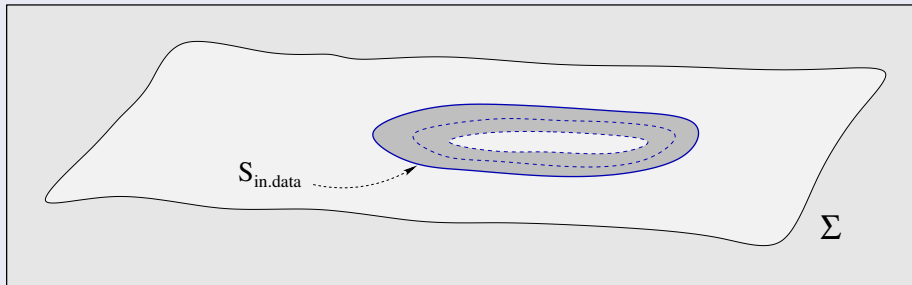
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# The strongly hyperbolic system:

The Hamiltonian constraint as an algebraic equation for  $\kappa$ :

$$-\epsilon \widehat{R} + \epsilon \left\{ 2 \mathcal{L}_{\widehat{n}}(\widehat{K}^l{}_l) + (\widehat{K}^l{}_l)^2 + \widehat{K}_{kl} \widehat{K}^{kl} + 2 \widehat{N}^{-1} \widehat{D}^l \widehat{D}_l \widehat{N} \right\} \\ + 2 \kappa \mathbf{K}^l{}_l + \frac{1}{2} (\mathbf{K}^l{}_l)^2 - 2 \mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon = 0$$

whence  $\kappa = (2 \mathbf{K}^l{}_l)^{-1} [2 \mathbf{k}^l \mathbf{k}_l - \frac{1}{2} (\mathbf{K}^l{}_l)^2 - \kappa_0]$ ,  $\kappa_0 = -\epsilon^{(3)}R - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2 \epsilon$

- by eliminating  $\widehat{D}_i \kappa$  from the momentum constraint mom. constr. one gets

$$\mathcal{L}_{\widehat{n}} \mathbf{k}_i + (\mathbf{K}^l{}_l)^{-1} [\kappa \widehat{D}_i (\mathbf{K}^l{}_l) - 2 \mathbf{k}^l \widehat{D}_i \mathbf{k}_l] + (2 \mathbf{K}^l{}_l)^{-1} \widehat{D}_i \kappa_0 \\ + (\widehat{K}^l{}_l) \mathbf{k}_i + [\kappa - \frac{1}{2} (\mathbf{K}^l{}_l)] \widehat{n}_i - \widehat{n}^l \mathring{\mathbf{K}}_{li} + \widehat{D}^l \mathring{\mathbf{K}}_{li} - \epsilon p_l \widehat{\gamma}^l{}_i = 0, \\ \mathcal{L}_{\widehat{n}} (\mathbf{K}^l{}_l) - \widehat{D}^l \mathbf{k}_l - \kappa (\widehat{K}^l{}_l) + \mathbf{K}_{kl} \widehat{K}^{kl} + 2 \widehat{n}^l \mathbf{k}_l + \epsilon p_l \widehat{n}^l = 0$$

- the above system is a **strongly hyperbolic** one for  $(\mathbf{k}_i, \mathbf{K}^l{}_l)^T$  provided that  $\kappa \cdot \mathbf{K}^l{}_l < 0$
- $\kappa$  is determined algebraically once  $\mathbf{k}_i$  and  $\mathbf{K}^l{}_l$  are known !!!
- the entire three-metric  $h_{ij} = \widehat{\gamma}_{ij} + \widehat{n}_i \widehat{n}_j$  is freely specifiable. !!!

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The Hamiltonian constraint as an algebraic equation for  $\kappa$ :

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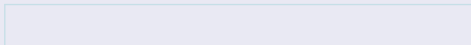
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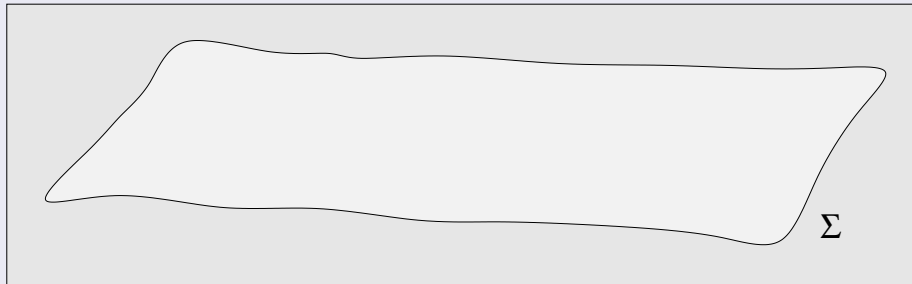
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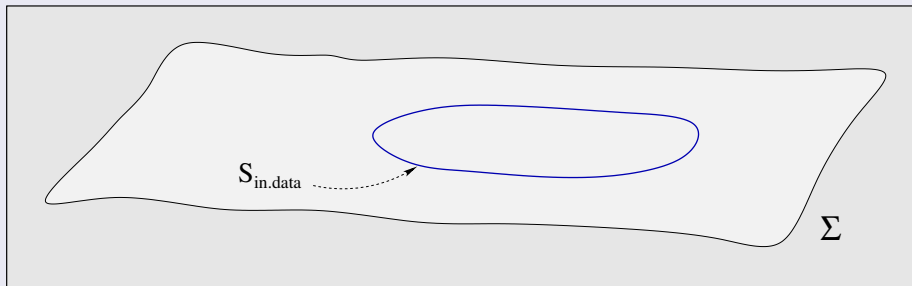
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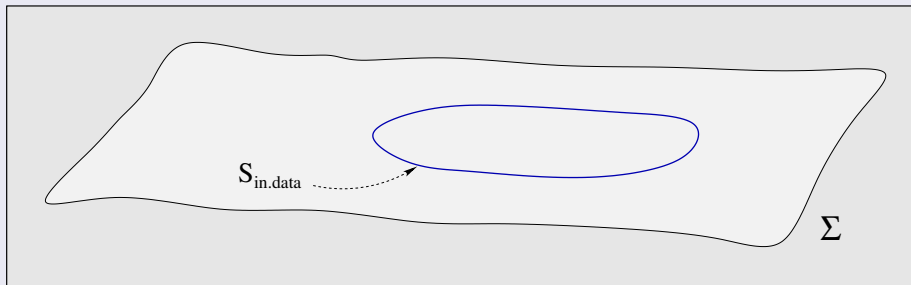
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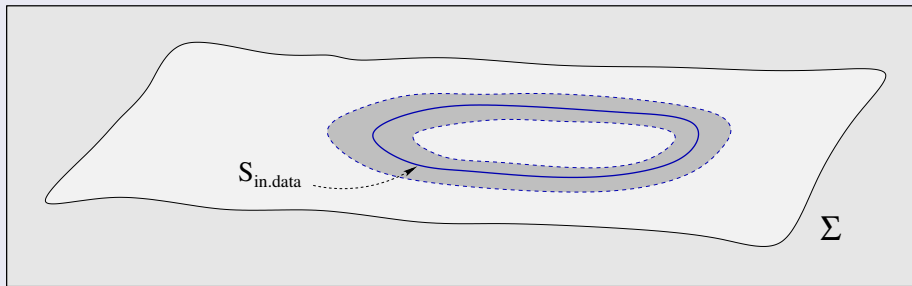
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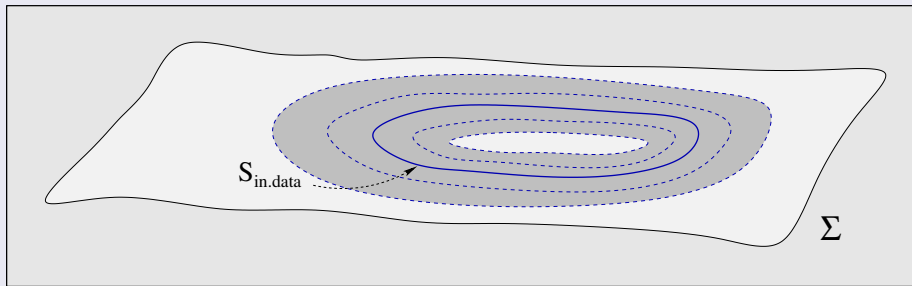
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# Summary:

4-dimensional **Riemannian and Lorentzian spaces** satisfying Einstein's equations, and some mild topological assumptions, were considered. **!!!** [ $n(\geq 4)$ ]

- it was shown that **the constraint expressions satisfy a FOSH system** that is linear and homogeneous  $\implies$  (the constraints propagate)
- concerning the constraint equations in Einstein's theory it was shown:
  - **the constraints propagate as a first-order hyperbolic system**
  - **the Hamiltonian constraint is a particular case of an elliptic equation**
  - **the evolution of the coupled constraint equations can be recast as a parabolic system; a parabolic-hyperbolic or a strictly hyperbolic system**
  - **in a  $3+1$  setting (local) existence and uniqueness of solutions are guaranteed**
- **!!! regardless whether the primary space is Riemannian or Lorentzian**
- **!!! no use of gauge conditions**

## The take home message:

two explicit examples of physical interest were shown where, on contrary to the folklore, **evolutionary methods can be applied in spaces of Euclidean signature** where, in principle, there is no room for 'time'

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# The roots of the evolutionary aspects

## The first order symmetric hyperbolic system for $(E^{(\mathcal{H})}, E_i^{(\mathcal{M})})^T$

- Characteristic directions associated with the FOSH system governing the evolution of the constraint expressions are determined as

$$[h^{ij} - n^i n^j] \xi_i \xi_j = [g^{ij} - (1 + \epsilon) n^i n^j] \xi_i \xi_j = 0$$

## The momentum constraint: first order symmetric hyperbolic system

- with characteristic cone given as

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## Deriving a Lorentzian metric from a Riemannian one

- ... given a Riemannian metric  $g_{ij}$ , a unit form field  $n_i$  and a positive real function  $\alpha$

# The roots of the evolutionary aspects

The first order symmetric hyperbolic system for  $(E^{(\mathcal{H})}, E_i^{(\mathcal{M})})^T$

- Characteristic directions associated with the FOSH system governing the evolution of the constraint expressions are determined as

$$[h^{ij} - n^i n^j] \xi_i \xi_j = [g^{ij} - (1 + \epsilon) n^i n^j] \xi_i \xi_j = 0$$

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- ... given a Riemannian metric  $\mathfrak{g}_{ij}$ , a unit form field  $\mathbf{n}_i$  and a positive real function  $\alpha \implies$  a metric of Lorentzian signature can be defined as

$$\check{\mathfrak{g}}_{ij} = \mathfrak{g}_{ij} - (1 + \alpha) \mathbf{n}_i \mathbf{n}_j$$



# The conformal (elliptic) method:

Lichnerowicz A (1944) and York J W (1972):

- replace

$$h_{ij} = \phi^4 \tilde{h}_{ij} \quad \text{and} \quad K_{ij} - \frac{1}{3} h_{ij} K^l_l = \phi^{-2} \tilde{K}_{ij}$$

using these variables the constraints are put into a **semilinear elliptic system**

$$\tilde{D}^l \tilde{D}_l \phi + \epsilon \frac{1}{8} \tilde{R} \phi + \frac{1}{8} \tilde{K}_{ij} \tilde{K}^{ij} \phi^{-7} - \left[ \frac{1}{12} (K^l_l)^2 - \frac{1}{4} \epsilon \right] \phi^5 = 0$$

where  $\tilde{D}_l, \tilde{R}, \dots, \tilde{h}_{ij}$

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