

The many faces of the constraints

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The main message:

some of the arguments and techniques developed originally and applied so far exclusively only in the Lorentzian case do also apply to Riemannian spaces

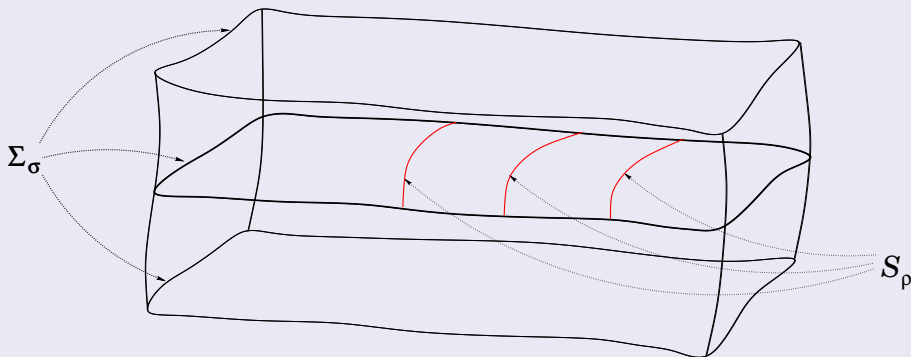
Based on some recent works:

- I. Rácz: *Is the Bianchi identity always hyperbolic?*, CQG **31** 155004 (2014)
- I. Rácz: *Cauchy problem as a two-surface based 'geometroynamics'*, Class. Quantum Grav. **32** (2015) 015006
- I. Rácz: *Dynamical determination of the gravitational degrees of freedom*, arXiv:1412.0667 (2015)
- I. Rácz: *Constraints as evolutionary systems*, CQG **33** 015014 (2016)
- I. Rácz and J. Winicour: *Black hole initial data without elliptic equations*, Phys. Rev. D **91**, 124013 (2015)
- I. Rácz: *A simple method of constructing binary black hole initial data*, Astronomy Reports 62 953-958 (2018)
- I. Rácz: *On the ADM charges of multiple black holes*, arXiv:1608.02283
- I. Rácz and J. Winicour: *Toward computing gravitational initial data without elliptic solvers*, CQG **35** 135002 (2018)
- K. Csukás and I. Rácz: *On the asymptotics of solutions to the evolutionary form of the constraints*, to be submitted for publication (2019)

All the involved results are valid for arbitrary dimension: i.e. for $\dim(M) = n (\geq 4)$. Nevertheless, for the sake of simplicity attention will be restricted to the case of $n = 4$.

Outline:

- **Einsteinian spaces:** (M, g_{ab})
 - First part
 - Second part



- in both cases metrics of Euclidean signature will be involved
- no gauge condition
 - ... arbitrary choice of foliations & “evolutionary” vector field

The basic setup:

- **Einsteinian spaces:** (M, g_{ab})
 - M : 4-dimensional, smooth, paracompact, connected, orientable manifold
 - g_{ab} : smooth Lorentzian $(-,+,+,+)$ or Riemannian $(+,+,+,+)$ metric

- **Einstein's equations:**

$$G_{ab} - \mathcal{G}_{ab} = 0$$

with source term: $\nabla^a \mathcal{G}_{ab} = 0$

- ∇_a denotes the covariant derivative operator associated with g_{ab} .
- in a more familiar setup: **Einstein's equations** with cosmological constant Λ

$$[R_{ab} - \frac{1}{2} g_{ab} R] + \Lambda g_{ab} = 8\pi T_{ab}$$

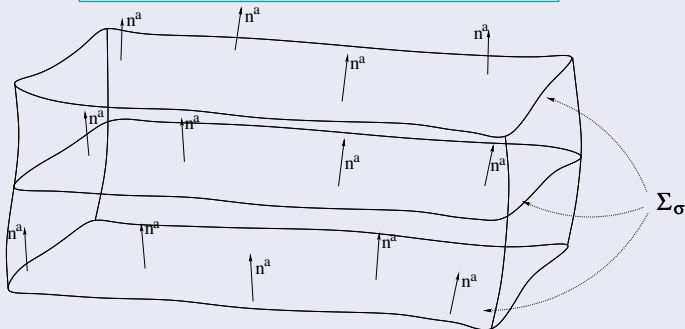
with matter fields satisfying their Euler-Lagrange equations

$$\mathcal{G}_{ab} = 8\pi T_{ab} - \Lambda g_{ab}$$

PART I:

The primary splitting

- **Assume:** M is smoothly foliated by a one-parameter family of homologous hypersurfaces, i.e. $M \simeq \mathbb{R} \times \Sigma$, for some three-dimensional manifold Σ .
 - known to **hold for globally hyperbolic spacetimes** (Lorentzian case)
 - **equivalent to** the existence of a smooth function $\sigma : M \rightarrow \mathbb{R}$ with non-vanishing gradient $\partial_a \sigma$ such that the $\sigma = \text{const}$ level surfaces $\Sigma_\sigma = \{\sigma\} \times \Sigma$ comprise the one-parameter foliation of M .
- $n_a \sim \partial_a \sigma \dots \& \dots g^{ab} \rightarrow n^a = g^{ab} n_b$



Projections:

The projection operator:

- n^a the 'unit norm' vector field that is normal to the Σ_σ level surfaces

$$n^a n_a = \epsilon$$

- the sign is not fixed: ϵ takes the value -1 or $+1$ for Lorentzian or Riemannian metric g_{ab} , respectively
- **the projection operator**

$$h^a_b = \delta^a_b - \epsilon n^a n_b$$

to the level surfaces of $\sigma : M \rightarrow \mathbb{R}$.

- **the induced metric** on the $\sigma = \text{const}$ level surfaces

$$h_{ab} = h^e_a h^f_b g_{ef}$$

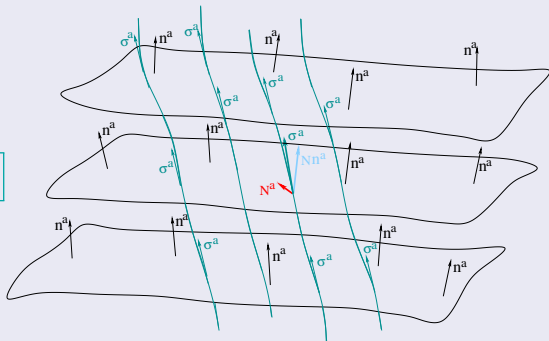
- D_a denotes the covariant derivative operator associated with h_{ab} .

σ^a is “time evolution vector field” if:

- the integral curves of σ^a meet the $\sigma = \text{const}$ level surfaces precisely once

- $\sigma^e \nabla_e \sigma = 1$

$$\sigma^a = \sigma^a_{\perp} + \sigma^a_{\parallel} = N n^a + N^a$$



- where N and N^a denotes the **lapse** and **shift** of σ^a :

$$N = \epsilon(\sigma^e n_e) \quad \text{and} \quad N^a = h^a_e \sigma^e$$

- adopted coordinates & Lie derivatives: ! (x^2, x^3, x^4) local coordinates on Σ_0 ; extend them along the integral curves of σ^a onto a neighborhood of Σ_0 in M ; (σ, x^2, x^3, x^4) local coordinates there & Lie derivative $\mathcal{L}_{\sigma} T$ is $\partial_{\sigma} T = \partial T / \partial \sigma$

Decompositions of various fields:

Any symmetric tensor field P_{ab} can be decomposed

in terms of n^a and fields intrinsic to the individual $\sigma = \text{const}$ level surfaces as

$$P_{ab} = \pi n_a n_b + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathbf{P}_{ab}$$

where

$$\pi = n^e n^f P_{ef}, \quad \mathbf{p}_a = \epsilon h^e_a n^f P_{ef}, \quad \mathbf{P}_{ab} = h^e_a h^f_b P_{ef}$$

It is also rewarding to inspect the decomposition of the cov. divergence $\nabla^a P_{ab}$:

$$\begin{aligned} \epsilon (\nabla^a P_{ae}) n^e &= \mathcal{L}_n \pi + D^e \mathbf{p}_e + [\pi (K^e_e) - \epsilon \mathbf{P}_{ef} K^{ef} - 2\epsilon \dot{n}^e \mathbf{p}_e] \\ (\nabla^a P_{ae}) h^e_b &= \mathcal{L}_n \mathbf{p}_b + D^e \mathbf{P}_{eb} + [(K^e_e) \mathbf{p}_b + \dot{n}_b \pi - \epsilon \dot{n}^e \mathbf{P}_{eb}] \end{aligned}$$

$$K_{ab} = h^e_a \nabla_e n_b = \frac{1}{2} \mathcal{L}_n h_{ab}$$

$$\dot{n}_a := n^e \nabla_e n_a = -\epsilon D_a \ln N$$

$$\nabla^a P_{ae} = g^{ab} \nabla_a P_{be} = [h^{ab} - \epsilon n^a n^b] \nabla_a \{ \pi n_b n_e + [n_b \mathbf{p}_e + n_b \mathbf{p}_e] + \mathbf{P}_{be} \}$$

Decompositions of various fields:

Examples:

- the metric

$$g_{ab} = \epsilon n_a n_b + h_{ab}$$

- the “source term”

$$\mathcal{G}_{ab} = n_a n_b \mathbf{e} + [n_a \mathbf{p}_b + n_b \mathbf{p}_a] + \mathfrak{S}_{ab}$$

where $\mathbf{e} = n^e n^f \mathcal{G}_{ef}$, $\mathbf{p}_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$, $\mathfrak{S}_{ab} = h^e_a h^f_b \mathcal{G}_{ef}$

- l.h.s. of Einstein's equation: $E_{ab} = G_{ab} - \mathcal{G}_{ab}$

$$E_{ab} = n_a n_b E^{(\mathcal{H})} + [n_a E_b^{(\mathcal{M})} + n_b E_a^{(\mathcal{M})}] + (E_{ab}^{(\mathcal{E}\mathcal{V}\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})})$$

$$E^{(\mathcal{H})} = n^e n^f E_{ef}, \quad E_a^{(\mathcal{M})} = \epsilon h^e_a n^f E_{ef}, \quad E_{ab}^{(\mathcal{E}\mathcal{V}\mathcal{O}\mathcal{L})} = h^e_a h^f_b E_{ef} - h_{ab} E^{(\mathcal{H})}$$

The decomposition of the covariant divergence $\nabla^a E_{ab} = 0$ of $E_{ab} = G_{ab} - \mathcal{G}_{ab}$:

$$\begin{aligned} \mathcal{L}_n E^{(\mathcal{H})} + D^e E_e^{(\mathcal{M})} + [E^{(\mathcal{H})} (K^e_e) - 2\epsilon (\dot{n}^e E_e^{(\mathcal{M})}) - \epsilon K^{ae} (E_{ae}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ae} E^{(\mathcal{H})})] &= 0 \\ \mathcal{L}_n E_b^{(\mathcal{M})} + D^a (E_{ab}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})}) + [(K^e_e) E_b^{(\mathcal{M})} + E^{(\mathcal{H})} \dot{n}_b - \epsilon (E_{ab}^{(\mathcal{E}\nu\mathcal{O}\mathcal{L})} + h_{ab} E^{(\mathcal{H})}) \dot{n}^a] &= 0 \end{aligned}$$

1st order symmetric hyperbolic system: linear and homogeneous in $(E^{(\mathcal{H})}, E_I^{(\mathcal{M})})^T$:

- $N \times$ “(1)” and $Nh^{ij} \times$ “(2)” in local coordinates (σ, x^1, x^2, x^3) adopted to an arbitrary flow field $\sigma^a = N n^a + N^a$: $\sigma^e \nabla_e \sigma = 1$ and the foliation $\{\Sigma_\sigma\}$, read as

$$\left\{ \left(\begin{array}{cc} 1 & 0 \\ 0 & h^{IJ} \end{array} \right) \partial_\sigma + \left(\begin{array}{cc} -N^K & N h^{IK} \\ N h^{JK} & -N^K h^{IJ} \end{array} \right) \partial_K \right\} \begin{pmatrix} E^{(\mathcal{H})} \\ E_I^{(\mathcal{M})} \end{pmatrix} = \begin{pmatrix} \mathcal{E} \\ \mathcal{E}^J \end{pmatrix}$$

!!! the source terms \mathcal{E} and \mathcal{E}^J are linear and homogeneous in $E^{(\mathcal{H})}$ and $E_I^{(\mathcal{M})}$!!! ϵ

$$\mathcal{A}^\mu \partial_\mu v + \mathcal{B} v = 0 \quad \text{with} \quad v = (E^{(\mathcal{H})}, E_I^{(\mathcal{M})})^T \quad \text{FOSH} \quad \text{!!!} \quad v \equiv 0$$

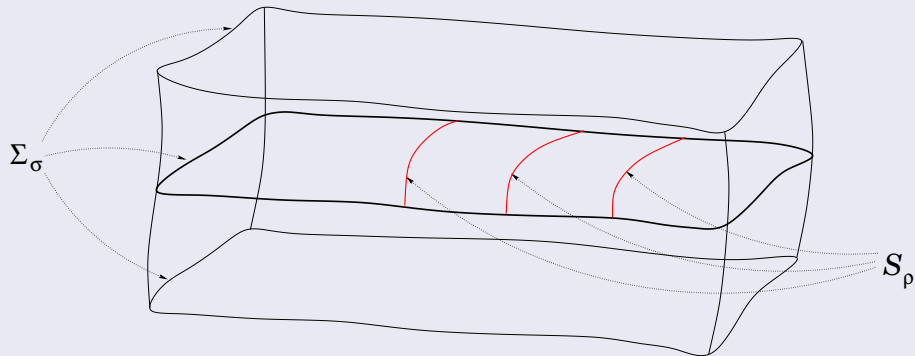
The main result of the first part:

Theorem

Let (M, g_{ab}) be an Einsteinian space as specified and assume that the metric h_{ab} induced on the $\sigma = \text{const}$ level surfaces is Riemannian. Then, **regardless whether g_{ab} is of Lorentzian or Euclidean signature**, any solution to the reduced equations $E_{ab}^{(\text{EVOL})} = 0$ is also a solution to the full set of field equations $G_{ab} - \mathcal{G}_{ab} = 0$ provided that the constraint expressions $E^{(\mathcal{H})}$ and $E_a^{(\mathcal{M})}$ vanish on one of the $\sigma = \text{const}$ level surfaces.

- no gauge condition was used anywhere in the above analyze !
 - it applies regardless of the choice of the foliation, Σ_σ , of M and for any choice of the flow field, $\sigma^a \rightleftharpoons N, N^a$

PART II:



The explicit form of the constraints:

The constraint expressions are projections of $E_{ab} = G_{ab} - \mathcal{G}_{ab}$:

$$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{-\epsilon^{(3)} R + (K^e_e)^2 - K_{ef} K^{ef} - 2\mathfrak{e}\} = 0$$

$$E_a^{(\mathcal{M})} = \epsilon h^e_a n^f E_{ef} = \epsilon [D_e K^e_a - D_a K^e_e - \epsilon \mathfrak{p}_a] = 0$$

- where D_a denotes the covariant derivative operator associated with h_{ab} and

$$\mathfrak{e} = n^e n^f \mathcal{G}_{ef}, \quad \mathfrak{p}_a = \epsilon h^e_a n^f \mathcal{G}_{ef}$$

- it is an underdetermined system: 4 equations for 12 variables

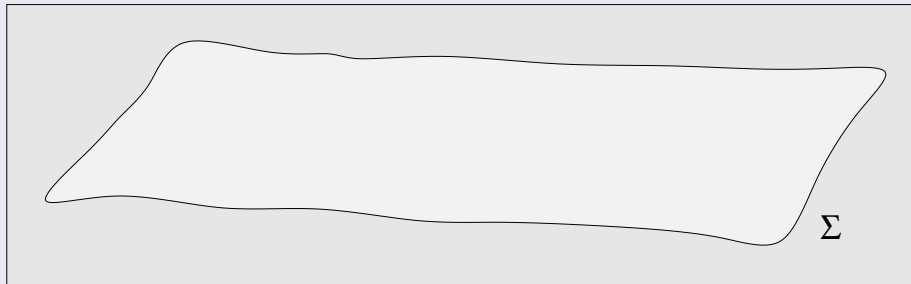
$$(h_{ij}, K_{ij})$$

A simple example:

Consider the underdetermined equation on $\Sigma \approx \mathbb{R}^2$ with some coordinates (χ, ξ)

$$(\partial_\chi^2 + \partial_\xi^2)\mathbf{u} + (\partial_\chi - \partial_\xi)\mathbf{v} + (a\partial_\chi - \partial_\xi^2)\mathbf{w} + \mathbf{z} = 0$$

- it is an equation for the four variables $\mathbf{u}, \mathbf{v}, \mathbf{w}$ and \mathbf{z} on Σ
- in advance of solving it three of these variables have to be fixed on Σ

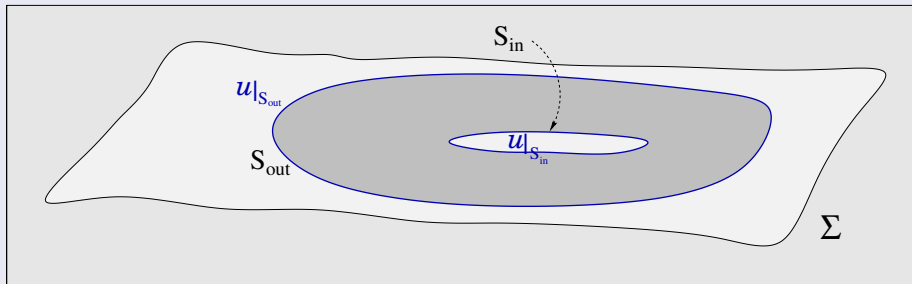


A simple example:

It is an elliptic equation for u on $\Sigma \approx \mathbb{R}^2$:

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables v, w and z have to be specified on \mathbb{R}^2
- the variable u has also to be fixed at the boundaries S_{out} and S_{in}

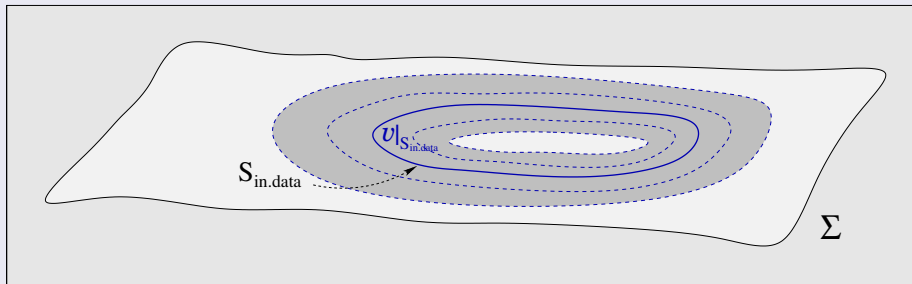


A simple example:

It is a hyperbolic equation for v on $\Sigma \approx \mathbb{R}^2$:

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables u, w and z have to be specified on \mathbb{R}^2
- the variable v has also to be fixed at the initial data surface $S_{\text{in.data}}$

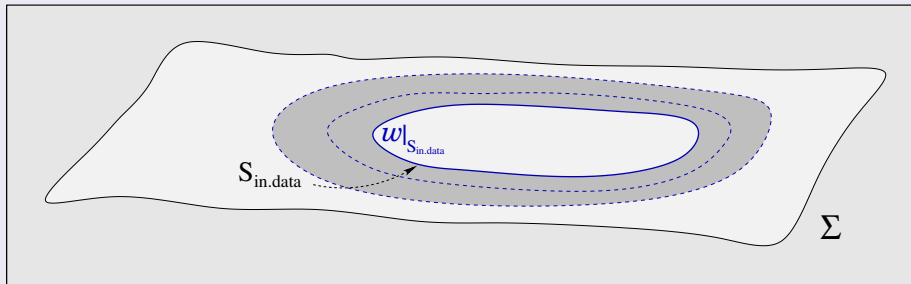


A simple example:

It is a parabolic equation for w on $\Sigma \approx \mathbb{R}^2$:

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables u , v and z have to be fixed on \mathbb{R}^2 : $a > 0$
- the variable w has also to be fixed at the initial data surface $S_{\text{in.data}}$

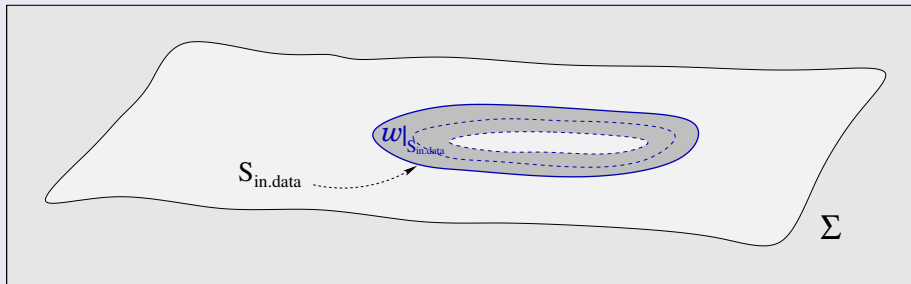


A simple example:

It is a parabolic equation for w on $\Sigma \approx \mathbb{R}^2$:

$$(\partial_x^2 + \partial_\xi^2)u + (\partial_x - \partial_\xi)v + (a \partial_x - \partial_\xi^2)w + z = 0$$

- in solving this equation the variables u , v and z have to be fixed on \mathbb{R}^2 : $a < 0$
- the variable w has also to be fixed at the initial data surface $S_{\text{in.data}}$



A simple example:

It is an algebraic equation for z :

$$(\partial_x^2 + \partial_\xi^2)\mathbf{u} + (\partial_x^2 - \partial_\xi^2)\mathbf{v} + (a \partial_x - \partial_\xi^2)w + \mathbf{z} = 0$$

- once the variables $\mathbf{u}, \mathbf{v}, w$ are specified on \mathbb{R}^2 the solution is determined as

$$\mathbf{z} = - [(\partial_x^2 + \partial_\xi^2)\mathbf{u} + (\partial_x^2 - \partial_\xi^2)\mathbf{v} + (a \partial_x - \partial_\xi^2)w]$$

New variables by applying $2 + 1$ decompositions:

Splitting of the metric h_{ij} :

assume:

$$\Sigma \approx \mathbb{R} \times \mathcal{S}$$

Σ is smoothly foliated by a one-parameter family of two-surfaces \mathcal{S}_ρ :
 $\rho = \text{const}$ level surfaces of a smooth real function $\rho : \Sigma \rightarrow \mathbb{R}$ with $\partial_i \rho \neq 0$

$$\Rightarrow \hat{n}_i \sim \partial_i \rho \dots \& \dots h^{ij} \longrightarrow \hat{n}^i = h^{ij} \hat{n}_j \longrightarrow \hat{\gamma}^i_j = \delta^i_j - \hat{n}^i \hat{n}_j$$

- choose ρ^i to be a flow field on Σ : the integral curves... & $\rho^i \partial_i \rho = 1$
- 'lapse' and 'shift' of ρ^i

$$\rho^i = \hat{N} \hat{n}^i + \hat{N}^i, \quad \text{where} \quad \hat{N} = \rho^j \hat{n}_j \quad \text{and} \quad \hat{N}^i = \hat{\gamma}^i_j \rho^j$$

- induced metric, extrinsic curvature and acceleration of the \mathcal{S}_ρ level surfaces:

$$\hat{\gamma}_{ij} = \hat{\gamma}^k_i \hat{\gamma}^l_j h_{kl}$$

$$\hat{K}_{ij} = \frac{1}{2} \mathcal{L}_{\hat{n}} \hat{\gamma}_{ij}$$

$$\hat{\dot{n}}_i := \hat{n}^l D_l \hat{n}_i = -\hat{D}_i \ln \hat{N}$$

- the metric h_{ij} can then be given as

$$h_{ij} = \hat{\gamma}_{ij} + \hat{n}_i \hat{n}_j$$



$$\{\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}\}$$

2 + 1 decompositions:

Splitting of the symmetric tensor field K_{ij} :

-

$$K_{ij} = \kappa \hat{n}_i \hat{n}_j + [\hat{n}_i \mathbf{k}_j + \hat{n}_j \mathbf{k}_i] + \mathbf{K}_{ij}$$

where

$$\kappa = \hat{n}^k \hat{n}^l K_{kl}, \quad \mathbf{k}_i = \hat{\gamma}^k{}_i \hat{n}^l K_{kl} \quad \text{and} \quad \mathbf{K}_{ij} = \hat{\gamma}^k{}_i \hat{\gamma}^l{}_j K_{kl}$$

- the **trace** and **trace free** parts of \mathbf{K}_{ij}

$$\mathbf{K}^l{}_l = \hat{\gamma}^{kl} \mathbf{K}_{kl} \quad \text{and} \quad \mathring{\mathbf{K}}_{ij} = \mathbf{K}_{ij} - \frac{1}{2} \hat{\gamma}_{ij} \mathbf{K}^l{}_l$$

The new variables:

-

$$(h_{ij}, K_{ij}) \iff (\hat{N}, \hat{N}^i, \hat{\gamma}_{ij}; \kappa, \mathbf{k}_i, \mathbf{K}^l{}_l, \mathring{\mathbf{K}}_{ij})$$

- these variables retain the physically distinguished nature of h_{ij} and K_{ij}

The momentum constraint:

$$\hat{n}_i := \hat{n}^l D_l \hat{n}_i = -\hat{D}_i \ln \hat{N}$$

$$D_e K^e{}_a - D_a K^e{}_e - \epsilon p_a = 0$$

$$\hat{K}_{ij} = \frac{1}{2} \mathcal{L}_{\hat{n}} \hat{\gamma}_{ij}; \hat{K}^l{}_i = \hat{\gamma}^{ij} \hat{K}_{ij}$$

$$\mathcal{L}_{\hat{n}} \mathbf{k}_i - \frac{1}{2} \hat{D}_i (\mathbf{K}^l{}_l) - \hat{D}_i \boldsymbol{\kappa} + \hat{D}^l \overset{\circ}{\mathbf{K}}_{li} + (\hat{K}^l{}_l) \mathbf{k}_i + \boldsymbol{\kappa} \hat{n}_i - \hat{n}^l \mathbf{K}_{li} - \epsilon p_l \hat{\gamma}^l{}_i = 0$$

◀ back: str.hyp.sys.

$$\mathcal{L}_{\hat{n}} (\mathbf{K}^l{}_l) - \hat{D}^l \mathbf{k}_l - \boldsymbol{\kappa} (\hat{K}^l{}_l) + \mathbf{K}_{kl} \hat{K}^{kl} + 2 \hat{n}^l \mathbf{k}_l + \epsilon p_l \hat{n}^l = 0$$

First order symmetric hyperbolic system:

- contract “(1)” with $2 \hat{N} \hat{\gamma}^{ij}$ and mult. “(2)” by \hat{N} , when writing them out in coordinates (ρ, x^2, x^3) , adopted to the foliation \mathcal{S}_ρ and the vector field ρ^i ,

$$\left\{ \begin{pmatrix} 2 \hat{\gamma}^{AB} & 0 \\ 0 & 1 \end{pmatrix} \partial_\rho + \begin{pmatrix} -2 \hat{N}^K \hat{\gamma}^{AB} & -\hat{N} \hat{\gamma}^{AK} \\ -\hat{N} \hat{\gamma}^{BK} & -\hat{N}^K \end{pmatrix} \partial_K \right\} \begin{pmatrix} \mathbf{k}_B \\ \mathbf{K}^E{}_E \end{pmatrix} + \begin{pmatrix} \mathcal{B}^A_{(\mathbf{k})} \\ \mathcal{B}(\mathbf{K}) \end{pmatrix} = 0$$

- a first order **symmetric hyperbolic** system for the vector valued variable

$$(\mathbf{k}_B, \mathbf{K}^E{}_E)^T$$

!!! ρ plays the role of ‘time’

regardless of the value of $\epsilon = \pm 1$

The coupled constraint system:

The Hamiltonian constraint in terms of the new variables:

$$E^{(\mathcal{H})} = n^e n^f E_{ef} = \frac{1}{2} \{-\epsilon {}^{(3)}R + (K^e_e)^2 - K_{ef} K^{ef} - 2\epsilon\} = 0$$

using
$${}^{(3)}R = \hat{R} - \left\{ 2 \mathcal{L}_{\hat{n}}(\hat{K}^l_l) + (\hat{K}^l_l)^2 + \hat{K}_{kl} \hat{K}^{kl} + 2 \hat{N}^{-1} \hat{D}^l \hat{D}_l \hat{N} \right\}$$

\hat{R} and \hat{K}_{kl} denote the scalar and extrinsic curvature of $\hat{\gamma}_{kl}$, respectively

$$-\epsilon \hat{R} + \epsilon \left\{ 2 \mathcal{L}_{\hat{n}}(\hat{K}^l_l) + (\hat{K}^l_l)^2 + \hat{K}_{kl} \hat{K}^{kl} + 2 \hat{N}^{-1} \hat{D}^l \hat{D}_l \hat{N} \right\} + 2\kappa \mathbf{K}^l_l + \frac{1}{2} (\mathbf{K}^l_l)^2 - 2\mathbf{k}^l \mathbf{k}_l - \mathring{\mathbf{K}}_{kl} \mathring{\mathbf{K}}^{kl} - 2\epsilon = 0$$

Alternative choices yielding evolutionary systems:

- **parabolic equation** for \hat{N} **parabolic-hyperbolic** for the coupled system
- **algebraic equation** for κ **algebraic-hyperbolic** for the coupled system

The take home message:

On contrary to the folklore, in the considered two explicit examples, **evolutionary methods can be applied in spaces with metric of Euclidean signature** where, in principle, there is no room for 'time'

Tack!