

Geometry of null hypersurfaces

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Abstract

We review some basic natural geometric objects on null hypersurfaces. Gauss-Codazzi constraints are given in terms of the analog of canonical ADM momentum which is a well defined tensor density on the null surface. Bondi cones are analyzed with the help of this object.

1 Introduction

In Synge's festshrift volume [10] Roger Penrose distinguished three basic structures which a null hypersurface N in four-dimensional spacetime M acquires from the ambient Lorentzian geometry:

- the degenerate metric $g|_N$ (see [9] for Cartan's classification of them and the solution of the local equivalence problem)
- the concept of an affine parameter along each of the null geodesics from the two-parameter family ruling N
- the concept of parallel transport for tangent vectors along each of the null geodesics

Using all three concepts on N one can define several natural geometric objects which we shall review in this article.

In Section 2 we remind the structures which are presented in [1]. In the next section we give solutions, which are mostly based on [2], to the following questions:

- What is the analog of canonical ADM momentum for the null surface?
- What are the "initial value constraints"?
- Are they intrinsic objects?

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More precisely, we remind the construction of external geometry in terms of tensor density which is a well defined intrinsic object on a null surface. We already developed some applications of these object to the following subjects:

- Dynamics of the light-like matter shell from matter Lagrangian which is an invariant scalar density on N [3]
- Dynamics of gravitational field in a finite volume with null boundary and its application to black holes thermodynamics [6] (see also in this volume)
- Geometry of crossing null shells [4].

In the last section we apply our construction to Bondi cones.

2 Natural geometric structures on TN/K

We remind some standard constructions on null hypersurfaces (see [1]):

- time-oriented Lorentzian manifold M with signature $(-, +, +, +)$.
- null hypersurface N – submanifold with $\text{codim}=1$ and degenerate induced metric $g|_N$ $(0, +, +)$, K – time-oriented non-vanishing null vector field such that $K_p^\perp = T_p N$ at each point $p \in N$
 1. K is null and tangent to N , $g(X, K)=0$ iff X is a vector field tangent to N
 2. integral curves of K are null geodesic generators of N
 3. K is determined by N up to scaling factor being any positive function.
- $T_p N/K := \{\bar{X} : X \in T_p N\}$ where $\bar{X} = [X]_{\text{mod } K}$ is an equivalence class of the relation $\text{mod } K$ defined as follows:

$$X \equiv Y(\text{mod } K) \iff X - Y \text{ is parallel to } K.$$

- $TN/K := \cup_{p \in N} T_p N/K$ vector bundle over N with 2-dimensional fibers (equipped with Riemannian metric h), the structure does not depend on the choice of K (scaling factor)

$$h : T_p N/K \times T_p N/K \longrightarrow \mathbb{R}, \quad h(\bar{X}, \bar{Y}) = g(X, Y).$$

- null Weingarten map b_K (depending on the choice of scaling factor, in non-degenerate case one can always take unit normal to the hypersurface but in null case the vectorfield K is no longer transversal to N and has always scaling factor freedom because its length vanishes)

$$b_K : T_p N/K \longrightarrow T_p N/K, \quad b_K(\bar{X}) = \overline{\nabla_X K};$$

$$b_{fK} = fb_K, \quad f \in C^\infty(N), \quad f > 0.$$

- null second fundamental form B_K (bilinear form associated to b_K via h)

$$B_K : T_p N/K \times T_p N/K \longrightarrow \mathbb{R}$$

$$B_K(\bar{X}, \bar{Y}) = h(b_K(\bar{X}), \bar{Y}) = g(\nabla_X K, Y)$$

Moreover, b_K is self-adjoint with respect to h and B_K is symmetric.

- N is totally geodesic (i.e. restriction to N of the Levi-Civita connection of M is an affine connection on N , any geodesic in M starting tangent to N stays in N) $\iff B = 0$ (non-expanding horizon is a typical example).
- null mean curvature of N with respect to K

$$\theta := \text{tr } b = \sum_{i=1}^2 B_K(\bar{e}_i, \bar{e}_i) = \sum_{i=1}^2 g(\nabla_{e_i} K, e_i)$$

where \bar{e}_i is an orthonormal basis for $T_p N/K$, e_i is an orthonormal basis for $T_p S$ in the induced metric on S which is a two-dimensional submanifold of N transverse to K .

We assume now that K is a geodesic vector field i.e. $\nabla_K K = 0$. Let us denote by prime covariant differentiation in the null direction:

$$\bar{Y}' := \overline{\nabla_K Y}, \quad b'(\bar{Y}) := b(\bar{Y})' - b(\bar{Y}')$$

From Riemann tensor we build the following curvature endomorphism

$$R : T_p N/K \longrightarrow T_p N/K, \quad R(\bar{X}) = \overline{\text{Riemann}(X, K)K}$$

and we get a Riccati equation

$$b' + b^2 + R = 0. \quad (1)$$

Taking the trace of (1) we obtain well-known Raychaudhuri equation:

$$\theta' = -\text{Ricci}(K, K) - B^2, \quad B^2 = \sigma^2 + \frac{1}{2}\theta^2 \quad (2)$$

where σ is a shear scalar corresponding to the trace free part of B . A standard application of the Raychaudhuri equation gives the following

Proposition 1. *Let M be a spacetime which obeys the null energy condition, i.e. $\text{Ricci}(X, X) \geq 0$ for all null vectors X , and let N be a smooth null hypersurface in M . If the null generators of N are future geodesically complete then N has nonnegative null mean curvature i.e. $\theta \geq 0$.*

3 Canonical momentum on null surface

For non-degenerate hypersurface we define the canonical ADM momentum:

$$P^{kl} := \sqrt{\det g_{mn}} (g^{kl} g_{ij} \mathcal{K}^{ij} - \mathcal{K}^{kl}), \quad (3)$$

where \mathcal{K}^{kl} is the second fundamental form (external curvature) of the imbedding of the hypersurface into the spacetime M .

Gauss-Codazzi equations for non-degenerate hypersurface are the following:

$$P_i^l{}_{|l} = \sqrt{\det g_{mn}} G_{i\mu} n^\mu \quad (= 8\pi \sqrt{\det g_{mn}} T_{i\mu} n^\mu), \quad (4)$$

$$\begin{aligned} (\det g_{mn}) \mathcal{R} - P^{kl} P_{kl} + \frac{1}{2} (P^{kl} g_{kl})^2 &= 2(\det g_{mn}) G_{\mu\nu} n^\mu n^\nu \\ &= 16\pi (\det g_{mn}) T_{\mu\nu} n^\mu n^\nu, \end{aligned} \quad (5)$$

where \mathcal{R} is the (three-dimensional) scalar curvature of g_{kl} , n^μ is a four-vector normal to the hypersurface, $T_{\mu\nu}$ is an energy-momentum tensor of the matter field, and the calculations have been made with respect to the non-degenerate induced three-metric g_{kl} ("|" denotes covariant derivative, indices are raised and lowered with respect to that metric etc.)

A null hypersurface in a Lorentzian spacetime M is a three-dimensional submanifold $N \subset M$ such that the restriction g_{ab} of the spacetime metric $g_{\mu\nu}$ to N is degenerate.

We shall often use adapted coordinates, where coordinate x^3 is constant on N . Space coordinates will be labeled by $k, l = 1, 2, 3$; coordinates on N will be labeled by $a, b = 0, 1, 2$; finally, coordinates on S will be labeled by $A, B = 1, 2$. Spacetime coordinates will be labeled by Greek characters α, β, μ, ν .

We will show in the sequel that null-like counterpart of initial data $(g_{kl}, P^k{}_l)$ consists of the metric g_{ab} and tensor density $Q^a{}_b$ which is a mixed (contravariant-covariant) tensor density.

The non-degeneracy of the spacetime metric implies that the metric g_{ab} induced on N from the spacetime metric $g_{\mu\nu}$ has signature $(0, +, +)$. This means that there is a non-vanishing null-like vector field K^a on N , such that its four-dimensional embedding K^μ to M (in adapted coordinates $K^3 = 0$) is orthogonal to N . Hence, the covector $K_\nu = K^\mu g_{\mu\nu} = K^a g_{a\nu}$ vanishes on vectors tangent to N and, therefore, the following identity holds:

$$K^a g_{ab} \equiv 0. \quad (6)$$

It is easy to prove that integral curves of K^a , after a suitable reparameterization, are geodesic curves of the spacetime metric $g_{\mu\nu}$. Moreover, any null hypersurface N may always be embedded in a one-parameter congruence of null hypersurfaces.

We assume that topologically we have $N = \mathbb{R}^1 \times S^2$. Since our considerations are purely local, we fix the orientation of the \mathbb{R}^1 component and assume that null-like vectors K describing degeneracy of the metric g_{ab} of N will be always compatible with this orientation. Moreover, we shall always use coordinates such that the coordinate x^0 increases in the direction of K , i.e. inequality $K(x^0) = K^0 > 0$ holds. In these coordinates degeneracy fields are of the form $K = f(\partial_0 - n^A \partial_A)$, where $f > 0$, $n_A = g_{0A}$ and we raise indices with the help of the two-dimensional matrix \tilde{g}^{AB} , inverse to g_{AB} .

If by λ we denote the two-dimensional volume form on each surface $\{x^0 = \text{const.}\}$:

$$\lambda := \sqrt{\det g_{AB}}, \quad (7)$$

then for any degeneracy field K of g_{ab} the following object

$$v_K := \frac{\lambda}{K(x^0)}$$

is a well defined scalar density on N . This means that

$$\mathbf{v}_K := v_K dx^0 \wedge dx^1 \wedge dx^2$$

is a coordinate-independent differential three-form on N . However, v_K depends upon the choice of the field K .

It follows immediately from the above definition that the following object:

$$\Lambda = v_K K$$

is a well defined (i.e. coordinate-independent) vector density on N .

Obviously, it *does not depend* upon any choice of the field K :

$$\Lambda = \lambda(\partial_0 - n^A \partial_A) \tag{8}$$

and it is an intrinsic property of the internal geometry g_{ab} of N . The same is true for the divergence $\partial_a \Lambda^a$ which is, therefore, an invariant, K -independent, scalar density on N . Mathematically (in terms of differential forms) the quantity Λ represents the two-form:

$$\mathbf{L} := \Lambda^a (\partial_a \lrcorner dx^0 \wedge dx^1 \wedge dx^2),$$

whereas the divergence represents its exterior derivative (a three-form): $d\mathbf{L} := (\partial_a \Lambda^a) dx^0 \wedge dx^1 \wedge dx^2$.

In particular, a null surface with vanishing $d\mathbf{L}$ is the *non-expanding horizon*.

Both objects \mathbf{L} and \mathbf{v}_K may be defined geometrically, without any use of coordinates. For this purpose we note that at each point $p \in N$ the tangent space $T_p N$ may be quotiented with respect to the degeneracy subspace spanned by K . The quotient space $T_p N/K$ carries a non-degenerate Riemannian metric h and, therefore, is equipped with a volume form ω (its coordinate expression would be: $\omega = \lambda dx^1 \wedge dx^2$).

The two-form \mathbf{L} is equal to the pull-back of ω from the quotient space $T_p N/K$ to $T_p N$

$$\pi : T_p N \longrightarrow T_p N/K, \quad \mathbf{L} := \pi^* \omega.$$

The three-form \mathbf{v}_K may be defined as a product:

$$\mathbf{v}_K = \alpha \wedge \mathbf{L},$$

where α is *any* one-form on N , such that $\langle K, \alpha \rangle \equiv 1$.

We have

$$d\mathbf{L} = \theta \mathbf{v}_K$$

where θ is a null mean curvature of N .

The degenerate metric g_{ab} on N does not allow to define *via* the compatibility condition $\nabla g = 0$, any natural connection, which could be applied to generic tensor fields on N . Nevertheless, there is one exception: the degenerate metric defines *uniquely* a certain covariant, first

order differential operator. The operator may be applied only to mixed (contravariant-covariant) tensor density fields \mathbf{H}^a_b , satisfying the following algebraic identities:

$$\mathbf{H}^a_b K^b = 0, \quad \mathbf{H}_{ab} = \mathbf{H}_{ba}, \quad (9)$$

where $\mathbf{H}_{ab} := g_{ac} \mathbf{H}^c_b$. Its definition cannot be extended to other tensorial fields on N . Fortunately, the extrinsic curvature of a null-like surface and the energy-momentum tensor of a null-like shell are described by tensor densities of this type.

The operator, which we denote by $\bar{\nabla}_a$, is defined by means of the four-dimensional metric connection in the ambient spacetime M in the following way:

Given \mathbf{H}^a_b , take any its extension $\mathbf{H}^{\mu\nu}$ to a four-dimensional, symmetric tensor density, “orthogonal” to N , i.e. satisfying $\mathbf{H}^{\perp\nu} = 0$ (“ \perp ” denotes the component transversal to N). Define $\bar{\nabla}_a \mathbf{H}^a_b$ as the restriction to N of the four-dimensional covariant divergence $\nabla_\mu \mathbf{H}^{\mu\nu}$. The ambiguities, which arise when extending three-dimensional object \mathbf{H}^a_b living on N to the four-dimensional one, cancel finally and the result is unambiguously defined as a covector density on N . It turns out, however, that this result does not depend upon the spacetime geometry and may be defined intrinsically on N as follows:

$$\nabla_a \mathbf{H}^a_b = \partial_a \mathbf{H}^a_b - \frac{1}{2} \mathbf{H}^{ac} g_{ac,b}, \quad (10)$$

where $g_{ac,b} := \partial_b g_{ac}$, a tensor density \mathbf{H}^a_b satisfies identities (9), and moreover, \mathbf{H}^{ac} is *any* symmetric tensor density, which reproduces \mathbf{H}^a_b when lowering an index:

$$\mathbf{H}^a_b = \mathbf{H}^{ac} g_{cb}. \quad (11)$$

It is easily seen, that such a tensor density always exists due to identities (9), but the reconstruction of \mathbf{H}^{ac} from \mathbf{H}^a_b is not unique because $\mathbf{H}^{ac} + CK^a K^c$ also satisfies (11) if \mathbf{H}^{ac} does. Conversely, two such symmetric tensors \mathbf{H}^{ac} satisfying (11) may differ only by $CK^a K^c$. Fortunately, this non-uniqueness does not influence the value of (10).

Hence, the following definition makes sense:

$$\bar{\nabla}_a \mathbf{H}^a_b := \partial_a \mathbf{H}^a_b - \frac{1}{2} \mathbf{H}^{ac} g_{ac,b}. \quad (12)$$

The right-hand-side does not depend upon any choice of coordinates (i.e. it transforms like a genuine covector density under change of coordinates).

To express directly the result in terms of the original tensor density \mathbf{H}^a_b , we observe that it has five independent components and may be uniquely reconstructed from \mathbf{H}^0_A (2 independent components) and the symmetric two-dimensional matrix \mathbf{H}_{AB} (3 independent components). Indeed, identities (9) may be rewritten as follows:

$$\mathbf{H}^A_B = \tilde{g}^{AC} \mathbf{H}_{CB} - n^A \mathbf{H}^0_B, \quad (13)$$

$$\mathbf{H}^0_0 = \mathbf{H}^0_A n^A, \quad (14)$$

$$\mathbf{H}^B_0 = \left(\tilde{g}^{BC} \mathbf{H}_{CA} - n^B \mathbf{H}^0_A \right) n^A. \quad (15)$$

The correspondence between $\mathbf{H}^a{}_b$ and $(\mathbf{H}^0{}_A, \mathbf{H}_{AB})$ is one-to-one.

To reconstruct \mathbf{H}^{ab} from $\mathbf{H}^a{}_b$ up to an arbitrary additive term CK^aK^b , take the following (coordinate dependent) symmetric quantity:

$$\mathbf{F}^{AB} := \tilde{g}^{AC} \mathbf{H}_{CD} \tilde{g}^{DB} - n^A \mathbf{H}^0{}_C \tilde{g}^{CB} - n^B \mathbf{H}^0{}_C \tilde{g}^{CA}, \quad (16)$$

$$\mathbf{F}^{0A} := \mathbf{H}^0{}_C \tilde{g}^{CA} =: \mathbf{F}^{A0}, \quad (17)$$

$$\mathbf{F}^{00} := 0. \quad (18)$$

It is easy to observe that any \mathbf{H}^{ab} satisfying (11) must be of the form:

$$\mathbf{H}^{ab} = \mathbf{F}^{ab} + \mathbf{H}^{00} K^a K^b. \quad (19)$$

The non-uniqueness in the reconstruction of \mathbf{H}^{ab} is, therefore, completely described by the arbitrariness in the choice of the value of \mathbf{H}^{00} . Using these results, we finally obtain:

$$\begin{aligned} \bar{\nabla}_a \mathbf{H}^a{}_b &:= \partial_a \mathbf{H}^a{}_b - \frac{1}{2} \mathbf{H}^{ac} g_{ac,b} = \partial_a \mathbf{H}^a{}_b - \frac{1}{2} \mathbf{F}^{ac} g_{ac,b} \\ &= \partial_a \mathbf{H}^a{}_b - \frac{1}{2} \left(2\mathbf{H}^0{}_A n^A{}_{,b} - \mathbf{H}_{AC} \tilde{g}^{AC}{}_{,b} \right). \end{aligned} \quad (20)$$

The operator on the right-hand-side of (20) is called the (three-dimensional) covariant derivative of $\mathbf{H}^a{}_b$ on N with respect to its degenerate metric g_{ab} . It is well defined (i.e. coordinate-independent) for a tensor density $\mathbf{H}^a{}_b$ fulfilling conditions (9). One can also show that the above definition coincides with the one given in terms of the four-dimensional metric connection and, due to (10), it equals:

$$\nabla_\mu \mathbf{H}^\mu{}_b = \partial_\mu \mathbf{H}^\mu{}_b - \frac{1}{2} \mathbf{H}^{\mu\lambda} g_{\mu\lambda,b} = \partial_a \mathbf{H}^a{}_b - \frac{1}{2} \mathbf{H}^{ac} g_{ac,b}, \quad (21)$$

hence, it coincides with $\bar{\nabla}_a \mathbf{H}^a{}_b$ defined intrinsically on N .

To describe exterior geometry of N we begin with covariant derivatives *along* N of the ‘‘orthogonal vector K ’’. Consider the tensor $\nabla_a K^\mu$. Unlike in the non-degenerate case, there is no unique ‘‘normalization’’ of K and, therefore, such an object does depend upon a choice of the field K . The length of K vanishes. Hence, the tensor is again orthogonal to N , i.e. the components corresponding to $\mu = 3$ vanish identically in adapted coordinates. This means that $\nabla_a K^b$ is a purely three-dimensional tensor living on N . For our purposes it is useful to use the ‘‘ADM-momentum’’ version of this object, defined in the following way:

$$Q^a{}_b(K) := -s \{ v_K (\nabla_b K^a - \delta_b^a \nabla_c K^c) + \delta_b^a \partial_c \Lambda^c \}, \quad (22)$$

where $s := \text{sgn } g^{03} = \pm 1$. Due to the above convention, the object $Q^a{}_b(K)$ feels only *external orientation* of N and does not feel any internal orientation of the field K .

Remark: If N is a *non-expanding horizon*, the last term in the above definition vanishes.

The last term in (22) is K -independent. It has been introduced in order to correct algebraic properties of the quantity

$$v_K (\nabla_b K^a - \delta_b^a \nabla_c K^c).$$

One can show that Q^a_b satisfies identities (9) and, therefore, its covariant divergence with respect to the degenerate metric g_{ab} on N is uniquely defined. This divergence enters into the Gauss–Codazzi equations, which relate the divergence of Q with the transversal component \mathcal{G}^\perp_b of the Einstein tensor density $\mathcal{G}^\mu_\nu = \sqrt{|\det g|} (R^\mu_\nu - \delta^\mu_\nu \frac{1}{2}R)$. The transversal component of such a tensor density is a well defined three-dimensional object living on N . In coordinate system adapted to N , i.e. such that the coordinate x^3 is constant on N , we have $\mathcal{G}^\perp_b = \mathcal{G}^3_b$. Due to the fact that \mathcal{G} is a tensor density, components \mathcal{G}^3_b *do not change* with changes of the coordinate x^3 , provided it remains constant on N . These components describe, therefore, an intrinsic covector density living on N .

Proposition 2. *The following null-like-surface version of the Gauss–Codazzi equation is true:*

$$\bar{\nabla}_a Q^a_b(K) + sv_K \partial_b \left(\frac{\partial_c \Lambda^c}{v_K} \right) \equiv -\mathcal{G}^\perp_b. \quad (23)$$

The proof is given in [3]. We remind the reader that the ratio between two scalar densities: $\partial_c \Lambda^c$ and v_K , is a scalar function θ . Its gradient is a covector field. Finally, multiplied by the density v_K , it produces an intrinsic covector density on N . This proves that also the left-hand-side is a well defined geometric object living on N .

The component $K^b \mathcal{G}^\perp_b$ of the equation (23) is nothing but a densitized form of Raychaudhuri equation (2) for the congruence of null geodesics generated by the vector field K .

4 Initial data on asymptotic Bondi cones

Recall (see [7]) that in Bondi-Sachs coordinates (u, x, x^A) the space-time metric takes the form:

$${}^4g = -xV e^{2\beta} du^2 + 2e^{2\beta} x^{-2} dudx + x^{-2} h_{AB} (dx^A - U^A du) (dx^B - U^B du). \quad (24)$$

Let us derive explicitly canonical data (g_{ab}, Q^a_b) on null surfaces $N := \{u = \text{const.}\}$ which we call *Bondi cones*. The intrinsic coordinates on null surface N are $x^a = (x, x^A)$. We choose null field

$$K := e^{-2\beta} x^2 \partial_x. \quad (25)$$

The components of the degenerate metric g_{ab} are as follows:

$$g_{AB} = x^{-2} h_{AB}, \quad g_{xA} = 0 = g_{xx}.$$

From (24), (25) and (22) we obtain the following formulae:

$$sQ^a_x(K) = 0 \quad (26)$$

$$sQ^A_B(K) = -\frac{1}{2} \sin \theta h^{AC} (x^{-2} h_{CB})_{,x} \quad (27)$$

$$sQ^x_A(K) = x^{-2} \sin \theta \left(\beta_{,A} + \frac{1}{2} e^{-2\beta} h_{AB} U^B_{,x} \right) \quad (28)$$

If we assume that Bondi cone data is polyhomogeneous and conformally $C^1 \times C^0$ -compactifiable, it follows that (cf. [8])

$$h_{AB} = \check{h}_{AB} \left(1 + \frac{x^2}{4} \chi^{CD} \chi_{CD} \right) + x \chi_{AB} + x^2 \zeta_{AB} + x^3 \xi_{AB} + O_{\ln^* x}(x^4),$$

where ζ_{AB} and ξ_{AB} are polynomials in $\ln x$ with coefficients which smoothly depend upon the x^A 's. By definition of the Bondi coordinates we have $\det h = \det \check{h} = \sin \theta$, which implies $\check{h}^{AB} \chi_{AB} = \check{h}^{AB} \zeta_{AB} = 0$. Further,

$$\beta = -\frac{1}{32} \chi^{CD} \chi_{CD} x^2 + B x^3 + O_{\ln^* x}(x^4), \quad (29)$$

$$h_{AB} U^B = -\frac{1}{2} \chi_A{}^B{}_{||B} x^2 + W_A x^3 + O_{\ln^* x}(x^4), \quad (30)$$

where B and W_A are again polynomials in $\ln x$ with smooth coefficients depending upon the x^A 's, while $||$ denotes covariant differentiation with respect to the unit sphere metric \check{h} . This leads to the following approximate formulae:

$$sQ^A{}_B(K) = x^{-2} \sin \theta \left(x^{-1} \delta^A{}_B - \chi^A{}_B + O(x^2) \right) \quad (31)$$

$$sQ^x{}_A(K) = x^{-2} \sin \theta \left(-\frac{1}{2} x \chi_A{}^B{}_{||B} + O(x^2) \right) \quad (32)$$

$$g_{AB} = x^{-2} \left(\check{h}_{AB} + x \chi_{AB} + O(x^2) \right) \quad (33)$$

It is easy to verify that the asymptotic behaviour of canonical data $(g_{ab}, Q^a{}_b)$ is determined by “free data” χ_{AB} which agrees with standard Bondi-Sachs approach to the null initial value formulation.

We hope that the variational formula on a truncated cone, which is space-like inside and light-like near Scri, (proposed in [5]) can be formulated with the help of the object $Q^a{}_b$ for arbitrary hypersurfaces, i.e. without assumption that the null part of the initial surface is a Bondi cone.

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