

# Numerical simulations of Causal Dynamical Triangulations 1

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# Outline

- 1 General introduction
  - Path integral for Quantum Gravity
  - Basic assumptions of CDT
  - Regularization of a theory
  - Construction elements in 4d
  - Geometry of 3d states and a 4d configurations
- 2 Numerical setup
  - Objectives
  - Monte Carlo technique
  - Phase structure

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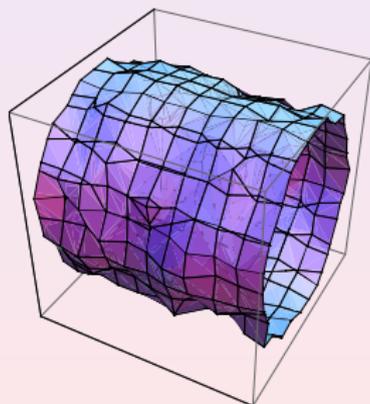
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# Path integral for Quantum Gravity

**Quantum Gravity** (without matter) - states of the system are defined as spatial geometries of the universe.

Example of the evolution of a **one-dimensional** closed universe:



Joining spatial geometries produces a **space-time geometry**. In this example the sum over trajectories becomes a (weighted) sum over all two-dimensional surfaces joining the in-state with the out-state.

# Path integral for Quantum Gravity cont'd.

Our aim is to calculate the amplitude of a transition between two geometric states

$$G(\mathbf{g}_i, \mathbf{g}_f, t) := \int_{\text{geometries: } \mathbf{g}_i \rightarrow \mathbf{g}_f} e^{iS[\mathbf{g}_{\mu\nu}(t)]}$$

To define this path integral we have to specify the “measure” and the “domain of integration” - **a class of admissible space-time geometries** joining the in- and out- geometries.

## Causal Dynamical Triangulations

- Using methods of QFT.
- Regularization of geometry follows the method of **Dynamical Triangulations**.
- New element: causality - **Causal Dynamical Triangulations** - additional restriction on the topology of space-time.

### Very promising results of CDT

- Correct continuum limit.
- Information about quantum effects on the Planck scale.

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## Basic concepts

- Path integral – amplitude of a quantum transition between in- and out- states can be written as a weighted sum (integral) over all possible trajectories.
- Possibility to perform analytic continuation in time – Wick rotation to imaginary time. In effect weights become real and positive and can be interpreted as **probabilities**.
- Lattice regularization – discretization of space-time provides a **cut-off**  $a$ .

In our approach (also in Dynamical Triangulations) we start with “Euclidean” formulation of space-time and then we eventually rotate back (or define) the time variable.

## Wick rotation

Rotation to imaginary time  $t \rightarrow it_4$  - the weight is formally real:

$$e^{iS[\mathbf{g}(t)]} \rightarrow e^{-S^E[\mathbf{g}(t_4)]}$$

After Wick rotation quantum amplitude becomes a weighted sum over geometric manifolds bounded by the in- and out-states.

## The simplest form of the action – Hilbert–Einstein action

$$S[\mathbf{g}] = -1/G \text{Curvature}(\mathbf{g}) + \lambda \text{Volume}(\mathbf{g})$$

where  $G$  - gravitational constant,  $\lambda$  - cosmological constant (**essential** to suppress the entropy of quantum fluctuations).

This action used both by DT and CDT.

# Measure and domain of integration in a path integral for QG

- **A.** Sum (integral) over diffeomorphism invariant equivalence classes of space-time metrics.
- **B.** Fixed topology of space-time.
- **C.** Suppressed formation of baby universes (fixed spatial topology).
- To suppress the divergent volume of the diffeomorphism group. **Realized in the DT regularization.**
- To suppress the divergence of the path integral coming from entropy. **Realized in DT.**
- Causality: it means the existence of a time foliation. For each time the topology of the universe is the same. **Realized in CDT.**

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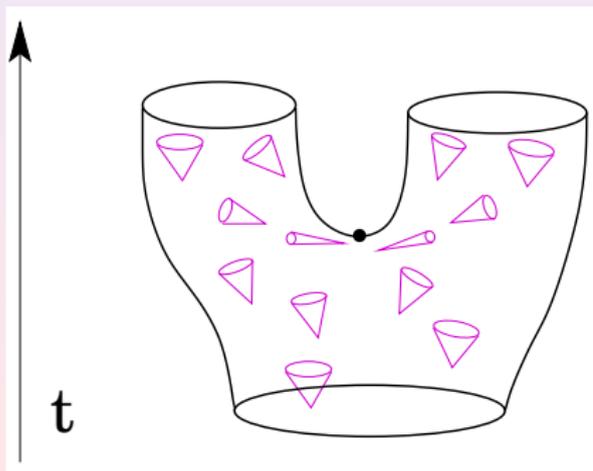
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## Difference between DT and CDT

Difference lies in the **domain of integration** over allowed space-time geometries. In DT one cannot avoid introducing causal singularities.



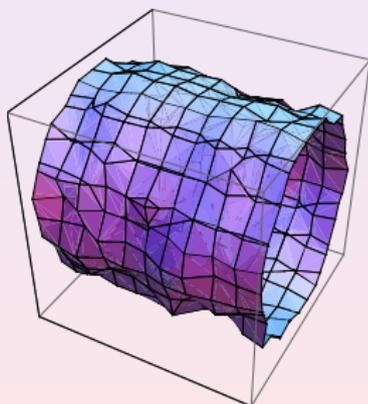
Example of a causal singularity, which leads to creation of baby universes. Creation of baby universes dominates the possible evolution.

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# Method of triangulations

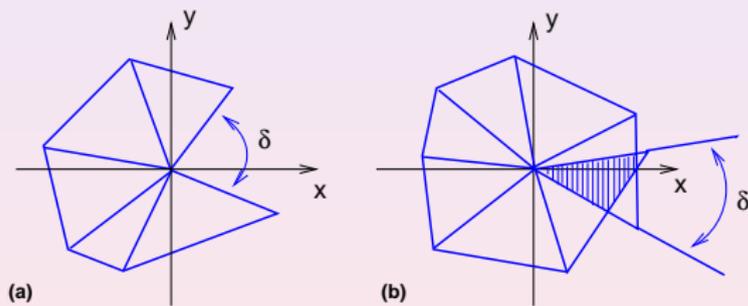
**Counting equivalence classes of manifolds.** Example in 2d.



**Discretization:** One of the the standard **regularizations** in QFT. Here: we replace a continuous space-time surface by a **triangulated** surface built from regular triangles with the edge  $a$ , serving as a cut-off. In the continuum limit  $a \rightarrow 0$ .

# Consequence of introducing a triangulation

Example in 2d (Euclidean time):



In a triangulation a variable number of triangles can meet at each vertex. Deficit angle  $\delta$  - (a) positive, (b) - negative.

**Curvature is localized in vertices. In other points geometry is flat!**

## Three steps in regularization of a path integral

### Regularization of a geometric state

One-dimensional state with a topology  $S^1$  is built from **links** with length  $a$ .

### Regularization of a space-time geometry (trajectory)

2d space-time surface built from equilateral triangles. Curvature localized in vertices.

### Regularization of a path integral

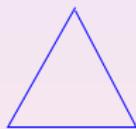
Integral over equivalence classes of metrics is replaced by a summation over all possible **triangulations**, belonging to some topological class.

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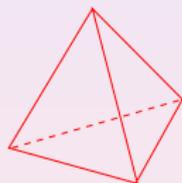
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# Generalization to higher d

## Method of **Euclidean Dynamical Triangulations**



2d



3d



4d

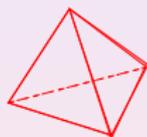
Replace 2d triangles  
by higher-dimensional  
simplices.

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## CDT: 3d geometric “states”

Spatial states are 3d geometries with a topology  $S^3$ .  
**Discretized states** are constructed from 3d simplices -  
**tetrahedra** glued along triangular faces.

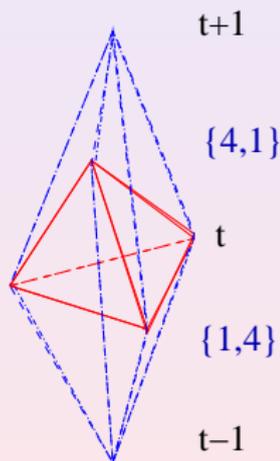


Regular tetrahedron (3-simplex) - a basic block to build 3d manifolds.

### Space of states

There are many inequivalent ways of gluing tetrahedra. For  $N$  tetrahedra **and a fixed topology** this number **grows exponentially**  $\sim \exp(\lambda N)$ .

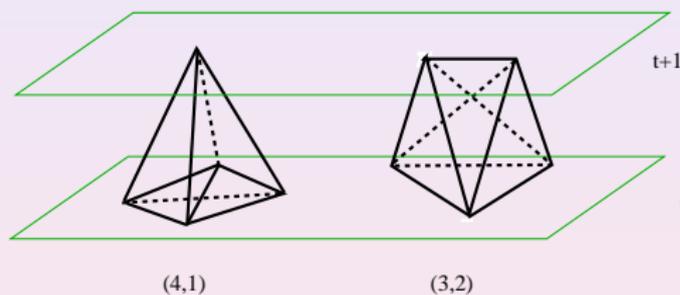
## Connecting 3d states



In 4d each tetrahedron becomes a base of a pair of  $\{4, 1\}$  and  $\{1, 4\}$  simplices, pointing up or down in  $t$ . The lengths of edges in time direction are  $a_t$  (may be different than  $a_s$ ).

## Connecting 3d states cont'd

We need two more types of simplices:  $\{3, 2\}$  and  $\{2, 3\}$ .



Simplices  $\{3, 2\}$  and  $\{2, 3\}$  form a “layer” gluing together states at  $t$  and  $t + 1$ .

It takes at least 4 steps to connect two  $\{4, 1\}$  simplices at times  $t$  and  $t + 1$ .

$$\{4, 1\} \rightarrow \{3, 2\} \rightarrow \{2, 3\} \rightarrow \{1, 4\} \rightarrow \{4, 1\}$$

## Space-time manifolds in 4d (trajectories)

We build a 4d manifold with a topology  $S_3 \times S_1$ . Each manifold is characterized by a set of “global” numbers

- $N_4^{\{4,1\}}$  - number of  $\{4, 1\}$  and  $\{1, 4\}$  simplices.
- $N_4^{\{3,2\}}$  - number of  $\{3, 2\}$  and  $\{2, 3\}$  simplices.
- $N_0$  - number of vertices (0-simplices).
- $T$  - time period.

Other “global” numbers depend on those above.

Each manifold is a specific way of gluing together geometric states at integer times  $t$ .

For a discretized manifold the Hilbert-Einstein action **depends only on these global numbers.**

Each 4d manifold is represented by a “local” information, describing how simplices are glued together. To do this we assign labels to vertices.

### Definition

Manifolds are assumed to be simplicial manifolds:  
Each (sub)simplex with a particular set of labels appears at most once.

Labels are analogues of coordinates. Relabelling is the analogue of a diffeomorphism transformation.

There is an exponentially large number of possible “local” realizations of geometry, corresponding to the same topology and the same set of “global” numbers.

# Manifolds in 4d CDT: Summary

- Each “trajectory” is a sequence of  $T$  3d geometric states with a topology  $S^3$ . These states are **discretized**: geometry is obtained by gluing together regular tetrahedra to form a closed  $S^3$  simplicial manifold. Each state is characterized by an integer “time”. 3-volume of a manifold is  $\propto N_3(t)$  – number of tetrahedra.
- In 4d tetrahedra become bases of  $\{4, 1\}$  and  $\{1, 4\}$  simplices pointing up and down in “time” We have

$$\sum_t N_3(t) = N_4^{\{4,1\}}/2.$$

- To connect two states at  $t$  and  $t + 1$  we need a layer formed by  $\{3, 2\}$  and  $\{2, 3\}$  tetrahedra. **This layer has no analogue in  $d = 2$  and  $d = 3$ .**

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# Hilbert-Einstein action

For each space-time manifold we assign the action  $S_{HE}$  and a “probability”  $\exp(-S_{HE})$ .

$$S_{HE} = -(\kappa_0 + 6\Delta)N_0 + \kappa_4(N_4^{\{4,1\}} + N_4^{\{3,2\}}) + \Delta(2N_4^{\{4,1\}} + N_4^{\{3,2\}})$$

$\kappa_0$ ,  $\kappa_4$ ,  $\Delta$  - bare **dimensionless** coupling constants.

Discretization of a theory always leads to a dimensionless formulation. We will reintroduce physical dimensions later.

Analogy to Statistical Physics. Path integral  $\rightarrow$  Ensemble of space-time discretized manifolds with a “partition function”

$$\mathcal{Z}(\kappa_0, \kappa_4, \Delta) = \sum_{\mathcal{T}} e^{-S_{HE}(\mathcal{T})}$$

# Parameters of the H-E action

Physical properties of the system are determined by values of **bare** coupling constants

- $\kappa_4 - \kappa_4^{crit}(\kappa_0, \Delta)$  - related to the average "volume"  $\langle N_4 \rangle$ .
- $\kappa_0$  - related to the inverse of the bare gravitational constant.
- $\Delta$  - related to asymmetry between  $a_s$  and  $a_t$ .

$$\mathcal{Z}(\kappa_0, \kappa_4, \Delta) = \sum_{N_4} e^{-\kappa_4 N_4} Z_{N_4}(\kappa_0, \Delta)$$

where  $N_4 = N_4^{\{4,1\}} + N_4^{\{3,2\}}$  - total number of simplices.

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# Objectives

Ideally we would like to be able not only to obtain the analytic formula for the partition function  $\mathcal{Z}(\kappa_0, \kappa_4, \Delta)$ , but also, using this function, to calculate arbitrary physical observables. Calculating (some of) these observables will be our objective.

$$\mathcal{Z}(\kappa_0, \kappa_4, \Delta) = \sum_{\mathcal{T}} e^{-S_{HE}(\mathcal{T})}$$

$$\langle \mathcal{A} \rangle = \frac{1}{\mathcal{Z}} \sum_{\mathcal{T}} \mathcal{A}(\mathcal{T}) e^{-S_{HE}(\mathcal{T})}$$

There is in general much more information in  $\langle \mathcal{A} \rangle$  than in  $\mathcal{Z}$ .  
 $\mathcal{T}$  - triangulations  $\equiv$  space-time configurations  $\equiv$  trajectories.

# Grand-canonical and canonical ensembles

Partition function  $\mathcal{Z}(\kappa_0, \kappa_4, \Delta)$  from a statistical point of view defines a grand-canonical ensemble

$$\mathcal{Z}(\kappa_0, \kappa_4, \Delta) = \sum_{N_4} e^{-\kappa_4 N_4} \mathcal{Z}_{N_4}(\kappa_0, \Delta)$$

$\mathcal{Z}_{N_4}(\kappa_0, \Delta)$  defines a “canonical” ensemble with fixed four-volume  $N_4$ .

If a regularized theory should be finite – the sum in  $\mathcal{Z}$  should be convergent. It follows that  $\mathcal{Z}_{N_4}$  **can grow at most exponentially** with  $N_4$  (restriction on a global topology).

$$\mathcal{Z}_{N_4}(\kappa_0, \Delta) \approx \exp(\kappa_4^{crit}(\kappa_0, \Delta) N_4)$$

# Observables

- Observables  $\langle \mathcal{A} \rangle$  can be decomposed as

$$\langle \mathcal{A} \rangle = \sum_{N_4} \mathcal{P}(N_4) \langle \mathcal{A} \rangle_{N_4}$$

- In particular

$$\langle N_4 \rangle \sim 1/(\kappa_4 - \kappa_4^{crit})$$

“Canonical” averages are much easier to calculate (at least numerically).

$$\langle \mathcal{A} \rangle_{N_4} = \sum_{\mathcal{T}_{N_4}} P(\mathcal{T}) \mathcal{A}(\mathcal{T})$$

## Canonical averages, infinite volume limit and continuum limit

- For a finite  $N_4$  summation is over a **finite** (but exponentially large) set of configurations. Different configurations give contributions, depending on  $P(\mathcal{T})$ . Exact summation is practically impossible - we have to restrict ourselves to **numerical estimates**.
- **Numerical estimate** based on a smaller sample of “important” configurations.
- “Typical” (important) configurations - those with large probabilities (or large entropy, i.e. many different configurations with the same probability and similar physical properties)

# Layout of a numerical experiment

For a set  $\{\kappa_0, \Delta\}$  of bare coupling constants we perform **numerical experiments** at a sequence of volumes  $N_4$ . Each experiment means generating a large but finite sample of “important” configurations.

- These configurations are generated using the **Monte Carlo** technique.
- We calculate numerical estimates of the observable  $\langle \mathcal{A} \rangle_{N_4}$
- We perform a finite size scaling analysis, i.e. we determine the scaling of the observable as a function of  $N_4$  in the **infinite volume limit**  $N_4 \rightarrow \infty$ .
- We try to interpret this limit as a continuum limit by reintroducing physical dimensions.

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# Monte Carlo

In the space of configurations  $\{\mathcal{M}\}$  we define a Markov process (a random walk in the configuration space) by choosing a probability  $\mathcal{W}(\mathcal{M}_a \rightarrow \mathcal{M}_b)$  of a move from  $\mathcal{M}_a$  to  $\mathcal{M}_b$ . Fictitious (discrete) time  $\tau$  numbers the steps of a random walk. At each step we have a (normalized) distribution of probabilities  $P_\tau(\mathcal{M}_i)$  with a recurrence relation

$$P_{\tau+1}(\mathcal{M}_j) = \sum_{\mathcal{M}_i} P_\tau(\mathcal{M}_i) \mathcal{W}(\mathcal{M}_i \rightarrow \mathcal{M}_j)$$

# Monte Carlo cont'd'

## Choosing transition probabilities

It is possible to choose  $\mathcal{W}(\mathcal{M}_a \rightarrow \mathcal{M}_b)$  in such a way that the Markov process has a **unique** limiting distribution

$$P_\infty(\mathcal{M}_i) \propto \exp(-S(\mathcal{M}_i))$$

## Detailed balance condition

$$\exp(-S(\mathcal{M}_a))\mathcal{W}(\mathcal{M}_a \rightarrow \mathcal{M}_b) = \exp(-S(\mathcal{M}_b))\mathcal{W}(\mathcal{M}_b \rightarrow \mathcal{M}_a)$$

There are infinitely many solutions of this condition.

# Monte Carlo cont'd'

## DB solution

We may have

$$\mathcal{W}(\mathcal{M}_a \rightarrow \mathcal{M}_b) = \mathcal{W}(\mathcal{M}_b \rightarrow \mathcal{M}_a) = 0$$

or

$$\frac{\mathcal{W}(\mathcal{M}_a \rightarrow \mathcal{M}_b)}{\mathcal{W}(\mathcal{M}_b \rightarrow \mathcal{M}_a)} = \exp(-(\mathcal{S}(\mathcal{M}_b) - \mathcal{S}(\mathcal{M}_a)))$$

- Non-zero transitions must satisfy **ergodicity** – all configurations can be reached by a random walk.
- They should connect configurations which are **close** - with small action difference (to be effective).

# MC in numerical simulations

The numerical procedure is based on definitions presented above. On a computer we start the iterative process:

- Generate the initial configuration  $\mathcal{M}_0$ .
- Pick a (single) new configuration  $\mathcal{M}_i$  with a probability given by  $\mathcal{W}(\mathcal{M}_0 \rightarrow \mathcal{M}_i)$
- Pick a (single) new configuration  $\mathcal{M}_j$  with a probability given by  $\mathcal{W}(\mathcal{M}_i \rightarrow \mathcal{M}_j)$
- ...

If we perform sufficiently many steps and reach a particular configuration  $\mathcal{M}_a$  **we know** that it will appear with a probability  $\propto \exp(-S(\mathcal{M}_a))$ .

# MC in numerical simulations cont'd'

Configurations separated by many iteration steps are called **statistically independent**.

A set  $\{\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_{\mathcal{N}}\}$  of independent configurations can be used to get the estimate

$$\langle \mathcal{A} \rangle \approx \frac{1}{\mathcal{N}} \sum_i^{\mathcal{N}} \mathcal{A}(\mathcal{M}_i)$$

**Statistical error** of the estimate depends on  $\mathcal{N}$  and typically behaves as  $1/\sqrt{\mathcal{N}}$ .

# Monte Carlo in CDT

We use this technique to obtain estimates in CDT.

## Monte Carlo

- Finite set of local geometric **moves**, preserving topology.
- Detailed balance condition determining a probability to perform a particular change of geometry.

Local moves: “Alexander moves” – satisfy a condition of **ergodicity**.

# Numerical setup in CDT

Alexander moves in general change the volume  $N_4$ . In our approach we either fix  $N_4^{\{4,1\}} = 2 \sum_t N_3(t)$  or we let it fluctuate with a Gaussian probability around  $\langle N_4^{\{4,1\}} \rangle$ .

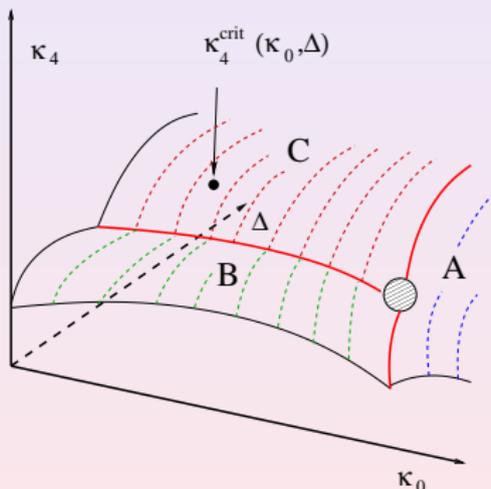
- Physical properties of the system depend on  $\kappa_0$  and  $\Delta$ .
- We fine-tune  $\kappa_4 \approx \kappa_4^{crit}$  to keep  $\langle N_4^{\{4,1\}} \rangle$  stable.

In the Monte Carlo process we generate typically  $10^7 - 10^8$  configurations. This is a **finite sample** representing **typical configurations** for a given set of  $\{\kappa_0, \Delta\}$ .

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## Approximate phase diagram of CDT

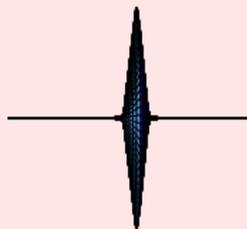
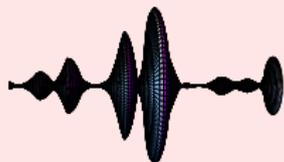


$\mathcal{Z}$  is defined for  
 $\kappa_4 > \kappa_4^{crit}(\kappa_0, \Delta)$ .  
Approaching a critical  
surface means taking  
an **infinite volume limit**.  
 $\langle N_4 \rangle \sim 1/(\kappa_4 - \kappa_4^{crit})$ .

Red lines - first order phase transitions. Perhaps a **triple point**.

## Volume distribution in (imaginary) time

Different value of the critical exponent  $\beta$ :  $\langle N_4^{\{3,2\}} \rangle_{N_4} \sim N_4^\beta$ .



- **Phase A. Not physical.**  
Non-interacting 3d states.  $\beta = 0$ .
- **Phase B. Not physical.**  
Compactification into a 3d Euclidean DT.  
 $0 < \beta < 1$ ,  $d_H = \infty$ .
- **Phase C.** Extended de Sitter phase.  $\beta = 1$ ,  
 $d_H = 4$

## Topological effects

We formulated our model with a topology  $S_3 \times S_1$ , but the initial topology is dynamically modified.

- Among the observed phases only phase A has the unbroken symmetry of the translation in time. This phase is unphysical (no causal relation between different times).
- In phase B we observe a spontaneous compactification of topology to that of Euclidean 3-sphere. The stalk is a lattice artefact and has a cut-off size.
- In phase C we also observe a spontaneous compactification of topology to  $S_4$  (to be discussed).