

# QUANTUM STATE REDUCTION, AND NEWTONIAN TWISTOR THEORY

Maciej Dunajski

Department of Applied Mathematics and Theoretical Physics  
University of Cambridge

- Roger Penrose (1996) On gravity's role in quantum state reduction (1996), *Gen. Rel. Grav* **28**. On the gravitization of quantum mechanics 1: Quantum state reduction (2014). *Found. Phys.* **44**.
- Maciej Dunajski, James Gundry.(2016) Non-relativistic twistor theory and Newton–Cartan geometry. *Comm. Math. Phys.* **342**.
- Maciej Dunajski, Roger Penrose. (2022) Quantum state reduction, and Newtonian twistor theory. [arXiv:2203.08567](https://arxiv.org/abs/2203.08567).

- The **U** process: Unitary, holomorphic evolution (Schrödinger equation).
- The **R** process: Wave function collapse. Non-holomorphic.
- **R** is a real process taking place in time. Gravitational effects need to be taken into account to understand it.
- Gravitize quantum mechanics, rather than quantize gravity.

- Quantum principle of equivalence in non-uniform gravitational fields: wave function phase ambiguity with non-linear time dependence.
- Requires only Newtonian gravity framework
- ... so incorporate non-relativistic twistor theory. which gives a non-local description of all Newtonian space-times.
- Combine the quantum non-locality with the twistor non-locality into a proposal for a twistor description of the wave function collapse.

- Schrödinger equation in Newtonian frame

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \Delta \psi - m\mathbf{g} \cdot \mathbf{x} \psi.$$

- Accelerating (Einstein) frame:  $t = T$ ,  $\mathbf{X} = \mathbf{x} - \frac{1}{2}\mathbf{g}t^2$

$$i\hbar \frac{\partial \Psi}{\partial T} = -\frac{\hbar^2}{2m} \Delta \Psi, \quad \Psi(T, \mathbf{X}) = e^{-\frac{im}{\hbar} \left( \frac{1}{6} |\mathbf{g}|^2 t^3 - t\mathbf{g} \cdot \mathbf{x} \right)} \psi(t, \mathbf{x})$$

- Ambiguity in positive/negative frequency decomposition ( $c \rightarrow \infty$  limit of Unruh effect).
- Superposition of massive objects: two Hilbert spaces.

- Plane wave space–time in  $4 + 1$  dimensions

$$G = 2dudt + 2\frac{V(\mathbf{x}, t)}{m}dt^2 - d\mathbf{x} \cdot d\mathbf{x}.$$

- Classical physics: null geodesics  $\rightarrow m\ddot{\mathbf{x}} = -\nabla V$ .
- Quantum physics:  $\square_G \phi = 0$ , where  $\phi = e^{-imu/\hbar}\psi(t, \mathbf{x})$

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \Delta \psi + V\psi.$$

- $V = -m\mathbf{g} \cdot \mathbf{x}$ .  $G$  is flat. Flat coordinates  $G = 2dUdT - d\mathbf{X} \cdot d\mathbf{X}$

$$T = t, \quad U = u - t\mathbf{g} \cdot \mathbf{x} + \frac{1}{6}|\mathbf{g}|^2 t^3, \quad \mathbf{X} = \mathbf{x} - \frac{1}{2}\mathbf{g}t^2$$

Nonlinear phase change:  $\Phi = e^{-imU/\hbar}\Psi(T, \mathbf{X}) = e^{-imu/\hbar}\psi(t, \mathbf{x})$ .

# NORMAL COORDINATES

- Non-uniform gravitational field.  $G$  curved. Riemann coordinates  $X^\alpha = (U, T, X^i)$

$$G = 2dUdT - d\mathbf{X}^2 + \frac{1}{3}R_{\mu\alpha\beta\nu}(0)X^\alpha X^\beta dX^\mu dX^\nu + O(|X|^3),$$

- Null direction

$$U = u + \frac{t^3}{6m^2}|\gamma|^2 + \frac{t}{3m}\mathbf{x}^T r \mathbf{x} + \frac{t}{m}\mathbf{x} \cdot \gamma, \quad \mathbf{X} = \mathbf{x} + \frac{t^2}{6m}r\mathbf{x} + \frac{t^2}{2m}\gamma.$$

where  $\gamma^i = \delta^{ik}\partial_k V|_{\mathbf{x}=\mathbf{0}}$ ,  $r^i_j = \delta^{ik}\partial_j\partial_k V|_{\mathbf{x}=\mathbf{0}}$ .

- $e^{-\frac{mU}{\hbar}}\Psi(\mathbf{X}, T) = e^{-\frac{mu}{\hbar}}\psi(\mathbf{x}, t)$ , or

$$\psi(\mathbf{x}, t) = \Lambda\Psi\left(\mathbf{x} + \frac{t^2}{2m}\gamma, t\right), \quad \text{where } \Lambda = e^{-\frac{im}{\hbar}\left(\frac{t^3}{6m^2}|\gamma|^2 + \frac{t}{m}\mathbf{x}\cdot\gamma\right)}.$$

- Twistor theory (Penrose 1967).
  - Non-local theory of space-time.
  - Light rays more fundamental than events.
  - Non-perturbative physics constrained by self-duality.
  - Impact on pure mathematics (differential geometry, integrability, ...).
- Non-relativistic limit of twistor theory (MD, Gundry 2016).
  - Jumping lines in twistor space.
  - Unstable under holomorphic deformations.
  - Describes all Newtonian space-times (not constrained by self-duality).
  - Non-relativistic limits of gravitational instantons.

- Complex 3-fold  $PT_c$ . Covering  $U = \{(Q, T, \lambda)\}$ ,  $\tilde{U} = \{(\tilde{Q}, \tilde{T}, \tilde{\lambda})\}$ .

$$\tilde{\lambda} = \frac{1}{\lambda}, \quad \begin{pmatrix} \tilde{T} \\ \tilde{Q} \end{pmatrix} = \begin{pmatrix} 1 & -(c\lambda)^{-1} \\ 0 & \lambda^{-2} \end{pmatrix} \begin{pmatrix} T \\ Q \end{pmatrix} \quad \text{on } U \cap \tilde{U}.$$

- Vector bundle  $\mu : PT_c \rightarrow \mathbb{C}P^1$  with a patching matrix

$$F_c = \begin{pmatrix} 1 & -(c\lambda)^{-1} \\ 0 & \lambda^{-2} \end{pmatrix}.$$

- Grothendieck theorem:  $PT_c = \mathcal{O}(m) \oplus \mathcal{O}(n)$
- $H(\lambda), \tilde{H}(\tilde{\lambda}) \in GL(2, \mathbb{C})$ , such that  $F_c = \tilde{H} \text{diag}(\lambda^{-m}, \lambda^{-n}) H^{-1}$ .
  - $c = \infty$ .  $PT_c = \mathcal{O} \oplus \mathcal{O}(2)$ .
  - $c \neq \infty$ .  $PT_c = \mathcal{O}(1) \oplus \mathcal{O}(1)$ .



- 4-parameter family (complexified space-time)  $M_{\mathbb{C}}$  of  $\mathbb{CP}^1$ s in  $PT_c$ .

$$Q = -(x + iy) - 2\lambda z + \lambda^2(x - iy), \quad T = t - \frac{1}{c}(z - \lambda(x - iy)).$$

- $c \neq \infty$ .  $p_1, p_2$  null separated in  $M_{\mathbb{C}}$  iff  $L_1$  and  $L_2$  intersect at one point in  $PT_c$ . Conformal structure

$$c^2 dt^2 - dx^2 - dy^2 - dz^2$$

- Real structure  $\sigma : PT_c \rightarrow PT_c$

$$\sigma(Q, \lambda, T) = \left( -\bar{\lambda}^{-2}\bar{Q}, -\bar{\lambda}^{-1}, -\bar{T} + (c\bar{\lambda})^{-1}\bar{Q} \right).$$

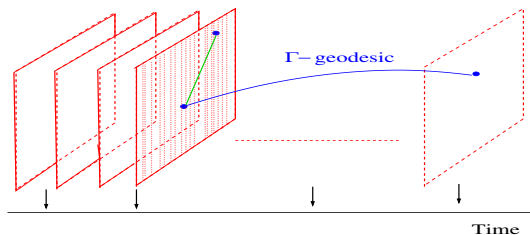
$\sigma$ -invariant curves:  $(x, y, z)$  real,  $t = i\tau$  imaginary.

- $c = \infty$ .  $T = \tilde{T}$  global twistor function
  - Fibration  $M \rightarrow M/\ker(\theta) = \mathbb{R}$ , where  $\theta = d\tau$  (clock).
  - Degenerate metric  $h = (\partial/\partial x)^2 + (\partial/\partial y)^2 + (\partial/\partial z)^2$ .

# NEWTON–CARTAN THEORY

Newton–Cartan structure  $(\nabla, h, \theta)$

- $h \in \Gamma(\text{Sym}^2(TM))$ , signature  $(0, 3)$ .
- $\theta \in \Gamma(T^*M)$ .
- Torsion-free connection  $\nabla$  s.t.  $\nabla h = \nabla \theta = 0$ , so  $\theta = dt$ .



- Free fall  $\longleftrightarrow$  geodesics of  $\nabla$ .
- Time simultaneity  $\longleftrightarrow$  fibration  $M \rightarrow \mathbb{R}$ .
- No absolute space. Needs a choice of Galilean coordinates.

# NEWTONIAN CONNECTION FROM NONLINEAR GRAVITON

- One-parameter family of Gibbons–Hawking (GH) metrics,  $\epsilon = c^{-2}$ .

$$g = (1 + \epsilon V)(dx^2 + dy^2 + dz^2) + \frac{1}{\epsilon(1 + \epsilon V)}(d\tau + \epsilon^{3/2}A)^2.$$

- Anti-self-dual and Ricci flat for all  $\epsilon \in \mathbb{R}^+$  if  $*dV = dA$ .
- Deformed twistor space

$$\tilde{Q} = \frac{1}{\lambda^2}Q, \quad \tilde{T} = T - \frac{Q}{c\lambda} - \frac{1}{c^3}f, \quad f = f(Q, T, \lambda) \in H^1(\mathbb{CP}^1, \mathcal{O}).$$

- Newtonian limit  $c \rightarrow \infty$

$$h^{ij} = \delta^{ij}, \quad \Gamma^i_{\tau\tau} = \frac{1}{2}\delta^{ij}\frac{\partial V}{\partial x^j}, \quad \theta = d\tau.$$

$$\Gamma^i_{\tau\tau} = \frac{1}{2} \delta^{ij} \frac{\partial V}{\partial x^j}, \quad \text{where} \quad V = \oint_{\Gamma \subset \mathbb{C}P^1} \frac{\partial f}{\partial Q} d\lambda.$$

- Example: Uniform gravitational field  $f = Q^2/\lambda^2$
- Example:  $1/r$  potential from Taub–NUT gravitational instanton  
 $f = m \ln Q$

$$V = m/r, \quad \text{ALF} \xrightarrow{c \rightarrow \infty} \text{AF}.$$

- Assume that the  $\mathbf{R}$  process happens in between two measurements at times  $t_0$ , and  $t_1$ .
- The first measurement a discontinuous jump in the space–time structure, and deforms the twistor space  $PT_\infty$  by

$$\tilde{T} = T + \frac{1}{c^3} f(Q, T),$$

where  $f \in H^1(PT_\infty, \mathcal{O})$  is non-zero between  $T_0$  and  $T_1$

- The twistor space survives the reduction, but the 4-parameter family of curves with normal bundle  $N = \mathcal{O} + \mathcal{O}(2)$  disappears, and needs to be replaced by a new family.  $H^1(\mathbb{C}\mathbb{P}^1, \text{End}(N)) \neq 0$ , then general Kodaira deformations do not preserve the type of the normal bundle.

# WAVE FUNCTION COLLAPSE

The space–time bifurcates and collapses in the Twistor  $\mathbf{R}$ -process:  
space–time bifurcates, but the twistor space is one complex three–fold.  
The curves in the  $\mathbf{R}$ -process change their holomorphic type.

