

# GRAVITATIONAL COLLAPSE OF REAL SCALAR FIELDS: FUNDAMENTALS AND APPLICATIONS

AT  
(WYDZIAŁ FIZYKI UW  
AND  
DBP NCBJ)

BY

Koushiki

Ahmedabad University

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# OUTLINE

1 INTRODUCTION

2 FUNDAMENTALS

3 APPLICATIONS: EQUILIBRIUM END-STATES

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## 1 INTRODUCTION

## 2 FUNDAMENTALS

## 3 APPLICATIONS: EQUILIBRIUM END-STATES

## INTRODUCTION

### WHAT IS GRAVITATIONAL COLLAPSE?

- In co-moving co-ordinate system, 4-velocity:  $u_t^i = \delta_t^i$ :

$$R(r, t) = r \ a(r, t),$$

$$\dot{R} < 0 \longrightarrow \dot{a} < 0.$$

- The time-like congruence  $u^a$ : converging:

$$\theta = D_a u^a < 0.$$

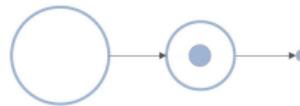


FIGURE: Evolution of apparent horizon and the collapsing cloud: black-hole.

## INTRODUCTION

### WHAT IS A SCALAR FIELD?

- A real scalar field:

$$\phi : \mathcal{M} \rightarrow \mathbb{R}.$$

- Lagrangian of a scalar field  $\phi$  with potential  $V(\phi)$ :

$$\mathcal{L}_\phi = -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi - V(\phi).$$

- Stress-energy tensor:

$$T_{\mu\nu} = \nabla_\mu\phi\nabla_\nu\phi - \frac{1}{2}g_{\mu\nu}(\nabla_\delta\phi\nabla^\delta\phi + V(\phi)).$$

### WHY STUDY GRAVITATIONAL COLLAPSE OF A SCALAR FIELD?

1 Understanding fundamental features of space-time:

- ▶ Cosmic Censorship Conjecture<sup>1</sup> (CCC)<sup>2</sup>,
- ▶ Critical phenomena in gravitational collapse<sup>3</sup>.

2 Applications in cosmology:

- ▶ Dark matter<sup>4</sup>,
- ▶ Dark energy<sup>5</sup>,
- ▶ Structure formation<sup>6</sup>.

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<sup>1</sup>R. Penrose. In: *Riv. Nuovo. Cimento* Num. Sp. I (1969).

<sup>2</sup>P. S. Joshi K. Mosani Koushiki, J. V. Trivedi, and T. Bhanja. In: *Phys. Rev. D* 108.044049 (2023).

<sup>3</sup>M. W. Choptuik. In: *Phys. Rev. Lett.* 70, 9 (1993).

<sup>4</sup>Frank Wilczek. In: *Physical Review Letters* 85 (2000), pp. 1158–1161. DOI: 10.1103/PhysRevLett.85.1158.

<sup>5</sup>M. Sami E. J. Copeland and S. Tsujikawa. In: *Int. Jou. Mod. Phys. D* 15.1753-1935 (2006).

<sup>6</sup>D. Dey P. Saha and K. Bhattacharya. In: *Phys. Rev. D* 109.104023 (2024).

# GENERAL FRAMEWORK FOR GRAVITATIONAL COLLAPSE IN SPHERICALLY SYMMETRIC SPACE-TIMES

- ① Line element:

$$ds^2 = -e^{2\nu(r,t)}dt^2 + e^{2\psi(r,t)}dr^2 + R^2(r,t)d\sigma^2.$$

- ② **Type-I matter fields:** *Three space-like and one time-like eigen-vectors:* Hawking and Ellis<sup>7</sup>, Joshi<sup>8</sup>.
- ③ Weak energy condition<sup>9</sup>:

$$\rho \geq 0, \quad \rho + p_i \geq 0.$$

- ④ Equation of state<sup>10</sup>:

$$p = \omega(r, t)\rho.$$

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<sup>7</sup> S. W. Hawking and G. F. R. Ellis. *The large scale structure of spacetime*. 1973.

<sup>8</sup> P. S. Joshi. *Global Aspects in Gravitation and Cosmology* (Clarendon Press). 1993.

<sup>9</sup> S. D. Maharaj B. P. Brassel and R. Goswami. In: *Entropy* 23.11, 1400 (2021).

<sup>10</sup> DESI Collaboration et.al. In: (2024). arXiv: 2404.03002 [astro-ph.CO].

# OUTLINE

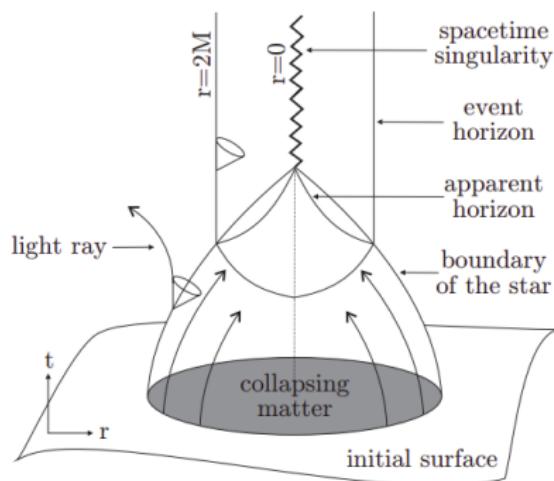
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## INTRODUCTION

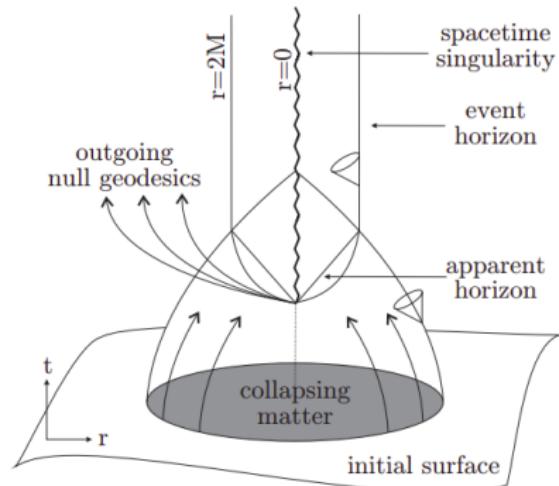
### VISIBILITY OF THE SINGULARITY



**FIGURE:** Formation of **Black-hole** as an end state of a spatially homogeneous dust (Oppenheimer Snyder Datt collapse)<sup>11</sup>.

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P. S. Joshi and D. Malafarina, International Journal of Modern Physics D **20**, 14 (2011).



**FIGURE:** Formation of **Naked singularity** as an end state of a spatially inhomogeneous dust collapse (Leimatre Tolman Bondi collapse)<sup>12</sup>.

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P. S. Joshi and D. Malafarina, International Journal of Modern Physics D **20**, 14 (2011).

## IMPORTANT DEFINITIONS

- Singularity: boundary of the manifold.
- The 4-velocity or the tangent to a outgoing null geodesic for the manifold  $(\mathcal{M}, g)$ :  $K^i = \frac{dx^i}{d\lambda}$ .
- The expansion scalar of the outgoing null-congruence is:

$$\theta_I = \nabla_i K^i.$$

- There should be no trapped surfaces along  $K^i$  and the singularity would be **naked** if<sup>13</sup>:

$$\theta_I > 0.$$

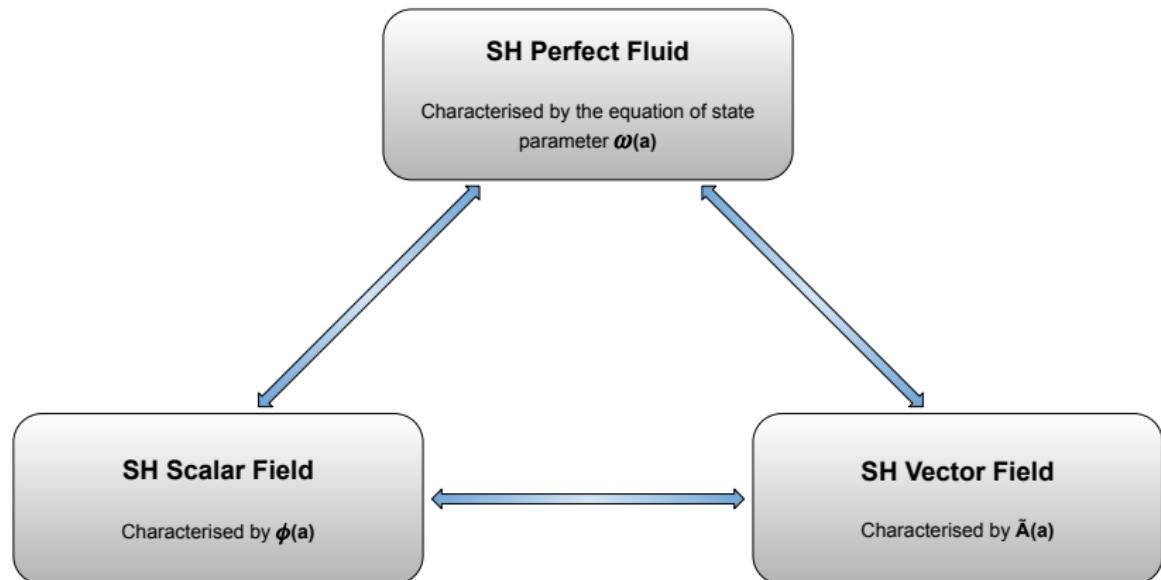
- Singularity is **strong** if at least along one non-spacelike geodesic with the affine parameter  $\lambda$ , with  $\lambda \rightarrow 0$  in the neighbourhood of the singularity, the following inequality should be satisfied<sup>14 15</sup>:

$$\lim_{\lambda \rightarrow 0} \lambda^2 R_{ij} K^i K^j > 0.$$

<sup>13</sup> P. S. Joshi and I. H. Dwivedi. In: *Phys. Rev. D* 47 (1993), p. 5357.

<sup>14</sup> C. J. S. Clarke and A. Krolak. In: *J. Geom. Phys.* 127 (1985), p. 2.

<sup>15</sup> F. J. Tipler. In: *Phys. Lett. A* 8 (1977), p. 64.



**FIGURE:** Equivalence between the unhindered gravitational collapse of spatially homogeneous perfect fluid, scalar field and vector field with potentials.

- Spherically symmetric spatially homogeneous cloud: Friedmann Leimatre Robertson Walker (FLRW) line-element:

$$ds^2 = -dt^2 + a(t)^2 d\sigma^2$$

where  $d\sigma^2 = dx^2 + dy^2 + dz^2$ .

- The stress-energy tensor in this scheme:

$$T_{\nu}^{\mu} = \text{diag}(-\rho, p, p, p).$$

- Equation of state parameter:

$$\frac{p(a)}{\rho(a)} = \omega(a).$$

## RESULTS

We find strong and naked singularities, defined by their equation of state parameter<sup>16</sup>:

- There will be no **trapped surfaces** in the resultant space-time if:

$$\lim_{a \rightarrow 0} \rho_0 a^2 \exp \left( \int_a^1 \frac{3(1 + \omega(a))}{a} da \right) < 1.$$

- The singularity will be **strong** if:

$$\lim_{a \rightarrow 0} \exp \left( \int_a^1 \frac{3(1 + \omega)}{a} da \right) > 0.$$

- The resultant singularity is **strong** and **naked** if:

$$0 < \lim_{a \rightarrow 0} \exp \left( \int_a^1 \frac{3(1 + \omega)}{a} da \right) < \mathcal{O}(a^{-2}).$$

- We get a **strong and naked singularity** for the scalar field described by Goswami and Joshi<sup>17</sup>.

<sup>16</sup> P. S. Joshi K. Mosani Koushiki, J. V. Trivedi, and T. Bhanja. In: *Phys. Rev. D* 108.044049 (2023).

<sup>17</sup> R. Goswami and P. S. Joshi. In: *Modern Physics Letters A* 22 (2007), pp. 65–74. 

## VISIBILITY OF THE SINGULARITY

### EXAMPLES

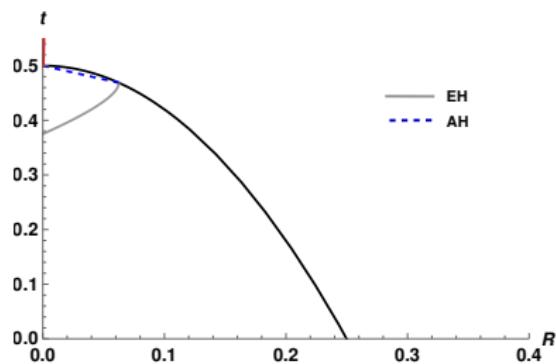


FIGURE: Massless scalar field ( $V_s = 0$ ).

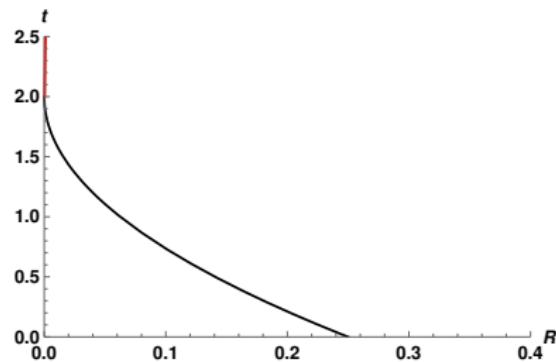


FIGURE:  $V_s(\phi) \propto \exp \phi$  as described in<sup>18</sup>. A globally visible and strong singularity forms in finite comoving time.

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R. Goswami and P. S. Joshi, Modern Physics Letters A, **22**, 01, pp. 65-74 (2007).

## Choptuik's Original work<sup>19</sup>:

- ① power-law relationship for the mass of the black hole formed near the critical threshold:

$$M \sim (p - p_*)^\gamma,$$

- ②  $\gamma \approx 0.37$ : universal critical exponent.
- ③  $p_*$ : attractor in parameter space.
- ④ Arbitrarily small mass black-hole.
- ⑤ The critical solution: self-similarity: *echoing*.
- ⑥ Families of scalar fields:  $\gamma \rightarrow$  universal.

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<sup>19</sup>M. W. Choptuik. In: *Phys. Rev. Lett.* 70, 9 (1993).

## OUR FINDINGS

These results are from a work under preparation:

- ① Universality of collapse end-states in all scalar-field families.
- ②  $\exists$  a dimension-less parameter that decides the visibility of the end-state.
- ③ The scalar field is necessarily of type-I.
- ④ There are *four* different outcomes:
  - ▶ dispersal and no singularity,
  - ▶ zero-mass black-hole,
  - ▶ a black-hole with non-zero mass,
  - ▶ a locally naked singularity with zero gravitational mass.

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- Stable equilibrium:

$$\lim_{t \rightarrow \infty} \dot{R} = \lim_{t \rightarrow \infty} \ddot{R} = 0.$$

- If  $a_e(r) \equiv \lim_{t \rightarrow \infty} a(r, t)$  then:

$$\dot{a}_e(r) = \ddot{a}_e(r) = 0,$$

where,  $R(r, t) = r a(r, t)$ .

- $a(r, t)$ : smooth monotonically decreasing function of comoving time  $t$ :

$$\begin{aligned} a(r, t) &= a_e(r) \quad \forall t \geq t_e \\ \Rightarrow \dot{a} &= \ddot{a} = \ddot{a} = \dots = a^{(n)} = 0 \quad \forall t \geq t_e. \end{aligned}$$

Line element:

$$ds^2 = -dt^2 + \frac{a^2(t)}{1-r^2} dr^2 + r^2 a^2(t) d\sigma^2.$$

- ① Finding potential function  $\Rightarrow$  equilibrium solution.
- ② Two independent equations and three unknowns:  $\phi(a)$ ,  $V(\phi)$ , and  $\dot{a}(a)$ .
- ③ Freedom to choose one free function:  $\dot{a}(a)$ .
- ④ Functional form of  $\dot{a}(a)$ :

$$\dot{a}(a) = \beta (f(a) - f(a_e))^\alpha \quad \forall a \in [a_e, a_0],$$

RESULTS

Potential class for equilibrium-condition for a spatially homogeneous scalar field in spherical symmetry<sup>20</sup>:

- Bound on  $\alpha$ :

$$\alpha \geq 1 .$$

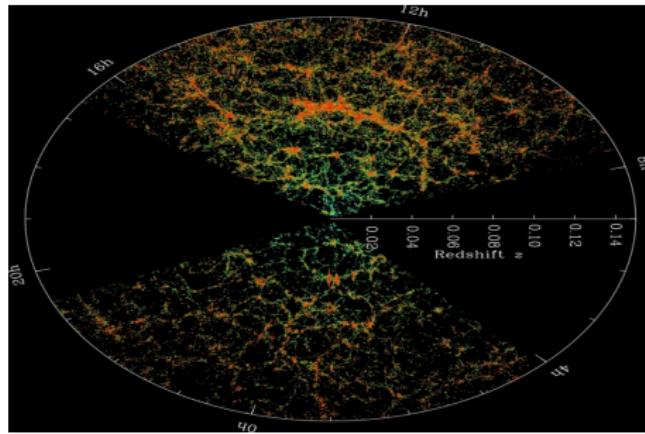
- $\forall \alpha \geq 1$  near the equilibrium  $a \rightarrow a_e$

$$\begin{aligned}\partial_a \phi &\approx \frac{\pm \sqrt{2}}{\beta a (f(a) - f(a_e))^\alpha} , \\ V(a) &\approx \frac{2}{a^2} .\end{aligned}$$

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<sup>20</sup>Koushiki Dipanjan Dey and Pankaj S. Joshi. In: *Phys. Rev. D* 108, 104045 (2023).

## MOTIVATION TO EXPLAIN STRUCTURES IN LARGE SCALES



**FIGURE:** A plot of sky coordinates vs. distance for galaxies in the Sloan Digital Sky Survey, Source: SDSS.

- Perturbation of linear gravity regime<sup>21</sup>.
- Top hat prescription<sup>22</sup>:
  - ① Background: pressureless dust expanding, governed by flat FLRW.
  - ② Overdense sub-universe: pressureless dust in closed FLRW geometry.
  - ③ Initial expansion phase, followed by a collapse.
  - ④ Halting of collapse: virialisation.

### Why is our approach novel?

- General relativistic.
- Potential function is not presumed.
- Collapse stops automatically at asymptotic co-moving time<sup>23</sup>.

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<sup>21</sup> P.J.E. Peebles. *The Large-Scale Structure of the Universe*. Chapter: Linear and Nonlinear Evolution of Cosmological Perturbations. Princeton University Press, 1980.

<sup>22</sup> P. J. E. Peebles. In: *The Astrophysical Journal* 243 (1980), pp. 1–16.

<sup>23</sup> N. Ramesh S. Rajibul P. Kocherlakota and P. S. Joshi. In: *Mon. Not. Roy. Astron. Soc.* 482 (2019), pp. 52–64.



- Scale factor:

$$R(t) = r a(t). \quad (1)$$

- Expansion phase: upto the epoch of turn-around:

$$a \in (a_0, a_{max}) \begin{cases} \dot{a} > 0, \\ \ddot{a} < 0. \end{cases} \quad (2)$$

- At the epoch of turn-around:

$$a = a_{max} \begin{cases} \dot{a} = 0, \\ \ddot{a} < 0. \end{cases} \quad (3)$$

- Collapse phase: after turn-around, upto equilibrium:

$$a \in (a_{max}, a_e) \begin{cases} \dot{a} < 0, \\ \ddot{a} < 0. \end{cases} \quad (4)$$

**Expansion phase: closed FLRW, collapse phase: geometric description?** Components: pressureless dust (ordinary dark matter), weakly interacting to dark energy (seeded by a massive scalar field<sup>24</sup>).

① General spherically symmetric:

$$ds^2 = -e^{2\nu(r,t)}dt^2 + \frac{R'^2(r,t)}{G(r,t)}dr^2 + R^2(r,t)d\sigma^2. \quad (5)$$

② Stress-energy tensor of the sub-universe:

$$\begin{aligned} T_{\mu\nu} &= (T_{\mu\nu})_{DM} + (T_{\mu\nu})_{\phi} \\ &= (\rho_{DM} + P_{DM})u_{\mu}u_{\nu} + P_{DM}g_{\mu\nu} + (\rho_{\phi} + P_{\phi})u_{\mu}u_{\nu} + P_{\phi}g_{\mu\nu} \end{aligned} \quad (6)$$

③ Isotropic fluid in its comoving frame, the non-vanishing and unique components:

$$\begin{aligned} \rho &= \rho_{DM} + \rho_{\phi}, \\ P &= P_{\phi}. \end{aligned} \quad (7)$$

<sup>24</sup> M. Sami E. J. Copeland and S. Tsujikawa. In: *Int. Jou. Mod. Phys. D* 11.1753-1935 (2006) 

## RESULTS

Dynamic dark-energy seeded by a scalar field potential<sup>25</sup>:

- ① Line-element for the system: closed FLRW.
- ② Equation of state of the composite system:
  - ▶ Expanding phase: *zero*.
  - ▶ Collapsing phase: *varying*.
- ③ Effect of dark-energy starts growing from the collapse phase.
- ④ Potential class: Tachyonic potential<sup>26 27</sup>.
- ⑤ Effective mass: imaginary.
- ⑥ Misner-Sharp mass function: real and positive for a closed FLRW space-time.

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<sup>25</sup> D. Dey Koushiki and P. S. Joshi. In: (2024). arXiv: 2404.03901 [gr-qc].

<sup>26</sup> A. Sen. In: *JHEP* 07, 065 (2002).

<sup>27</sup> A. Sen. In: *JHEP* 04, 048 (2002).

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