Communications in Mathematical Physics

Ambient Metrics for *n*-Dimensional *pp*-Waves*

Thomas Leistner¹, Pawel Nurowski^{2,3}

¹ School of Mathematical Sciences, The University of Adelaide,

- Adelaide, SA 5005, Australia. E-mail: thomas.leistner@adelaide.edu.au
- ² Instytut Fizyki Teoretycznej, Uniwersytet Warszawski, ul. Hoża 69, 00-681 Warszawa, Poland. E-mail: nurowski@fuw.edu.pl
- ³ Instytut Matematyczny PAN, ul. Sniadeckich 8, 00-956 Warszawa, Poland

Received: 1 July 2009 / Accepted: 2 November 2009 © Springer-Verlag 2010

Abstract: We provide an explicit formula for the FEFFERMAN- GRAHAM ambient metric

 $_{2}$ of an *n*-dimensional conformal *pp*-wave in those cases where it exists. In even dimen-

³ sions we calculate the obstruction explicitly. Furthermore, we describe all 4-dimensional

pp-waves that are Bach-flat, and give a large class of Bach-flat examples which are con-

formally Cotton-flat, but not conformally Einstein. Finally, as an application, we use
 the obtained ambient metric to show that even-dimensional *pp*-waves have vanishing

⁷ critical *Q*-curvature.

8 1. Introduction

⁹ Plane fronted gravitational waves, called *pp-waves*, are Lorentzian 4-manifolds (M, g)¹⁰ admitting a *covariantly constant null* vector field K. In addition, their Ricci tensor *Ric*

11 satisfies

$$Ric = \Phi \kappa \otimes \kappa, \tag{1}$$

where κ is the 1-form on M defined by $\kappa := K \bot g$. Physicists require also that the function Φ is nonnegative for a *pp*-wave. This is because Φ , via the *Einstein field equations*, is directly related to the energy momentum tensor of its gravitational field.

pp-waves are important in general relativity theory since they generalize the concept of a *plane wave of classical electrodynamics* [41], as well as because of the fact that every 4-dimensional spacetime has a *special pp*-wave as a well defined limit [40], the

Penrose limit, as it is called.
Higher dimensional generalizations of the 4-dimensional *pp*-waves were studied
in [42], appeared in Kaluza-Klein theory [28,25,29,9], and later in string theory [5,6,4,
35,11,36,12,18,3,37]. Their property of possessing a covariantly constant null vector

* This work was supported in part by the Polish Ministerstwo Nauki i Informatyzacji grant nr: 1 P03B 07529 and by the Sonderforschungsbereich 676 of the German Research Foundation.



field K, implies that they have reduced Lorentzian holonomy from the full orthogonal 23

group SO(1, n - 1) to the subgroup preserving the null vector K. In fact, they can be characterised by having *Abelian* holonomy \mathbb{R}^{n-2} [30,32]. As such they admit many 24

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parallel spinors: The dimension of the space of parallel spinors on an n-dimensional 26

pp-wave is at least half of the dimension of the spinor module, [30]. 27

In local coordinates $(x^i, u, r)_{i=1,...,n-2}$ in \mathbb{R}^n , the *n*-dimensional *pp*-wave metric can 28 be written as 29

$$g = \sum_{i=1}^{n-2} (\mathrm{d}x^i)^2 + 2\mathrm{d}u \ (\mathrm{d}r + h\mathrm{d}u) \,.$$

Here h is an arbitrary smooth real function of the first (n-1) coordinates, $h = h(x^i, u)$. 31

The covariantly constant null vector field is $K = \partial_r$. Another property of this metric is 32 that it has vanishing scalar curvature. Hence, if it is Einstein then it is Ricci flat. This 33

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happens if and only if $\Delta h = \sum_{i=1}^{n-2} \frac{\partial^2 h}{\partial (x^i)^2} = 0.$ Conformal classes of pp-wave metrics have remarkable properties. One of them has 35 been described by their discoverer H. W. Brinkmann already in 1925. In his seminal 36 paper [8] Brinkmann not only studied spaces that were later called Brinkmann waves, 37 namely Lorentzian manifolds with parallel null vector field, but he also showed the fol-38 lowing [8, Theorems IV and VIII]: A 4-dimensional, not locally conformally flat Einstein 39 manifold (M, g) locally admits a function Υ such that the conformally rescaled metric 40 $e^{2\Upsilon}g$ is again Einstein, but not homothetic to g, if and only if (M, g) is a Ricci-flat 41 pp-wave (or its counterpart in neutral signature¹). In this case, the rescaled metric is 42 also Ricci-flat and the gradient of Υ is a null vector. This occurs because the Weyl tensor 43 W of a pp-wave is null and aligned with K, i.e. $K \sqcup W = 0$, which makes these metrics 44 not *weakly generic* in the terminology of [20]. 45 In this paper we discuss another remarkable conformal property of *n*-dimensional 46 *pp*-wave metrics, which is related to the *ambient metric construction* of Fefferman and 47 Graham [15, 16], a construction that provides the geometric framework of AdS/CFT cor-48 respondence². The ambient metric construction mimics the situation in the flat model of 49 conformal geometry: Here the *n*-dimensional sphere equipped with the flat conformal 50 structure can be viewed as the projectivisation of the light-cone in (n + 2)-dimensional 51 Minkowski space. Letting the spheres wander along the light cone recovers the metrics 52 in the conformal class. For a conformal class [g] in signature (p, q) on an n = (p+q)-53 dimensional manifold M the *ambient metric* is a metric \tilde{g} of signature (p + 1, q + 1)54 on the product of M with two intervals, $M := (-\varepsilon, \varepsilon) \times M \times (1 - \delta, 1 + \delta), \varepsilon > 0$, 55 $\delta > 0$, that is compatible with the conformal structure (for details see Definition 1) 56 and, moreover, is *Ricci flat*. The Ricci-flat condition ensures that the the ambient metric 57 depends uniquely on the conformal structure and encodes all properties of the conformal 58 class [q] but has the downside that the ambient metric does not always exist. Starting 59 with a formal power series 60

 $^{^{2}}$ Note that in some papers from the physics literature the term Fefferman-Graham metric has a different meaning than ours. What physicists call Fefferman-Graham metric, e.g. in [2 or 13], is a related concept that Fefferman and Graham call the Poincaré-Einstein metric. How to obtain one from another is well known and we shall explain it in Sect. 7.



¹ Be aware that the coordinates in the relevant Sect. 4.2 of Brinkmann's paper [8] have to be understood as complex and complex conjugate in order to obtain Lorentzian metrics. If they are considered as real coordinates the resulting metric has neutral signature.

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$$\widetilde{g} = 2\left(t\mathrm{d}\rho + \rho\mathrm{d}t\right)\mathrm{d}t + t^2 \left(g + \sum_{k=1}^{\infty} \rho^k \mu_k\right)$$
(2)

with $\rho \in (-\varepsilon, \varepsilon)$, $t \in (1 - \delta, 1 + \delta)$ Fefferman and Graham showed that if n is odd, the 62 Ricci-flatness of the ambient metric gives equations for μ_1, μ_2, \ldots that can be solved 63 in principle, but the calculations have been carried out only for very special conformal 64 classes, mainly those that are related to Einstein spaces [34, 31, 19]. If n = 2s is even, 65 there is a conformally invariant *obstruction* to the existence of a Ricci-flat ambient met-66 ric, called the *Fefferman-Graham obstruction*. This obstruction is the nonvanishing of 67 the obstruction tensor \mathcal{O} , given by the term μ_s . In n = 4 this obstruction tensor is the 68 *Bach tensor* for g. In higher dimensions the *leading term* of \mathcal{O} is $\triangle_a^s(g)$, but there are a 69 lot of lower order terms, which, again, are determined in principle, but whose calculation 70 is very cumbersome. 71 One important feature of the ambient metric is that if the metric q is *real analytic* 72

then its corresponding ambient metric \tilde{q} (if it exists) is also real analytic [15,16,27]. 73 Another feature of the ambient metric is that if the conformal class of q includes an 74 Einstein metric q_E , then the power series in the ambient metric \tilde{q}_E truncates at k = 2; 75 in particular, for n > 3, even the obstruction tensor vanishes. In such case the metric 76 is given as a second order polynomial in each of the variables t and ρ . However, if the 77 metric g is not conformally Einstein, then, except for a few examples [19,39], no explicit 78 formulae for $\mu_k, k > 3$ are known. 79 In this context our main result is the following remarkable conformal property of 80

n-dimensional pp-waves: for them all the coefficients μ_k in the ambient metric, the 81

obstruction tensor in even dimensions, and hence, the condition under which the ambi-82

ent metric truncates at a given order can be calculated explicitly. In Sect. 4 we prove 83

Theorem 1. Let $g = \sum_{i=1}^{n-2} (dx^i)^2 + 2du (dr + hdu)$ be an n-dimensional pp-wave 84 metric with a real analytic function $h = h(x^1, \ldots, x^{n-2}, u)$. Then the Fefferman-85 Graham ambient metric for the conformal class [g] exists if and only if n is odd and h is 86 arbitrary, or if n = 2s is even and $\Delta^{s} h = 0$. In both cases the ambient metric is given 87 by a formal power series 88

$$\widetilde{g} = 2d(t\rho) dt + t^2 \left(g + \left(\sum_{k=1}^{\infty} \frac{\Delta^k h}{k! p_k} \rho^k \right) du^2 \right)$$

with $p_k := \prod_{j=1}^k (2j - n)$ and $\Delta := \sum_{i=1}^{n-2} \partial_i^2$. In particular, if n = 2s is even, the obstruction tensor \mathcal{O} is given by $\mathcal{O} = \Delta^s h \, du^2$. 90 91

Thus if n = 2s is even, the ambient metric \tilde{g} is a *polynomial* of order s - 1 in the 92 variable ρ . If n is odd, since the metric q is real analytic, the Fefferman-Graham result 93 guarantees that the *above metric* \tilde{g} is also *real analytic*. This in particular means that the 94

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power series $\sum_{k=1}^{\infty} \frac{\Delta^k h}{k! \rho_k} \rho^k$ converges to a real analytic function in variable ρ . Theorem 1 provides us with a variety of examples of conformal structures with *explicit* 96 ambient metrics and which, in general, are not conformally Einstein. For example, every 97 polynomial h in the x^{i} 's of order lower than k, with coefficients being functions of u, 98 represents a pp-wave with ambient metric truncated at order lower than k/2. In Sect. 6 99 we construct more general examples than those defined by h being polynomials in the 100 x^{l} s. In particular, in dimension *four* we find *all* Bach-flat 4-dimensional *pp*-waves and 101



we prove that most of them are not conformally Einstein. They are defined by quite gen-102

eral functions h and have ambient metrics which are linear in variable ρ . It is interesting 103

to note that these *pp*-waves, although Bach-flat and conformal to Cotton-flat, are not 104

conformally Einstein. 105 Theorem 1 implies also another interesting feature of the *pp*-waves: their obstruction 106 tensor \mathcal{O} (in *even* dimensions) involves only the terms of the highest possible order in 107 the derivatives of their metric; since *all* the lower order terms that are usually present in 108 the obstruction tensor are *vanishing*, the *pp*-waves are, in a sense, the closest cousins 109 of the conformally Einstein metrics. 110

Using the explicit form of the ambient metric and the main result of [24], in Sect. 7 we 111 show that for even-dimensional pp-waves the critical Q-curvature vanishes. This result 112 is in correspondence with the fact that for a *pp*-wave all scalar invariants constructed 113 from the curvature tensor vanish (for the proof in arbitrary dimension see [10]). In the 114 final Sect. 8 we study the holonomy of the ambient metric of a *pp*-wave in relation to 115

results in [31]. We show that it is contained in the stabiliser of a totally null plane. 116

2. The Fefferman-Graham Ambient Metric 117

An important tool in order to construct invariants in conformal geometry is the so-called 118 *Fefferman-Graham ambient metric* or *ambient space* (see [15 and 16]). Let (M, [g]) be 119 a a smooth *n*-dimensional manifold M with conformal structure [q] of signature (p, q)120 with the conformal frame bundle \mathcal{P}^0 . It can also be characterised by a principle \mathbb{R}^+ -fibre 121 bundle $\pi : \mathcal{Q} \to M$ defined as the ray sub-bundle in the bundle of metrics of signature 122 (p,q) given by metrics in the conformal class c. The action of \mathbb{R}^+ on \mathcal{Q} shall be denoted 123 by φ : 124

$$\varphi(t, g_x) = t^2 g_x.$$

From [16] we adopt the following notation. 126

- **Definition 1.** Let (M, [q]) be a conformal structure of signature (p, q) over an n-dimen-127 sional manifold M, and $\pi: \mathcal{Q} \to M$ the corresponding ray bundle. A semi-Riemannian 128
- manifold (M, \tilde{g}) of signature (p + 1, q + 1) is called pre-ambient space if 129
- (1) there is a free \mathbb{R}^+ -action $\widetilde{\varphi}$ on \widetilde{M} , and 130
- (2) an embedding $\iota : \mathcal{Q} \to \tilde{M}$ is \mathbb{R}^+ -equivariant. 131
- If F is the fundamental vector field of $\tilde{\varphi}$, and \mathcal{L} denotes the Lie derivative, then (3)132
- $\mathcal{L}_F \widetilde{g} = 2\widetilde{g}$, i.e. the metric \widetilde{g} is homogeneous of degree 2 with respect to the \mathbb{R}^+ -action. (4) Any $g_x \in \mathcal{Q}$ satisifies the equality $(\iota^* \widetilde{g})_{g_x} = g_x (d\pi(.), d\pi(.))$ in $\odot^2 T^*_{g_x} \mathcal{Q}$. 133
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A pre-ambient space is called ambient space if its Ricci curvature vanishes. 135

Under the assumption that the conformal structure is given by a real analytic metric, 136 in odd dimensions a Ricci-flat ambient metric always exists and is also real analytic. 137

In even dimensions n > 4, the existence of a Ricci-flat ambient metric is obstructed 138

by the nonvanishing of the obstruction tensor \mathcal{O} , [16, pp. 22]. This is a symmetric trace-139

free and divergence-free (2, 0)-tensor, which is conformally invariant of weight (2-n), 140

i.e. if
$$\hat{g} = e^{2\varphi}g \in [g]$$
, then $\hat{\mathcal{O}} = e^{(2-n)\varphi}\mathcal{O}$. It is given by

$$= \Delta_g^{n/2-2} \left(\Delta_g \mathsf{P} - \nabla^2 J \right) +$$
lower order terms,



where $P = \frac{1}{n-2} \left(Ric - \frac{scal}{2(n-1)}g \right)$ is the Schouten tensor, *J* its trace, and Δ_g denotes the Laplacian of $g \in [g]$. For a conformal class in even dimension that is given by a real analytic metric with vanishing obstruction tensor, the ambient metric exists and is also real analytic.

Fixing a metric g in the conformal class, in [15, 16] it is shown that an ambient space near M can be written as

$$M = (-\epsilon, \epsilon) \times M \times (1 - \epsilon)$$

150 with the ambient metric

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$$\widetilde{g} = 2t d\rho dt + 2\rho dt^2 + t^2 g(\rho),$$

 δ , 1 + δ)

in which $g(\rho)$ is a one-parameter family of metrics on M with g(0) = g. This is referred to as \tilde{g} being in *normal form*. As the ambient metric is analytic, one can write the family $g(\rho)$ as a power series in ρ ,

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$$\widetilde{g} = 2t d\rho dt + 2\rho dt^2 + t^2 \left(g + \rho g' + \frac{1}{2} \rho^2 g'' + \frac{1}{6} \rho^3 g''' + \dots \right),$$

with $g' = \partial_{\rho} g(0)$. We summarise the results for the ambient metric in

Theorem 2 ([15,16 and 27]). Let (M, [g]) be a real analytic manifold M of dimension n \geq 2 equipped with a conformal structure defined by a real analytic semi-Riemannian metric q.

(1) If n is odd, or if n is even with $\mathcal{O} = 0$, then there exists an ambient space $(\widetilde{M}, \widetilde{g})$ with real analytic Ricci-flat metric \widetilde{g} .

¹⁶² (2) If n is odd the ambient space is unique modulo diffeomorphisms that restrict to the ¹⁶³ identity along $Q \subset \tilde{M}$ and commute with $\tilde{\varphi}$. If n is even with $\mathcal{O} = 0$, the ambient ¹⁶⁴ space is unique, modulo the same set of diffeomorphisms and modulo terms of order

165 $\geq n/2$ in ρ , where ρ is the coordinate in the normal form of the ambient metric.

The Ricci-flat condition then determines symmetric (2, 0)-tensors μ_k such that

$$\widetilde{g} = 2t d\rho dt + 2\rho dt^2 + t^2 \left(g + \sum_{k=1}^{\infty} \rho^k \mu_k\right).$$

In [16] the first μ_k are determined explicitly:

$$(\mu_{1})_{ab} = 2\mathsf{P}_{ab},$$

$$(n-4)(\mu_{2})_{ab} = -B_{ab} + (n-4)\mathsf{P}_{a}{}^{c}\mathsf{P}_{bc},$$

$$3(n-4)(n-6)(\mu_{3})_{ab} = \Delta_{g}B_{ab} - 2W_{cabd}B^{cd} - 4(n-6)\mathsf{P}_{c(a}B_{b)}{}^{c} - 4\mathsf{P}_{c}{}^{c}B_{ab}$$

$$+ 4(n-4)\mathsf{P}^{cd}\nabla_{d}C_{(ab)c} - 2(n-4)C^{c}{}_{a}{}^{d}C_{dbc}$$

$$+ (n-4)C_{a}{}^{cd}C_{bcd} + 2(n-4)\nabla_{d}\mathsf{P}^{c}{}_{c}C_{(ab)}{}^{d}$$

$$- 2(n-4)W_{cabd}\mathsf{P}^{c}{}_{e}\mathsf{P}^{ed},$$

$$(3)$$

where W_{abcd} is the Weyl tensor, P_{ab} is the Schouten tensor, $C_{abc} := \nabla_c \mathsf{P}_{ab} - \nabla_b \mathsf{P}_{ac}$ is the Cotton tensor, and $B_{ab} = \nabla_c C_{ab}^{\ c} - \mathsf{P}_{cd} W_{ab}^{c\ d}$ is the Bach tensor.



172 3. *pp*-Waves and Their Curvature

¹⁷³ A *pp*-wave is a Lorentzian manifold with a parallel null vector field *K*, i.e. $\nabla K = 0$, ¹⁷⁴ $K \neq 0$, and g(K, K) = 0, whose curvature tensor satisfies the trace condition

$$R_{ab}^{\ ef}R_{efcd} = 0. (4)$$

¹⁷⁶ If we denote by κ the one-form given by $\kappa := K \bot g$ the curvature condition (4) is ¹⁷⁷ equivalent to each of the following, in which [*ab*] denotes the skew symmetrisation with ¹⁷⁸ respect to *a* and *b*, [42]:

179 (1)
$$\kappa_{[a}R_{bc]de} = 0;$$

(2) there is a symmetric (2, 0)-tensor ρ with $K \perp \rho = 0$, such that $R_{abcd} = \kappa_{[a}\rho_{b][c}\kappa_{d]}$;

181 (3) there is a function φ , such that $R_{ab}^{e} R_{ecdf} = \varphi \kappa_a \kappa_b \kappa_c \kappa_d$.

The Ricci tensor of a *pp*-wave is given by $Ric = \Phi \kappa \otimes \kappa$, for a smooth function Φ . In dimension n = 4 this is even equivalent to the curvature condition (4).

In [31] we gave another equivalent definition, without using coordinates or traces, but identifying a pp-wave as a Lorentzian manifold with parallel null vector field K, whose curvature satisfies

$$\operatorname{Im}\left(\mathcal{R}(U,V)_{|K^{\perp}}\right) \subset \mathbb{R} \cdot K \text{ for all } U, V \in TM.$$
(5)

This equivalence allows for several generalisations [32] and for an easy proof of another equivalence that is related to holonomy: An *n*-dimensional Lorentzian manifold is a *pp*-wave if and only if its holonomy group is contained in the Abelian subgroup \mathbb{R}^{n-2}

of the stabiliser in SO(1, n - 1) of a null vector [30].

Locally, an *n*-dimensional *pp*-wave admits coordinates $(x^1, \ldots, x^{n-2}, u, r)$ such that the metric is given by

$$g = \sum_{i=1}^{n-2} (\mathrm{d}x^i)^2 + 2\mathrm{d}u \, \left(\mathrm{d}r + h\mathrm{d}u\right),\tag{6}$$

with *h* being a smooth real function of the first (n - 1) coordinates, $h = h(x^i, u)$, [42]. In these coordinates the parallel null vector field *K* is given by ∂_r and, up to symmetries, the only non-vanishing curvature terms of a *pp*-wave are

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$$R(\partial_i, \partial_u, \partial_j, \partial_u) = \partial_i \partial_j h$$

Here we use the obvious notation $\partial_r := \frac{\partial}{\partial r}$, $\partial_u := \frac{\partial}{\partial u}$ and $\partial_i := \frac{\partial}{\partial x^i}$, i = 1, ..., n - 2. Hence, the function determining the Ricci-tensor is given by $\Phi = -\Delta h$ with $\Delta h = \sum_{i=1}^{n-2} \partial_i^2 h$, i.e.

$$Ric = -\Delta h \, \mathrm{d}u^2. \tag{7}$$

Hence, the image of the Ricci-tensor is totally null, and the scalar curvature vanishes.
With this at hand, one can easily calculate the tensors related to the conformal geometry
of a *pp*-wave. First, there is the Schouten-tensor

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$$\mathsf{P} = \frac{1}{n-2}Ric = -\frac{\Delta h}{n-2}\,\mathrm{d}u^2. \tag{8}$$



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²⁰⁷ Secondly, the Weyl tensor is given by

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$$W(\partial_i, \partial_u, \partial_j, \partial_u) = \partial_i \partial_j h - \delta_{ij} \frac{\Delta h}{n-2}, \qquad (9)$$

and for n > 3 we obtain that $\partial_i \partial_j h = \delta_{ij} \frac{\Delta h}{n-2}$ as an equivalent condition on h for g being conformally flat.

Next, we calculate the Cotton tensor C. As $\nabla P = -\frac{1}{n-2}d(\Delta h) \otimes du^2$ one obtains that

$$C(\partial_u, \partial_i, \partial_u) = -C(\partial_u, \partial_u, \partial_i) = \frac{\partial_i \Delta h}{n-2}$$
(10)

are the only non-vanishing components of the Cotton tensor. Hence, $\partial_i \Delta h = 0$ is the condition on *h* for 3-dimensional conformally flat *pp*-waves.

Furthermore, we obtain the Bach tensor B,

$$B = -\frac{\Delta^2 h}{n-2} \,\mathrm{d}u^2. \tag{11}$$

This enables us to calculate the next terms in the ambient metric expansion in Eqs. (3) beyond $\mu_1 = 2P = \frac{\Delta h}{n-2} du^2$, namely

$$\mu_2 = -\frac{1}{n-4}B = \frac{\Delta^2 h}{(n-2)(n-4)} du^2,$$

$$\mu_3 = \frac{1}{2(n-4)(n-6)}\Delta B = \frac{\Delta^3 h}{3(n-2)(n-2)(n-4)} du^2$$

The very simple structure of μ_1 , μ_2 , and μ_3 above, and in particular the appearance of the consecutive powers of the Laplacian, suggests that this pattern may be also present in the next terms in the ambient metric expansion. That this is really the case will be

²²⁴ proven in the next section.

225 4. The *pp*-Wave Ambient Metric

Looking at the very simple form of the pp-wave metric (6) and the general formula for the ambient metrics (2), our ansatz for the ambient metric for this g is

$$\bar{g} = 2d(\rho t)dt + t^2 \left(2du \left(dr + (h+H)du \right) + \sum_{i=1}^{n-2} (dx^i)^2 \right),$$
(12)

229 where $H = H(\rho, x^{i}, u)$, and

$$H(\rho, x^{i}, u)|_{\rho=0} = 0.$$
(13)

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If we were able to find an analytic function H satisfying (13) and for which the metric (12) was *Ricci flat* then, by the *uniqueness* of the Fefferman-Graham Theorem 2, we would conclude that \bar{g} with this H is the ambient metric for (6). Thus to check our guess it is enough to calculate the *Ricci tensor* for (12) and to check if its *vanishing* is possible for the function H in the postulated form (13).



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Lemma 1. The Ricci tensor of the metric (12) is 236

$$Ric(\bar{g}) = \left((2-n)H_{\rho} + 2\rho H_{\rho\rho} - \Delta H - \Delta h\right) du^{2}.$$

Here $\triangle H = \sum_{i=1}^{n-2} \frac{\partial^2 H}{\partial (x^i)^2}$, $H_{\rho} = \frac{\partial H}{\partial \rho}$, etc. 238

Proof. We start with a coframe 239

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$$\theta^0 = d(\rho t), \ \theta^i = t dx^i, \ \theta^{n-1} = t^2 (dr + (h+H)du), \ \theta^n = du, \ \theta^{n+1} = dt,$$
(14)

in which the metric \bar{g} reads: 241

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$$\bar{g} = \bar{g}_{\mu\nu}\theta^{\mu}\theta^{\nu} = 2\theta^{0}\theta^{n+1} + 2\theta^{n-1}\theta^{n} + \sum_{i=1}^{n-2} (\theta^{i})^{2}, \qquad \mu, \nu = 0, 1, \dots, n+1$$

It has the following differentials: 243

$$d\theta^{0} = 0,$$

$$d\theta^{i} = -t^{-1}\theta^{i} \wedge \theta^{n+1}, \quad \forall i = 1, \dots, n-2,$$

$$d\theta^{n-1} = tH_{\rho}\theta^{0} \wedge \theta^{n} + t\sum_{i=1}^{n-2} (h_{i} + H_{i})\theta^{i} \wedge \theta^{n} - 2t^{-1}\theta^{n-1} \wedge \theta^{n+1} + \rho tH_{\rho}\theta^{n} \wedge \theta^{n+1},$$

$$d\theta^{n} = 0,$$

$$d\theta^{n+1} = 0.$$

In this coframe the Levi-Civita connection 1-forms, i.e. matrix-valued 1-forms satisfying $d\theta^{\mu} + \Gamma^{\mu}_{\nu} \wedge \theta^{\nu} = 0$, $\Gamma_{\mu\nu} + \Gamma_{\nu\mu} = 0$, $\Gamma_{\mu\nu} = \bar{g}_{\mu\sigma}\Gamma^{\sigma}_{\nu}$, are: 245 246

$$\Gamma_{0n} = -tH_{\rho}\theta^{n},
\Gamma_{in} = -t(h_{i} + H_{i})\theta^{n},
\Gamma_{n-1 n} = t^{-1}\theta^{n+1}
\Gamma_{i n+1} = t^{-1}\theta^{i},
\Gamma_{n-1 n+1} = t^{-1}\theta^{n}
\Gamma_{n n+1} = t^{-1}\theta^{n-1} - \rho tH_{\rho}\theta^{n}.$$
(15)

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Modulo the symmetry $\Gamma_{\mu\nu} = -\Gamma_{\nu\mu}$ all other connection 1-forms are zero. The curvature 2-forms $\Omega_{\mu\nu} = d\Gamma_{\mu\nu} + \Gamma_{\mu\rho} \wedge \Gamma^{\rho}_{\nu}$, have the following nonvanishing 249 components: 250

$$\Omega_{0n} = -H_{\rho\rho}\theta^{0} \wedge \theta^{n} - \sum_{i=1}^{n-2} H_{i\rho}\theta^{i} \wedge \theta^{n} - \rho H_{\rho\rho}\theta^{n} \wedge \theta^{n+1},$$

$$\Omega_{in} = -H_{i\rho}\theta^{0} \wedge \theta^{n} - \sum_{i=1}^{n-2} (\delta_{ik}H_{\rho} + H_{ik} + h_{ik})\theta^{k} \wedge \theta^{n} - \rho H_{i\rho}\theta^{n} \wedge \theta^{n+1}, \quad (16)$$

$$\Omega_{nn+1} = -\rho H_{\alpha\beta} \theta^0 \wedge \theta^n - \sum_{k=1}^{n-2} \rho H_{i\alpha} \theta^i \wedge \theta^n - \rho^2 H_{\alpha\beta} \theta^n \wedge \theta^{n+1},$$

$$\Omega_{nn+1} = -\rho H_{\rho\rho} \theta^0 \wedge \theta^n - \sum_{i=1}^{n} \rho H_{i\rho} \theta^i \wedge \theta^n - \rho^2 H_{\rho\rho} \theta^n \wedge \theta^{n+1}$$

together with the components that are implied by the symmetry $\Omega_{\mu\nu} = -\Omega_{\nu\mu}$. 254

The Riemann tensor $R_{\mu\nu\rho\sigma}$, defined by $\Omega_{\mu\nu} = \frac{1}{2} R_{\mu\nu\rho\sigma} \theta^{\rho} \wedge \theta^{\sigma}$, can be read off from Eqs. (16). Using this and the inverse of the metric $g^{\mu\nu}$, $g_{\mu\rho}g^{\rho\nu} = \delta^{\nu}_{\mu}$, we calculate the 255 256



Ricci tensor $R_{\mu\nu} = g^{\rho\sigma} R_{\rho\mu\sigma\nu}$. It turns out that it has $R_{nn} = -2R_{0nnn+1} + \sum_{i=1}^{n-2} R_{inin}$ as its only nonvanishing component. Explicitly:

$$R_{nn} = 2\rho H_{\rho\rho} - (n-2)H_{\rho} - \Delta H - \Delta h.$$

²⁶⁰ This finishes the proof of the lemma.

The lemma shows that the metric \bar{g} is Ricci flat if and only if the function *H* satisfies the following PDE:

$$(2-n)H_{\rho} + 2\rho H_{\rho\rho} - \Delta H = \Delta h. \tag{17}$$

For \bar{g} to be the ambient metric for (6) we in addition require the initial condition (13). By looking for the solution of the initial value problem (17), (13) in the form of a power series

$$H = \sum_{k=0}^{\infty} a_k \rho^k, \tag{18}$$

we immediately get $a_0 = 0$ from the initial condition (13). Then inserting (18) in (17), we easily arrive at

Proposition 1. If n = 2s + 1, $s \ge 1$, then the initial value problem (17), (13) has a unique power series solution. It is given by:

$$H = \sum_{k=1}^{\infty} \frac{\Delta^k h}{k! \prod_{i=1}^k (2i-n)} \rho^k.$$
 (19)

If n = 2s the power series solution exists only if $\triangle^s h = 0$. If this is the case, the solution is also unique and given by the power series (19), which truncates to a polynomial of order (s - 1) in the variable ρ .

This proposition proves our Theorem 1 of the Introduction. Note that the solution we found is a solution to Eq. 3.17 in [16] that was derived for the Taylor expansion of the ambient metric, here specified for a *pp*-wave. In particular, for n = 2s the obstruction tensor of an *n*-dimensional *pp*-wave is given by

$$\mathcal{O} = \Delta^s h \, \mathrm{d} u^2.$$

With this result at hand, every polynomial h in the x^i 's of order lower than 2k, with coefficients being functions of u, gives an example of a pp-wave for which the ambient metric truncates to a polynomial of order lower than k. This gives plenty of examples of explicit ambient metrics, also in even dimensions. Moreover, choosing h properly, one gets examples for which the conformal class does not contain an Einstein metric. This will be the aim of Sect. 6. But first we address the issue of convergence of H in odd dimensions.



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5. Convergence in Three Dimensions 288

In odd dimensions the solution to the Ricci-flat equation, H in (19), may be given by an 289

infinite series. Since H contains only natural powers of ρ , general arguments as in [16] 290 ensure that H converges for an analytic function h and is analytic as well, [21]. Here we 291

give a simple argument that proves convergence for n = 3: 292

Proposition 2. Let *h* be a function on $\mathbb{C} \times \mathbb{R}$ of variables (z, u) which is an entire holo-293 *morphic function in* $z = x + iy \in \mathbb{C}$ *, is continuous in* $u \in \mathbb{R}$ *, and is real for* $z = x \in \mathbb{R}$ *.* 294 Then the series 295

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$$H(x, u, \rho) = \sum_{k=1}^{\infty} \frac{(\Delta^k h)(x, u)}{k! \prod_{i=1}^k (2i-3)} \rho^k$$
(20)

converges uniformly on compact subsets of \mathbb{R}^3 . 297

- *Proof.* Let R > 1 be a real number and let $C = \sup\{|h(z, u)|\}$ over all values of (z, u)298
- such that $|z-x| \leq (R+2\epsilon), |u| \leq \nu > 0$, and $|x| \leq \epsilon > 0$. Then by the Cauchy-Schwarz 299
- 300
- inequality, the k^{th} derivative of h at every real point $(x, u) \in [-\epsilon, \epsilon] \times [-\nu, \nu]$ satisfies $|h^{(k)}(x, u)| \leq \frac{Ck!}{R^k}$. This provides the following estimate for the values of the powers of 301
- the Laplacian $\triangle^k h = \frac{d^{2k}h}{dz^{2k}}$: 302

$$\forall (x,u) \in [-\epsilon,\epsilon] \times [-\nu,\nu] \quad we \ have \ |(\Delta^k h)(x,u)| \le \frac{C(2k)!}{R^{2k}}.$$
 (21)

Now we rewrite (20) to the equivalent form 304

$$H = \rho \Delta h - \sum_{k=1}^{\infty} \frac{\Delta^{k+1} h}{(k+1)! \cdot 1 \cdot 3 \cdot \dots \cdot (2k-1)} \rho^{k+1}.$$

To show that H converges it is enough to show the convergence of the power series 306 above. This can be done by using the estimate (21): 307

$$\sum_{k=1}^{308} \frac{\Delta^{k+1}h}{(k+1)! \cdot 1 \cdot 3 \cdots (2k-1)} \rho^{k+1} \leq C \sum_{k=1}^{\infty} \frac{(2k+2)!}{(k+1)! \cdot 1 \cdot 3 \cdots (2k-1)} \left(\frac{|\rho|}{R^2}\right)^{k+1}$$

$$= C \sum_{k=1}^{\infty} \frac{(2 \cdot 4 \cdots 2k) \cdot (2k+1)(2k+2)}{(k+1)!} \left(\frac{|\rho|}{R^2}\right)^{k+1} = C \sum_{k=1}^{\infty} b_k \left(\frac{|\rho|}{R^2}\right)^{k+1}.$$

Since 310

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$$\frac{|b_{k+1}|}{|b_k|} = \frac{2(k+1)(2k+3)(2k+4)}{(k+2)(2k+1)(2k+2)} \longrightarrow 2 \text{ as } k \to \infty,$$

then this series converges for $|\rho| \leq \frac{R^2}{2}$. This finishes the proof. 312



6. Bach Flat Metrics that are not Conformally Einstein 313

With Eq. (11) it is obvious how to obtain Bach-flat pp-waves. It is more difficult to 314 find those that are not conformally Einstein. In this section we want to give examples of 315 4-dimensional pp-waves that are both Bach flat and not conformal to Einstein. But first 316 we have to review some necessary conditions of being conformal to Einstein given in 317 [20] for any dimension. In this section, when we write 'conformal to' we mean 'locally 318 conformal to'. 319

From the formulae for the transformation of the Schouten tensor under conformal 320 changes of the metric one obtains that a metric is conformal to an Einstein metric if and 321 only if there exists a scaling function Υ such that 322

$$\mathbf{P} - \nabla d\Upsilon + (d\Upsilon)^2 \text{ is pure trace.}$$
(22)

(23)

(24)

In the following we write Y for the gradient of Υ . In [20, Prop. 2.1] the following 324 necessary conditions for the metric to be conformal to Einstein were derived from Eq. 325 (22): 326

$$C + W(Y, ..., .) = 0,$$

$$B + (n - 4)W(Y, ..., Y) = 0.$$

Note that the first condition is satisfied for a gradient Y if and only if the metric is 329 conformally equivalent to a metric with vanishing Cotton tensor, i.e. if it is *conformally* 330 *Cotton-flat.* We further mention that the property of being conformally Cotton-flat is 331 also neccessary for the metric to be conformally Einstein [20]. 332

For a pp-wave conditions (23) and (24) are equivalent to the following: 333

Proposition 1. If the pp-wave (6) is conformally Einstein but not conformally flat 334 and n > 3, then there is a vector field Y on M, whose components $Y^i := dx^i(Y)$, 335 $i = 1, \ldots, n-2$, and $Y^{n-1} := du(Y)$ satisfy the equations 336

$$\partial_i \Delta h - Y^i \Delta h + (n-2) \sum_{k=1}^{n-2} Y^k \partial_k \partial_i h = 0,$$
(25)

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$$\Delta^2 h - (n-4)\Delta h \sum_{k=1}^{n-2} \left(Y^k\right)^2 + (n-2)(n-4) \sum_{k,l=1}^{n-2} Y^k Y^l \partial_k \partial_l h = 0, \quad (26)$$

for i = 1, ..., n - 2, and 339

$$Y^{n-1} = 0. (27)$$

Proof. Writing $Y = Y^k \partial_k + Y^{n-1} \partial_u + dr(Y) \partial_r$, Eq. (23) and the formulae in Sect. 3 give 341

$$0 = Y^{n-1}W(\partial_u, \partial_i, \partial_u, \partial_j),$$

$$0 = \frac{\partial_i \Delta h}{\partial u_i} + Y^k \left(\partial_k \partial_i h - \delta_{ki} - \delta_{ki} \right)$$

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 $0 = \frac{\partial_i \Delta h}{n-2} + Y^k \left(\partial_k \partial_i h - \delta_{ki} \frac{\Delta h}{n-2} \right).$

These, when n > 3, imply both $Y^{n-1} = 0$ and Eq. (25). Equation (24) gives that 344

$$0 = -\frac{\Delta^2 h}{n-2} - (n-4)Y^k Y^l \left(\partial_k \partial_l h - \delta_{kl} \frac{\Delta h}{n-2}\right),$$

which implies Eq. (26). 346

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³⁴⁷ Writing *Y* as the gradient of Υ ,

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$$Y = \sum_{k=1}^{n-2} \partial_k \Upsilon \partial_k + \partial_r \Upsilon \partial_u + (\partial_u \Upsilon - h \partial_r \Upsilon) \partial_r,$$

the proposition implies that $du(Y) = \partial_r \Upsilon = 0$. Hence,

$$\partial_r (\mathrm{d}r(Y)) = \partial_r (\partial_u \Upsilon - h \partial_r \Upsilon) = 0,$$

351 and we obtain

Corollary 1. Let g be a pp-wave that is conformally Einstein but not conformally flat in dimension n > 3, and let Y be the gradient of the scaling function Υ satisfying Eq. (22). Then the function $Y^n = dr(Y)$ does not depend on the r-variable.

Example 1. For n = 3 a third order polynomial h in x with coefficients being functions of u defines a pp-wave with non-vanishing Cotton tensor. Hence, it is not conformally flat and therefore not conformally Einstein.

Example 2. Set $M = \mathbb{R}^n$ and $h = (x^1)^4 + \dots + (x^{n-2})^4$. Then, $\partial_i \partial_j h \neq \delta_{ij} \frac{\Delta h}{n-2}$ on open sets in M and hence, g is not conformally flat. On the other hand, Eq. (26) can never be satisfied in $0 \in M$, because here all second order derivatives of h vanish, but $\Delta^2 h = 24(n-2)$. Thus, the *pp*-wave defined by $h = (x^1)^4 + \dots + (x^{n-2})^4$ is not conformally Einstein.

Now we turn to dimension n = 2s = 4. Here the formula (19) makes sense only if $\Delta^2 h = 0$. In such case the formula truncates to $H = \frac{1}{2}\rho\Delta h$. Thus it is clear that for the 4-dimensional *pp*-waves the Fefferman-Graham obstruction is *precisely* $\Delta^2 h$, which is a multiple of the Bach tensor, and does not involve any lower order terms in the derivatives of the metric functions. In order to write down all such metrics, it is convenient to pass to the *complex notation* by introducing coordinates $z = \frac{x^1+ix^2}{\sqrt{2}}$, $\overline{z} = \frac{x^1-ix^2}{\sqrt{2}}$. In this notation the *most general* 4-dimensional *pp*-wave metric *satisfying* $\Delta^2 h = 0$ is given

notation the *most general* 4-dimensional *pp*-wave metric *satisfying* $\triangle^2 h = 0$ is given by

$$g_4 = 2\mathrm{d}u\left(\mathrm{d}r + \left(\bar{z}\alpha + z\bar{\alpha} + \beta + \bar{\beta}\right)\mathrm{d}u\right) + 2\mathrm{d}z\mathrm{d}\bar{z}.$$

Here $\alpha = \alpha(z, u)$, $\beta = \beta(z, u)$ are *holomorphic* functions of z. This metric is *Bach-flat*, and in *some* cases, such as when $a_z + \bar{\alpha}_{\bar{z}} = const$, is conformal to an Einstein metric. Its ambient metric is given by

$$\tilde{g}_4 = 2\mathrm{d}(\rho t)\mathrm{d}t + t^2 \left(2\mathrm{d}u[\mathrm{d}r + \left(\bar{z}\alpha + z\bar{\alpha} + \beta + \bar{\beta} - \rho(a_z + \bar{\alpha}_{\bar{z}})\right)\mathrm{d}u] + 2\mathrm{d}z\mathrm{d}\bar{z}\right),$$

and by construction is *Ricci flat*. We get

Proposition 2. A 4-dimensional pp-wave g₄ is Bach flat if and only if

$$g_4 = 2\mathrm{d}u\left(\mathrm{d}r + \left(\bar{z}\alpha + z\bar{\alpha} + \beta + \bar{\beta}\right)\mathrm{d}u\right) + 2\mathrm{d}z\mathrm{d}\bar{z},$$

with $\alpha = \alpha(z, u)$, $\beta = \beta(z, u)$ functions of a complex variable z and a real variable u which are holomorphic in z.

³⁸¹ In general, this Bach-flat metric is *not* conformally Einstein:



Theorem 3. A 4-dimensional Bach-flat pp-wave

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with $\beta \equiv 0$ is conformally equivalent to a metric with vanishing Cotton tensor. Moreover, the following three properties are equivalent:

 $g_4 = 2\mathrm{d}u\,(\mathrm{d}r + (\bar{z}\alpha + z\bar{\alpha})\,\mathrm{d}u) + 2\mathrm{d}z\mathrm{d}\bar{z}$

(28)

386 (1) $\partial_z^2 \alpha \equiv 0$,

 $_{387}$ (2) g_4 is conformally flat,

 $_{388}$ (3) g_4 is conformally Einstein.

In particular, any such metric with $\partial_z^2 \alpha \neq 0$ is not conformally Einstein.

Proof. First, in the complex coordinates (z, \bar{z}) we have: $\Delta h = 2(\partial_z \alpha + \partial_{\bar{z}} \bar{\alpha})$. Next, using

$$\partial_1 = \frac{1}{\sqrt{2}} \left(\partial_z + \partial_{\bar{z}} \right), \quad \partial_2 = \frac{i}{\sqrt{2}} \left(\partial_z - \partial_{\bar{z}} \right),$$

in the formula (9) we see that the Weyl tensor vanishes if and only if $\partial_z^2 \alpha = 0$. This proves the equivalence of (1) and (2).

For the remaining statements we try to find a vector field *Y* that solves the necessary condition (23) for *g* to be conformally Einstein. We use this equation in the form (25), as in Proposition 1. Recall that in this proposition we proved that such a vector does not have a ∂_u -component. Thus we look for *Y* of the form

$$Y = F\partial_z + \overline{F}\partial_{\overline{z}} + f\partial_r$$

where $F = F(z, \overline{z}, r, u)$ is a complex and $f = f(z, \overline{z}, r, u)$ is a real function. Equation (25) gives

$$0 = \partial_z^2 \alpha \left(1 + \bar{z}F\right) + \partial_{\bar{z}}^2 \bar{\alpha} \left(1 + z\overline{F}\right), \qquad (29)$$

$$0 = \partial_z^2 \alpha \left(1 + \bar{z}F\right) - \partial_{\bar{z}}^2 \bar{\alpha} \left(1 + z\overline{F}\right), \tag{30}$$

404 which immediately implies

$$\partial_z^2 \alpha \left(1 + \bar{z}F \right) = 0.$$

⁴⁰⁶ Assuming that g_4 is not conformally flat, i.e. $\partial_7^2 \alpha \neq 0$ we get

$$F(z) = -1/\bar{z}.$$

Thus we found that the vector *Y* solves (23) if and only if $Y = -\frac{1}{z}\partial_z - \frac{1}{z}\partial_{\bar{z}} + f\partial_r$. Now, *g*₄ is conformally Cotton-flat if we find *f* such that this *Y* is a gradient. Setting

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$$Y^{\flat} = g_4(Y, .) = -\frac{1}{z}dz - \frac{1}{\bar{z}}d\bar{z} + fdu$$

we see that *Y* is locally a gradient, i.e. $dY^{\flat} = 0$, if and only if *f* is a function of variable u alone. Every f = f(u) gives a solution to the conformally Cotton equation.

⁴¹³ To prove that (3) implies (2), assume that g_4 is not conformally flat but conformally ⁴¹⁴ Einstein. Then we plug in the vector Y^{\flat} we have obtained as a solution of Eq. (25), and ⁴¹⁵ its corresponding

$$\nabla Y^{\flat} = \mathrm{d}f \otimes \mathrm{d}u - \left(\frac{\alpha + z\partial_{\bar{z}}\bar{\alpha}}{\bar{z}} + \frac{\bar{\alpha} + \bar{z}\partial_{z}\alpha}{z}\right)\mathrm{d}u^{2} + \frac{1}{z^{2}}\mathrm{d}z^{2} + \frac{1}{\bar{z}^{2}}\mathrm{d}\bar{z}^{2}$$



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417 into

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$$\mathsf{P} - \nabla Y^{\flat} + (Y^{\flat})^2.$$

⁴¹⁹ According to Eq. (22) this must be a pure trace, if the metric g_4 is conformally Einstein. ⁴²⁰ But this can not happen since $P - \nabla Y^{\flat} + (Y^{\flat})^2$ has a nowhere vanishing $dzd\bar{z}$ -term ⁴²¹ given by $\frac{2}{z\bar{z}}dzd\bar{z}$, and an identically vanishing drdu-term. Thus $P - \nabla Y^{\flat} + (Y^{\flat})^2$ is

⁴²² never proportional to g_4 , which in turn, can not be conformally Einstein.

In the light of discussions in [20], the metrics (28) provide interesting examples because,

⁴²⁴ apart from being Bach-flat, they are conformally Cotton-flat, but *not* conformally Ein-

stein even though the necessary conditions (23) and (24) are both satisfied for a gradient.
 This phenomenon is special to Lorentzian and probably to other indefinite signature met-

427 rics.

We strongly believe that a similar argument works in any dimension, even though one might not be able to describe the functions with $\Delta^{s} h = 0$. But under certain assumptions it might be possible to deduce a contradiction between Eq.'s (25) – (26) and the fact that the function dr(Y) is independent of the *r*-coordinate as it occurs for n = 4.

We want to conclude this section by returning to the result of Brinkmann in [8] men-432 tioned in the Introduction. If a 4-dimensional pp-wave is Einstein, and hence Ricci-flat, 433 the function h is given by $\alpha + \overline{\alpha}$ for a holomorphic function α . Again, this metric is 434 conformally flat if and only if $\partial_z^2 \alpha = 0$. If it is not conformally flat but conformally 435 Einstein, then the vector field Y is null and a multiple of ∂_r , namely $Y = f \partial_r$ with 436 a function f = f(u) that depends on the variable u only. As P = 0, Eq. (22) then 437 is equivalent to $f' = f^2$. Hence, any such function yields a conformal rescaling of a 438 Ricci-flat pp-wave to another Einstein metric that is in fact Ricci-flat. The new metric 439 may be isometric to the original one but in general this is not the case (see also [14]). 440 Finally, note that a non-trivial solution of $f' = f^2$ is not defined on all of \mathbb{R} , and thus, 441 in general, f does not yield a global rescaling to another Einstein metric. 442

7. The Critical *Q*-Curvature of a *pp*-Wave

For a semi-Riemannian manifold of (M, g) even dimension n = 2s, in [7] T. Branson 444 introduced a series $\{Q_{2k}\}_{k=1,..s}$ of scalar invariants constructed from the curvature tensor 445 involving 2k derivatives of the metric³. As such, for a pp-wave all Q_{2k} are zero. This 446 follows from the general fact that all scalar invariants constructed from the Riemannian 447 curvature tensor of a *pp*-wave vanish (for a proof in arbitrary dimension see [10]). How-448 ever, as an application of Theorem 1, in this section we will use the *pp*-wave ambient 449 metric in order to show that the *critical Q-curvature* Q_n of a *pp*-wave vanishes. The 450 so-called subcritical Q-curvatures Q_2, \ldots, Q_{n-2} are defined by the inhomogeneous 451 part of the GJMS-operators P_{2k} , namely 452

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$$P_{2k}^g(1) = (s-k)Q_{2k}.$$

The GJMS-operators P_{2k} introduced in [23] are conformally covariant operators. We will not give a definition of the *critical Q*-curvature Q_n here (please refer to [17], for example). Instead we will explain a formula for the critical *Q*-curvature given in [24] that expresses it in terms of the volume of the Poincaré metric.

 3 Regarding this section, we would like to thank Andreas Juhl for explaining to us some facts about Q-curvature.



Let (M, [q]) be a smooth manifold of even dimension n = 2s with conformal class 458 [g]. To this manifold one can assign a Poincaré metric g_+ . g_+ is a metric on M_+ 459 $M \times (0, a)$ given by 460

$$g_{+} = \frac{1}{x^2} \left(\mathrm{d}x^2 + g_x \right)$$

where g_x is a 1-parameter family of metrics with the same signature as g and with initial 462 condition $g_0 = g$ such that g_+ is asymptotically Einstein, which means that $Ric(g_+) + ng_+$ 463 vanishes up to terms of order (n-2) in x. The Poincaré-metric is unique up to addition 464 of terms of the form $x^n S_x$, where S_x is a 1-parameter family of symmetric (2, 0)-tensors 465 such that S_0 is trace-free (for details see [15, 16]). For a Poincaré metric one can show, 466 see [22] for details, that $\sqrt{\det(q_x)/\det(q)}$ has the Taylor expansion 467

$$\sqrt{\frac{\det(g_x)}{\det(g)}} = 1 + v^{(2)}x^2 + v^{(4)}x^4 + \dots + v^{(n-2)}x^{n-2} + v^{(n)}x^n + \dots, \quad (31)$$

defining smooth functions $v^{(2k)}$. Then in [24] it is shown that the critical Q-curvature 469 Q_n of (M, [g]) is given as 470

$$2nc_{\frac{n}{2}}Q_n = nv^{(n)} + \sum_{k=1}^{s-1} (n-2k)\mathcal{A}_{2k}^* v^{(n-2k)}.$$
(32)

Here A_{2k} are the linear differential operators that appear in the expansion of a harmonic 472 function for a Poincaré-metric, the star denotes the formal adjoint, and $c_{\frac{n}{2}}$ is a constant. 473 Furthermore, one has to recall how the Poincaré-metric can be obtained by the ambi-474 ent metric. Assume that 475

$$\widetilde{q} = 2d(\rho t)dt + t^2 q(\rho)$$

is a pre-ambient metric for [g] that is Ricci-flat up to terms of order s and higher. Such 477 a metric always exists and is unique up to terms of order n/2 in ρ . Now, on 478

479
$$M_{+} = \{(\rho, p, t) \in M \mid p \in M, t^{2}\rho = -1\}$$

the Poincaré-metric is given by

$$g_{+} = \frac{1}{x^2} \left(\mathrm{d}x^2 + \frac{1}{2}g(x^2) \right)$$

Note that if the pre-ambient metric is Ricci-flat, then the Poincaré-metric obtained in 482 this way is Einstein. We can use the ambient metric of a *pp*-wave to prove 483

Theorem 4. The critical Q-curvature of an even-dimensional pp-wave vanishes. 484

Proof. Let (M, q) be a pp-wave of even dimension n = 2s. In Sect. 4 we have also 485 shown that its pre-ambient metric that is Ricci-flat up to terms of order n/2 is given by

486 formula (12) with H as in (19). Using the coframe in (14) we can write down the volume 487

form $\omega(\rho)$ of the ρ -dependent family of *pp*-waves, 488

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$$g(\rho) = 2du (dr + (h + H)du) + \sum_{i=1}^{n-2} (dx^i)^2,$$



namely 490

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$$\omega(\rho) = \mathrm{d}x^1 \wedge \ldots \wedge \mathrm{d}x^{n-2} \wedge (\mathrm{d}r + (h+H)\mathrm{d}u) \wedge \mathrm{d}u = \omega(0).$$

For the family $g_x = \frac{1}{2}g(x^2)$ defining the Poincaré metric this implies that $det(g_x) =$ 492 det(q_0). Hence, all the $v^{(2k)}$ in (31) are zero and so is the critical Q-curvature by the 493 result of [24] given in formulae (32). \Box 494

Recall that for a pp-wave (M, g) the vanishing of the scalar curvature implies that the 495

Laplacian Δ_q is conformally covariant. Calculations using formulae in [26] show that 496 497

the first GJMS-operators P_2 , P_4 and P_6 are equal to the corresponding powers of the Laplacian Δ_g , Δ_g^2 and Δ_g^3 . We conjecture that for pp-waves this is also the case for the 498

higher P_{2k} . 499

8. Conformal and Ambient Holonomy 500

We conclude with a brief remark about the holonomy of the ambient metric and the 501 holonomy of the normal conformal Cartan connection, also called the conformal hol-502 onomy, of a pp-wave. Holonomy groups describe the reduction of generic structures 503 down to more special structures, in the semi-Riemannian, the conformal, and in other 504 geometric settings. For a conformal manifold of signature (r, s) the conformal holonomy 505 is contained in SO(r + 1, s + 1). If it is a proper subgroup, then the conformal structure is 506 reduced to a more special structure. Examples are Lorentzian Fefferman spaces, for an 507 overview see [1], where the conformal holonomy reduces to the special unitary group, 508 or conformal structures in signature (2, 3) with non-compact G_2 as structure group, 509 [38,39]. 510

In [31] it is proven that the conformal holonomy of an *n*-dimensional Lorentzian 511 conformal class that is given by a metric with parallel null line and totally null Ricci 512 tensor is contained in the stabiliser in SO(2, n) of a totally null plane \mathcal{N} . Of course, 513 pp-waves are special examples of such metrics and hence, their conformal holonomy 514 reduces to this stabiliser. But we get the same result also for the holonomy of the ambient 515 metric of a pp-wave. 516

Proposition 3. The metric \overline{g} defined in Eq. (12) admits a holonomy invariant distribu-517 tion of totally null planes \mathcal{N} spanned by ∂_r and ∂_{ρ} . In particular, all curvature operators 518 $\bar{R}(V, W), V, W \in T\bar{M}$, leave invariant the fibres of N and of N^{\perp} , which is spanned 519 by ∂_r , ∂_o , and ∂_i . 520

Proof. The easiest way to see this is to consider the dual frame to the co-frame in (14) 521 given by 522

523
$$E_0 = \frac{1}{t} \partial_{\rho}, \ E_i = \frac{1}{t} \partial_i, \ E_{n-1} = \frac{1}{t^2} \partial_r, \ E_n = \partial_u - (h+H)\partial_r, \ E_{n+1} = \partial_t - \frac{\rho}{t} \partial_{\rho}.$$

Using the relation $\bar{g}(\bar{\nabla}E_{\mu}, E_{\nu}) = \Gamma_{\mu\nu}$ one can read off from the formulae for the 524 connection 1-forms in (15) that 525

$$\mathcal{N} = \text{span}(E_0, E_{n-1}) = (\text{span}(E_0, E_i, E_{n-1}))^{\perp}$$

is invariant under the Levi-Civita connection. 527



- 528 **Corollary 2.** Let G be the holonomy group of the ambient metric of a pp-wave in odd
- ⁵²⁹ dimension or in dimension 2s with $\Delta^{s} h = 0$. Then G is contained in the stabiliser in ⁵³⁰ SO(2, n) of a totally null plane in $\mathbb{R}^{2,n}$.
- ⁵³¹ In general, it is possible to show that the conformal holonomy is always contained in
- the ambient holonomy [33]. For a conformal class with an Einstein-metric or a Ricci-
- flat metric both holonomy groups are the same [31,34]. For a *pp*-wave, not necessarily
- conformal Einstein, we have just seen that both are contained in the isotropy group of a
- totally null plane. Hence, it is very likely that the conformal holonomy is actually *equal*
- to the ambient holonomy. But to give a proof of this is beyond the scope of this paper.

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- Communicated by P.T. Chruściel 617

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