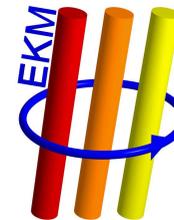


Basis of dynamical mean-field theory and application to model systems

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Aim of this talk

DYNAMICAL MEAN-FIELD THEORY

- What is it?
- Why do we need it?
- How to use it?
- Where does it help?

Correlation

- **Correlation** [lat.]: con+relatio (“with relation”)
 - Two or more objects needed
 - Grammar: either ... or, look for, deal with, ...
 - Many-body physics:

$$\frac{d\mathbf{p}_1}{dt} = \mathbf{F}_1 + \mathbf{F}_{12}, \quad \mathbf{p}_1 = m_1 \frac{d\mathbf{x}_1}{dt}$$

$$\frac{d\mathbf{p}_2}{dt} = \mathbf{F}_2 + \mathbf{F}_{21}, \quad \mathbf{p}_2 = m_2 \frac{d\mathbf{x}_2}{dt}$$



Spatial and temporal correlations everywhere



car traffic

air traffic

human traffic

electron traffic

more

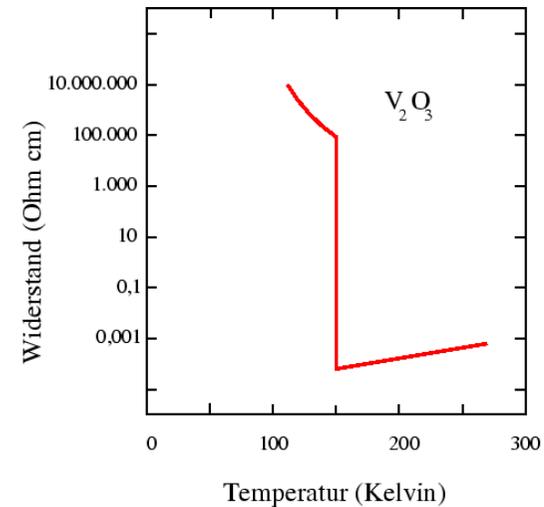


Abb. 3: Beispiel eines Metall-Isolator-Übergangs: Bei Abkühlung unter eine Temperatur von ca. 150 Kelvin erhöht sich der elektrische Widerstand von metallischem Vanadiumoxid (V_2O_5) schlagartig um das Einhundertmillionenfache (Faktor 10^8) – das System wird zum Isolator.

Correlation

- Mathematics, Statistics, Natural Science: "In **statistics**, dependence refers to any statistical relationship between two random variables or two sets of data. **Correlation** refers to any of a broad class of statistical relationships involving dependence." (*Wikipedia*)
- Formally: Two random variables are not **independent** (are **dependent**) if

$$P(x, y) \neq p(x)p(y),$$

and are **correlated** if

$$\langle xy \rangle \neq \langle x \rangle \langle y \rangle,$$

$$p(x) = \int dy P(x, y).$$

- In many body physics: **correlations** are effects beyond factorizing approximations

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle,$$

as in Weiss or Hartree-Fock mean-field theories.

Spatial and temporal correlations neglected

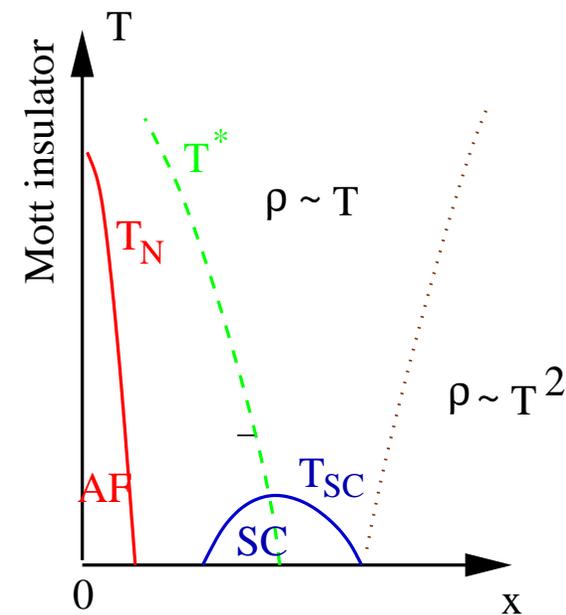
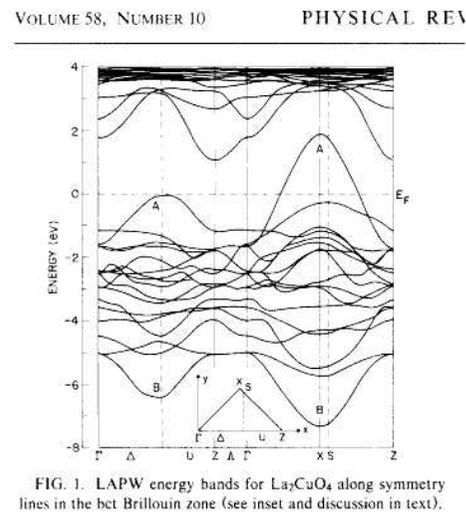
time/space average insufficient

$$\langle \rho(r, t) \rho(r', t') \rangle \approx \langle \rho(r, t) \rangle \langle \rho(r', t') \rangle = \text{disaster!}$$



Spatial and temporal correlations neglected

Local density approximation (LDA) disaster in HTC



LaCuO_4 Mott (correlated) insulator predicted to be a metal

Partially cured by (AF) long-range order ... but correlations are still missed

Correlated electrons

Periodic Table of Elements

1	IA	1	H	IIA	2	He	0																													
2	3	Li	4	Be	5	B	6	C	7	N	8	O	9	F	10	Ne																				
3	11	Na	12	Mg	III B	13	Al	IV B	14	Si	V B	15	P	VI B	16	S	VII A	17	Cl	18	Ar															
4	19	K	20	Ca	21	Sc	22	Ti	23	Y	24	Cr	25	Mn	26	Fe	27	Co	28	Ni	29	Cu	30	Zn	31	Ga	32	Ge	33	As	34	Se	35	Br	36	Kr
5	37	Rb	38	Sr	39	Y	40	Zr	41	Nb	42	Mo	43	Tc	44	Ru	45	Rh	46	Pd	47	Ag	48	Cd	49	In	50	Sn	51	Sb	52	Te	53	I	54	Xe
6	55	Cs	56	Ba	57	*La	72	Hf	73	Ta	74	W	75	Re	76	Os	77	Ir	78	Pt	79	Au	80	Hg	81	Tl	82	Pb	83	Bi	84	Po	85	At	86	Rn
7	87	Fr	88	Ra	89	+Ac	104	Rf	105	Ha	106	106	107	107	108	108	109	109	110	110																

* Lanthanide Series	58	59	60	61	62	63	64	65	66	67	68	69	70	71
	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
+ Actinide Series	90	91	92	93	94	95	96	97	98	99	100	101	102	103
	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Legend - click to find out more...

■ H - gas	■ Li - solid	■ Br - liquid	■ Tc - synthetic
■ Non-Metals	■ Transition Metals	■ Rare Earth Metals	■ Halogens
■ Alkali Metals	■ Alkali Earth Metals	■ Other Metals	■ Inert Elements

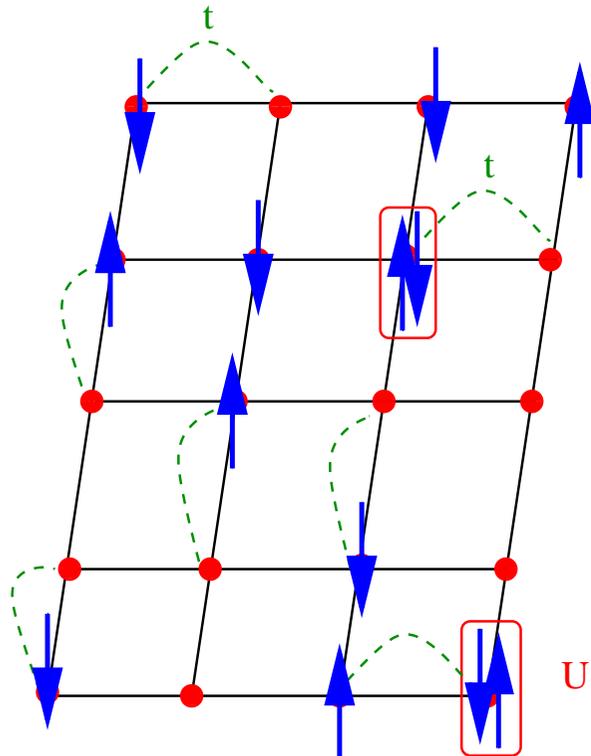
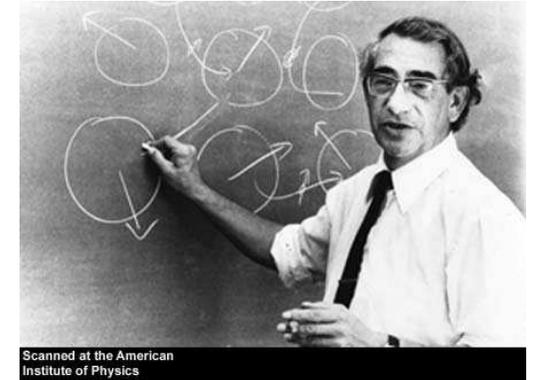
Narrow d,f-orbitals/bands → strong electronic correlations

Correlated fermions on lattices

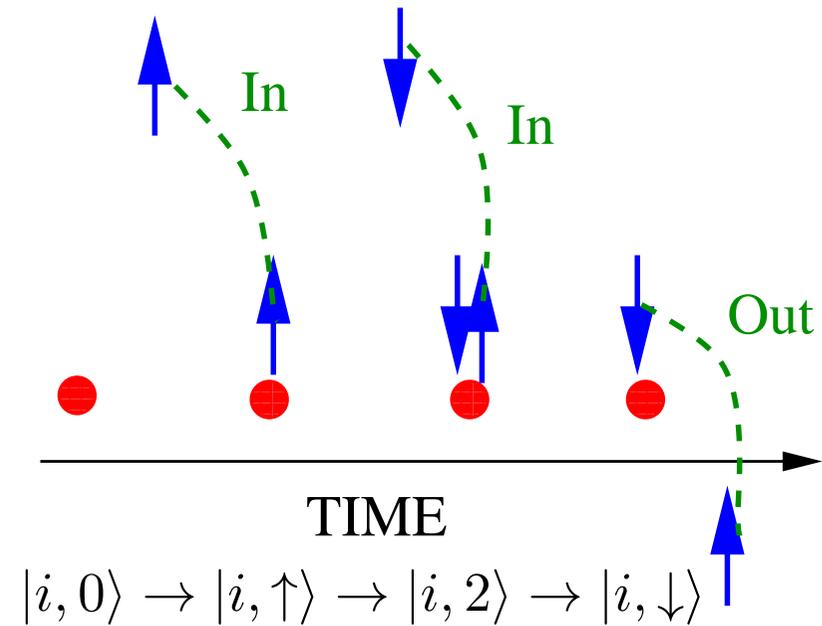
$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

fermionic Hubbard model

P.W. Anderson, J. Hubbard, M. Gutzwiller, J. Kanamori, 1960-63



Local Hubbard physics



The Holy Grail for correlated electrons

Fact: Hubbard model is not solved for arbitrary cases

Find the best comprehensive approximation

- valid for all values of parameters $t, U, n = N_e/N_L, T$
- thermodynamically consistent
- conserving
- possessing a small expansion (control) parameter and exact in some limit
- flexible to be applied to different systems and material specific calculations

What we need for Hubbard model

We need **propagator** (one-particle Green function)

$$G_{ij\sigma}(t) = -i \langle T_t c_{i\sigma}(t) c_{j\sigma}^\dagger(0) \rangle \xrightarrow[F.T.]{} G_\sigma(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\mathbf{k}, \omega)}$$

At finite temperatures $t \rightarrow -i\tau$

$$G_{ij\sigma}(\tau) = -\langle T_\tau c_{i\sigma}(\tau) c_{j\sigma}^\dagger(0) \rangle = -\frac{1}{Z} \int D[c^*, c] c_{i\sigma}(\tau) c_{j\sigma}^*(0) e^{-S[c^*, c]}$$

with the **action** (Lagrangian)

$$S[c^*, c] = - \int_0^{\beta=1/T} d\tau \sum_{i\sigma} c_{i\sigma}^*(\tau) (\partial_\tau - \mu) c_{i\sigma}(\tau) - H[c^*, c]$$

Later **two-particle Green functions**

All what we know about Hubbard model

Solved in $U = 0$ limit (non-interacting limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}}}$$

Dispersion relation

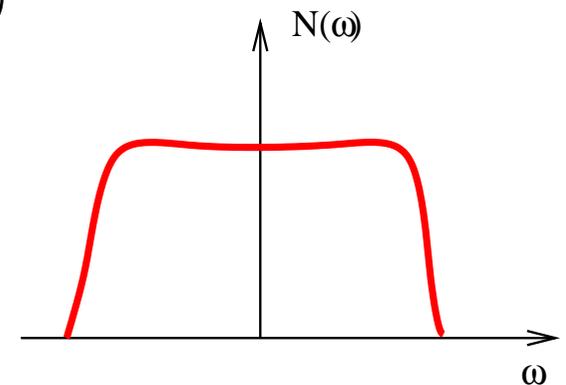
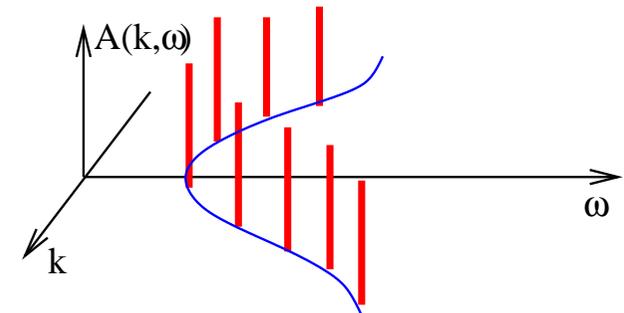
$$\epsilon_{\mathbf{k}} = \sum_{j(i)} t_{ij} e^{i\mathbf{k}(\mathbf{R}_i - \mathbf{R}_j)}$$

Spectral function - one-particle excitations

$$A_{\sigma}(\mathbf{k}, \omega) \equiv -\frac{1}{\pi} \text{Im} G(\mathbf{k}, \omega) = \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$

Density of states (DOS) - thermodynamics

$$N_{\sigma}(\omega) \equiv \sum_{\mathbf{k}} A(\mathbf{k}, \omega) = \sum_{\mathbf{k}} \delta(\omega + \mu - \epsilon_{\mathbf{k}})$$



All what we know about Hubbard model

Solved in $t = 0$ limit (atomic limit)

$$G_{\sigma}(\mathbf{k}, \omega) = \frac{1 - n_{-\sigma}}{\omega + \mu} + \frac{n_{-\sigma}}{\omega + \mu - U} = \frac{1}{\omega + \mu - \Sigma_{\sigma}(\omega)}$$

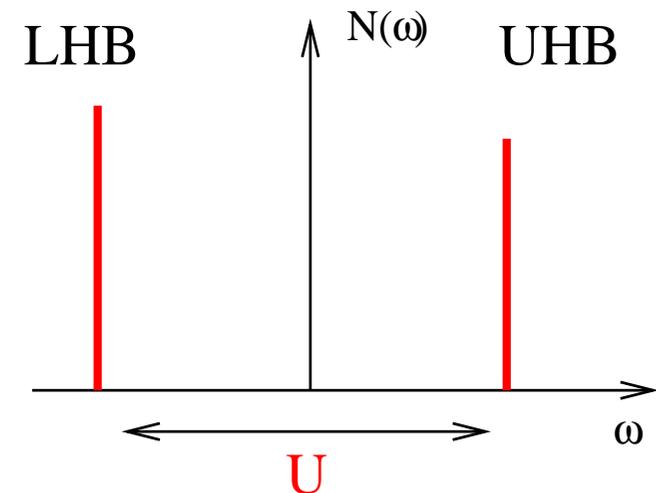
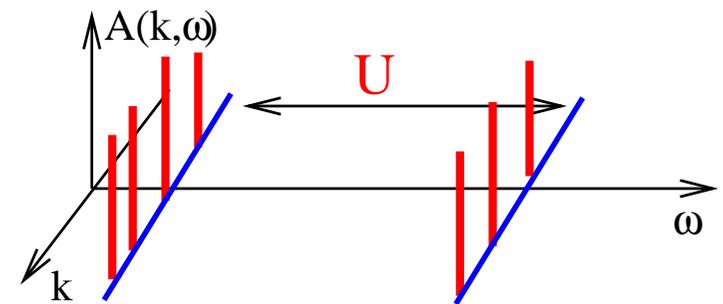
Real self-energy

$$\Sigma_{\sigma}(\omega) = n_{\sigma}U + \frac{n_{-\sigma}(1-n_{-\sigma})U^2}{\omega + \mu - (1-n_{-\sigma})U}$$

Spectral function

$$A_{\sigma}(\mathbf{k}, \omega) = (1 - n_{-\sigma})\delta(\omega + \mu) + n_{-\sigma}\delta(\omega + \mu - U)$$

Green function and self-energy are local,
i.e. \mathbf{k} independent



Static mean-field for exchange Hamiltonian

Replace many-body Hamiltonian by one-body Hamiltonian with **external (molecular or Weiss) field**

$$Z = e^{-\beta F} = \text{Tr}_{\mathbf{S}_i} e^{-\beta H_{exch}} = \text{Tr}_{\mathbf{S}_i} e^{-\beta H_{MF}}$$

where

$$H_{MF} = \sum_i \mathbf{B}_{MF} \cdot \mathbf{S}_i + E_{shift}$$

Make **mean-field (decoupling) approximation** and determine \mathbf{B}_{MF}

$$\mathbf{B}_{MF} = \sum_{j(i)} J_{ij} \langle \mathbf{S}_j \rangle_{H_{MF}}$$

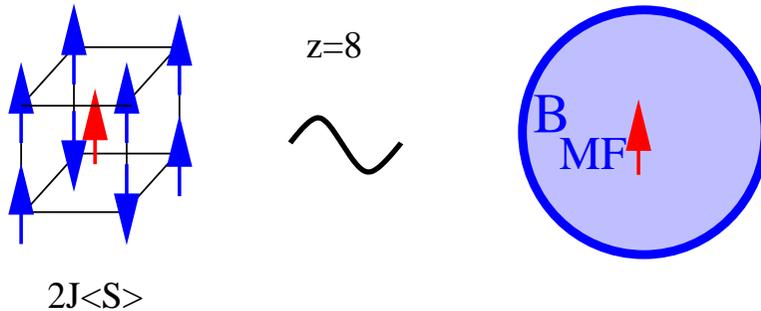
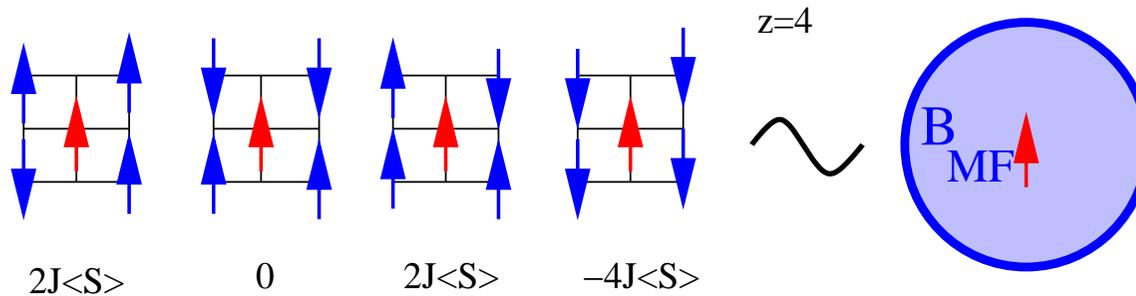
where $\langle \mathbf{S}_j \rangle = \langle S^z \rangle_{H_{MF}}$ is found **self-consistently**

$$\langle S^z \rangle_{H_{MF}} = \tanh(\beta J \langle S^z \rangle_{H_{MF}})$$

Static mean-field – principal approximation

Spin-spin correlations are neglected

$$\langle [\mathbf{S}_i - \langle \mathbf{S}_i \rangle] \cdot [\mathbf{S}_j - \langle \mathbf{S}_j \rangle] \rangle = 0 \implies \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle = \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle$$



Quality of mean-field approximation improves when z is large

Static mean-field – exact when $z \rightarrow \infty$

$$\langle [\mathbf{S}_i - \langle \mathbf{S}_i \rangle] \cdot [\mathbf{S}_j - \langle \mathbf{S}_j \rangle] \rangle \xrightarrow{z \rightarrow \infty} 0 \implies \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \xrightarrow{z \rightarrow \infty} \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle$$

No spatial correlations in $z \rightarrow \infty$ limit

Rescaling for nearest neighbor (nn) exchange coupling

$$J \rightarrow \frac{J^*}{z}, \quad J^* = \text{const}$$

then

$$\mathbf{B}_{MF} = \sum_{j=1}^z J \langle \mathbf{S} \rangle_{H_{MF}} = \frac{J^*}{z} \sum_{j=1}^z \langle \mathbf{S} \rangle_{H_{MF}} = J^* \langle \mathbf{S} \rangle_{H_{MF}}$$

is bounded when $z \rightarrow \infty$

Static mean-field theory is exact and nontrivial when $z \rightarrow \infty$;
there exists a small (expansion) parameter $1/z$

Dynamical mean-field theory – heuristic approach

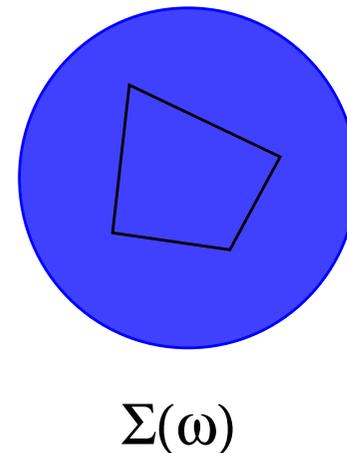
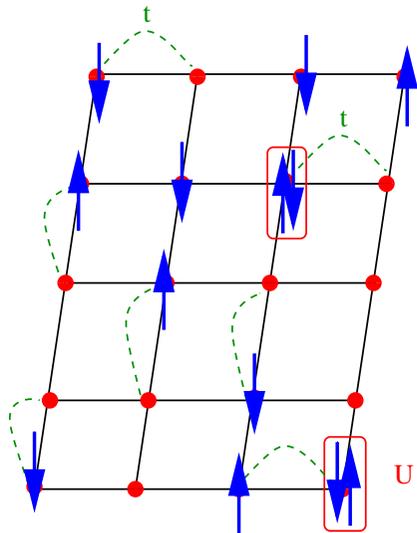
Find a comprehensive mean-field theory for Hubbard model

Exact free energy

$$F = -\frac{1}{\beta} \ln \int D[c^*, c] e^{-S[c^*, c]} = \frac{1}{\beta} \text{Tr} \ln G_\sigma(\mathbf{k}, \omega_n) = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\mathbf{k}, \omega_n)]$$

Approximation $\Sigma_\sigma(\mathbf{k}, \omega_n) = \Sigma_\sigma(\omega_n)$ - local approximation keeping full dynamics

$$F_{med}[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\omega_n)]$$



Dynamical mean-field theory – heuristic approach

Need a prescription to determine $\Sigma_\sigma(\omega_n)$

“Remove” a single site in the effective medium and replace it by the actual, bare interaction

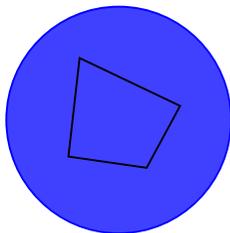
$$F = F_{med}[\Sigma] - F_i[\Sigma] + F_i^{bare}$$

Local Green function ($i = j$ and index omitted)

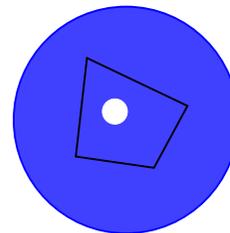
$$G_\sigma(i\omega_n) = \sum_{\mathbf{k}} G_\sigma(\mathbf{k}, \omega_n) = \sum_{\mathbf{k}} \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_\sigma(\omega_n)} = G_\sigma^0(i\omega + \mu - \Sigma_\sigma(\omega_n))$$

and

$$F_i[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [G_\sigma^0(i\omega + \mu - \Sigma_\sigma(\omega_n))]^{-1}$$



$\Sigma(\omega)$



$\Sigma(\omega)$

Dynamical mean-field theory – heuristic approach

Actual bare interaction

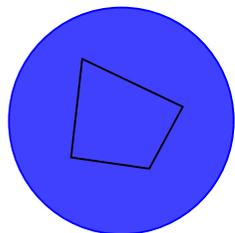
$$F_i^{bare}[\mathcal{G}] = -\frac{1}{\beta} \ln \int D[c^*, c] e^{-S_{loc}^{bare}[c^*, c]}$$

where

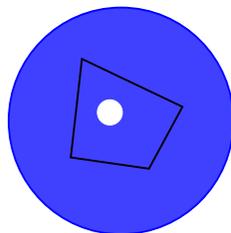
$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^*(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

and the local-Dyson equation defines

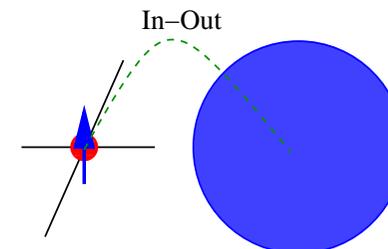
$$\mathcal{G}_{\sigma}^{-1}(\omega_n) = G_{\sigma}^{-1}(\omega_n) + \Sigma_{\sigma}(\omega_n)$$



$\Sigma(\omega)$



$\Sigma(\omega)$



$\Sigma(\omega)$

Dynamical mean-field theory – heuristic approach

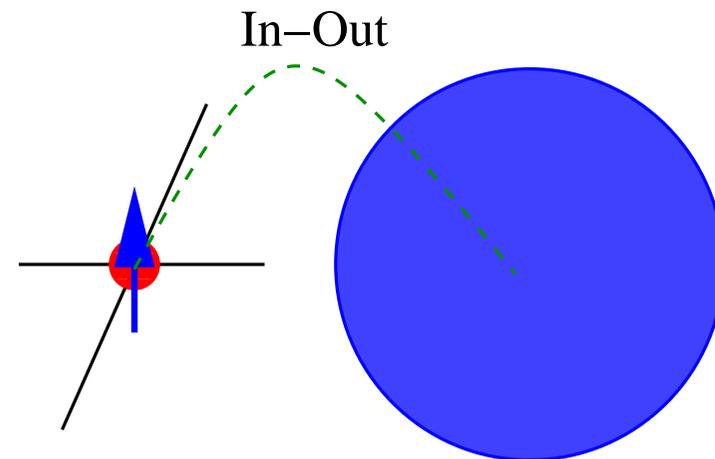
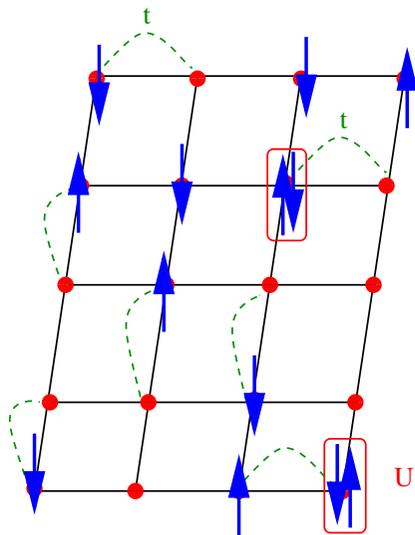
to close the set of equations use stationary condition

$$\frac{\delta F[\Sigma, \mathcal{G}^{-1}]}{\delta \mathcal{G}^{-1}} = 0$$

hence

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^{*}(0) \rangle_{S_{loc}^{bare}}$$

and all three local but dynamical variables G , \mathcal{G} , and Σ are determined



Summary – DMFT - full glory

Local Green function

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^{*}(0) \rangle_{S_{loc}^{bare}}$$

where

$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^{*}(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

Weiss (mean-field) function and self-energy

$$\mathcal{G}_{\sigma}^{-1}(\omega_n) = G_{\sigma}^{-1}(\omega_n) + \Sigma_{\sigma}(\omega_n)$$

Local Green function and lattice system self-consistency

$$G_{\sigma}(i\omega_n) = \sum_{\mathbf{k}} G_{\sigma}(\mathbf{k}, \omega_n) = \sum_{\mathbf{k}} \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\sigma}(\omega_n)} = G_{\sigma}^0(i\omega + \mu - \Sigma_{\sigma}(\omega_n))$$

DMFT – what is neglected, what is kept

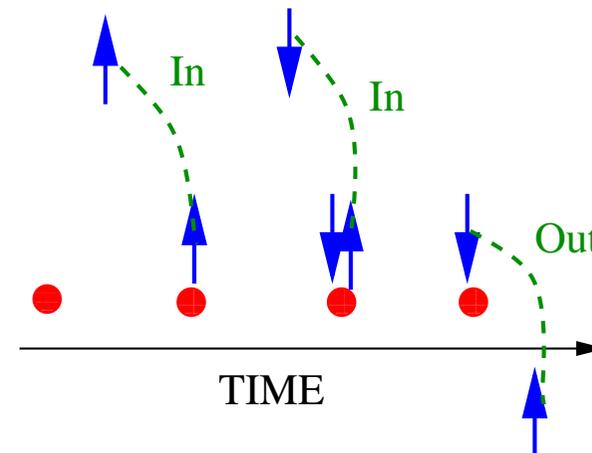
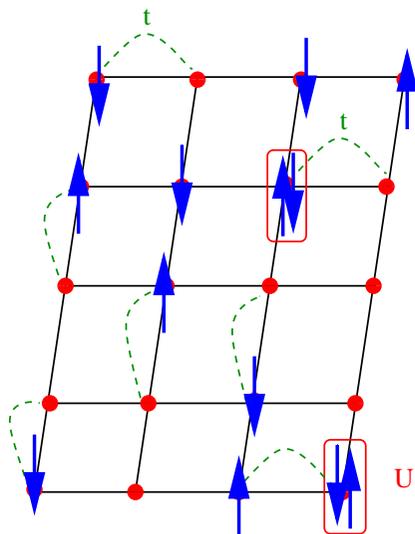
Spatial correlations are neglected (LRO is OK)

$$\langle [n_{i\sigma}(\tau) - \langle n_{i\sigma}(\tau) \rangle][n_{j\sigma'}(\tau') - \langle n_{j\sigma'}(\tau') \rangle] \rangle_{S_{loc}} = 0$$

Local temporal correlations are kept exactly

$$\langle [n_{i\sigma}(\tau) - \langle n_{i\sigma}(\tau) \rangle][n_{i\sigma'}(\tau') - \langle n_{i\sigma'}(\tau') \rangle] \rangle_{S_{loc}} = \text{“exact”}$$

Local dynamical Hubbard physics described well



DMFT – conserving theory

any reliable approximation should be conserving, i.e. all microscopic conservation laws should be preserved by an approximate theory

Φ -derivable theory (Baym, Kadanoff - 1962)

$$\Omega[\Sigma] = \Phi[\Sigma] - \text{Tr}(\Sigma G) - \text{Tr}(G_0^{-1} - \Sigma)$$

where

$$\Sigma = \frac{\delta\Phi}{\delta\Sigma}$$

is conserving because vertices

$$\Lambda = \frac{\delta^2\Phi}{\delta\Sigma\delta\Sigma}$$

are approximated in the same way

dynamical mean-field theory is a conserving approximation due to construction

DMFT – consistent and comprehensive

DMFT is thermodynamically consistent, e.g.

$$n = -\frac{1}{\beta} \frac{\partial F}{\partial \mu} = -\frac{1}{\beta} \sum_{n\sigma} G_{\sigma}(\omega_n)$$

DMFT is valid for any value of the microscopic parameters, no expansion wrt U , t , β , n or $1/n$

DMFT is comprehensive theory for correlated electrons

DMFT – flexibility; LDA+DMFT

Multi-band systems (Anisimov et al. 97; ... Nekrasov et al. 00, ...)

$$H = H_{LDA} + H_{int} - H_{LDA}^U = H_{LDA}^0 + H_{int}$$

direct and exchange interaction

$$H_{int} = \frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'\sigma'} U_{mm'}^{\sigma\sigma'} n_{ilm\sigma} n_{ilm'\sigma'}$$
$$- \frac{1}{2} \sum_{i=i_d, l=l_d} \sum_{m\sigma, m'} J_{mm'} c_{ilm\sigma}^\dagger c_{ilm'-\sigma}^\dagger c_{ilm'\sigma} c_{ilm-\sigma}$$

kinetic part, determined from DFT-LDA calculation (**material specific**)

$$H_{LDA}^0 = \sum_{ilm, jl'm', \sigma} t_{ilm, jl'm'}^0 c_{ilm\sigma}^\dagger c_{jl'm'\sigma}$$

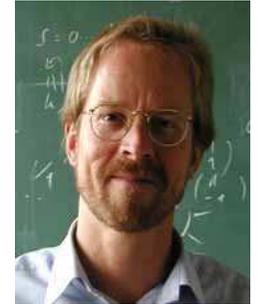
LDA+DMFT - state of the art for realistic approach to correlated electron systems

DMFT scheme

S_{loc} - local interactions U or J from a model **TB** or a microscopic **LDA** Hamiltonian



D. Vollhardt



W. Metzner

$$\hat{G} = -\langle T\hat{C}(\tau)\hat{C}^*(0)\rangle_{S_{loc}}$$

DMFT

$$\hat{\Sigma}$$

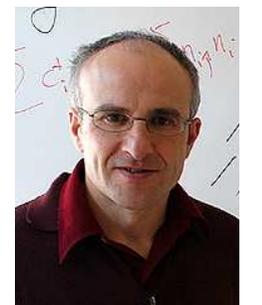
$$\hat{G}^{-1} = \hat{G}^{-1} + \hat{\Sigma}$$

$$\hat{\Sigma} = \hat{G}^{-1} - \hat{G}^{-1}$$

G. Kotliar



A. Georges



$$\hat{G} = \sum [(\omega + \mu)\hat{1} - \hat{H}^0 - \hat{\Sigma}]^{-1}$$

\hat{H}^0 is a model **TB** or a microscopic **LDA** Hamiltonian

DMFT – flexibility; disordered systems

Correlated electrons with **local disorder**

$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} + \sum_{i\sigma} \epsilon_i n_{i\sigma}$$

where ϵ_i is random (on-site) local energy with fixed probability distribution function (PDF) $P(\epsilon_i)$

In **self-averaged systems**, physical quantities are given by **arithmetic average**

$$G(\omega)_{av} = - \int d\epsilon_i P(\epsilon_i) \langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)} \equiv \langle \langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)} \rangle_{dis}$$

In **non-self-averaged systems**, physical quantities are given by typical ones - **geometric average** $O_{geom} = \exp[\langle \ln O \rangle]$

$$-\text{Im}G(\omega)_{typ} = e^{\langle \ln[-\text{Im}\langle c(\omega) c^*(\omega) \rangle_{S_{loc}(\epsilon_i)}] \rangle_{dis}}$$

DMFT – $d \rightarrow \infty$ limit, small parameter $O(1/z)$

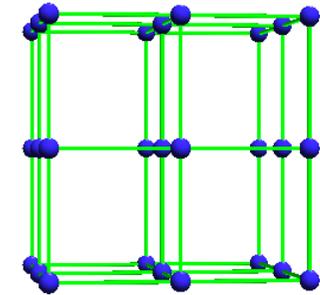
Idea: spatial correlations are absent when number of neighbors is large (infinite)

Crystal lattices in $d = 3$:

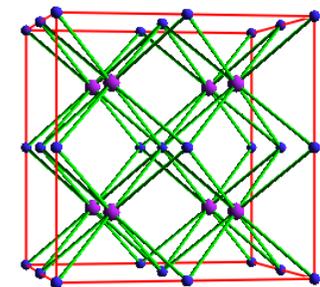
simple cubic (sc) - $z = 6$

body center cubic (bcc) - $z = 8$

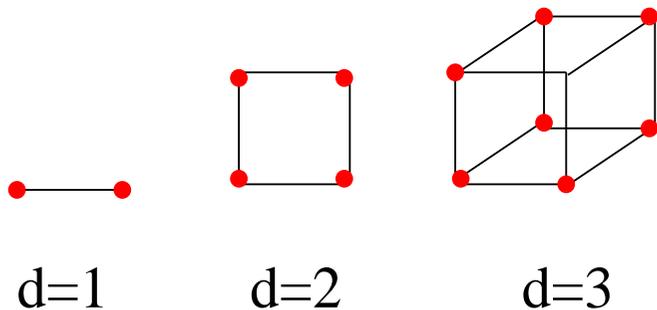
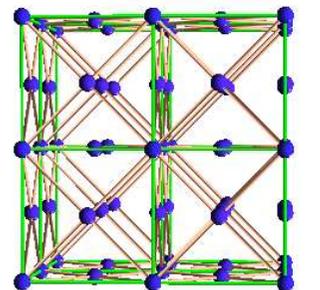
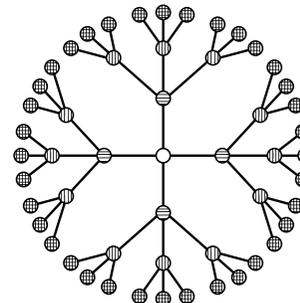
face centered cubic (fcc) - $z = 12$



Hypercubic lattice in d -dimension - $z = 2d$



Bethe (Caley) tree - $z = K + 1$



d=1

d=2

d=3

Simple $d \rightarrow \infty$ limit

Kinetic energy

$$H_0 = \sum_{ij\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

NN hopping:

$$t_{ij} = t(\mathbf{R}_i - \mathbf{R}_j) = \begin{cases} -t & \text{if } \mathbf{R}_i - \mathbf{R}_j = \pm \mathbf{e}_n \\ 0 & \text{otherwise} \end{cases}$$

Bare dispersion

$$\epsilon_{\mathbf{k}} = -2t \sum_{i=1}^d \cos k_i$$

Density of states

$$N_d(\epsilon) \sum_{\mathbf{k}} \delta(\epsilon - \epsilon_{\mathbf{k}}) \xrightarrow{d \rightarrow \infty} \frac{1}{2t\sqrt{\pi d}} e^{-\left(\frac{\epsilon}{2t\sqrt{d}}\right)^2}$$

arbitrary broad and featureless in $d \rightarrow \infty$ limit

Non-trivial $d \rightarrow \infty$ limit

Non-trivial DOS is obtained when hopping is **rescaled**

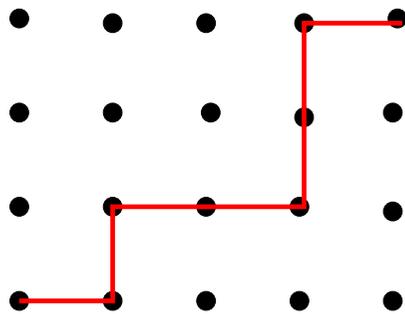
$$t \rightarrow \frac{t^*}{\sqrt{2d}}, \quad t^* = \text{const}$$

$$N_\infty(\epsilon) = \frac{1}{\sqrt{2\pi}t^*} e^{-\left(\frac{\epsilon}{2t^*}\right)^2}$$

(Metzner, Vollhardt, 1989)

In general

$$t_{ij} \rightarrow \frac{t_{ij}^*}{\sqrt{d} \|\mathbf{R}_i - \mathbf{R}_j\|}$$



$\|\mathbf{R}_i - \mathbf{R}_j\|$ - taxi cab (Manhattan, New York) distance

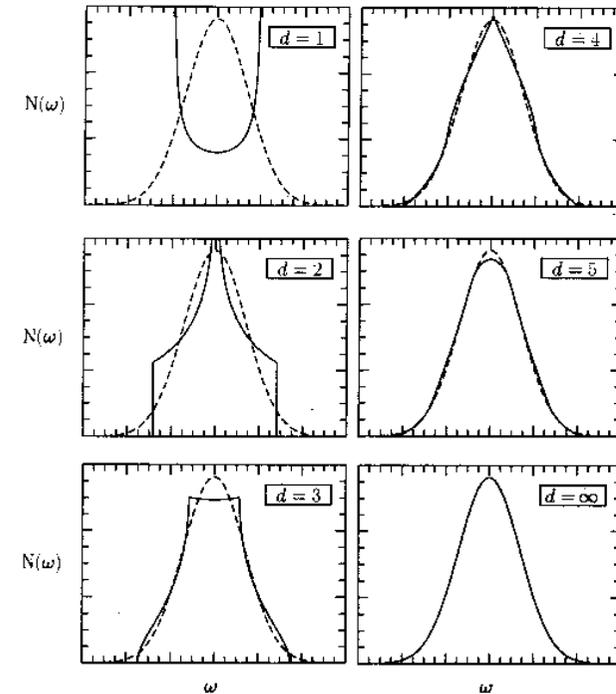


Fig. 1.2 Tight-binding density of states in $d = 1, 2, 3, 4, 5$ as compared with that for $d = \infty$.

Non-trivial $d \rightarrow \infty$ limit

Non-trivial (asymptotic) theory is well defined such that the energy density is generically finite and non-zero

$$\frac{1}{N_L} E_{kin} = \frac{1}{N_L} \sum_{ij\sigma} t_{ij} \langle c_{i\sigma}^\dagger c_{j\sigma} \rangle = \frac{1}{N_L} \sum_{i\sigma} \sum_{\substack{j(i) \\ O(d^{\|\mathbf{R}_i - \mathbf{R}_j\|})}} t_{ij} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} G_{ij\sigma}(\omega) \sim O(1)$$

Fact, since G_{ij} is probability amplitude for hopping,

$$G_{ij} \sim O(d^{-\frac{\|\mathbf{R}_i - \mathbf{R}_j\|}{2}})$$

with rescaling

$$t_{ij} \rightarrow \frac{t_{ij}^*}{\sqrt{d^{\|\mathbf{R}_i - \mathbf{R}_j\|}}}$$

sum $\sum_{j(i)}$ is compensated and energy is finite (Metzner, Vollhardt, 1989)

$d \rightarrow \infty$ limit – Feynman diagrams simplification

One proves, term by term, that skeleton expansion for the self-energy $\Sigma_{ij}[G]$ has only **local** contributions

$$\Sigma_{ij\sigma}(\omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{ii\sigma}(\omega_n) \delta_{ij}$$

Fourier transform is **k-independent**

$$\Sigma_{\sigma}(\mathbf{k}, \omega_n) \xrightarrow{d \rightarrow \infty} \Sigma_{\sigma}(\omega_n)$$

DMFT is an exact theory in infinite dimension (coordination number) and small control parameter is $1/d$ ($1/z$)

(Metzner, Vollhardt, 1989)

ansatz in heuristic derivation is then exact (Janis, Vollhardt, 1992)

$$F = F_{med}[\Sigma] = -\frac{1}{\beta} \text{Tr} \ln [i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma_{\sigma}(\omega_n)]$$

DMFT in practice

The hardest part of DMFT is to solve local, many-body problem

$$G_{\sigma}(\tau) = -\langle T_{\tau} c_{\sigma}(\tau) c_{\sigma}^*(0) \rangle_{S_{loc}^{bare}}$$

where

$$S_{loc}^{bare} = \sum_{\sigma} \int d\tau d\tau' c_{\sigma}^*(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + U \int d\tau n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

it is usually mapped onto the **Single Impurity Anderson Model**
(Kotliar, Georges, 1992; Jarrell, 1992)

$$H_{SIAM} = \epsilon_d \sum_{\sigma} n_{d\sigma} + U n_{d\uparrow} n_{d\downarrow} + \sum_{\mathbf{k}\sigma} V_{\mathbf{k}} d_{\sigma}^{\dagger} c_{\mathbf{k}\sigma} + H.c. + \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}}^{aux} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma}$$

DMFT solvers in practice

- **Analytical approaches** (approximate, but fast)
 - (IPT) Iterative perturbation expansion
 - (NCA) Non-crossing approximation
 - (LMA) Local moment approach
 - (LDMFT) Linear DMFT
 - (Hubbard I or III) Strong coupling approach
- **Numerical approaches** (formally exact, but expensive)
 - (HF-QMC) Quantum Monte Carlo - Trotter decomposition, large T , limited U
 - (CT-QMC) Quantum Monte Carlo - continuum time, perturbative character
 - (PQMC) Projected quantum Monte Carlo - only ground state
 - (ED) Exact diagonalization - small system, discrete spectrum
 - (NRG) Numerical renormalization group - logarithmic broadening
 - (DMRG) Dynamical matrix renormalization group

Find the best solver to you physical problem

DMFT – long-range orders and susceptibilities

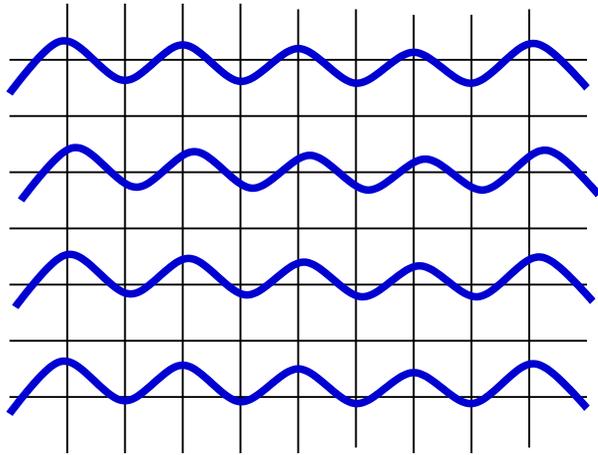
Broken symmetry phases (infinite-long range orders) are described by generalized local problem and self-consistency condition

e.g., for AF we need to sites (A or B) which are coupled by opposite spins

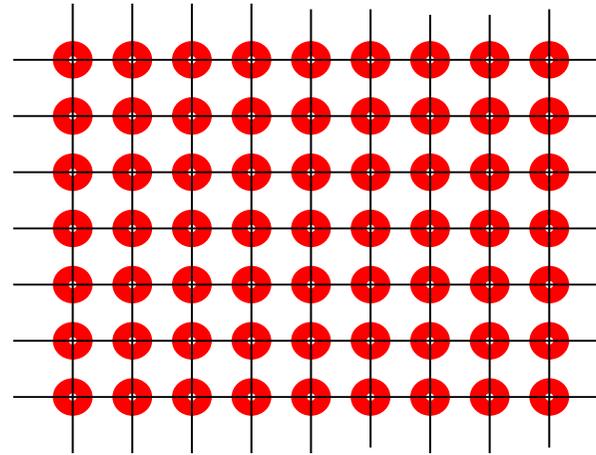
Susceptibilities (two-particle correlation functions) are determined by the corresponding local quantities and non-interacting lattice parts

$$\hat{\chi}_{\mathbf{q}}^{-1} = \hat{\chi}_{loc}^{-1} + \hat{\chi}_{0,\mathbf{q}}^{-1} - \hat{\chi}_{0,loc}^{-1}$$

Eg. 1 - MIT at half-filling

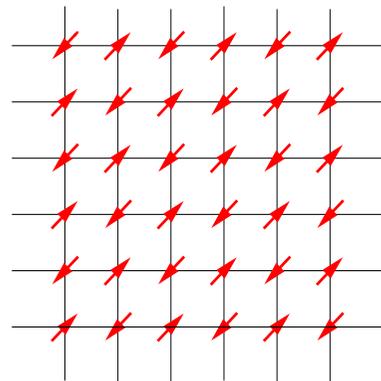


$$U \ll |t_{ij}|, \Delta \mathbf{p} = 0$$



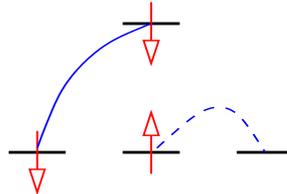
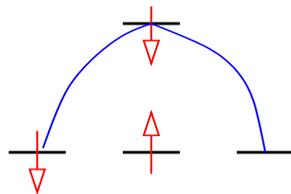
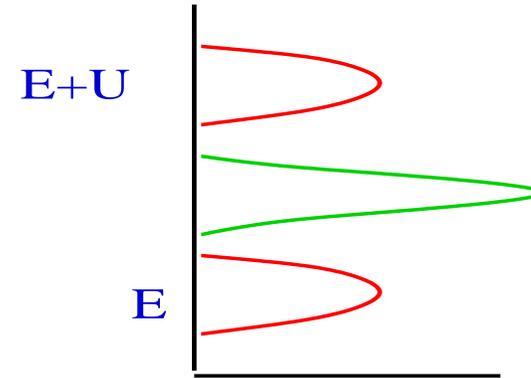
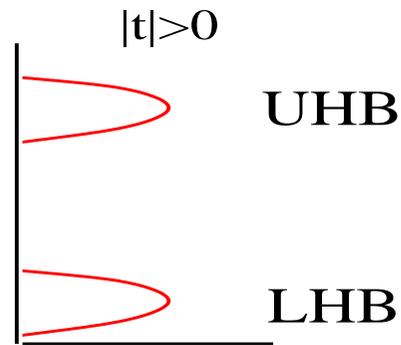
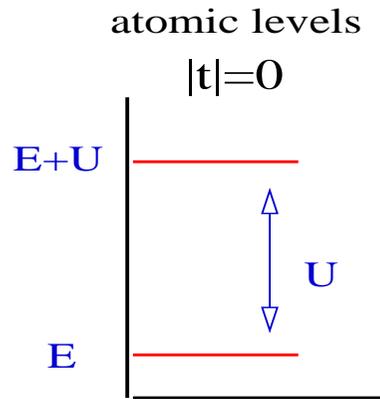
$$U \gg |t_{ij}|, \Delta \mathbf{r} = 0$$

Antiferromagnetic Mott insulator



typical intermediate coupling problem $U_c \approx |t_{ij}|$

Eg. 1 - MIT at half-filling



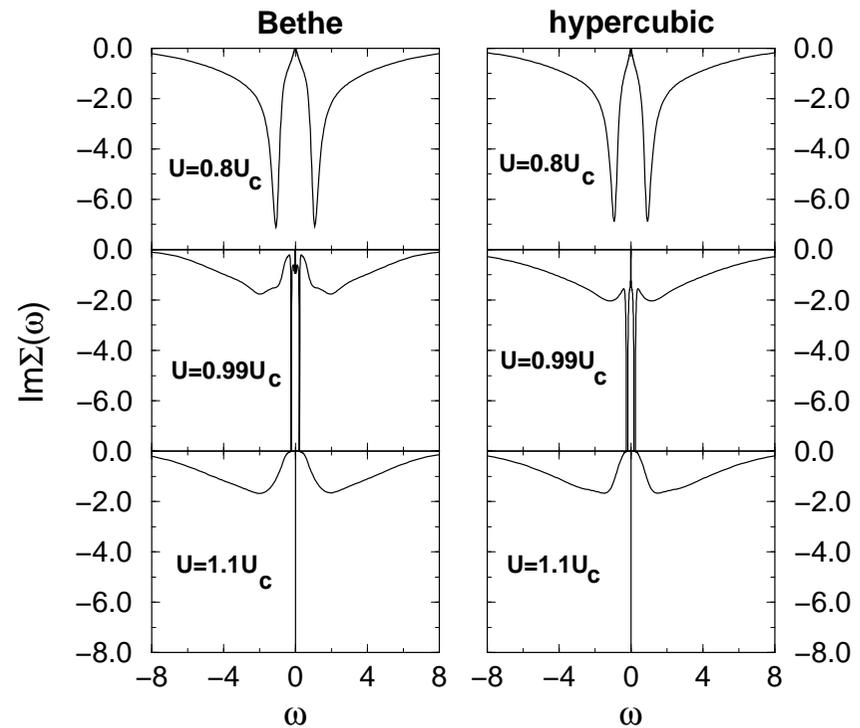
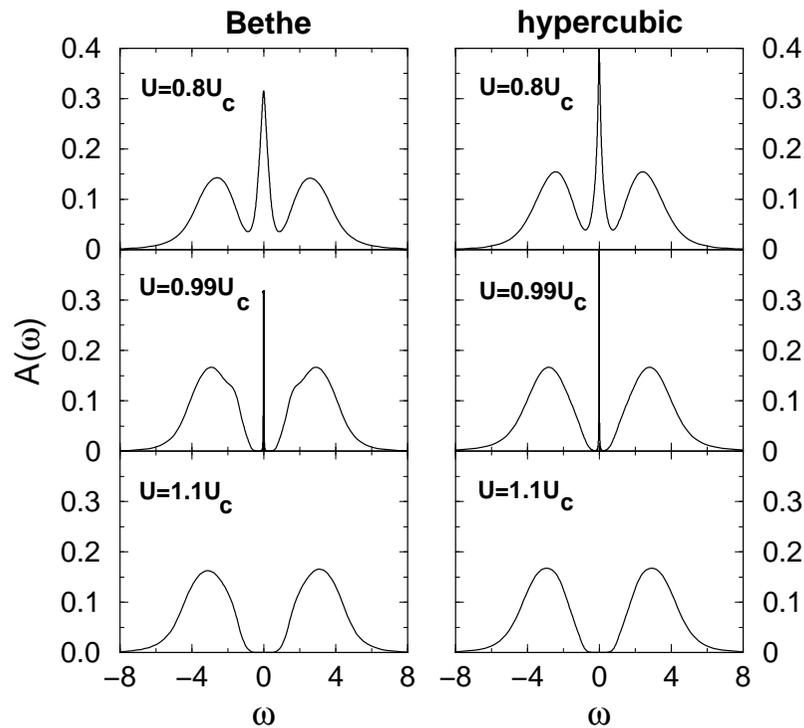
spin flip on central site

at $U = U_c$ resonance disappears
gaped insulator

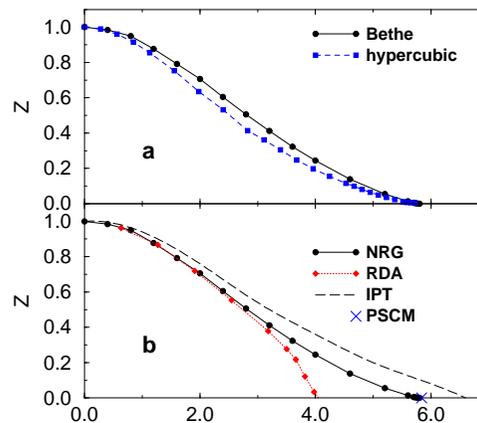
dynamical processes with spin-flips inject states into correlation gap giving a **quasiparticle resonance**

Eg. 1 - MIT at half-filling at $T = 0$ according to DMFT

Kotliar et al. 92-96, Bulla, 99



$$G(k, \omega) \sim \frac{Z}{\omega - \tilde{\epsilon}_k - i\alpha \omega^2} + G_{inc}$$



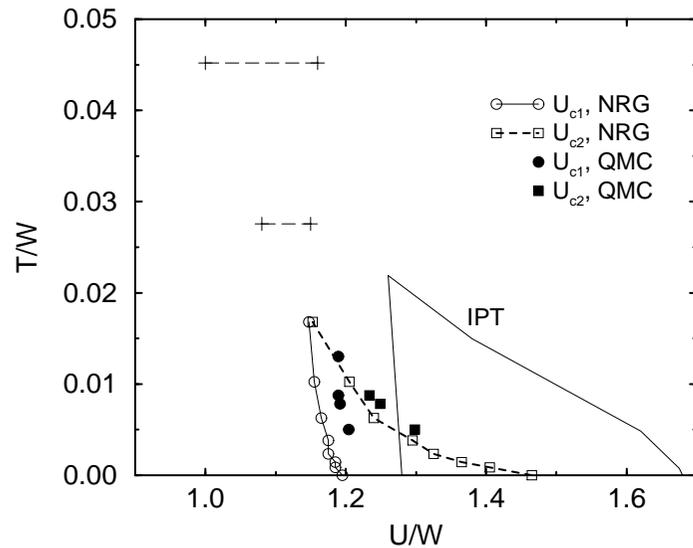
Luttinger pinning $A(0) = N_0(0)$

Fermi liquid

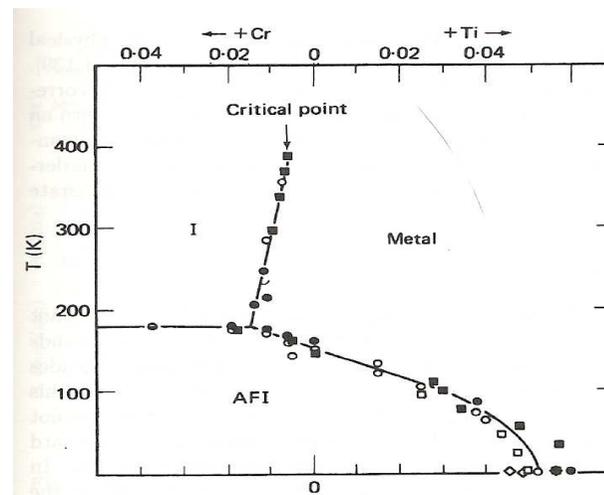
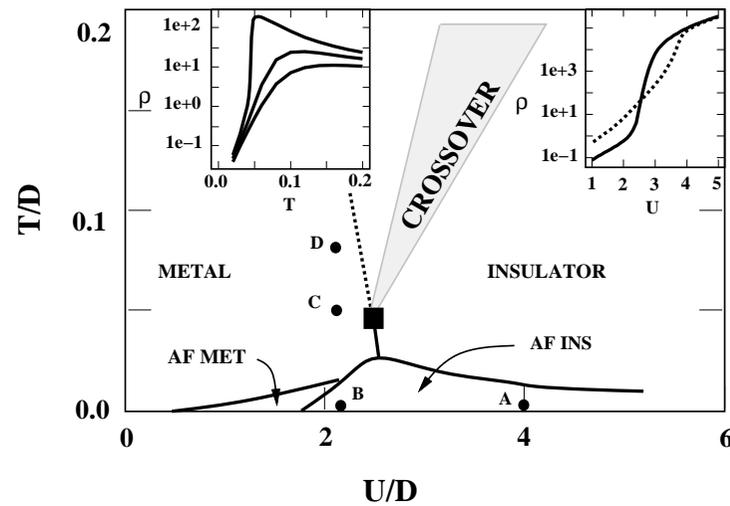
Muller-Hartmann 1989

Eg. 1 - MIT at half-filling at $T > 0$ according to DMFT

Kotliar et al. 92-96, Bulla et al. 01, also Spalek 87



1st-order transition



Eg. 2 - Mott-Anderson MIT

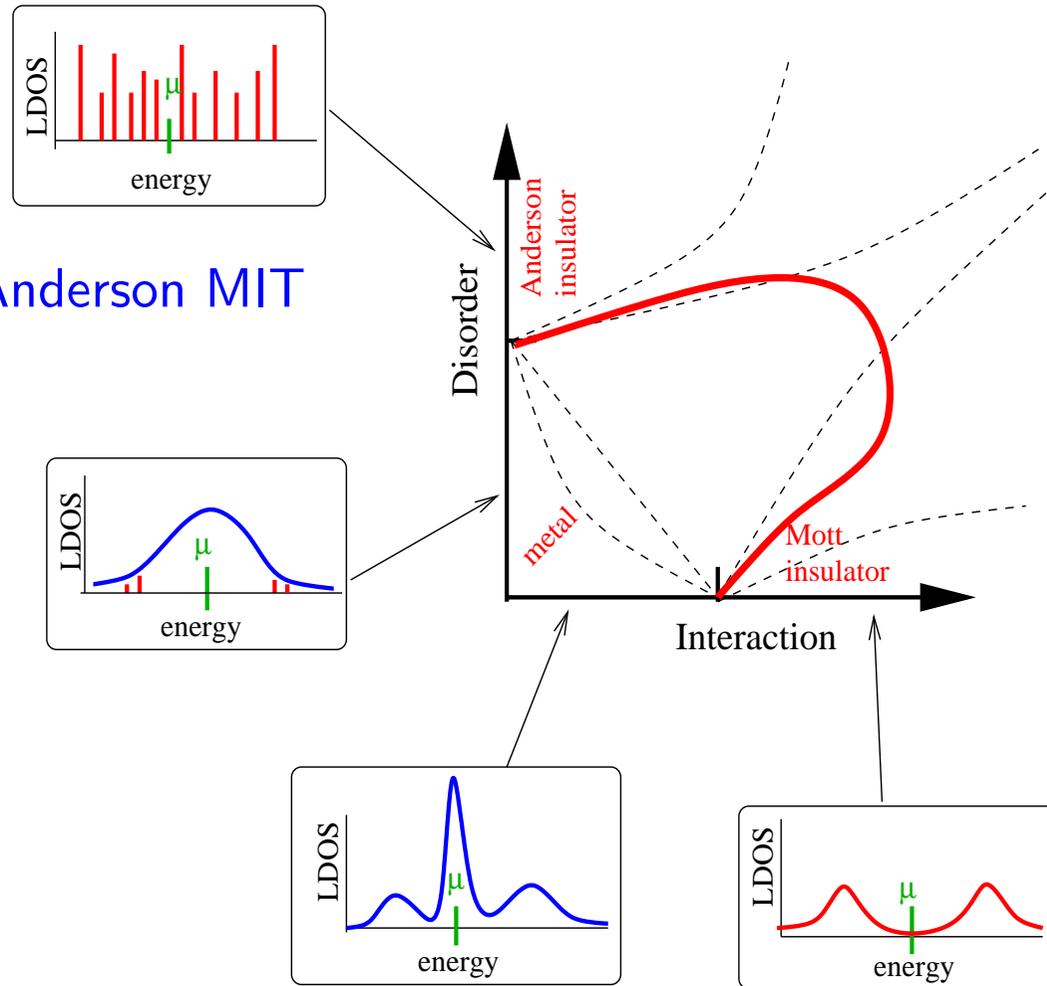
Disorder \leftrightarrow Anderson MIT

Two insulators are
continuously connected

BUT

Interaction \leftrightarrow Mott-Hubbard MIT

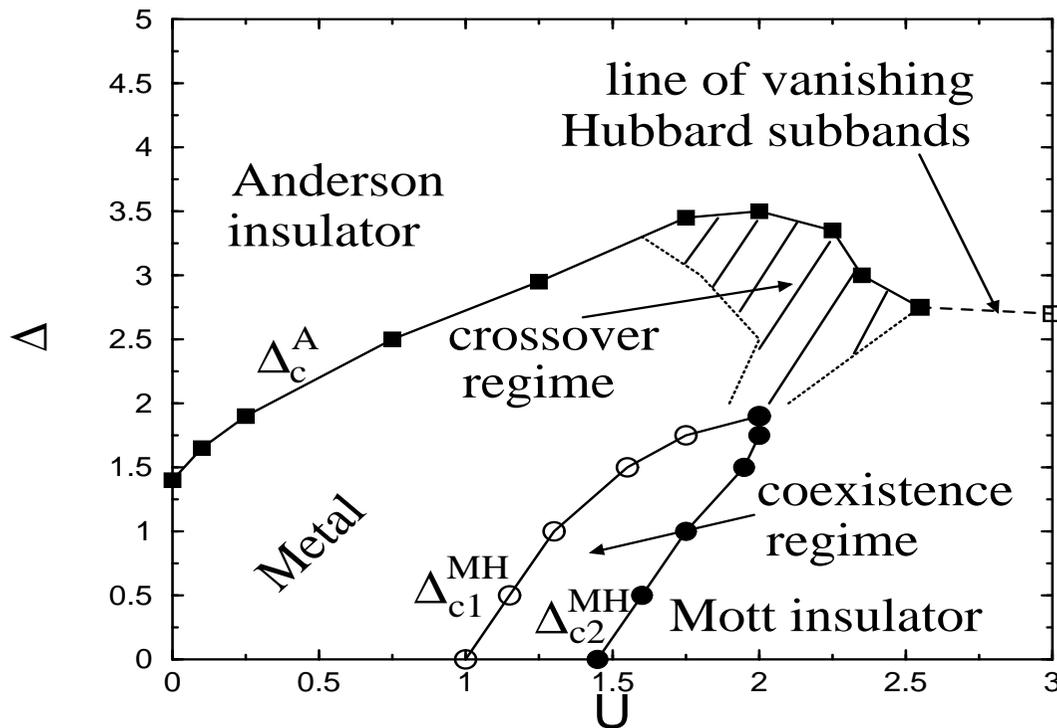
Interaction and disorder compete with each other stabilizing
the metallic phase against the occurring one of the insulators



Eg. 2 - Phase diagram for disordered Hubbard model

$$N_0(\epsilon) = \frac{2}{\pi D} \sqrt{D^2 - \epsilon^2}; \quad \eta(\omega) = \frac{D^2}{4} G(\omega)$$

$T = 0, n = 1, W = 2D = 1$, NRG solver, KB, W. Hofstetter, D. Vollhardt (2005)



U - interaction, Δ - disorder

Summary – DMFT - flexibility

- Local temporal correlations exact
- Spatial correlations neglected
- Conserving and thermodynamically consistent
- Comprehensive mean-field theory
- LDA+DMFT
- DMFT for disordered electrons