

# Instanton induced Yukawa couplings in MSSM-like orientifold models

based on:

M. Cvetič, J. Halverson, R.R. [arXiv:0905.3379](#)

related earlier work:

L. E. Ibáñez, R.R. [arXiv:0811.1583](#)

Robert Richter

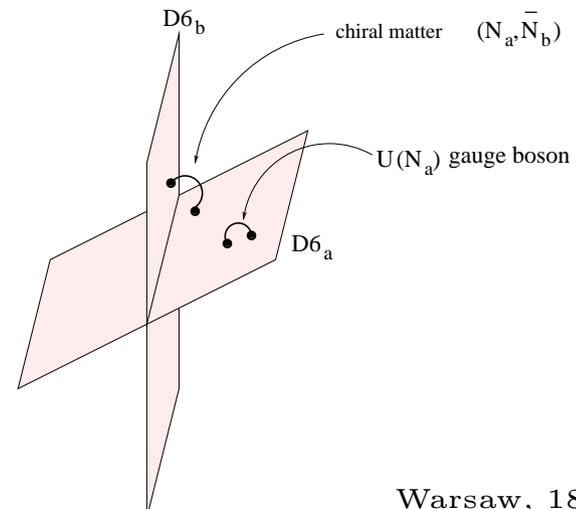
University of Pennsylvania

# Motivation

Intersecting Brane worlds provide geometrically appealing model building framework

- (1) **gauge group**: each stack of  $N_a$  D6-branes carries  $U(N_a) = SU(N_a) \times U(1)_a$  gauge theory
- (2) **chirality**: two intersecting branes give rise to chiral fermions
- (3) **replication**: in the compact manifold two branes might intersect several times

Many realization of globsl MSSM-like three family models



# Motivation

What about superpotential?

computation string amplitudes

suppressed by world-sheet instantons  $\rightsquigarrow$  hierarchy

Global  $U(1)$ 's forbid various desired couplings !

$E2$ -instantons induce couplings:  $e^{-S_{E2}} \prod_I \Phi_I \rightsquigarrow$  hierarchy

Blumenhagen, Cvetic, Weigand hep-th/0609191, Ibanez, Uranga hep-th/0609213

- Majorana mass term for righthanded Neutrinos
- $\mu$ -term
- $10^{10} 5$  Yukawa coupling in  $SU(5)$  GUT-like models

Blumenhagen, Cvetic, Weigand hep-th/0609191, Ibanez, Uranga hep-th/0609213,

Cvetic, Weigand, R.R. hep-th/0703028, Ibanez, Schellekens, Uranga arXiv:0704.1079,

Blumenhagen, Cvetic, Lüst, Weigand, R.R. arXiv:0707.1871, Cvetic, Weigand

arXiv:0711.0209

# Motivation

Missing couplings in realistic D-brane-quivers?

previous work:

- Madrid quiver

Ibanez, R.R. arXiv:0811.1583

- specific three-stack quiver

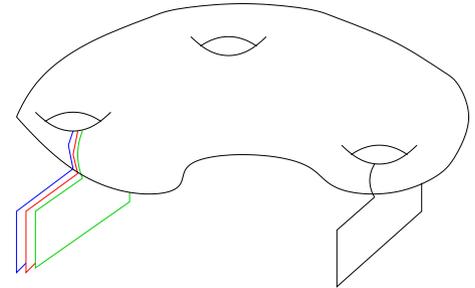
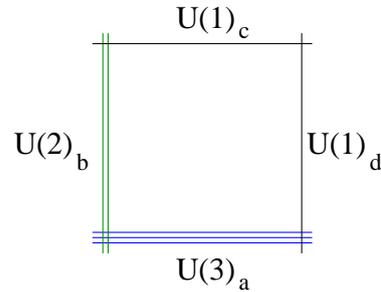
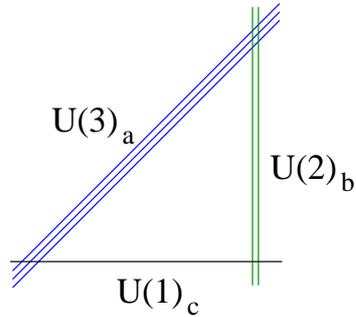
Leontaris arXiv:0903.3691

- mass hierarchies in Madrid quiver

Anastasopoulos, Kiritsis, Lionetto arXiv:0905.3044

Here: systematic bottom-up analysis of multi-stack MSSM quivers

# 3- and 4-stack quivers



$$U(3)_a \times U(2)_b \times U(1)_c \quad U(3)_a \times U(2)_b \times U(1)_c \times U(1)_d$$

anomalous  $U(1)$ 's become massive via GS-mechanism  
 massless  $U(1)_Y$  puts constraints on cycles the D-branes wrap  
 resulting gauge theory in 4D:  $SU(3) \times SU(2) \times U(1)_Y$

global embedding  $\rightsquigarrow$  **Hidden sector**

# Top-down constraints

Tadpole cancellation:  $\sum_x N_x (\pi_x + \pi'_x) - 4\pi_{O6} = 0$

$\rightsquigarrow$  constraints on transformation behavior of chiral matter

$$\#(a) - \#(\bar{a}) + (N_a - 4)\#(\boxplus_a) + (N_a + 4)\#(\boxminus_a) = 0$$

usual anomaly cancellation for  $N_a \geq 3$  **also true for**  $N_a = 2$

$N_a = 1$  condition is relaxed

$$\#(a) - \#(\bar{a}) + 5\#(\boxminus_a) = 0 \quad \text{mod } 3$$

Massless  $U(1)$ :  $\sum_x q_x N_x (\pi_x - \pi'_x) = 0$

$\rightsquigarrow$  constraints on transformation behavior of chiral matter

$$q_a N_a \left( \#(\boxminus_a) + \#(\boxplus_a) \right) = \sum_{x \neq a} q_x N_x (\#(a, \bar{x}) - \#(a, x))$$

for  $N_a = 1$  condition takes a slightly different form

**Only necessary conditions, not sufficient!**

# Bottom-up constraints

- **Spectrum**: exact MSSM + 3  $N_R$

no chiral exotics charged under the MSSM D-branes

all MSSM + 3  $N_R$  only charged under the MSSM D-branes

⇒ top-down constraints are satisfied within the MSSM-branes

righthanded quarks can transform as  $\square_a$

righthanded leptons can transform as  $\square_b, \square\square_c, \square\square_d$

- **MSSM Superpotential**: MSSM superpotential is realized  
perturbatively or non-perturbatively

Yukawa couplings for all three families

- **Top Yukawa**: require the presence of top Yukawa coupling

- **R-parity**: No R-parity violating couplings

neither on perturbative nor non-perturbative level

⇒ a large class of quivers are ruled out

# Bottom-up constraints

- **Neutrino masses**: Setup must allow for mechanism which explains the smallness of neutrino masses

Seesaw or non-pert. Dirac neutrino mass

Seesaw mechanism

$$M_{N_R} N_R N_R \quad L H_u N_R$$

non-pert. Dirac neutrino mass

Langacker, Cvetič arXiv:0803.2876

$$e^{-S_{E2}} L H_u N_R \quad \text{suppr. factor } e^{-S_{E2}} \sim 10^{-13}$$

- **$\mu$ -term**: instanton generating desired Yukawa must not induce  $\mu$ -term  $\rightsquigarrow$  otherwise too large  $\mu$  term

allowing for a second Higgs pair might relax this condition

Ibanez, R.R. arXiv:0811.1583

Stick to the exact MSSM  $\rightsquigarrow$  large class of quivers ruled out

# Results

Classify all possible hypercharge embeddings

Anastosopoulos, Dijkstra, Kiritsis, Schellekens hep-th/0605226

Top-down constraints give of order 10000 D-brane quiver with  
exact MSSM + 3  $N_R$

around 50 models satisfy all the bottom-up constraints

different origins for different families are unfavorable due to  
absence of R-parity and realistic  $\mu$ -term

Bad news for mass hierarchies! See later!

**Potential problem:** Presence of additional massless  $U(1)$ 's

$\rightsquigarrow$  various couplings cannot induced non-perturbatively

(non-chiral) singlets under SM-gauge groups can induce  
Yukawas via higher order couplings

Anastasopoulos, Kiritsis, Lionetto arXiv:0905.3044

Madrid embedding most promising quiver

# Results

Solution #	$q_L$		$d_R$			$u_R$		$L$			$E_R$			$N_R$				$H_u$				$H_d$
	$(a, b)$	$(a, \bar{b})$	$(\bar{a}, c)$	$(\bar{a}, \bar{d})$	$\Xi_c$	$(\bar{a}, \bar{c})$	$(\bar{a}, d)$	$(b, \bar{c})$	$(b, d)$	$(\bar{b}, d)$	$(c, \bar{d})$	$\Xi_c$	$\Xi_d$	$\Xi_b$	$\Xi_{\bar{b}}$	$(c, d)$	$(\bar{c}, \bar{d})$	$(b, c)$	$(\bar{b}, c)$	$(b, \bar{d})$	$(\bar{b}, \bar{d})$	$(\bar{b}, \bar{c})$
1	3	0	3	0	0	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
2	3	0	2	0	1	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
3	3	0	1	0	2	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
4	3	0	0	0	3	0	3	0	0	3	0	0	3	2	0	0	1	0	0	0	1	1
5	3	0	0	0	3	0	3	0	0	3	0	0	3	3	0	0	0	1	0	0	0	1
6	3	0	3	0	0	2	1	0	0	3	0	2	1	2	0	1	0	0	0	0	1	1
7	3	0	3	0	0	3	0	0	0	3	2	1	0	2	0	0	1	0	1	0	0	1
8	3	0	3	0	0	3	0	0	0	3	0	2	1	2	0	0	1	0	1	0	0	1
9	3	0	3	0	0	3	0	0	0	3	1	2	0	2	0	1	0	0	1	0	0	1
10	2	1	3	0	0	1	2	0	0	3	0	0	3	0	0	0	3	1	0	0	0	1
11	2	1	3	0	0	1	2	0	0	3	3	0	0	0	0	3	0	1	0	0	0	1
12	2	1	3	0	0	3	0	0	0	3	3	0	0	0	0	3	1	0	0	0	0	1
13	2	1	3	0	0	3	0	0	1	2	3	0	0	0	0	3	0	1	0	0	0	1
14	1	2	3	0	0	3	0	0	3	0	3	0	0	0	0	3	1	0	0	0	0	1
15	0	3	0	3	0	0	3	3	0	0	0	1	2	0	3	0	0	0	1	0	0	1
16	0	3	0	0	3	0	3	0	3	0	0	0	3	0	3	0	0	1	0	0	0	1
17	0	3	0	0	3	0	3	1	2	0	1	0	2	0	3	0	0	0	0	1	0	1
18	0	3	0	0	3	0	3	3	0	0	2	0	1	0	3	0	0	0	0	1	0	1
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20	0	3	0	0	3	1	2	1	2	0	2	0	1	0	3	0	0	1	0	0	0	1
21	0	3	0	0	3	1	2	1	2	0	0	1	2	0	3	0	0	1	0	0	0	1
22	0	3	0	0	3	1	2	3	0	0	3	0	0	0	3	0	0	1	0	0	0	1
23	0	3	0	0	3	1	2	3	0	0	1	1	1	0	3	0	0	1	0	0	0	1
24	0	3	0	0	3	2	1	0	3	0	3	0	0	0	3	0	0	1	0	0	0	1
25	0	3	0	0	3	2	1	0	3	0	1	1	1	0	3	0	0	1	0	0	0	1
26	0	3	0	0	3	2	1	2	1	0	2	1	0	0	3	0	0	1	0	0	0	1
27	0	3	0	0	3	2	1	2	1	0	0	2	1	0	3	0	0	1	0	0	0	1
28	0	3	0	3	0	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
29	0	3	0	2	1	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
30	0	3	0	1	2	3	0	3	0	0	0	3	0	0	3	0	0	1	0	0	0	1
31	0	3	0	0	3	3	0	1	2	0	1	2	0	0	3	0	0	1	0	0	0	1

Solutions for hypercharge  $U(1)_Y = \frac{1}{6}U(1)_a + \frac{1}{2}U(1)_c - \frac{1}{2}U(1)_d$

# Results - Yukawa textures

- different origins for different families

Kiritsis Talk, Anastasopoulos, Kiritsis, Lionetto arXiv:0905.3044

e.g.  $q_L: 2 \times (3, \bar{2}) \quad 1 \times (3, 2) \quad u_R: 2 \times (\bar{3}, 1_c) \quad 1 \times (\bar{3}, 1_d)$

$$\begin{pmatrix} A & B & B \\ C & D & D \\ C & D & D \end{pmatrix} \begin{pmatrix} A & B & C \\ A & B & C \\ A & B & C \end{pmatrix} \begin{pmatrix} A & B & C \\ D & E & F \\ D & E & F \end{pmatrix} \begin{pmatrix} A & B & C \\ D & E & F \\ G & H & I \end{pmatrix}$$

different entries induced by different instantons  $\rightsquigarrow$  hierarchy

Splitting not favorable!  $\rightsquigarrow$  higher-stack models?

- instanton induced Yukawa matrix factorizes

$$Y^{IJ} \sim Y^I Y^J \rightsquigarrow \text{needs 3 instantons} \rightsquigarrow \text{hierarchy}$$

quarks: if realized as antisymmetric  $\square_a$

leptons: (1) if  $E_R$  realized as  $\square_b$  or  $\square\square_c$

(2) if instanton exhibits vector-like modes

# Summary and Outlook

classified and analyzed (local) MSSM D-brane quiver  
pert. missing couplings are induced via D-instantons  
large class of quivers exhibit R-parity violating couplings or a  
too large  $\mu$ -term

order 10000 globally consistent quivers

↪ only 50 quiver survive bottom up constraints

Quest for global embedding!

splitting of families not favorable higher stack models ?

but D-instanton effects lead to factorizable Yukawa matrices

↪ can explain mass hierarchies within families

- increase number of stacks ↪ mass hierarchies!
- allowing for additional fields doubled Higgs sector or singlets
- relaxing R-parity