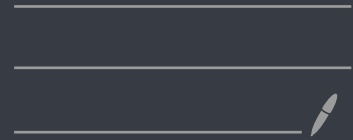


Duality, Descent & Defects I

LECTURE I

"SYMMETRY MODELS & THE GODMENT-GIVEN CRITERION"

2024/25





A FINITE REGION of THE MOORISH TILING in PATIO de ARRAYANES in ALHAMBRA
- A FINE INSTANTIATION of SYMMETRY OUTSIDE THE GROUP PARADIGM

CLASSIC PARADIGM of FUNDAMENTAL INTERACTIONS :

ARENA: (X, g) METRIC SPACETIME

$$\begin{cases} dF = J_2 \\ d * F = J_1 \end{cases} \text{ SOURCES}$$

CHARGE CURRENTS

MESSENGER FIELDS

GEODESIC DYNAMICS + $\langle F(A), J \rangle$
LORENTZ-TYPE FORCES

$A \leadsto F(A)$

$$d * g \left(\frac{\delta \mathcal{L}}{\delta T \phi} (T_e \alpha(\exp^{-1}(s))(\phi)) \right) = 0$$

CONSERVATION LAWS

$\{J_i, -\}_{PB.} (\approx 0)$

AUTOMORPHISMS of STATE SPACE

EQUIVALENCES between DESCRIPTIONS of STATES

REDUCTIONS

$$(\phi, T\phi) \mapsto ([\phi]_\Delta, \nabla_A[\phi]_\Delta)$$

$\phi \in \Gamma(\mathcal{F} \rightarrow X)$

LOCAL SYMMETRIES of DYNAMICS $\mathcal{L}$

$A \curvearrowright \delta$
AUTOMORPHISMS of FIELDS

$$\alpha : \delta \rightarrow \text{Aut}(\mathcal{F} \rightarrow X)$$

SIMPLE STANDARD MODEL:

$$J. = g \cdot \text{Vol}(x(\Sigma)) \text{ for } x: \Sigma \xrightarrow{C^\infty} X$$

COMPACT
WORLD-VOLUME
 $\dim \Sigma = p+1$

$$S[x] = \frac{\mu}{2} \int_{\Sigma} \text{Vol}(\Sigma, x^*g) + g \int_{x(\Sigma)} A$$

$$A \in \Omega^{p+1}(X), \text{ e.g., } p=0 \text{ (of } \overset{\text{CURRENT}}{\text{POINT-LIKE CHARGES}}) \quad A \in \Omega'(X)$$

$$A \sim A + i r^{-1} dr, \quad r \in \mathcal{A} \quad \overset{U(1)\text{-GAUGE}}{\text{TRANSFORMATION}}$$

$$\mathcal{A} = C^\infty(X, U(1)) \quad \overset{U(1)\text{-GAUGE}}{\text{GROUP}}$$

VARIATIONS :

I GEOMETRISATION

NON-TRIVIAL TOPOLOGY of X

$\vee$

HIGHER-DIMENSIONALITY of Σ
& NON-TRIVIAL TOPOLOGY

(QM)

$\Rightarrow$

GEOMETRISATION of A

(BUNDLES, p-GERBES
w/ CONNECTIVE STRUCTURE)

— X —

II GEOMETRISATION

CURRENTS

INTERPRETED AS LOCALISED
EXCITATIONS of CHARGED FIELDS

$\rightsquigarrow$

FIBRATION of CHARGE DOFs over X

(CHARGE-FIELD BUNDLES
ASSOCIATED to GAUGE BUNDLES)

— X —

III GEOMETRISATION

MODELLING of $\mathcal{F} // s$

$\rightsquigarrow$

INTEGRATION over ISOCASSES
of GAUGE BUNDLES

— X —

IV CATEGORIFICATION

of SYMMETRY MODEL s

$\rightsquigarrow$

GROUPOIDS, BUNDLES,
SMOOTH CATEGORIES

WE START from...

IV.1 RECAPITULATION of STANDARD MODEL of SYMMETRY

DEF. 1. A **LIE GROUP** is a GROUP OBJECT in Man.

E.g.,

(i) $(\cdot, \cdot, \cdot, \cdot)$

(ii) $\mathbb{S}^1 \simeq U(1) \subset \mathbb{C}^\times (\subset \mathbb{C})$

(iii) $\mathbb{S}^3 \simeq SU(2) \subset GL(2; \mathbb{C}) (\subset \mathbb{C}(2))$

(iv) $ISO(3,1) \equiv SO(3,1) \ltimes_{\text{Vect}} \mathbb{R}^4$

THM. 2. [CARTAN'S CLOSED-SUBGROUP THEOREM] EVERY TOPOLOGICALLY CLOSED SUBGROUP of a LIE group is a LIE subgroup (i.e., a submanifold).

PROP. 3. $\forall G$ - LIE group : TG is LIE, AND - for $\ell: G \rightarrow \text{Diff}(G) -$
 $: g \mapsto m(g, \cdot)$
 $T_e \ell: G \ltimes_{T_e \text{Ad}} T_e G \simeq TG$. LEFT REGULAR ACTION (4)

THE INDUCED MAP $L_{\cdot} : T_e G \rightarrow \Gamma(TG) : X \mapsto T_e l_{\cdot}(X)$ GIVES US

DEF. 4. LEFT-INVARIANT VECTOR FIELD on LIE GROUP G :

$$L \in \Gamma(TG) : \forall g \in G : l_g^* L = L.$$

THESE COMPOSE THE SPACE OF LEFT-INVARIANT VECTOR FIELDS

$$\mathfrak{X}_L(G) = \{ L \in \Gamma(TG) \mid L \text{ is LI} \}$$

DEF. 5. A LIE ALGEBRA (over $\mathbb{R}$) is a PAIR $(\mathfrak{g}, [\cdot, \cdot]_{\mathfrak{g}})$

COMPOSED of

$$(*) \quad \mathfrak{g} \in \text{Vect}_{\mathbb{R}}^{(<\infty)}$$

s.t.

$$(LA1) \quad [\cdot, \cdot]_{\mathfrak{g}} = -[\cdot, \cdot]_{\mathfrak{g}} \circ \tau;$$

$$(**) \quad [\cdot, \cdot]_{\mathfrak{g}} \in L(\mathfrak{g}, \mathfrak{g}; \mathfrak{g})$$

$$(LA2) \quad \text{Jac}_{\mathfrak{g}} \equiv 0. \quad (5)$$

JACOBIATOR

Ex. 9. (i) $(\mathbb{R}, [\cdot, \cdot]_{\mathbb{R}} = 0)$

(ii) $(\mathbb{R}^3, [\cdot, \cdot]_{\Lambda})$, where $[X_i, X_j] = \epsilon_{ijk} X_k$, $i, j \in \{1, 2, 3\}$

PROP. 6. $(\mathfrak{X}_L(G), [\cdot, \cdot]_{\Gamma(TG)|_{\mathfrak{X}_L(G) \times \mathfrak{X}_L(G)}})$ is a LIE ALGEBRA,
 with $\mathfrak{X}_L(G) \xrightarrow{\text{ev}_e} T_e G$, $L \mapsto L(e)$

$\Downarrow$

PROP. 7. $\mathfrak{g} = T_e G$ CARRIES a CANONICAL STRUCTURE of LIE ALGEBRA,
 with

DEF. LIE BRACKET: $[\cdot, \cdot]_{\mathfrak{g}} : T_e G \times T_e G \rightarrow T_e G$

$$: (X, Y) \mapsto \text{ev}_e([L_X, L_Y]_{\Gamma(TG)})$$

WE CALL $(\mathfrak{g}, [\cdot, \cdot]_{\mathfrak{g}})$ THE TANGENT LIE ALGEBRA of G .

⑥

PROP. 8. THE ASSIGNMENT $G \rightarrow \mathfrak{g}$ EXTENDS TO A COVARIANT

FUNCTOR $\text{Lie} : \text{LieGrp} \rightarrow \text{LieAlg}_{\mathbb{R}}$, with

$$\begin{aligned} \text{Lie} : \text{Hom}_{\text{LieGrp}}(G_1, G_2) &\rightarrow \text{Hom}_{\text{LieAlg}_{\mathbb{R}}}(\text{Lie}(G_1), \text{Lie}(G_2)) \\ &: X \longmapsto T_e X. \end{aligned}$$

PROP. 9. LI VECTOR FIELDS ON LIE GROUP G ARE COMPLETE,

with

$$\Phi_L : \mathbb{R} \times G \rightarrow G : (t, g) \mapsto P\Phi_L(t, e)$$

where

$$p : G \rightarrow \text{Diff}(G) : g \mapsto m(\cdot, g). \quad \text{RIGHT REGULAR ACTION}$$

PROP. 10.

$$\text{THE MAP } \check{\lambda} : \mathfrak{g} \times \mathbb{R} \rightarrow G : (X, t) \mapsto \Phi_{L_X}(t, e)$$

IS SMOOTH. IT YIELDS THE EXPONENTIAL MAP

$$\exp^G : \mathfrak{g} \rightarrow G : X \mapsto \check{\lambda}_X(1), \text{ with } T_0 \exp^G = \text{id}_{\mathfrak{g}}. \quad \textcircled{7}$$

DEF. 11. LET $M \in \text{Man}$ & $G \in \text{Lie Grp.}$

THE LEFT LOGARITHMIC DERIVATIVE on $C^\infty(M, G)$ is a MAPPING

$$\delta_L \log : C^\infty(M, G) \rightarrow \Omega^1(M) \otimes_{\mathbb{R}} \mathfrak{g} \quad \text{GIVEN BY}$$

$$\delta_L \log(f) : TM \rightarrow \mathfrak{g} : (v, x) \mapsto T_{f(x)} l_{f(x)^{-1}} \circ T_x f(v).$$

PROP. 12. THERE EXISTS a CANONICAL LEFT-INVARIANT $\mathfrak{g}$ -VALUED

DEF.

1-FORM on LIE GROUP G , GIVEN BY $\theta_L := \delta_L \log(\text{id}_G)$.

IT IS CALLED THE LI MAURER-CARTAN FORM ON G .

PROP. 13. THE MC FORM ON LIE GROUP G SATISFIES

THE MAURER-CARTAN EQUATIONS $d\theta_L = -\theta_L \wedge \theta_L$,

& SO IT ENCODES THE STRUCTURE EQUATIONS of $\mathfrak{g}$:

$[t_A, t_B]_{\mathfrak{g}} = f_{AB}^C t_C$ in ANY BASIS $\{t_A\}_{A \in \overline{1, \dim G}}$ of $\mathfrak{g}$.

⑧

DEF. 14. LET $G \in \text{LieGrp}$. A (LEFT) G -MANIFOLD is A PAIR (M, λ) COMPOSED OF $(*) M \in \text{Man}$

s.t., $(**) \lambda \in \text{Hom}_{\text{Grp}}(G, \text{Diff}(M))$, CALLED THE ACTION,

THE INDUCED MAPPING $\underline{\lambda}: G \times M \rightarrow M : (g, m) \mapsto \lambda_g(m)$ IS SMOOTH.

GIVEN TWO G -MANIFOLDS: $(M_1, \lambda^1), A \in \{1, 2\}$, A G -EQUIVARIANT MAP

IS ANY $F \in C^\infty(M_1, M_2)$ s.t. $\forall g \in G : F \circ \lambda_g^1 = \lambda_g^2 \circ F$.

WE DENOTE THE SET OF SUCH MAPS as $\text{Hom}_G(M_1, M_2)$.

PROP. 15. IF λ^1 IS TRANSITIVE, THEN F HAS CONSTANT RANK.

DEF. 16. AN ACTION $\lambda \in \text{Hom}_{\text{Grp}}(G, \text{Diff}(M))$ OF LIE GROUP G ON MANIFOLD M IS CALLED PROPER IF (λ, p_2) IS PROPER. (TOP.)

E.g.,

PROP. 17. AN ARBITRARY ACTION OF A COMPACT LIE GROUP IS PROPER.

PROP. 18. THE RESTRICTION OF THE REGULAR ACTION (ℓ OR ρ) OF A LIE GROUP G ON ITSELF TO AN ARBITRARY CLOSED SUBGROUP IS PROPER. $\left(\downarrow \atop \text{LIE} \right)$

PROP. 19. AN ACTION $\lambda \in \text{Hom}_{\text{grp}}(G, \text{Diff}(M))$ OF LIE GROUP G ON MANIFOLD M CANONICALLY INDUCES AN INVOLUTIVE DISTRIBUTION $\mathcal{F}(\lambda) \subset TM$ SPANNED BY

THE FUNDAMENTAL VECTOR FIELDS

$$\mathcal{K} : ((g, [\cdot, \cdot]_g), T_e \text{Ad.}) \longrightarrow ((\Gamma(TM), [\cdot, \cdot]_{\Gamma(TM)}), T\lambda.)$$

GIVEN BY $\mathcal{K}_X(m) = -T_{(e,m)} \lambda(X, 0_{T_m M}) \equiv \frac{d}{dt} \Big|_{t=0} \lambda(\exp(-tX))^{(m)}. \quad (10)$

PROP. 20. THE INTEGRAL LEAVES of $\mathcal{F}(\lambda)$ ARE ORBITS of λ .

THM. 21⁽⁰⁾ FOR ANY FREE & PROPER ACTION $\lambda \in \text{Hom}_{\text{grp}}(G, \text{Diff}(M))$ of LIE GROUP G on MANIFOLD M , THERE EXISTS a UNIQUE SMOOTH STRUCTURE on THE SPACE $M//G$ OF ORBITS of λ s.t. THE CANONICAL PROJECTION $\pi: M \rightarrow M//G: m \mapsto [m]_{\sim \lambda}$ IS A SURJECTIVE SUBMERSION.



THE ABOVE IS A SPECIALISATION of A VERY GENERAL & POWERFUL RESULT, HISTORICALLY ASCRIBED to GODEMENT (by SERRE):

Thm. 21. [CODEMENT CRITERION]

LET M BE A SMOOTH MANIFOLD & LET $\sim$ BE AN EQUIVALENCE RELATION ON M . THERE EXISTS A SMOOTH STRUCTURE ON $M/\sim$ COMPATIBLE WITH THE QUOTIENT TOPOLOGY, SUCH THAT $\pi: M \rightarrow M/\sim$ IS A SUBMERSION IFF THE GRAPH

$R \subset M \times M$ IS A CLOSED EMBEDDED SUBMANIFOLD & THE RESTRICTION OF THE CANONICAL PROJECTION $\pi_1: M \times M \rightarrow M$ TO R IS A SUBMERSION.

NB: A PROOF OF THE THEOREM CAN BE FOUND IN R.L. FERNANDES'S LECTURE NOTES ON "DIFFERENTIAL GEOMETRY".

IV.2 ODISATION of the STANDARD MODEL ...

MOTIVATION: THE GROUP SYMMETRY MODEL FOR AN INFINITE RECTANGULAR TILING of $\mathbb{R}^2$
[after WEINSTEIN] VS

THE NON-GROUP SYMMETRY MODEL FOR ITS FINITE REGION

Definition 11. A **groupoid** is a small category with all morphisms invertible. Thus, it is a septuple $\mathbf{Gr} = (\text{ObGr}, \text{MorGr}, s, t, \text{Id}, \text{Inv}, m \equiv \cdot)$ composed of a pair of sets:

- the object set ObGr ;
- the arrow set MorGr ,

and a quintuple of structure maps:

- the source map $s: \text{MorGr} \rightarrow \text{ObGr}$;
- the target map $t: \text{MorGr} \rightarrow \text{ObGr}$;
- the unit map $\text{Id}: \text{ObGr} \rightarrow \text{MorGr}: m \mapsto \text{Id}_m$;
- the inverse map $\text{Inv}: \text{MorGr} \rightarrow \text{MorGr}: g \mapsto \text{Inv}(g) \equiv g^{-1}$;
- the multiplication map $m: \text{MorGr}_s \times_t \text{MorGr} \rightarrow \text{MorGr}: (g, h) \mapsto m(g, h) \equiv g.h$,

defined in terms of the subset $\text{MorGr}_s \times_t \text{MorGr}$ of composable morphisms,

$$\text{MorGr}_s \times_t \text{MorGr} = \{ (g, h) \in \text{MorGr} \times \text{MorGr} \mid s(g) = t(h) \} \equiv \text{MorGr} \times_{\text{ObGr}} \text{MorGr},$$

and subject to the conditions (in force whenever the expressions are well-defined):

- (i) $s(g.h) = s(h)$, $t(g.h) = t(g)$;
- (ii) $(g.h).k = g.(h.k)$;
- (iii) $\text{Id}_{t(g)}.g = g = g.\text{Id}_{s(g)}$;
- (iv) $s(g^{-1}) = t(g)$, $t(g^{-1}) = s(g)$, $g.g^{-1} = \text{Id}_{t(g)}$, $g^{-1}.g = \text{Id}_{s(g)}$.

Thus, a groupoid is a (small) category with all morphisms invertible.

A **morphism** between two groupoids $\mathbf{Gr}_A$, $A \in \{1, 2\}$ is a functor $\Phi: \mathbf{Gr}_1 \rightarrow \mathbf{Gr}_2$.

A **Lie groupoid** is a groupoid whose object and arrow sets are smooth manifolds, whose structure maps are smooth, and whose source and target maps are surjective submersions. A morphism between two Lie groupoids is a functor between them with smooth object and morphism components.

We shall represent a Lie groupoid $\mathbf{Gr}$ by a (non-commutative) diagram

$$\text{MorGr} \times_{\text{ObGr}} \text{MorGr} \xrightarrow{m} \text{MorGr} \xrightarrow{\text{Inv}} \text{MorGr} \begin{array}{c} \xleftarrow{\text{Id}} \\ \xrightarrow{s} \\ \xrightarrow{t} \end{array} \text{ObGr}.$$

E.g.,

Example 23. A Lie group G can be viewed as a Lie groupoid $(\{\bullet\}, G, \bullet, \bullet, \bullet \mapsto e, \text{Inv}_G, \cdot)$ with the singleton $\{\bullet\}$ as the object manifold.

$\hookrightarrow G$

Example 24. An important example of a Lie groupoid is provided by the **pair groupoid** $\text{Pair}(M)$ of a smooth manifold $\text{Ob}(\text{Pair}(M)) = M$, with the object manifold M and the arrow manifold $\text{Mor}(\text{Pair}(M)) = M \times M$, the source map $s = \text{pr}_2$ and the target map $t = \text{pr}_1$ given by the canonical projections, the composition of morphisms

$$m: (M \times M)_{\text{pr}_2 \times \text{pr}_1} (M \times M) \longrightarrow M \times M: ((m_3, m_2), (m_2, m_1)) \mapsto (m_3, m_1),$$

the unit map

$$\text{Id}: M \longrightarrow M \times M: m \mapsto (m, m),$$

and the inversion map

$$\text{Inv}: M \times M \longrightarrow M \times M: (m_2, m_1) \mapsto (m_1, m_2).$$

The pair groupoid contains, as a proper Lie subgroupoid, the **Lie groupoid of M** obtained through restriction of the arrow manifold to the diagonal $M \times_M M \equiv M$. - see **Ex. 25.**

Whenever M is the total space of a fibre bundle (M, Σ, F, π_M) over a base Σ , the pair groupoid $\text{Pair}(M)$ contains, as a proper Lie subgroupoid, the **Σ -fibred pair groupoid** $\text{Pair}_\Sigma(M)$ of M , with the arrow manifold

$$\text{Mor}(\text{Pair}_\Sigma(M)) = M \times_\Sigma M \equiv \{ (m_1, m_2) \in M \times M \mid \pi_M(m_1) = \pi_M(m_2) \}.$$

Example 25. Another Lie groupoid of relevance is the **action groupoid** $G \ltimes_\lambda M$ associated with a smooth action

$$\lambda: G \times M \longrightarrow M: m \mapsto \lambda_g(m) \equiv g \triangleright m \equiv g.m$$

of a Lie group G on a smooth manifold M , with the object manifold $\text{Ob}(G \ltimes_\lambda M) = M$ and the arrow manifold $\text{Hom}(G \ltimes_\lambda M) = G \times M$, the source map $s = \text{pr}_2$ given by the canonical projection, the target map $t = \lambda$ given by the smooth (left) action λ , the composition of morphisms

$$m: (G \times M)_{\text{pr}_2 \times \lambda} (G \times M) \longrightarrow G \times M: ((h, g.m), (g, m)) \mapsto (h \cdot g, m) =: (h, g.m).(g, m),$$

the unit map ($e \in G$ is the group unit)

$$\text{Id}: M \longrightarrow G \times M: m \mapsto \text{id}_m = (e, m),$$

and – finally – the inversion map

$$\text{Inv}: G \times M \longrightarrow G \times M: (g, m) \mapsto (g^{-1}, g.m) =: \text{Inv}(g, m) \equiv (g, m)^{-1}.$$

EXAMPLE 26. EVERY MANIFOLD M CAN BE VIEWED as a LIE
 GROUPOID $(M, M, \text{id}_M, \text{id}_M, \text{id}_M, \text{id}_M, \text{id}_M) =: \hat{M}$.

(with FIXED
 ENDPOINTS)

EXAMPLE 27. UPON ENDOWING THE SET $\Pi(M)$ of HOMOTOPY CLASSES
 of SMOOTH PATHS $\gamma: [0,1] \rightarrow M$ in $M \in \text{Man}$ with SMOOTH
 STRUCTURE (∞ -DIM.), WHICH WE SHALL NOT DISCUSS,
 ONE OBTAINS THE **FUNDAMENTAL GROUPOID** of M :

$(M, \Pi(M), \text{ev}_0, \text{ev}_1, M \ni m \mapsto m \in \Pi(M), \Pi(M) \ni [\gamma] \mapsto [\gamma \circ (1-\cdot)],$
 CONCATENATION of PATHS) $=: \text{Fund}(M)$.

EXAMPLE 28. FOR ANY LIE GROUPOID $Gr = (M, g, s, t, Id, Inv, m)$,
 WE HAVE A CANONICAL MORPHISM

$$Gr : \begin{array}{ccc} g & \xrightarrow{(t,s)} & M \times M \\ \Downarrow & & \Downarrow \\ M & \xlongequal{\quad} & M \end{array} : \text{Poiz}(M),$$

CALLED THE GROUPOID ANCHOR.

EXAMPLE 29. GIVEN LIE GROUP G , THE DIVISION MAP
 $\delta : G \times G \rightarrow G : (g, h) \mapsto g \cdot h^{-1}$ DEFINES A LIE-GROUPOID
 MORPHISM

$$\text{Poiz}(G) : \begin{array}{ccc} G \times G & \xrightarrow{\delta} & G \\ \Downarrow & & \Downarrow \\ G & \dashrightarrow & * \end{array} : G$$

EXAMPLE 30. GIVEN $G_1, G_2 \in \text{LieGrp}$ & $M_1, M_2 \in \text{Man}$

with $\lambda^A \in \text{Hom}_{\text{Grp}}(G_A, \text{Diff}(M_A)), A \in \{1, 2\}$, EVERY PAIR

(φ, f) COMPOSED OF $\varphi \in \text{Hom}_{\text{LieGrp}}(G_1, G_2)$ & $f \in C^\infty(M_1, M_2)$

s.t. $\forall g \in G_1: f \circ \lambda_g^1 = \lambda_{\varphi(g)}^2 \circ f$ IS A LIE-GROUPOID MORPHISM

$$\begin{array}{ccc} G_1 \times M_1 & \xrightarrow{\varphi \times f} & G_2 \times M_2 \\ \downarrow \downarrow & & \downarrow \downarrow \\ M_1 & \xrightarrow{f} & M_2 \end{array}$$